

# *High Sensitivity Flavor Physics Measurements at Low Energies*



Doug Bryman

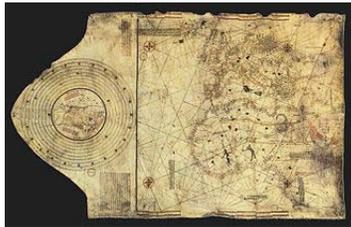
University of British Columbia  
Vancouver, BC Canada

PSI Zuoz Summer School 2014

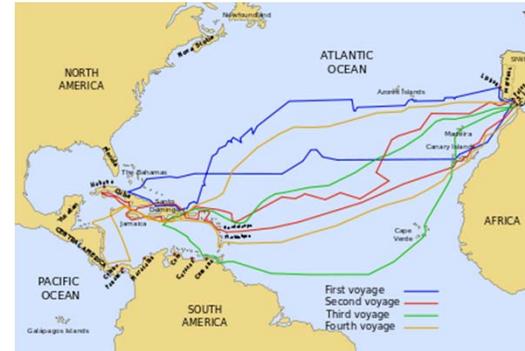
# 15-16<sup>th</sup> Century Explorations into Unknown Waters

*Vague but well motivated ideas of what to look for --- really searching in the dark....*

Christoforo Colombo– 1492  
search for East Indies...



Discovered



Discovered

Amerigo Vespucci– 1499 search  
for Asia ...



Ponce de Leon – 1513 search  
for the Fountain of Youth ...



Discovered



D. Bryman Zuoz 2014

# 21<sup>st</sup> Century Explorations Into Unknown Waters

Here be dragons.

- High energy
- Dark matter
- Dark energy
- High precision, high sensitivity measurements at low energy: e.g. lepton flavor violation, universality tests, EDMs, CP violation...

*Vague but well motivated ideas of what to look for --- really searching in the dark....*



The 1265 [Psalter world map](#).

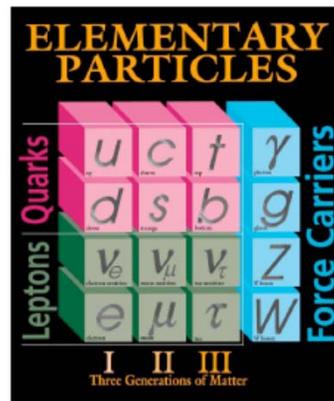
# Searches for BSM Physics so Far...

Current  
Conclusion:

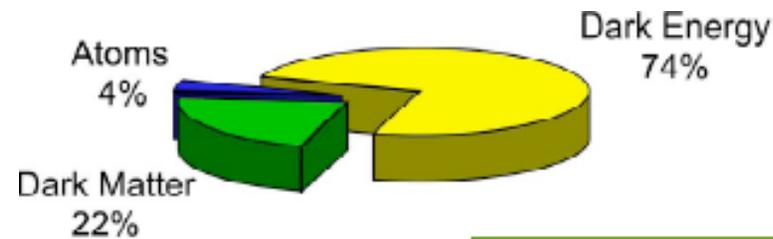


No experimental tail sighted ...  
No definite theory (but if you find it, “we’ll make one!”)

# Standard Model : *A great story ... but definitely not the whole story...*



+ Higgs ( $\checkmark$ )



- **Cosmological issues:** inflation, dark matter, dark energy, ***matter anti-matter asymmetry...***
- **Theoretical issues:** gravity (CC), neutrino mass, ***flavor***, hierarchy problem, strong CP, ....

# The Flavor Puzzle

## Experiments ahead of theory

Quarks

|   |   |   |
|---|---|---|
| u | c | t |
| d | s | b |

Leptons

|         |           |            |
|---------|-----------|------------|
| e       | $\mu$     | $\tau$     |
| $\nu_e$ | $\nu_\mu$ | $\nu_\tau$ |

- Weak states  $\Leftrightarrow$  mass states
- Quark, lepton flavors not conserved

### Unexplained observations (no theory of flavor):

- Three (“identical”) generations
- Huge mass differences between and within the generations
  - Exceptionally small neutrino mass
- Universality of interactions
- CP violation
- Symmetry between lepton and quark sectors (GUT, scale?)

**Probing for new physics requires a wide field of view!**

## "Precision" Flavor Physics at Low Energies

A small set of crucial rare particle decays extremely sensitive to new physics at high mass scales and new theories of flavor

### Important Flavor-Changing Rare Processes

$$\mu \rightarrow e\gamma, \mu\text{-}e \text{ Conversion}$$

$$K \rightarrow \pi\nu\bar{\nu}$$

$$b \rightarrow s\gamma, B \rightarrow \mu\mu, \tau \rightarrow \mu\gamma\dots$$

*Discoveries of new physics at the LHC and elsewhere would require a range of precision flavor physics experiments to home in on the new interpretation.*

# Experiments Seeking Insight into the Flavor Puzzle

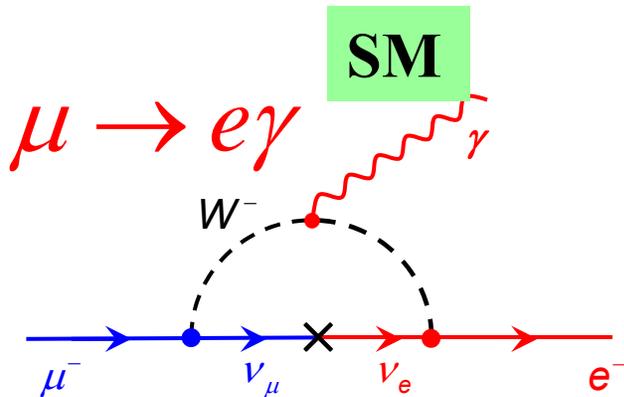
- New Physics at High Mass Scales – virtual effects
- Unknown Couplings

State-of-the-art sensitivity  $\text{Br} < 10^{-12}$

|  |  |
|--|--|
| <p><b>Exotic Searches</b></p> <p><i>New physics if seen. Experiments limit how far we can go</i></p>   | <p>Charged Lepton Flavor Violation</p> <p><math>\mu \rightarrow e\gamma, 3e</math>    <math>\mu^- N \rightarrow e^- N</math> ("μ-e Conversion")</p> <p><math>\tau \rightarrow e\gamma, \mu\gamma \dots</math>    <math>K_L^0 \rightarrow \mu e, B_q \rightarrow \mu e \dots</math></p> <p>Baryon and Lepton Number violation/GUTS    <math>p \rightarrow \pi^0 e^+</math></p> <p>Lepton Number Violation/ Majorana mass    <math>\beta\beta_{0\nu}</math></p> <p>Sterile neutrinos/mixing    <math>\nu</math> Oscillations</p> |
| <p><b>BSM Physics</b></p> <p><i>New physics if deviations from well-calculated SM predictions occur. Theory limits how far we can go.</i></p> <p>D. Bryman Zuoz 2014</p> | <p><math>CP/T</math> Violation    <math>e, \mu, n \dots</math> EDM</p> <p><math>(g - 2)_\mu, \mu^- H</math></p> <p><math>\frac{\pi^+(K^+) \rightarrow e^+\nu}{\pi^+(K^+) \rightarrow \mu^+\nu}, \frac{\tau^+ \rightarrow e^+\nu\nu}{\tau^+ \rightarrow \mu^+\nu\nu}</math>    Universality</p> <p><math>K^+ \rightarrow \pi^+ \nu \bar{\nu}, K_L^0 \rightarrow \pi^0 \nu \bar{\nu}</math></p> <p><math>B \rightarrow \mu\mu, b \rightarrow s\gamma, \dots</math></p> <p>8</p>  |

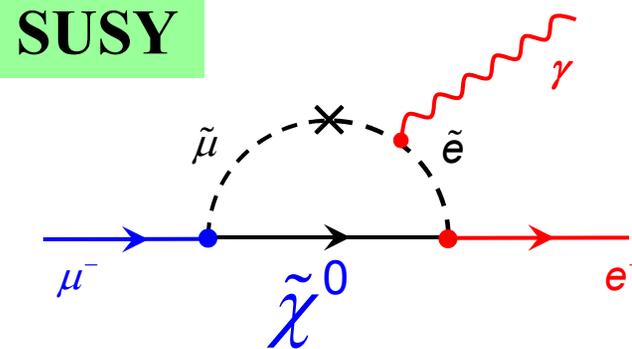
# Lepton Flavor Violation

Neutrino oscillations  $\rightarrow$  lepton flavor numbers not conserved but consequent SM charged lepton flavor violation too small to be observed.



$$\text{BR}(\mu^- \rightarrow e^- \gamma) \Big|_{\text{SM}} \propto \frac{m_\nu^4}{m_W^4} \approx 10^{-54}$$

*Petcov '77, Marciano-Sanda '77*



$$\text{BR}(\mu^- \rightarrow e^- \gamma) \Big|_{\text{SUSY}} \approx 10^{-5} \frac{\Delta m_{\tilde{e}\tilde{\mu}}^2}{\bar{m}_\ell^2} \left( \frac{100 \text{ GeV}}{m_{\text{SUSY}}} \right)^4 \tan^2 \beta \approx 10^{-13}$$

- Observation means new physics.
- Some SUSY models predict  $\text{BR}(\mu \rightarrow e \gamma)$  near the experimental limit (always!).

Sensitivity to new physics  $\sim \frac{1}{M_H^4}$  with  $M_H \sim 1-100 \text{ TeV}$

# $\mu - e$ Conversion

Ordinary nuclear muon capture (MC):

$$\mu^- + (Z, A) \rightarrow \nu_\mu + (Z - 1, A)$$

Muon decay-in-orbit (DIO):

$$\mu^- \rightarrow e^- \bar{\nu}_e \nu_\mu$$

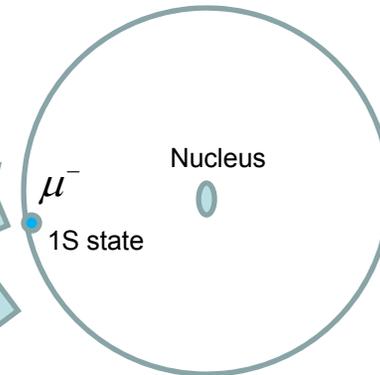
OR... Lepton Flavor Violation:

$\mu - e$  Conversion (coherent)

$$\mu^- + (Z, A) \rightarrow e^- + (Z, A)$$

$$P_e = m_\mu - B.E.$$

Muonic Atom



$$\tau_{free} = 2.2 \mu s$$

$$\tau_{Si} = 0.8 \mu s$$

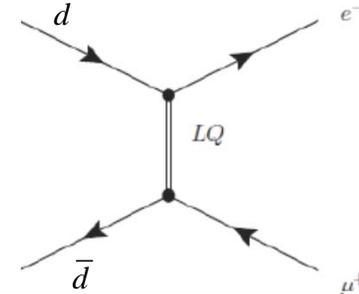
*MC : DIO*

H (1:1000)

Si (2:1)

Cu (13:1)

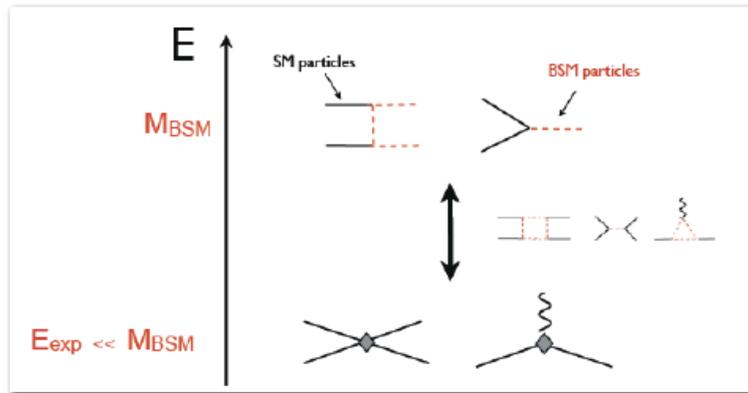
Additional new physics possibilities e.g. lepto-quarks...



Sensitivity to new physics

$$\sim \frac{1}{M_H^4} \text{ with } M_H \sim 1-1000 \text{ TeV}$$

## Effective theory framework



# CPV and LFV

Cirigliano  
IF Workshop 2013

At low energy, BSM physics is described by local operators; LFV and dipole moments probe strengths of different operators and their flavor structures

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{C^{(5)}}{\Lambda} O^{(5)} + \sum_i \frac{C_i^{(6)}}{\Lambda^2} O_i^{(6)} + \dots$$

$\Lambda \leftrightarrow M_{BSM}$                        $C_i [g_{BSM}, M_a/M_b]$

Effective Operators for CP-violating EDMs and LFV processes:

Lavinac  
Paradisi

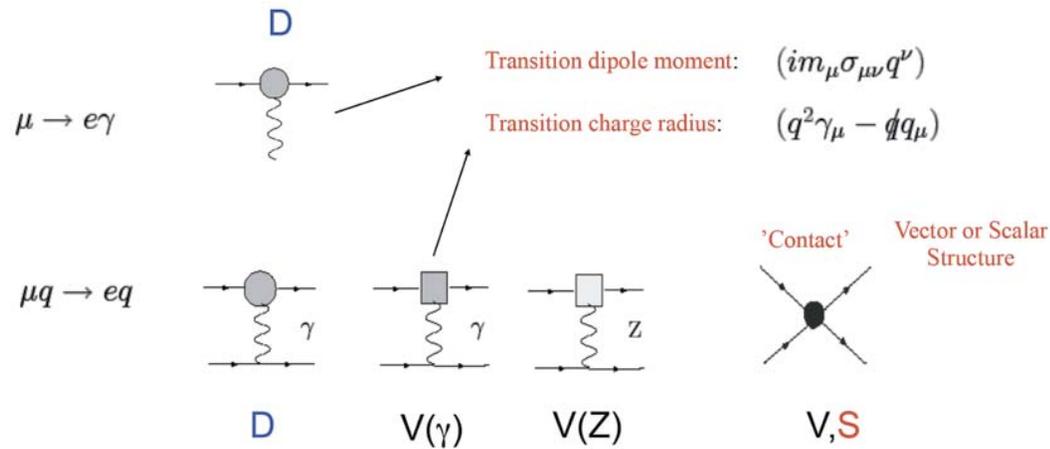
$$\bar{l}_i \sigma^{\mu\nu} \gamma_5 l_i F_{\mu\nu}^{em} \quad l_i \sigma^{\mu\nu} l_j F_{\mu\nu}^{em} \quad \bar{l}_i \Gamma^a l_j \bar{q}_k \Gamma^a q_l \quad \bar{l}_i \Gamma^a l_j \bar{l}_k \Gamma^a l_l$$

with dimensionless coefficients  $\epsilon \sim \frac{M_W^2}{M_{NP}^2} \frac{g_{NP}^2}{g_W^2} \delta_{CPV} \delta_{mix}$

| Observable                           | Operator   | Limit on $\epsilon$       |
|--------------------------------------|--|---------------------------|
| $eEDM$                               | $\bar{e}_L \sigma^{\mu\nu} \gamma_5 e_R F_{\mu\nu}$      | $\leq 1.1 \times 10^{-3}$ |
| $B(\mu \rightarrow e\gamma)$         | $\bar{\mu} \sigma^{\mu\nu} e F_{\mu\nu}$                 | $\leq 1.4 \times 10^{-4}$ |
| $B(\tau \rightarrow \mu\gamma)$      | $\bar{\tau} \sigma^{\mu\nu} \mu F_{\mu\nu}$              | $\leq 2.2 \times 10^{-2}$ |
| $B(K_L^0 \rightarrow \mu^\pm e^\mp)$ | $(\bar{\mu} \gamma^\mu P_L e)(\bar{s} \gamma^\mu P_L d)$ | $\leq 2.9 \times 10^{-7}$ |

Flavour physics of leptons and dipole moments Eur.Phys.J.C57:13-182,2008

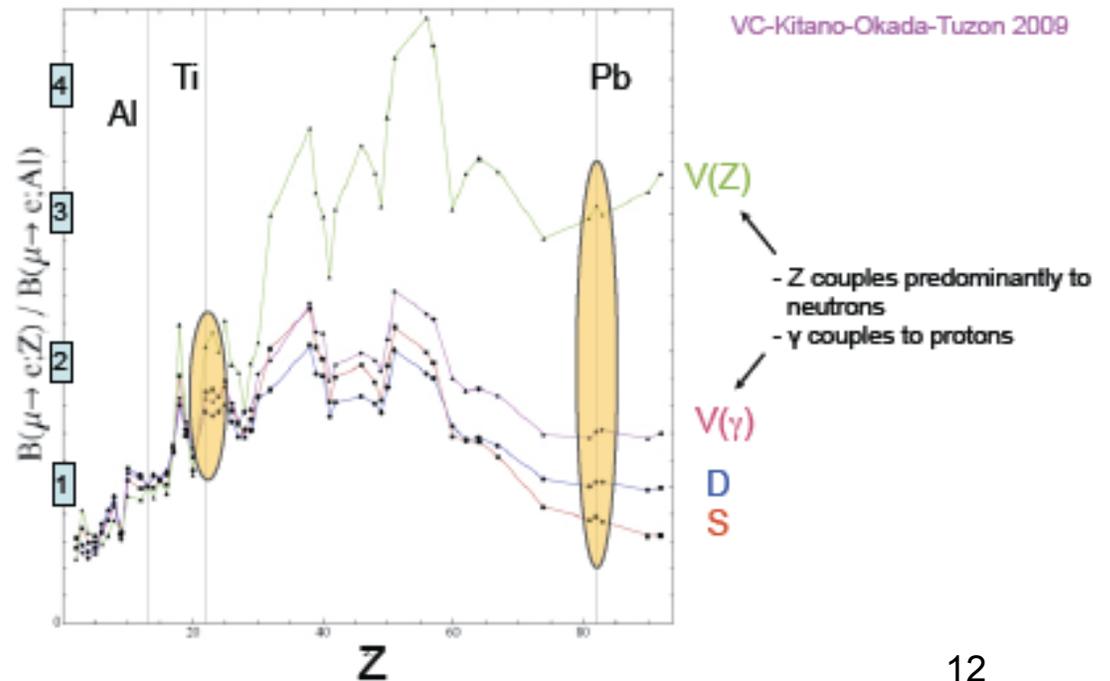
# $\mu \rightarrow e\gamma$ and $\mu \rightarrow e$ Conversion Test Different Operators



Cirigliano  
IF Workshop  
2013

Target-dependence of  $\mu \rightarrow e$  rate

Some theory  
uncertainties  
cancel in ratios

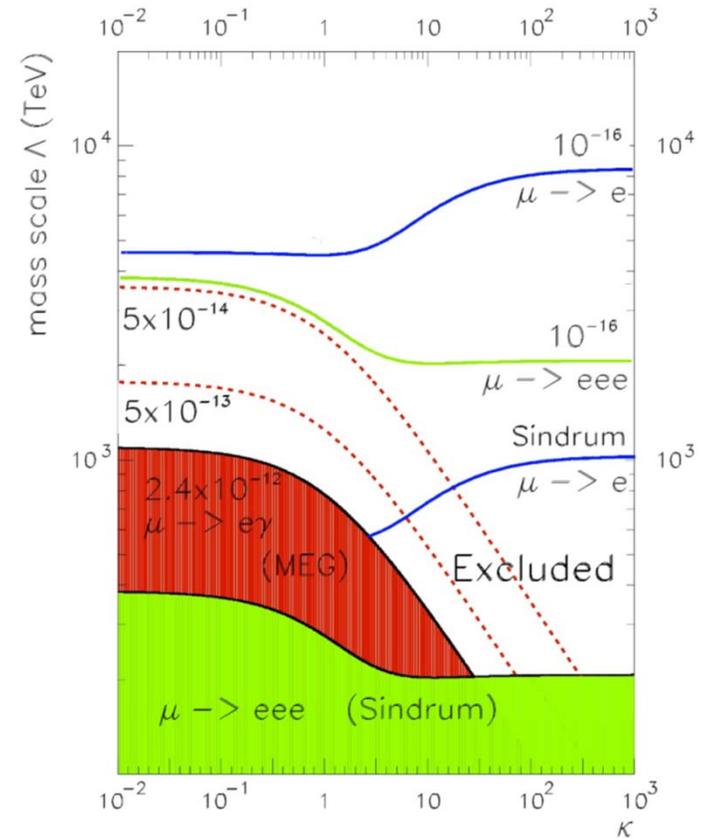


Model discriminating power by  
Measuring different processes.

Two operators:

$$\mathcal{L}_{CLFV} = \frac{m_\mu}{(\kappa + 1)\Lambda^2} \bar{\mu}_R \sigma_{\mu\nu} e_L F^{\mu\nu} + \frac{\kappa}{(\kappa + 1)\Lambda^2} \bar{\mu}_R \gamma_\mu e_L \bar{f} \gamma^\mu f$$

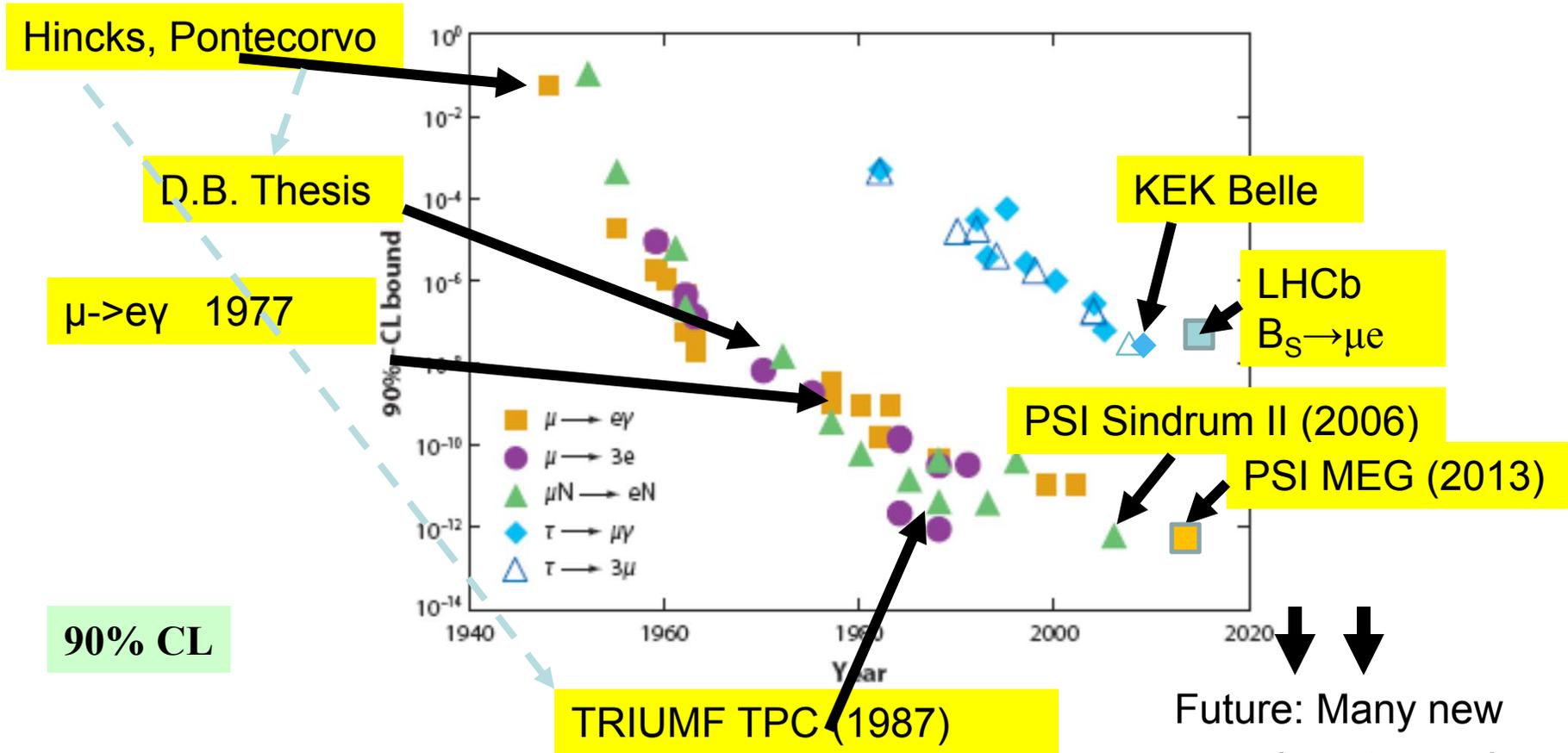
$\kappa$  controls relative strength of  
dipole vs vector operator  
 $\Lambda$  is the mass scale (TeV)



De Gouvea, Vogel

There may also be important connections between models for Charged Lepton flavor Violating reactions,  $g-2$ , and neutrino mass generation e.g. via Seesaw types I, II, III..

# History of Lepton Flavor Violation Experiments



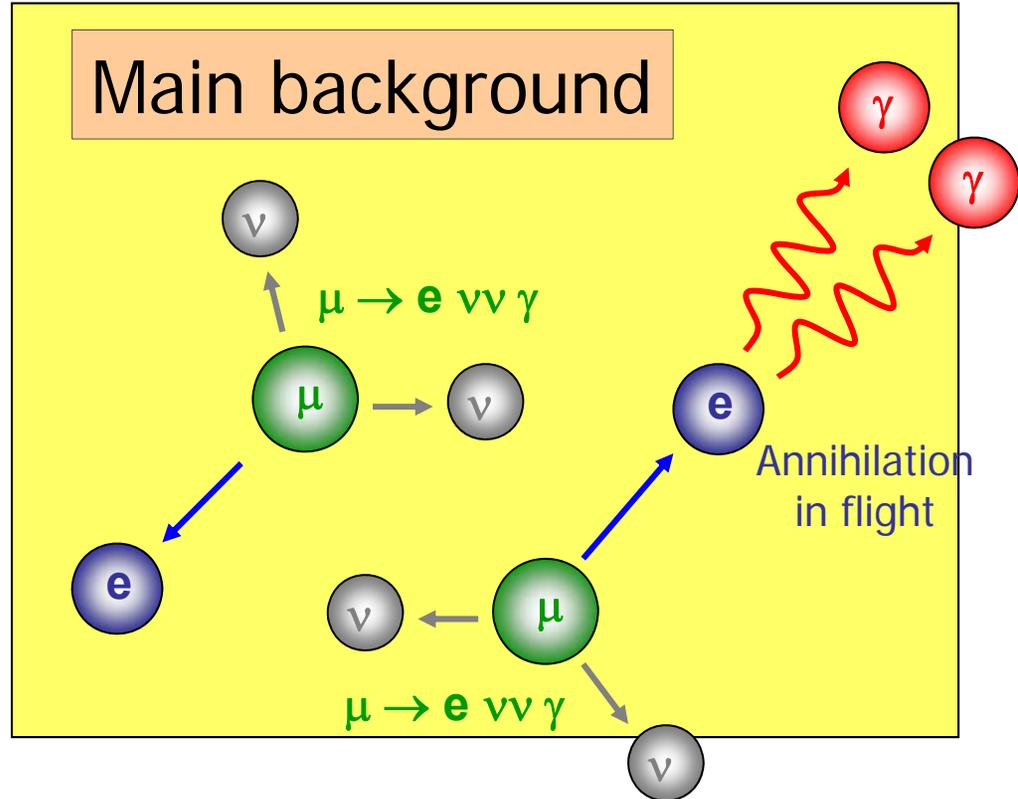
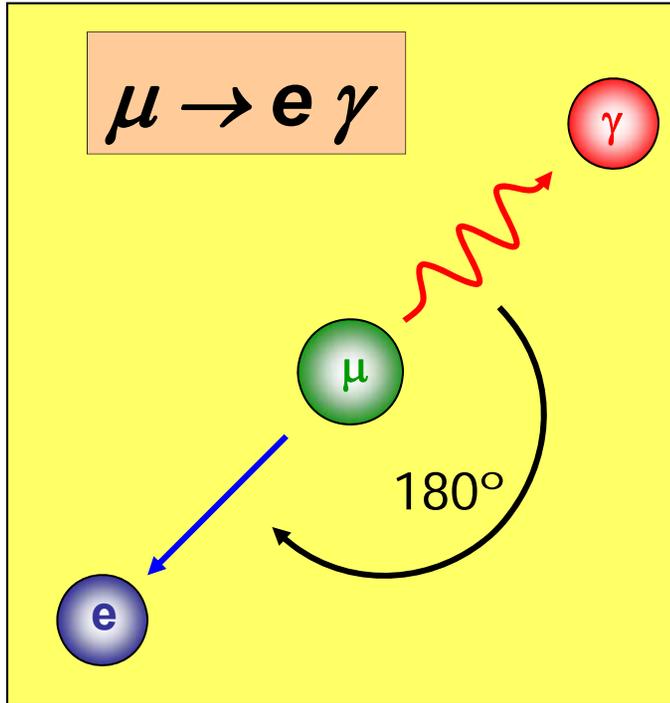
D. Bryman Zuoz 2014

Based on Marciano, Mori, Roney 2010

# Decay topology

Accidental Coincidence of 2 Muon decays:

$$\mu \rightarrow e \nu \nu + \mu \rightarrow e \nu \nu \gamma$$



$\mu \rightarrow e \gamma$  signal very clean

- $E_g = E_e = 52.8 \text{ MeV}$
- $\theta_{\gamma e} = 180^\circ$
- e and  $\gamma$  in time

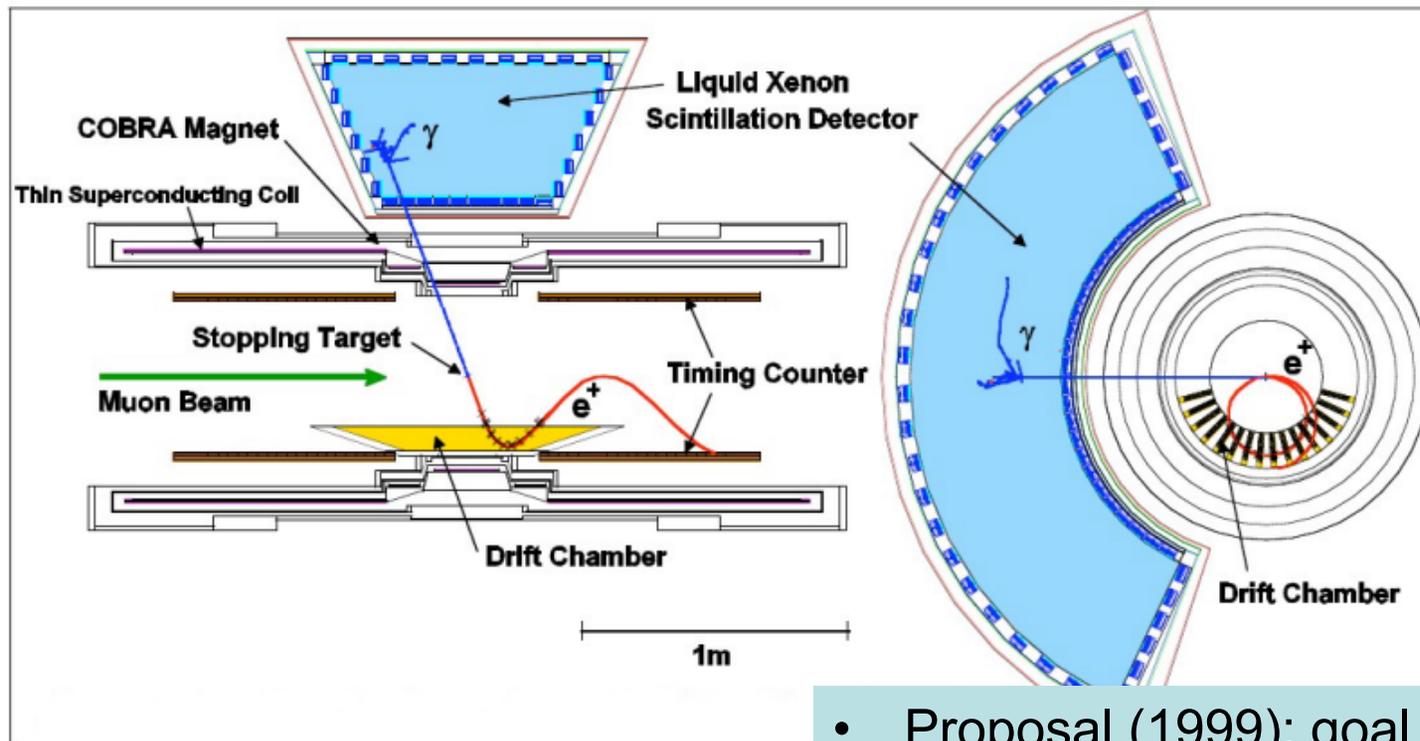
Background: Energy, spatial, timing resolutions  
Good pile-up rejection

$$\Delta B(\mu \rightarrow e \gamma) = \left( \frac{R_\mu}{d} \Delta t \right) \left( \frac{\Delta E_e}{m_\mu / 2} \right) \left( \frac{\Delta E_\gamma}{15 m_\mu / 2} \right)^2 \left( \frac{\Delta \theta}{2} \right)^2 f(\theta_\gamma) \eta_{IVB}$$

S. Ritt 2006

# MEG at PSI

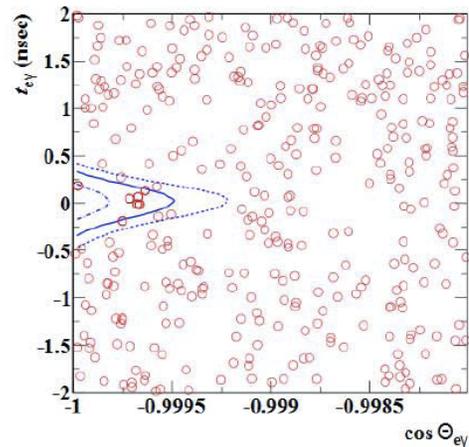
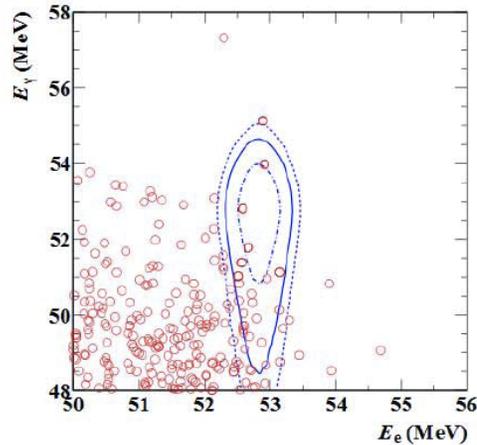
$$\mu \rightarrow e \gamma$$



- $3 \times 10^7$   $\mu$ /sec, 100% duty factor
- LXe for efficient  $\gamma$  detection
- Solenoidal magnetic spectrometer

- Proposal (1999): goal  $< 2 \times 10^{-14}$   
(  $2.2 \times 10^7$  s )
- 2013 Result:  $< 5.7 \times 10^{-13}$
- New goal ( $\sim 2020$ ):  $< 6 \times 10^{-14}$

**MEG Current result (2013)**  
 **$B < 5.7 \times 10^{-13}$  (90% c.l.)**  
**(Additional data to be analyzed)**



signal PDF contours at 1, 1.64 and 2 sigma

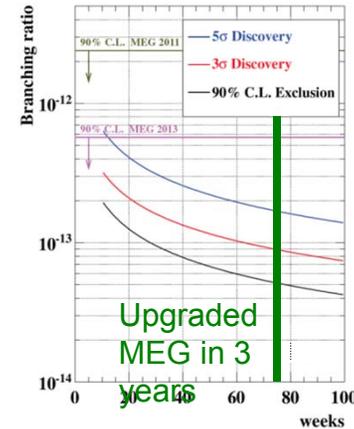
Data 2009-2011

D. Bryman Zuoz 2014

MEG (2013) Upgrade Plan  $\rightarrow 6 \times 10^{-14}$

TABLE XI: Resolution (Gaussian  $\sigma$ ) and efficiencies for MEG upgrade

| PDF parameters                            | Previously Forseen | Present MEG  | Upgrade scenario |
|---|--------------------|--------------|------------------|
| $e^+$ energy (keV)                        | (200)              | 306 (core)   | 130              |
| $e^+$ $\theta$ (mrad)                     | (5)                | 9.4          | 5.3              |
| $e^+$ $\phi$ (mrad)                       | (5)                | 8.7          | 3.7              |
| $e^+$ vertex (mm) Z/Y(core)               |                    | 2.4 / 1.2    | 1.6 / 0.7        |
| $\gamma$ energy (%) ( $w < 2$ cm)/( $w >$ | (1.2)              | 2.4 / 1.7    | 1.1 / 1.0        |
| $\gamma$ position (mm) $u/v/w$            |                    | 5 / 5 / 6    | 2.6 / 2.2 / 5    |
| $\gamma$ - $e^+$ timing (ps)              | (65)               | 122          | 84               |
| <b>Efficiency (%)</b>                     |                    |              |                  |
| trigger                                   |                    | $\approx 99$ | $\approx 99$     |
| $\gamma$                                  |                    | 63           | 69               |
| $e^+$                                     |                    | 40           | 88               |

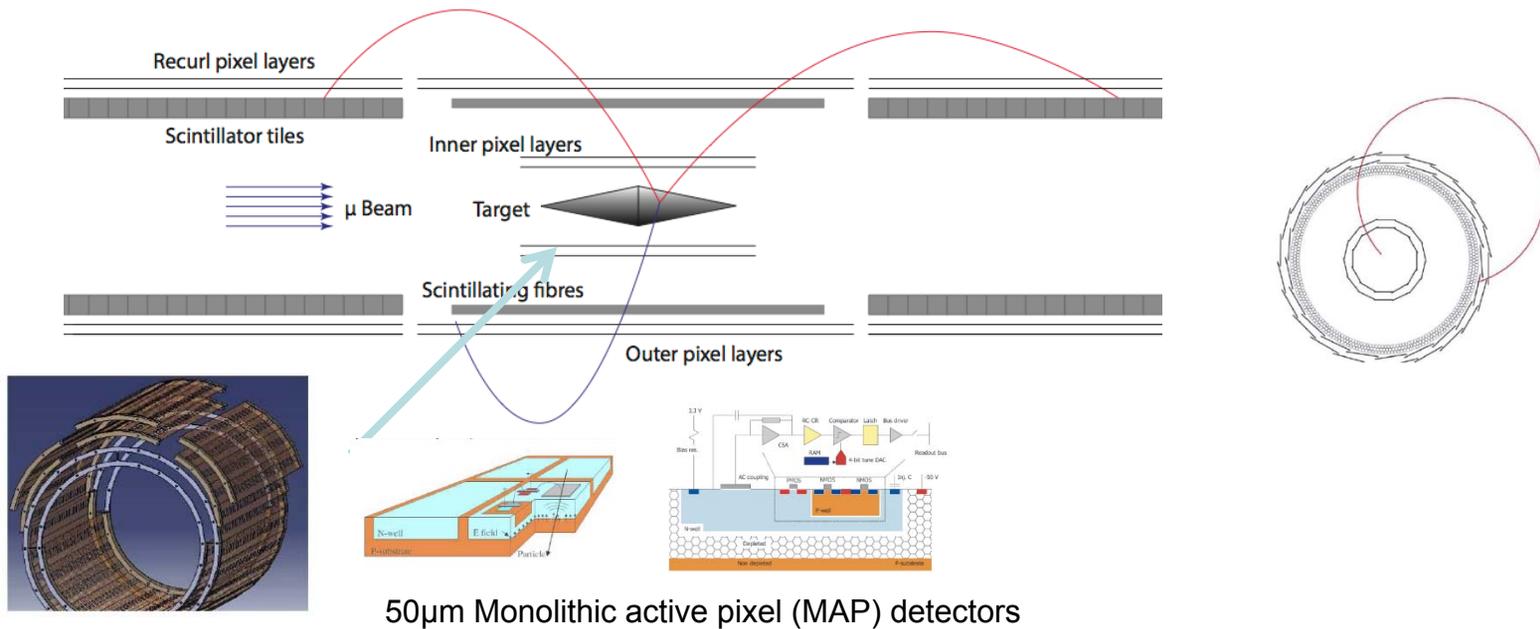




# $\mu \rightarrow 3e$ at PSI: Goal $< 10^{-16}$

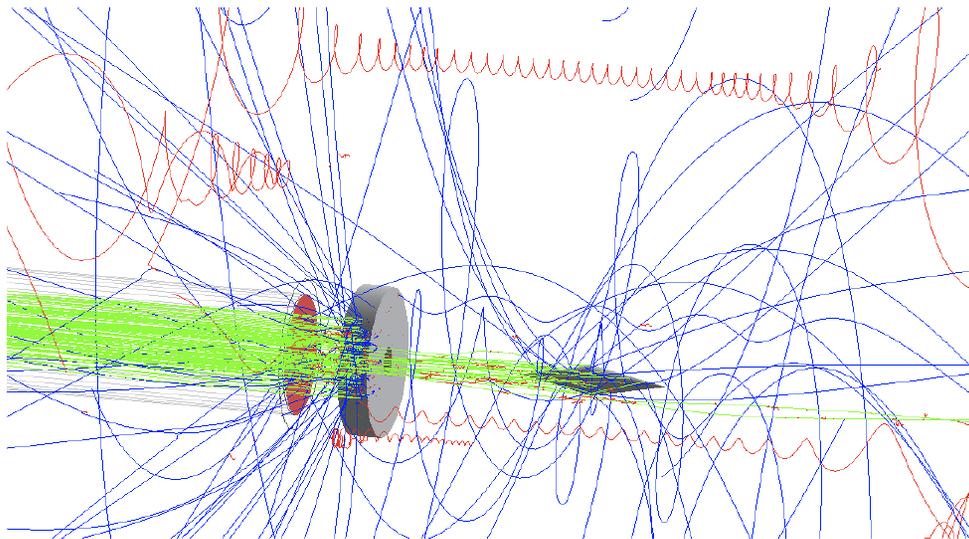
## Mu3e proposal

Phase I uses MEG beamline to provide  $\sim 10^8 \mu^+/s$  to get to  $10^{-15}$   
 Phase II assumes construction of new high intensity beam at PSI spallation neutron source to reach  $10^{-16}$





Write a few 10'000 lines of code using Geant4



Niklaus Berger – SMIPP 2012/13 – Slide 47

Pixel detector:

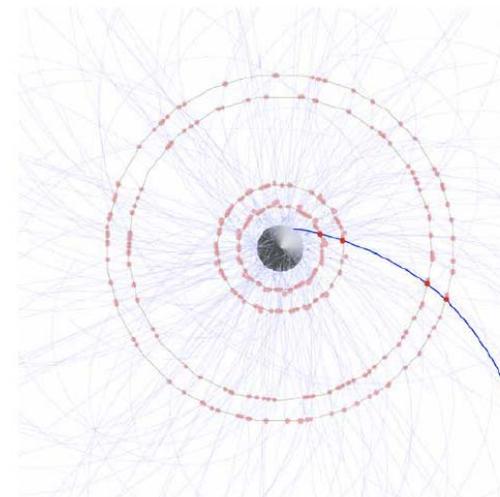
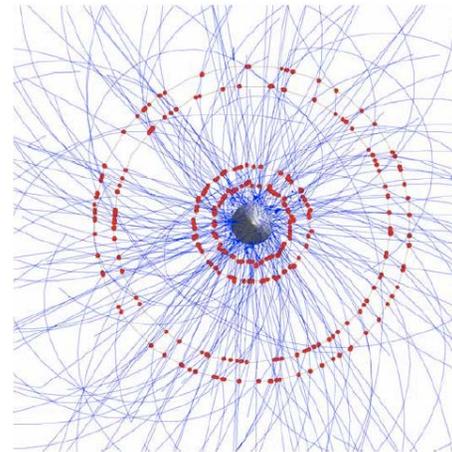
- 250 million (zero suppressed) channels
- ~ 2000 hits per 50 ns frame

Fibre tracker:

- ~ 10'000 (zero suppressed) channels

For a muon stop rate of  $2 \times 10^9/s$ :

- Data rate ~ 150 Gbyte/s



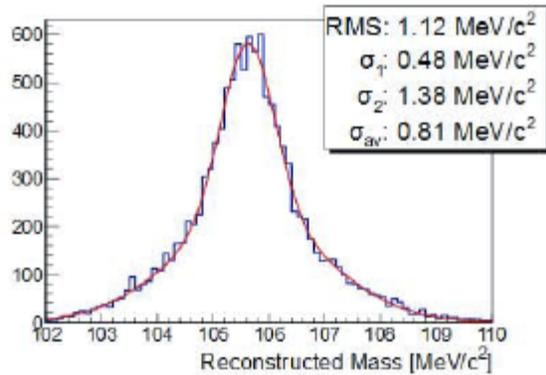


Figure 17.2: Reconstructed mass resolution for signal events in the phase IA configuration.

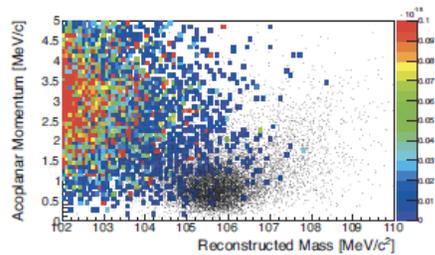
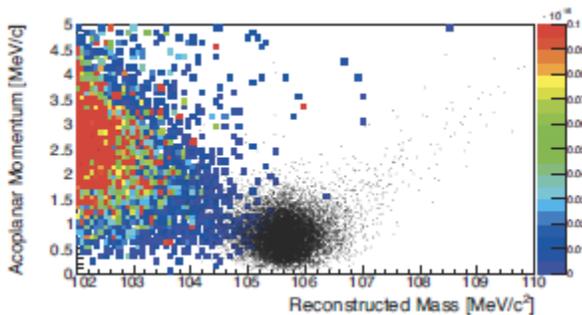
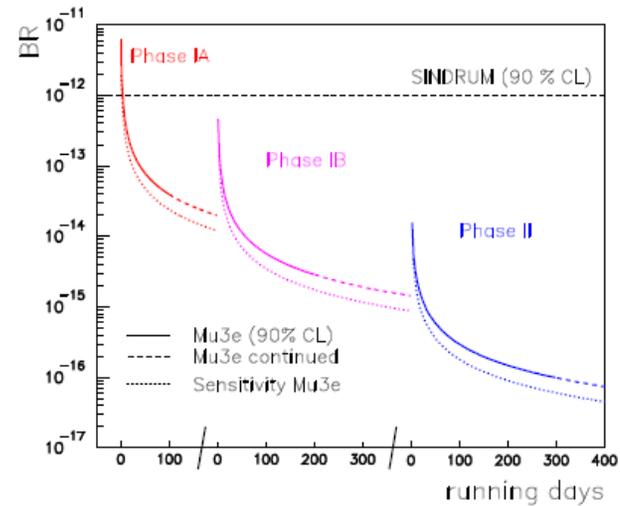


Figure 17.9: Internal conversion background (colours) and signal (black dots) in the acoplanar momentum - reconstructed mass plane for the phase IA detector configuration.



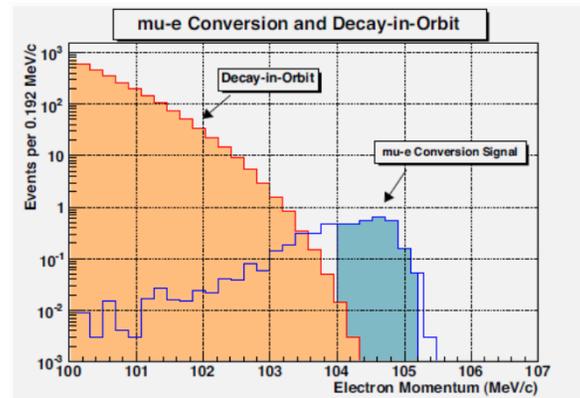
|   | Phase IA           | Phase IB               | Phase II             |
|---|--------------------|------------------------|----------------------|
| <b>Backgrounds:</b>                               |                    |                        |                      |
| Michel  | 0                  | $< 2.5 \cdot 10^{-18}$ | $5 \cdot 10^{-18}$   |
| $\mu \rightarrow eee\nu\nu$                       | $1 \cdot 10^{-16}$ | $1 \cdot 10^{-17}$     | $1 \cdot 10^{-17}$   |
| $\mu \rightarrow eee\nu\nu$ and accidental Michel | 0                  | $< 2.5 \cdot 10^{-21}$ | $7.5 \cdot 10^{-18}$ |
| Total Background                                  | $1 \cdot 10^{-16}$ | $1 \cdot 10^{-17}$     | $2.3 \cdot 10^{-17}$ |
| <b>Signal:</b>                                    |                    |                        |                      |
| Track reconstruction and selection efficiency     | 26 %               | 39 %                   | 38 %                 |
| Kinematic cut ( $2\sigma$ )                       | 95 %               | 95 %                   | 95 %                 |
| Vertex efficiency ( $(2.5\sigma)^2$ )             | 98 %               | 98 %                   | 98 %                 |
| Timing efficiency ( $(2\sigma)^2$ )               | -                  | 90 %                   | 90 %                 |
| Total efficiency                                  | 24 %               | 33 %                   | 32 %                 |
| <b>Sensitivity:</b>                               |                    |                        |                      |
| Single event sensitivity                          | $4 \cdot 10^{-16}$ | $3 \cdot 10^{-17}$     | $7 \cdot 10^{-17}$   |
| muons on target rate (Hz)                         | $2 \cdot 10^7$     | $1 \cdot 10^8$         | $2 \cdot 10^9$       |
| running days to reach $1 \cdot 10^{-15}$          | 2600               | 350                    | 18                   |
| running days to reach $1 \cdot 10^{-16}$          | -                  | 3500                   | 180                  |
| running days to reach single event sensitivity    | 6500               | 11 700                 | 260                  |



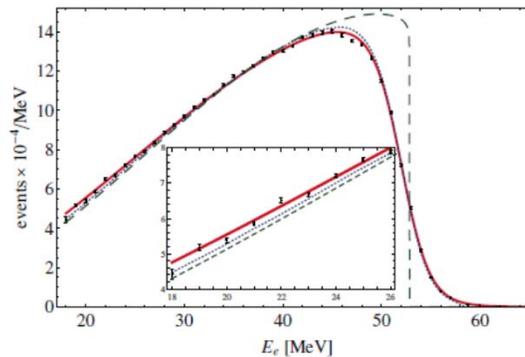
# $\mu - e$ Conversion

## $\mu^- N \rightarrow e^- N$ Experiments

Singles experiment  
allows ultra-high beam  
rates: observe peak at  
endpoint for  $\mu$  decay-in-  
orbit  $\sim 104$  MeV/c



Intrinsic background  
( $\mu$  decay-in-orbit)  
known and calculable.



$$N(E_e) dE_e \approx 0.4 \cdot 10^{-21} \left(1 - \frac{E_e}{E_{\max}}\right)^5 dE_e$$

Czarnecki et al.  
[arXiv:1406.3575](https://arxiv.org/abs/1406.3575)

PRD84,013006,2011

High resolution detector feasible.  
Proposed improvements  $> 10^4 \rightarrow \text{Br} < 10^{-16}$

JPARC: DeeMe

$$\mu^- N \rightarrow e^- N \text{ at } <10^{-14}$$

## DeeMe(P41)

- Process :  $\mu^- + (A,Z) \rightarrow e^- + (A,Z)$
- A single mono-energetic electron
  - 105 MeV
  - Delayed :  $\sim 1\mu\text{S}$

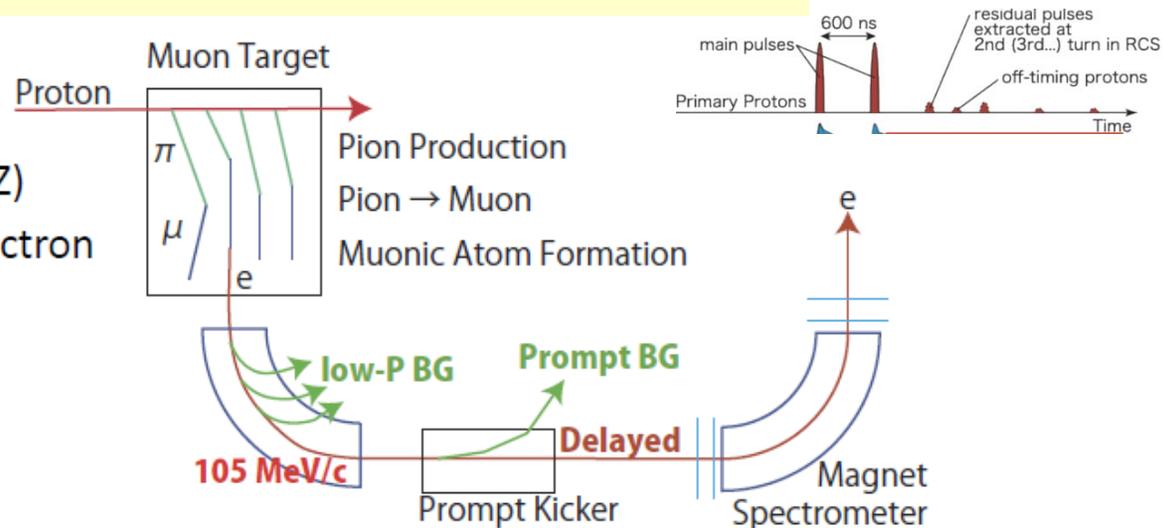
- No accidental backgrounds
- Physics backgrounds

### - Muon Decay in Orbit (DIO)

- $E_e > 102.5 \text{ MeV}$  (BR: $10^{-14}$ )
- $E_e > 103.5 \text{ MeV}$  (BR: $10^{-16}$ )

### - Beam Pion Capture

- $\pi^+ + (A,Z) \rightarrow (A,Z-1)^* \rightarrow \gamma + (A,Z-1)$   
 $\gamma \rightarrow e^+ e^-$
- Prompt timing



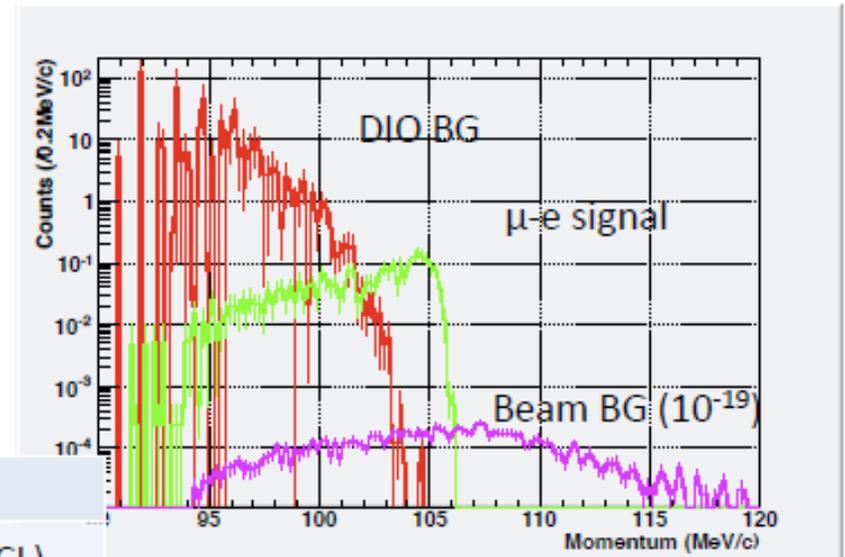
- Low Energy main part: suppressed by the beamline.
- High Energy tail: Magnet Spectrometer ( $\Delta p < 0.3\%$ )
- Main pulse: Kicker to reduce the detector rate.
- after-protons: Suppressed owing to the extremely small after-protons from RCS --  $R_{AP} < 10^{-17}$ .

# JPARC: DeeMe

## Sensitivity and Backgrounds

- Signal Sensitivity
  - S.E.S.:  $2 \times 10^{-14}$  (1 MW,  $2 \times 10^7$  sec)
- Backgrounds
  - $R_{AP} < 9 \times 10^{-18}$
  - Detector live-time Duty = 1/20000

|                                 |                              |
|---------------------------------|------------------------------|
| DIO Background                  | 0.09                         |
| After-Proton Background         | $< 0.027$ ( $< 0.05$ 90%CL)  |
| Cosmic-Muon Induced Electron BG | $< 0.018$ (MC stat. limited) |
| Cosmic-Muon Induced Muon BG     | $< 0.001$                    |
| Radiative Muon Capture BG       | $< 0.0009$                   |

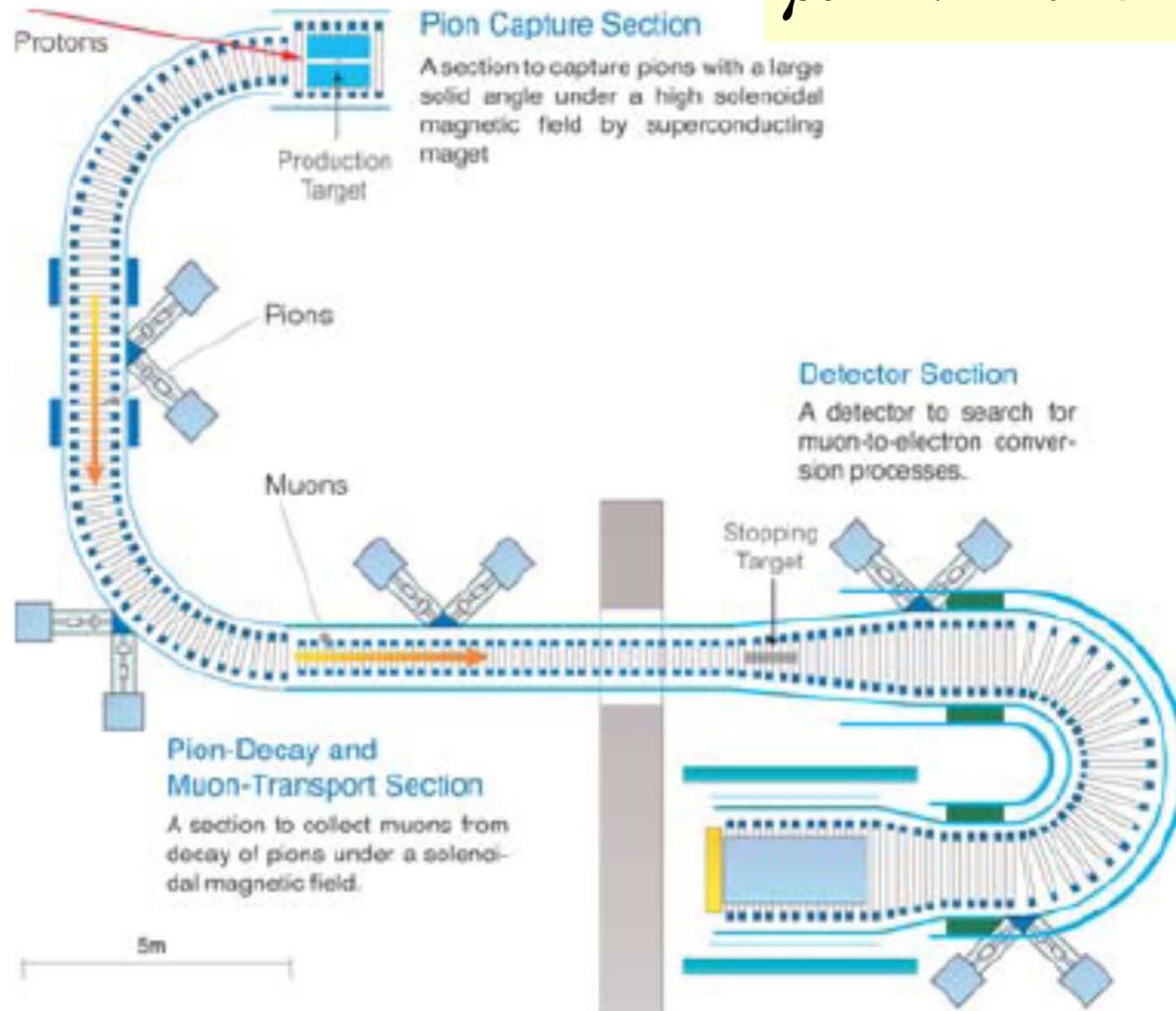


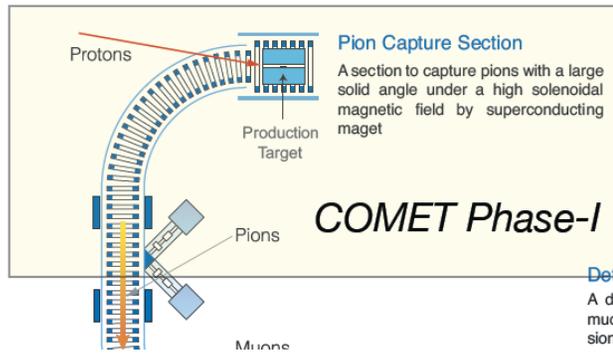
Signal Region: 102.0 -- 105.6 MeV/c



# COMET at JPARC

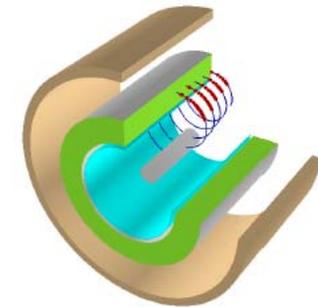
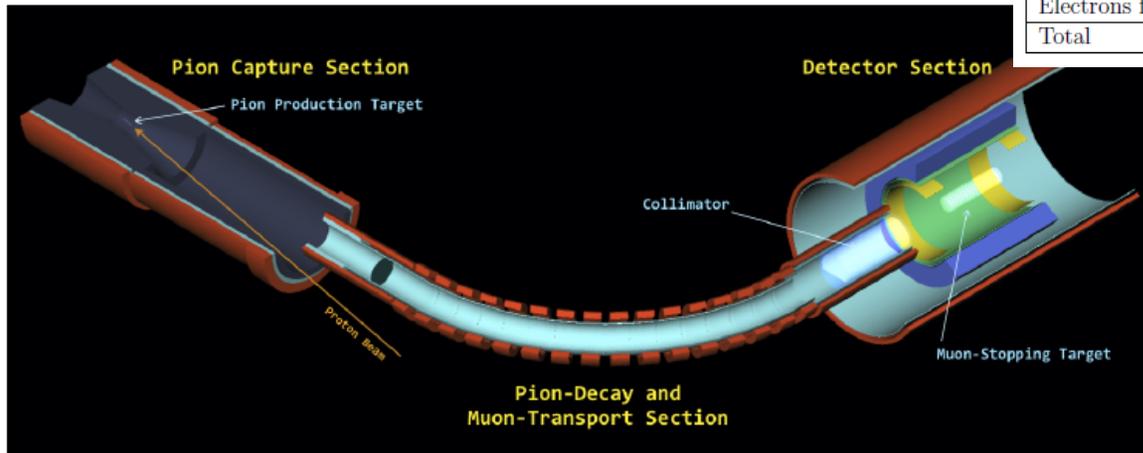
$$\mu^- N \rightarrow e^- N \text{ at } 10^{-16}$$



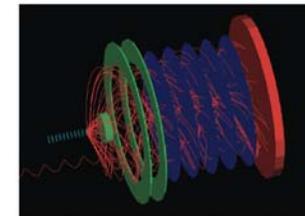


**Detector Section**  
A detector to search for muon-to-electron conversion processes.

| Background                                   | estimated events |
|--|------------------|
| Muon decay in orbit                          | 0.05             |
| Radiative muon capture                       | < 0.001          |
| Neutron emission after muon capture          | < 0.001          |
| Charged particle emission after muon capture | < 0.001          |
| Radiative pion capture                       | 0.024            |
| Beam electrons                               | < 01             |
| Muon decay in flight                         | 0.0004           |
| Pion decay in flight                         | < 0.0001         |
| Neutron induced background                   | 0.024            |
| Delayed radiative pion capture               | 0.002            |
| Anti-proton induced backgrounds              | 0.007            |
| Cosmic ray muons                             | 0.0001           |
| Electrons from cosmic ray muons              | 0.0001           |
| <b>Total</b>                                 | <b>0.11</b>      |



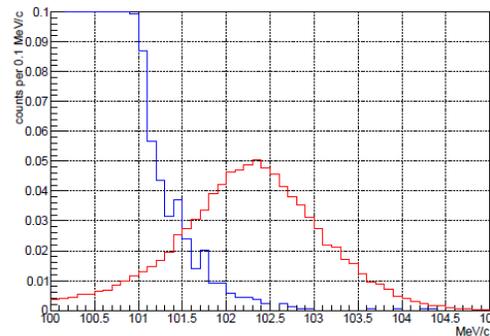
Tracker options



# Comet Phase I Goal

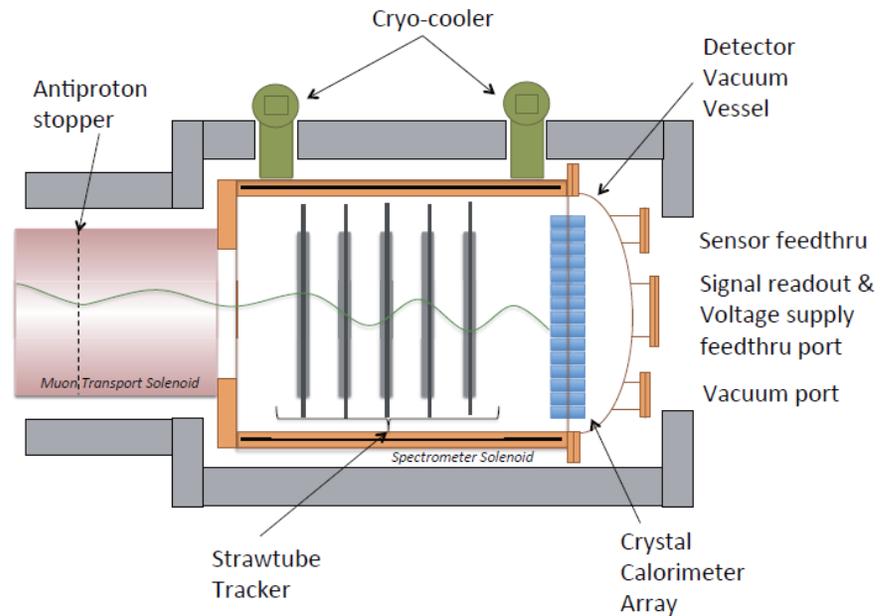
s.e.s.  $3 \times 10^{-15}$

Engineering runs >2016



# COMET Phase I: Background Studies

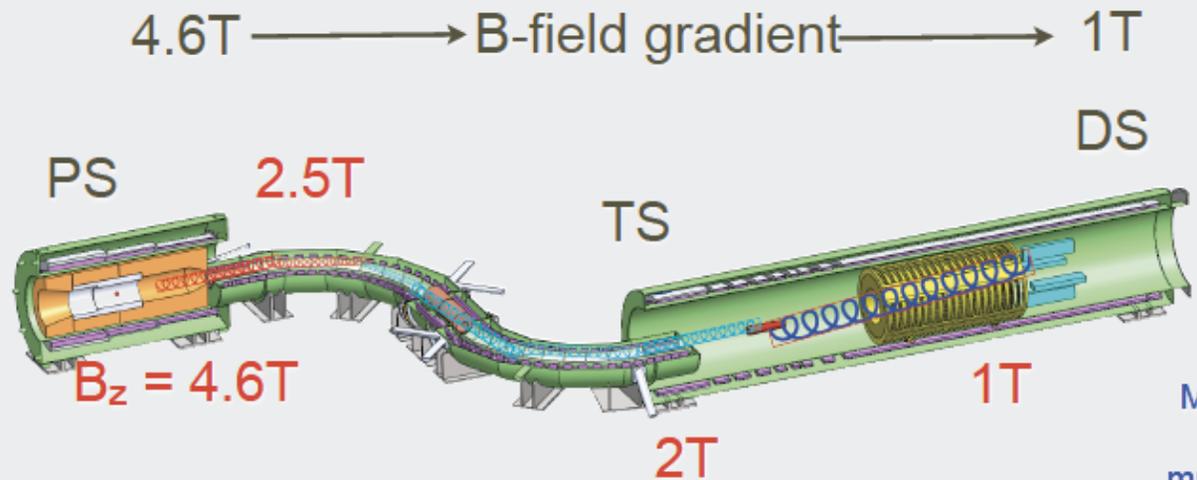
## COMET Detector for Background measurements



- Proton Extinction
- Particle content, rates, especially pbars
- Others?

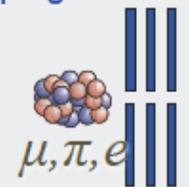


# Mu2e Muon Beam: Three Solenoids and Gradient



Muon Momentum  
 $\sim 50$  MeV/c:  
 muons range out in  
 stopping foils

- Target protons at 8 GeV inside superconducting solenoid
- Capture muons and guide through S-shaped region to Al stopping target
- Gradient fields used to collect and transport muons



R. Bernstein, FNAL

11 Mu2e IF Workshop 25 April 2013



# Detector Solenoid

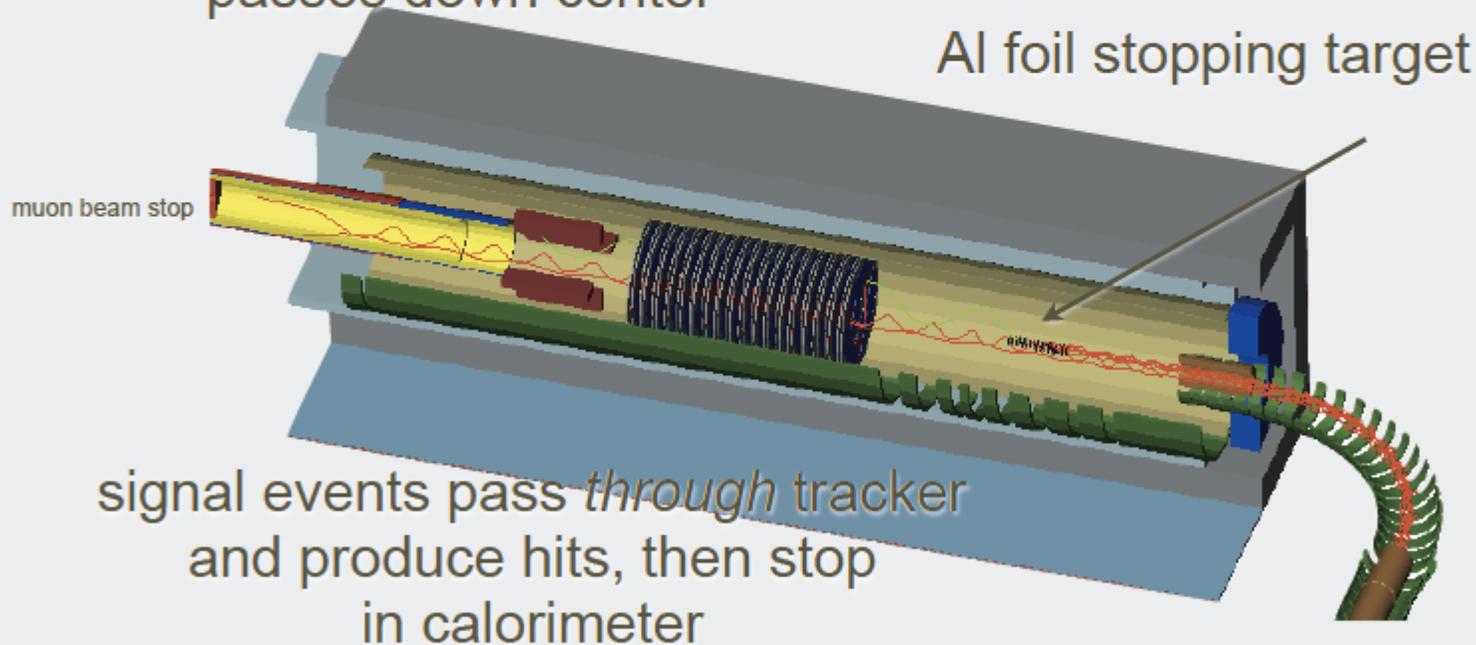


*octagonal tracker surrounding central region:  
radius of helix proportional to momentum,*

$$p = qBR$$

low momentum particles and  
almost all DIO background  
passes down center

10 m × 0.95 m



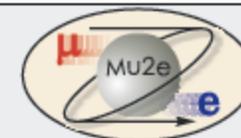
R. Bernstein, FNAL

22 Mu2e

IF Workshop 25 April 2013



# Backgrounds



- For  $R_{\mu e} = 10^{-15}$   
~40 events / 0.41 bkg  
(LHC SUSY?)
- For  $R_{\mu e} = 10^{-16}$   
~4 events / 0.41 bkg

| Background             | Size                 | Uncertainty | Source of Uncertainty                  |
|------------------------|----------------------|-------------|--|
| Muon Decay In Orbit    | 0.22                 | $\pm 0.06$  | Acceptance and Energy Loss Modeling    |
| Antiproton RPC         | 0.10                 | +0.05       | Cross-Section and Acceptance           |
| Cosmic Rays            | 0.05                 | $\pm 0.05$  | Statistics of Sample                   |
| Radiative Pion Capture | 0.03                 | +0.007      | Acceptance and Reconstruction          |
| Muon Decay-in-Flight   | 0.01                 | +0.003      | Cross-Section, Acceptance and Modeling |
| Pion Decay-in-Flight   | 0.003                | +0.0015     | same                                   |
| Beam Electrons         | 0.0006               | +0.0003     | same                                   |
| Radiative Muon Capture | $< 2 \times 10^{-6}$ | —           | Calculation                            |
| <b>Sum</b>             | 0.41                 | +0.08       | <b>Added in Quadrature</b>             |

numbers are changing at 10% level as experiment matures

## Useful Advanced Measurements for $\mu$ -e Conversion Experiments

- Extinction rate
- Particle fluxes ( $e, \mu, \pi, K, \bar{p} \dots$ ) at detector (Comet phase I)
- $p$  and  $n$  rates from  $\mu$  Capture (in the works at PSI)
- Cosmic rays – could be done in a test setup?
- Radiative pion capture –  $> 100 \text{ MeV electrons?}$
- $P$ bar background rate –  $> 100 \text{ MeV electrons?}$
- ...

## General questions for high sensitivity $\mu$ -e Conversion Experiments

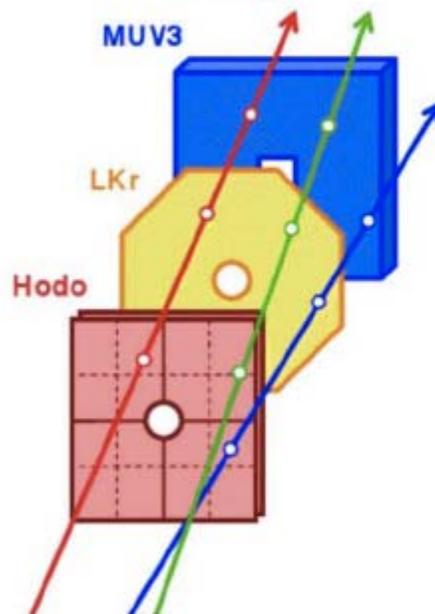
- What are the uncertainties and risk factors in the background, acceptance estimates?
- How are the backgrounds to be measured during the experiment?
- How is a blind analysis to be done?
- What would make a believable signal?

# Future LFV in Kaon Decays: NA62

## Triggering on lepton pairs

NA62 three-track decay rate upstream CHOD:  $F_{3\text{track}} = 640 \text{ kHz}$

→ **Too high** to collect all three-track decays (as NA48/ 2 did)

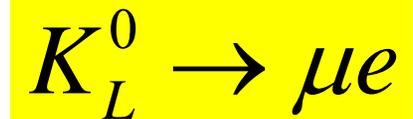


Available L0 trigger primitives:

- ❖  $Q_N$ : at least N hodoscope quadrants;
- ❖  $LKR_N(x)$ : at least N LKr clusters with energy  $E > x \text{ GeV}$ ;
- ❖  $MUV_N$ : hits in at least N MUV3 pads.

Possible L0 triggers for LFV searches:

- ee pair:  $Q_2 \times LKR_2(15)$
- $\mu e$  pair:  $Q_2 \times LKR_1(15) \times MUV_1$
- $\mu\mu$  pair:  $Q_2 \times MUV_2$



*S.E.S*

$10^{-12}$

Total lepton pair L0 rate (dominated by  $K^+ \rightarrow \pi^+ \pi^+ \pi^-$ ):  $F = \text{few} \times 10 \text{ kHz}$

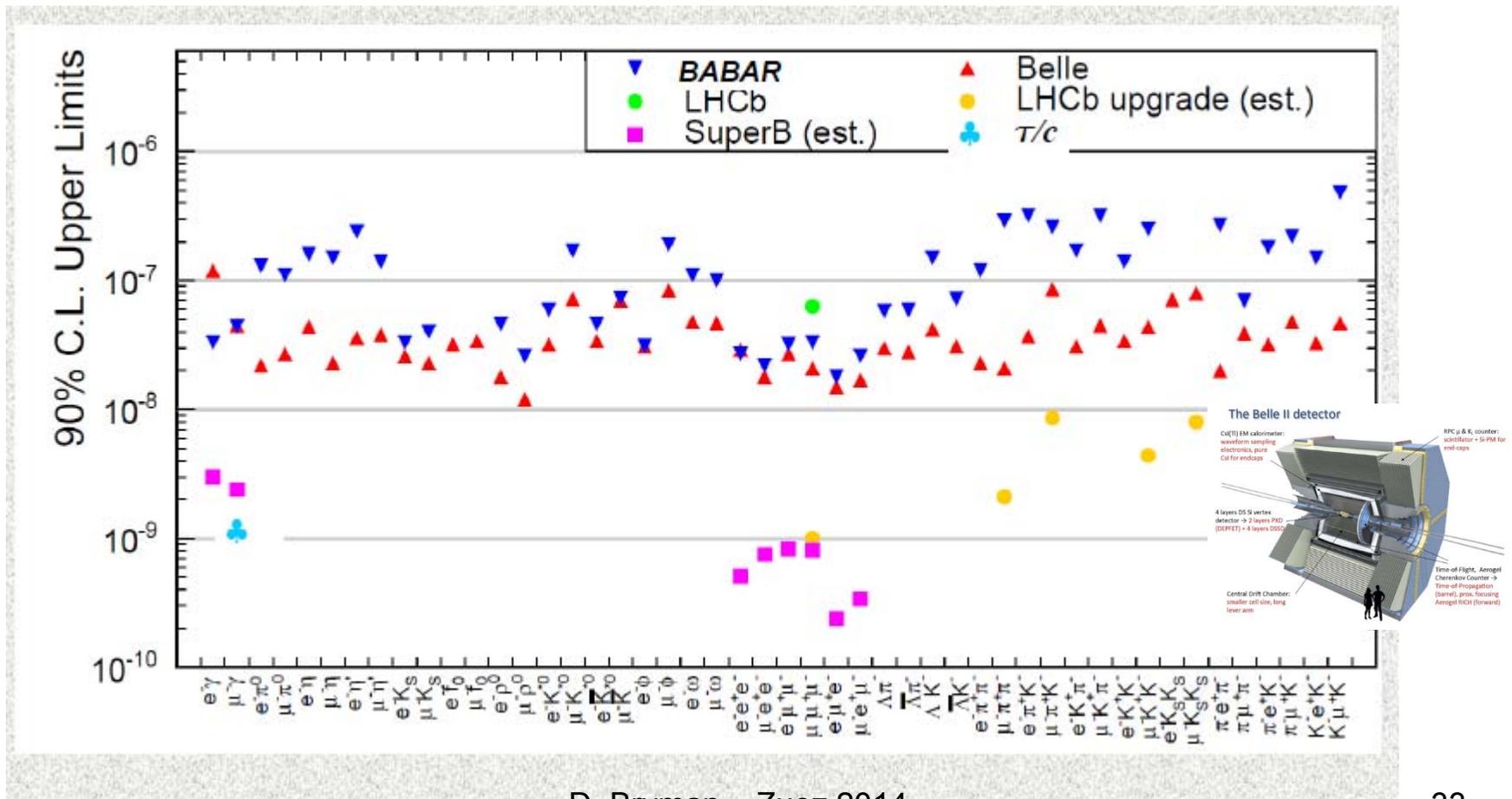
→ *Charge-blind lepton pair collection is feasible*

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# LFV $\tau$ Decays

$$\tau \rightarrow e\gamma, \tau \rightarrow \mu\gamma, \dots$$

$\tau \rightarrow \mu, \tau \rightarrow e, \mu \rightarrow e$  Rates are Model Dependent!  
Third generation effects could dominate.



# Belle II Sensitivity to LFV

| DECAY CHANNEL                | BELLE LIMIT              | BABAR LIMIT              | BELLE II PROJ.<br>(5 ab <sup>-1</sup> ) | BELLE II PROJ.<br>(50 ab <sup>-1</sup> ) | SUPERB PROJ. <sup>1</sup><br>(75 ab <sup>-1</sup> ) |
|------------------------------|--------------------------|--------------------------|---|--|---|
| $\tau \rightarrow \mu\gamma$ | $4.5 \cdot 10^{-8}$ [26] | $4.4 \cdot 10^{-8}$ [27] | $10 \cdot 10^{-9}$ [42,43]              | $3 \cdot 10^{-9}$ [42,43]                | $1.8 \cdot 10^{-9}$ [96]                            |
| $\tau \rightarrow e\gamma$   | $12 \cdot 10^{-8}$ [26]  | $3.3 \cdot 10^{-8}$ [27] |   |  | $2.3 \cdot 10^{-9}$ [96]                            |
| $\tau \rightarrow \mu\mu\mu$ | $2.1 \cdot 10^{-8}$ [34] | $3.3 \cdot 10^{-8}$ [28] | $3 \cdot 10^{-9}$ [42,43]               | $1 \cdot 10^{-9}$ [42,43]                | $2 \cdot 10^{-10}$ [96]                             |
| $\tau \rightarrow eee$       | $2.7 \cdot 10^{-8}$ [34] | $2.9 \cdot 10^{-8}$ [28] |   |  | $2 \cdot 10^{-10}$ [96]                             |
| $\tau \rightarrow \mu\eta$   | $2.3 \cdot 10^{-8}$ [25] | $15 \cdot 10^{-8}$ [33]  | $5 \cdot 10^{-9}$ [42,43]               | $2 \cdot 10^{-9}$ [42,43]                | $4 \cdot 10^{-10}$ [96]                             |
| $\tau \rightarrow e\eta$     | $4.4 \cdot 10^{-8}$ [25] | $16 \cdot 10^{-8}$ [33]  |   |  | $6 \cdot 10^{-10}$ [96]                             |
| $\tau \rightarrow \mu K_S^0$ | $2.3 \cdot 10^{-8}$ [35] | $4.0 \cdot 10^{-8}$ [31] |   |  | $2 \cdot 10^{-10}$ [96]                             |
| $\tau \rightarrow e K_S^0$   | $2.6 \cdot 10^{-8}$ [35] | $3.3 \cdot 10^{-8}$ [31] |   |  | $2 \cdot 10^{-10}$ [96]                             |

Table 3.3: Measured and projected limits on selected lepton flavour violating  $\tau$  decays (90% *C.L.*).

<sup>1</sup> The SuperB projections assumed a polarized electron beam; they also assumed that all backgrounds except initial state radiation can be suppressed to the desired level. The SuperB project was canceled in November 2012.

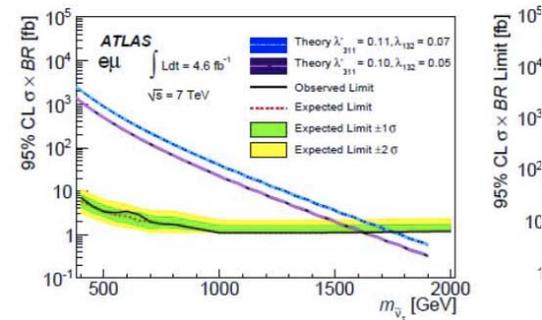
# LFV at the LHC

Liu CLVF 2013

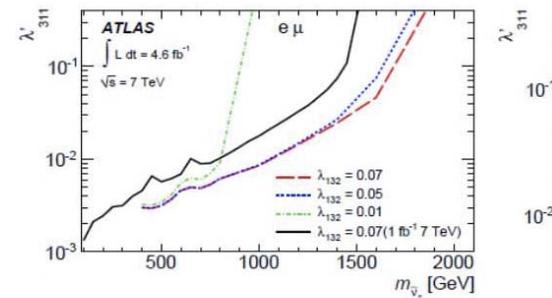
## LFV topics at ATLAS

- SUSY  $\tilde{\nu}_\tau$  to  $e\mu/e\tau/\mu\tau$  search
  - ✓ 7TeV 35pb<sup>-1</sup>, publication on PRL : [Phys. Rev. Lett.106,251801](#)
  - ✓ 7TeV 1fb<sup>-1</sup>, publication on EPJC: [EPJC Vol.71, 12\(2011\)1809](#)
  - ✓ 7TeV 5fb<sup>-1</sup>, publication on PLB : [PLB\\_29354](#)
- $Z' \rightarrow e\mu$  search
  - ✓ 7TeV 35pb<sup>-1</sup>, published together with  $\tilde{\nu}_\tau$  on PRL
  - ✓ 7TeV 1fb<sup>-1</sup>, published together with  $\tilde{\nu}_\tau$  on EPJC
- stop  $\rightarrow e\mu$  continuum search
  - ✓ 7TeV 2fb<sup>-1</sup>, publication on EPJC: [Eur. Phys. J. C \(2012\) 72:2040](#)
- ( $\geq$ )4-lepton search
  - ✓ 7TeV, 5fb<sup>-1</sup>, published on JHEP: [JHEP12\(2012\)124](#)
  - ✓ 8TeV, 21fb<sup>-1</sup>, conference note for Moriond: [ATLAS-CONF-2013-036](#)
- $\mu$ +displaced vertex
  - ✓ 7TeV 35pb<sup>-1</sup>, published on PLB: [Physics Letters B 707 \(2012\) 478-496](#)
  - ✓ 7TeV 5fb<sup>-1</sup>, published on PLB: [Physics Letters B 719 \(2013\) 280-298](#)

## Limits to new physics

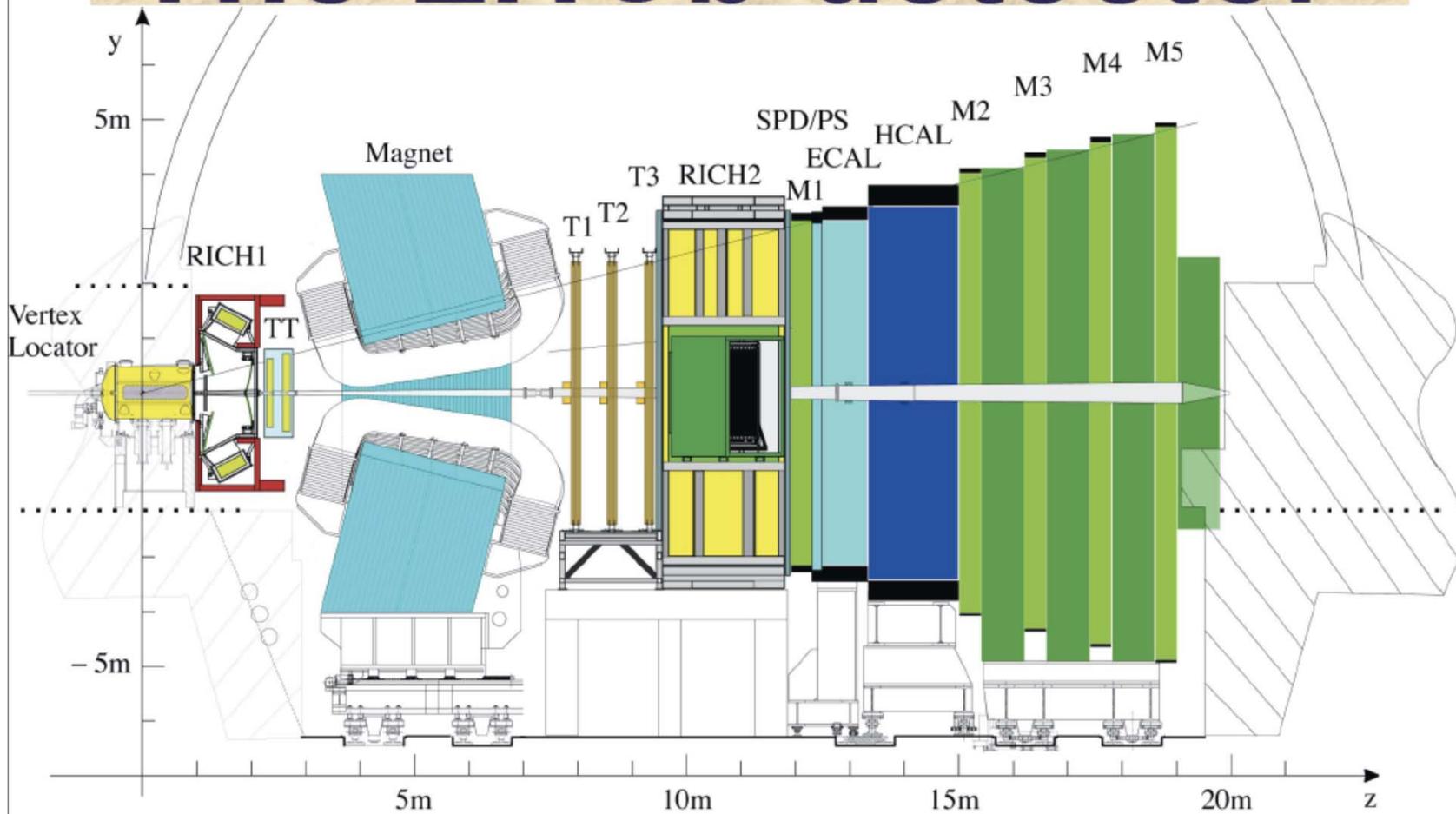


$$\tilde{\nu}_\tau \rightarrow e\mu$$



$e\mu$  channel

# The LHCb detector

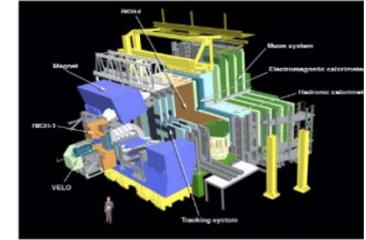


Marina Artuso FPCP 2014 Marseille

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LHCb

# Joining the LFV Club



Becoming  
Competitive.

$$\mathcal{B}(\tau^- \rightarrow \mu^+ \mu^- \mu^-) < 8.3(10.2) \times 10^{-8} \text{ at 90\% (95\%) CL}$$

$$\mathcal{B}(\tau^- \rightarrow \bar{p} \mu^+ \mu^-) < 4.6(5.9) \times 10^{-7} \text{ at 90\% (95\%) CL}$$

$$\mathcal{B}(\tau^- \rightarrow p \mu^- \mu^-) < 5.4(6.9) \times 10^{-7} \text{ at 90\% (95\%) CL}$$

New

$$\mathcal{B}(D^+ \rightarrow \pi^- \mu^+ \mu^+) < 2.2(2.5) \times 10^{-8} \text{ at 90\% (95\%) CL}$$

$$\mathcal{B}(D_s \rightarrow \pi^- \mu^+ \mu^+) < 1.2(1.4) \times 10^{-7} \text{ at 90\% (95\%) CL}$$

Best  
So far.

$$\mathcal{B}(B^- \rightarrow D^+ \mu^- \mu^-) < 6.9 \times 10^{-7} \text{ at 95\% CL Best}$$

$$\mathcal{B}(B^- \rightarrow D^* \mu^- \mu^-) < 2.4 \times 10^{-6} \text{ at 95\% CL New}$$

$$\mathcal{B}(B^- \rightarrow \pi^+ \mu^- \mu^-) < 1.3 \times 10^{-8} \text{ at 95\% CL Best}$$

$$\mathcal{B}(B^- \rightarrow D_s^+ \mu^- \mu^-) < 5.8 \times 10^{-7} \text{ at 95\% CL So far.}$$

Best  
So far.

$$\mathcal{B}(B^- \rightarrow D^0 \pi^+ \mu^- \mu^-) < 1.5 \times 10^{-6} \text{ at 95\% CL}$$

# Search for the Lepton-Flavor-Violating Decays $B_s^0 \rightarrow e^\pm \mu^\mp$ and $B^0 \rightarrow e^\pm \mu^\mp$

Search for LFV e.g. involving lepto-quarks (Pati, Salam (1974); multi-generational effects....

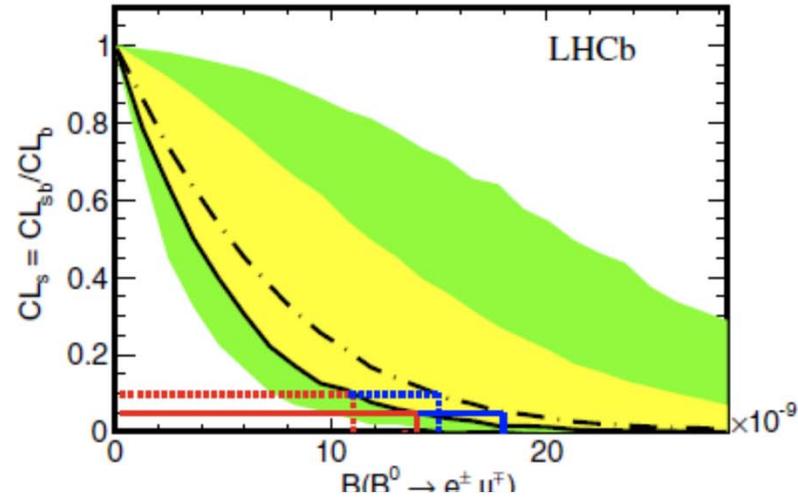
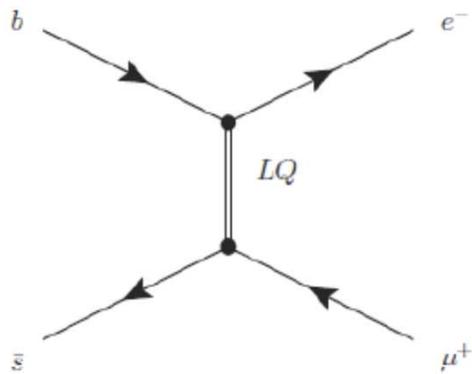


TABLE II. Expected (background only) and observed limits on the  $B_{(s)}^0 \rightarrow e^\pm \mu^\mp$  branching fractions.

| Mode                              | Limit    | 90% C.L.             | 95% C.L.             |
|-----------------------------------|----------|----------------------|----------------------|
| $B_s^0 \rightarrow e^\pm \mu^\mp$ | Expected | $1.5 \times 10^{-8}$ | $1.8 \times 10^{-8}$ |
|                                   | Observed | $1.1 \times 10^{-8}$ | $1.4 \times 10^{-8}$ |
| $B^0 \rightarrow e^\pm \mu^\mp$   | Expected | $3.8 \times 10^{-9}$ | $4.8 \times 10^{-9}$ |
|                                   | Observed | $2.8 \times 10^{-9}$ | $3.7 \times 10^{-9}$ |

R. Aaij et al. (LHCb Collaboration)  
Phys. Rev. Lett. 111, 141801 (2013)

$$m_{LQ_s}(B_s^0 \rightarrow e\mu) > 107(101) \text{ TeV}/c^2 @ 90(95)\% \text{ CL}$$

$$m_{LQ_d}(B^0 \rightarrow e\mu) > 135(126) \text{ TeV}/c^2 @ 90(95)\% \text{ CL}$$

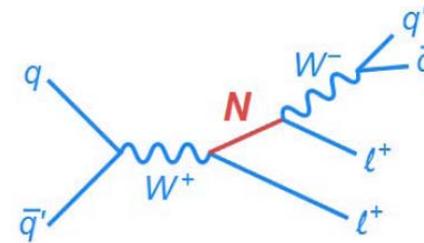
# CMS LFV Searches

Lusito  
CLFV 2013

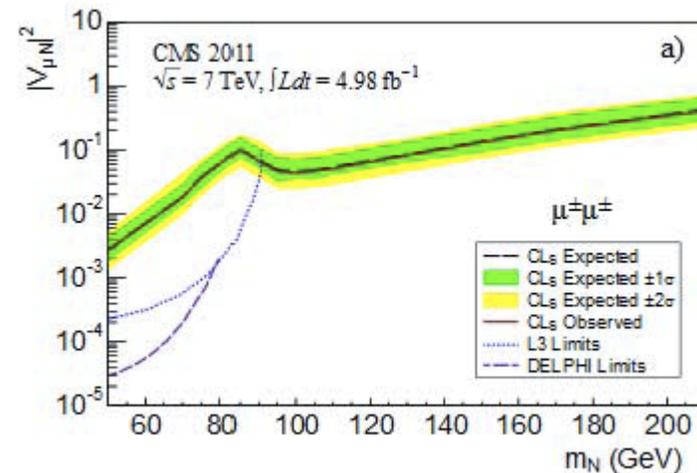
## Outline

- 1 Motivation
  - Physics motivations
  - The CMS detector
- 2 Narrow resonances
  - Search for narrow resonances in dilepton mass spectra
- 3 Heavy neutrinos
  - Search for heavy lepton partners of neutrinos in pp collisions at  $\sqrt{s} = 7$  TeV, in the context of the Type III seesaw mechanism.
  - Search for heavy Majorana neutrinos in  $\mu^\pm \mu^\pm + \text{jets}$  and  $e^\pm e^\pm + \text{jets}$  in pp collisions at  $\sqrt{s} = 7$  TeV
  - Heavy neutrino and right-handed W of the left-right symmetric model
- 4 Leptonic-RPV SUSY searches
  - Search for RPV supersymmetry with three or more leptons and b-tags
  - Search for stop in R-parity-violating supersymmetry with three or more leptons and b-tags

Experimental strategy



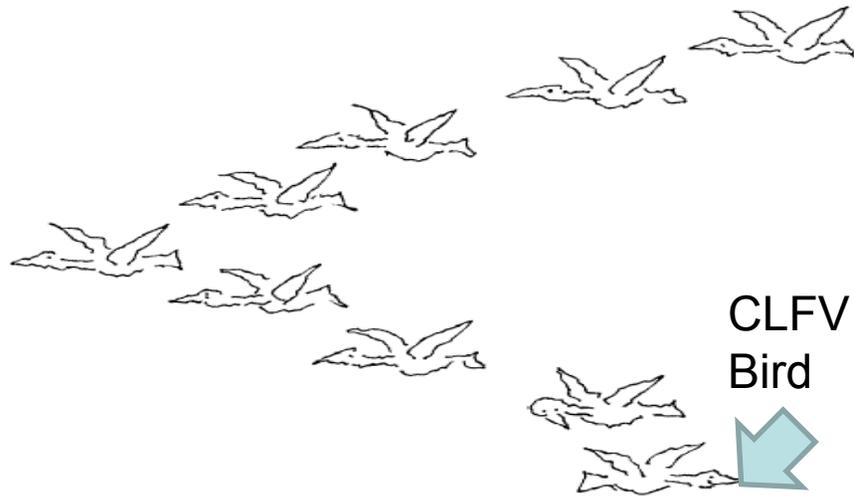
Heavy  
Majorana  
Neutrino



# Some LFV Limits and Prospects

| Reaction                          | Present limit           | Future Possibilities Planned          |
|-----------------------------------|-------------------------|---------------------------------------|
| $\mu^+ \rightarrow e+\gamma$      | $< 5.7 \times 10^{-13}$ | $6 \times 10^{-14}$ (PSI)             |
| $\mu^+ \rightarrow e+e+e^-$       | $< 1.0 \times 10^{-12}$ | $10^{-16}$ (PSI)                      |
| $\mu^-Ti \rightarrow e^-Ti$       | $< 4.6 \times 10^{-12}$ | Si/Al $10^{-14} \rightarrow 10^{-16}$ |
| $\mu^-Au \rightarrow e^-Au$       | $< 7 \times 10^{-13}$   | (Fermilab, JPARC)                     |
| $\mu^+e^- \rightarrow \mu^-e^+$   | $< 8.3 \times 10^{-11}$ |                                       |
| $\tau \rightarrow e\gamma$        | $< 1.1 \times 10^{-7}$  | $< 10^{-9}$ (KEK Belle II)            |
| $\tau \rightarrow \mu\gamma$      | $< 4.4 \times 10^{-8}$  |                                       |
| $\tau \rightarrow \mu\mu\mu$      | $< 2.1 \times 10^{-8}$  | $\sim 10^{-9}$ LHCb                   |
| $\tau \rightarrow eee$            | $< 3.6 \times 10^{-8}$  |                                       |
| $\pi^0 \rightarrow \mu e$         | $< 8.6 \times 10^{-9}$  | $10^{-11}$ NA62                       |
| $K_L^0 \rightarrow \mu e$         | $< 4.7 \times 10^{-12}$ | $10^{-12}$ NA62                       |
| $K^+ \rightarrow \pi^+\mu^+e^-$   | $< 2.1 \times 10^{-10}$ | $10^{-12}$ NA62                       |
| $K_L^0 \rightarrow \pi^0\mu^+e^-$ | $< 3.1 \times 10^{-9}$  |                                       |
| $B_s \rightarrow \mu e$           | $< 1.1 \times 10^{-8}$  | $10^{-9}$ (LHCb)?                     |
| $Z_0 \rightarrow \mu e$           | $< 1.7 \times 10^{-6}$  |                                       |
| $Z_0 \rightarrow \tau e$          | $< 9.8 \times 10^{-6}$  |                                       |
| $Z_0 \rightarrow \tau\mu$         | $< 1.2 \times 10^{-5}$  |                                       |

## Interim Summary for Charged Lepton Flavor Violation (CLFV):



“Hope springs eternal”

but

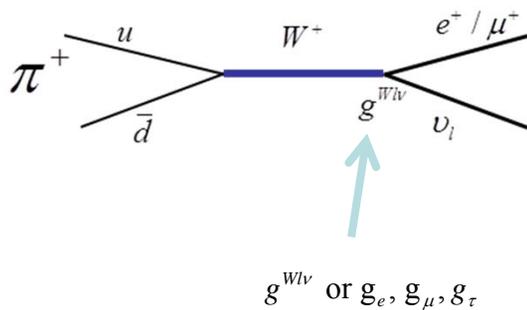
“Wishing does not make a poor man rich.”

([Arabian Proverb](#) )

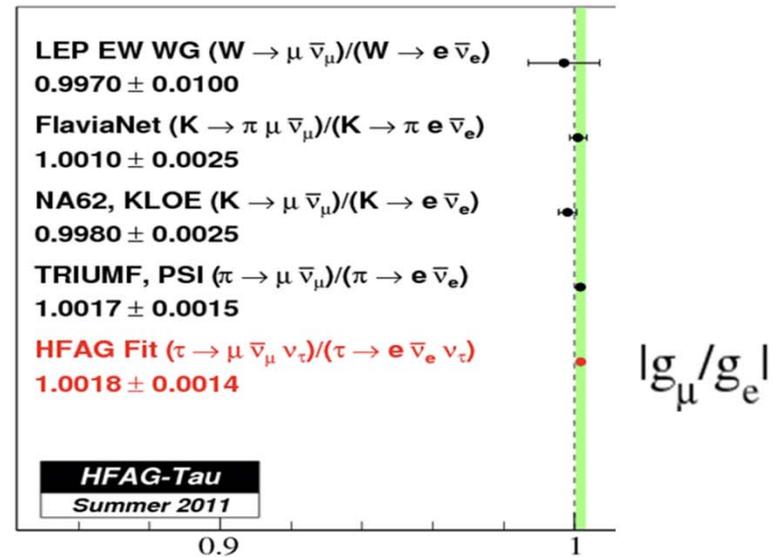


# $e - \mu - \tau$ Universality

Standard Model assumes the same couplings of  $e - \mu - \tau$  to gauge bosons  $W, Z$ .  
 This may not be true -- or BSM physics may modify.

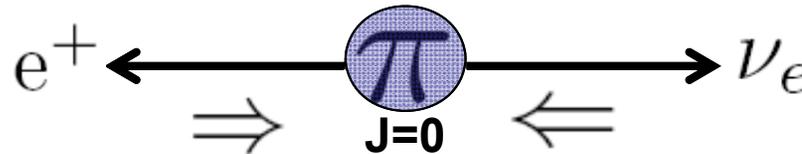
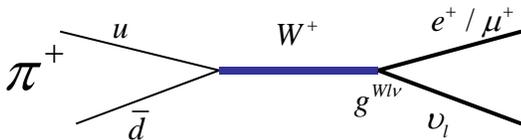


$e - \mu$   
 Universality  
 Tests



# Lepton Universality: $\pi \rightarrow e\nu$ Branching Ratio

Classic SM process.  
V-A interactions.



$$R_{e/\mu}^{th} = \frac{\Gamma(\pi \rightarrow e\nu + \pi \rightarrow e\nu\gamma)}{\Gamma(\pi \rightarrow \mu\nu + \pi \rightarrow \mu\nu\gamma)} = \left( \frac{g^{W_{ev}}}{g^{W_{\mu\nu}}} \right)^2 \frac{m_e^2}{m_\mu^2} \left( \frac{1 - \frac{m_e^2}{m_\pi^2}}{1 - \frac{m_\mu^2}{m_\pi^2}} \right)^2 (1 - \delta R_{e/\mu})$$

$$= 1.2352(1) \times 10^{-4} \quad (\pm 0.008\%) \quad \delta R_{e/\mu} = \text{radiative corrections}$$

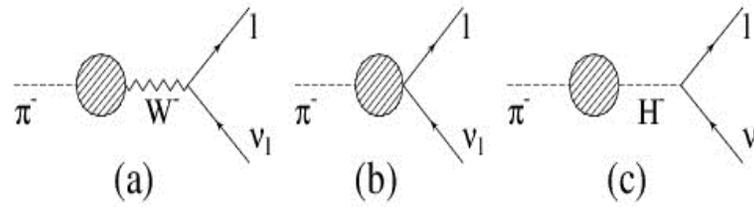
*Possibly, the most precise SM weak interaction observable involving quarks!*

**Extremely sensitive to new physics – particularly with pseudoscalar (P) and scalar (S) interactions – at high mass scales.**

## Universality Tests: Sensitive to high mass scales

Example: Non-standard Higgs couplings e.g.  
Pseudoscalar or Scalar interactions

$$R_{e/\mu} = \frac{\Gamma(\pi \rightarrow e\nu + \pi \rightarrow e\nu\gamma)}{\Gamma(\pi \rightarrow \mu\nu + \pi \rightarrow \mu\nu\gamma)}$$



$$1 - \frac{R_{e/\mu}^{New}}{R_{e/\mu}^{SM}} \sim \mp \frac{\sqrt{2}\pi}{G_\mu} \frac{1}{\Lambda_{eP}^2} \frac{m_\pi^2}{m_e(m_d + m_u)} \sim \left(\frac{1\text{TeV}}{\Lambda_{eP}}\right)^2 \times 10^3$$

0.05 % Measurement  $R_{e/\mu} \rightarrow \Lambda_{eP} > 1000 \text{ TeV}$   
Charged Higgs mass  $m_{H^\pm} \sim 200 \text{ TeV}$  probed.

Sensitivity to new

physics  $\sim \frac{1}{M_H^2}$

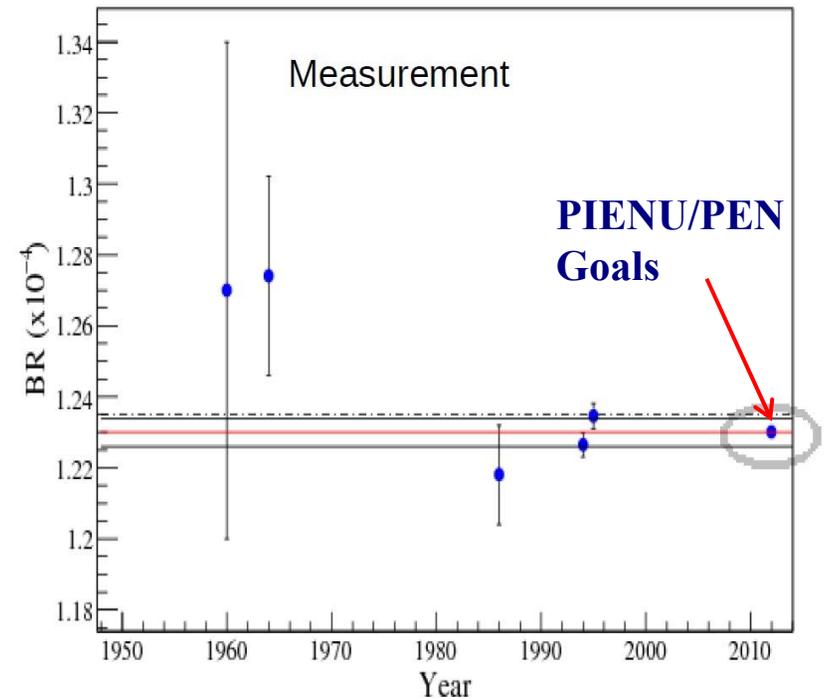
# $\pi \rightarrow e\nu$ Branching Ratio-- Experiments

## Experimental status:

$$R_{\text{exp}} = 1.2265 (34) (44) \times 10^{-4} \text{ (TRIUMF, 1992)}$$
$$1.2346 (35) (36) \times 10^{-4} \text{ (PSI, 1993)}$$

$$R_{e/\mu}^{\text{exp}\pi} (\pm 0.4\%)$$

$$R_{e/\mu}^{\text{th}} - R_{e/\mu}^{\text{exp}} = 43(37) \times 10^{-8}$$



Order of magnitude difference in precision between theory and experiment → **window for new effects!**

# A Global look at e- $\mu$ Universality

- Flavor couplings of W and Z bosons
- Pion decay
- New leptons

$\pi \rightarrow e\nu$  Branching Ratio corrected for new physics:

$$R_{e/\mu}^{th} = \frac{\Gamma(\pi \rightarrow e\nu + \pi \rightarrow e\nu\gamma)}{\Gamma(\pi \rightarrow \mu\nu + \pi \rightarrow \mu\nu\gamma)} = (1 - 2\delta g^{W_{e\nu}} + 2\delta g^{W_{\mu\nu}}) R_{e/\mu}^{SM}$$

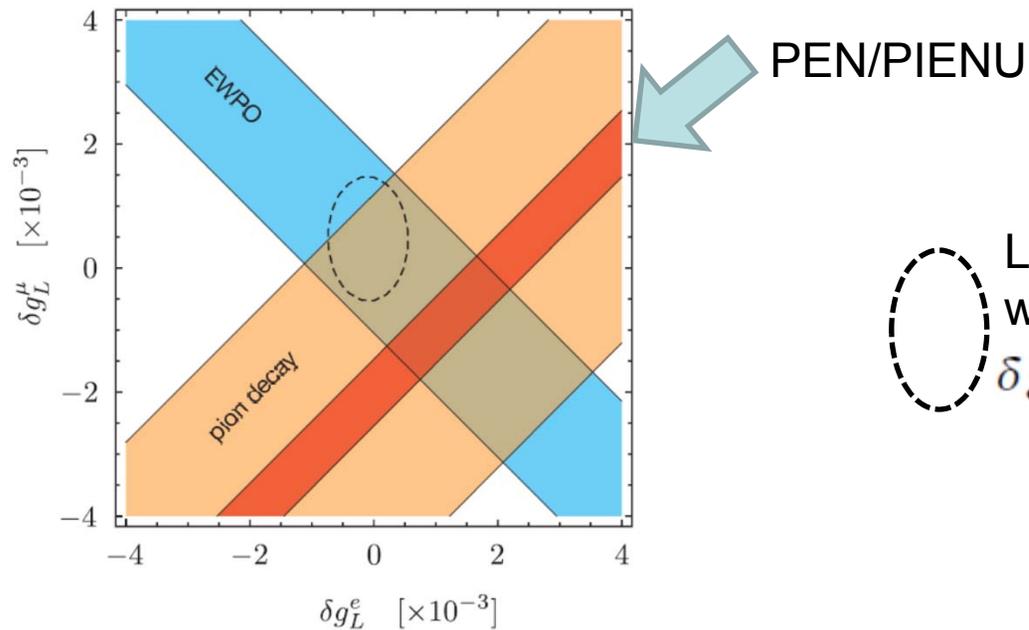
Corrected gauge couplings relative to SM values:

$$\Delta g^{W_{e\nu}} = 1 - \delta g^{W_{e\nu}}, \quad \Delta g^{W_{\mu\nu}} = 1 - \delta g^{W_{\mu\nu}}$$

# New Physics and Pion Decay

Global fit to Electroweak precision measurements (EWPO):

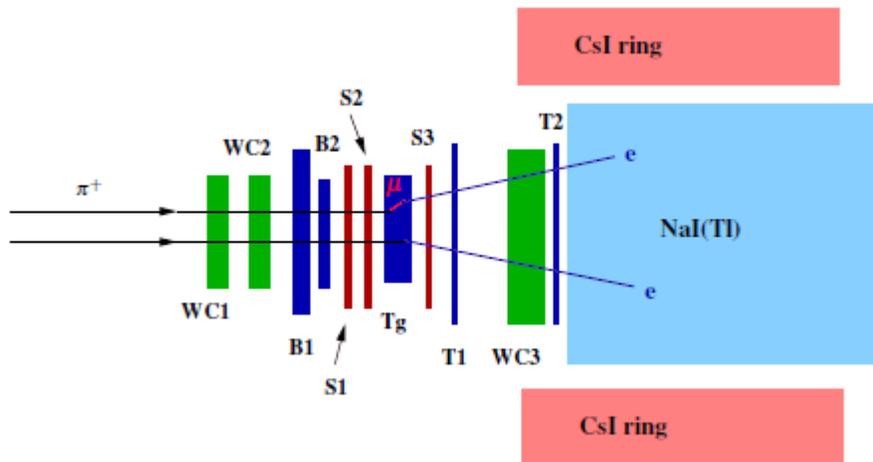
- Z bosons decaying to leptons
- W bosons contributing to  $G_F$  (muon lifetime)
- W boson decay width



# $\pi^+ \rightarrow e^+ \nu$ Experiments

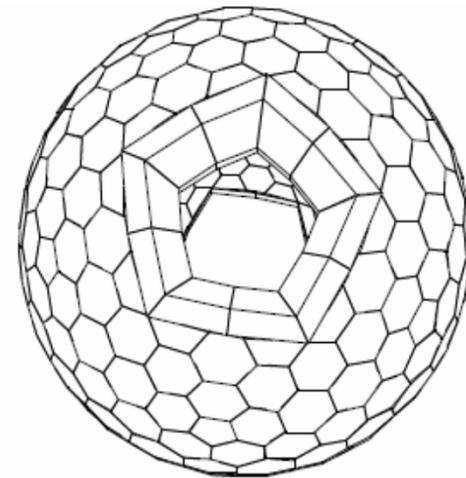
Precision goals: <0.1%

TRIUMF PIENU



PSI PEN

*PIBETA Spectrometer*



*Canada-China, Japan-UK-US  
ASU, BNL, Glasgow, KEK, Osaka,  
TRIUMF, Tsinghua, UBC, UNBC, VPI*

PSI, Zurich

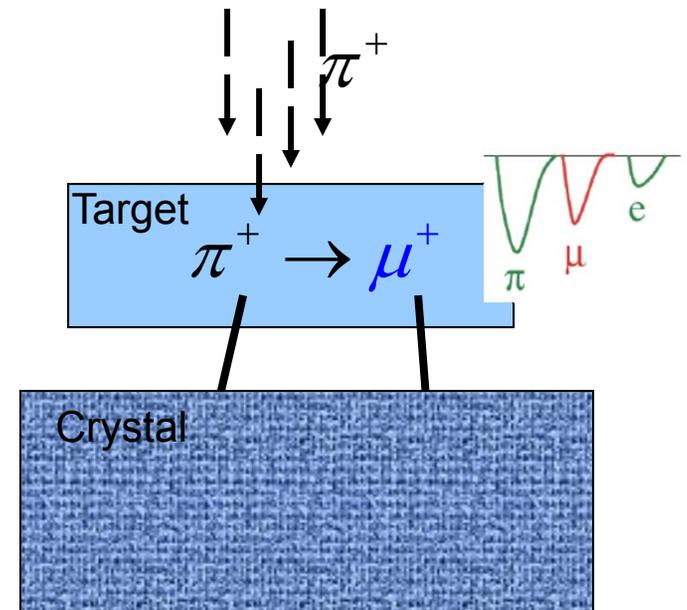
Stop  $\pi^+$  in target; Measure positrons in a crystal spectrometer:

$$\left[ \pi^+ \rightarrow e^+ \nu \right] \quad P_e = 70 \text{ MeV} / c, \quad \tau_\pi = 26 \text{ ns}$$

$$\left[ \pi^+ \rightarrow \mu^+ \nu \right] \quad P_\mu = 30 \text{ MeV} / c, \quad \tau_\mu = 2.2 \mu\text{s}$$

$$T_\mu = 4.2 \text{ MeV}, \quad R_\mu = 1.4 \text{ mm}$$

$$\left[ \mu \rightarrow e^+ \nu \bar{\nu} \right] \quad P_e = 0 - 53 \text{ MeV}$$

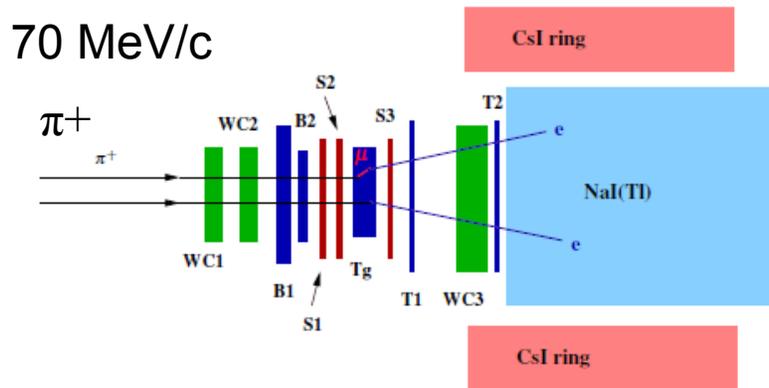


Systematic effects cancel (to 1<sup>st</sup> order) in the ratio  $\frac{\Gamma(\pi \rightarrow e)}{\Gamma(\pi \rightarrow \mu \rightarrow e)}$

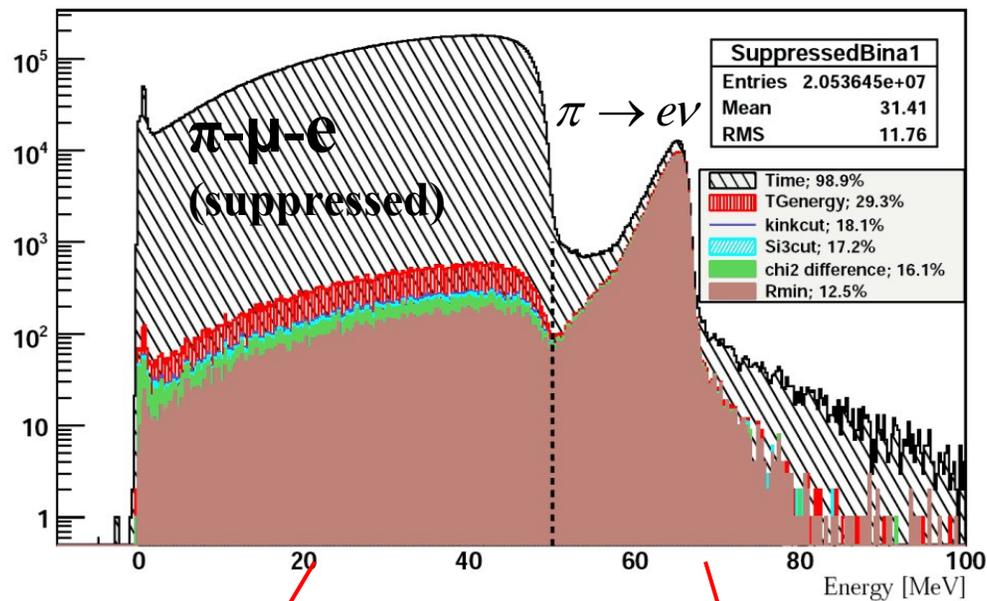
e.g. solid angle, MCS,  $\frac{dE}{dx}$ , annihilation, bremsstrahlung, timing ..

# TRIUMF PIENU

Measure Energy and time in a precision crystal spectrometer



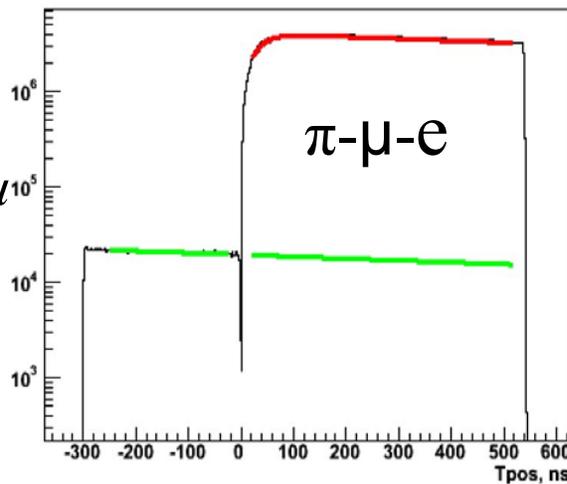
## Energy Spectrum from stopped pions



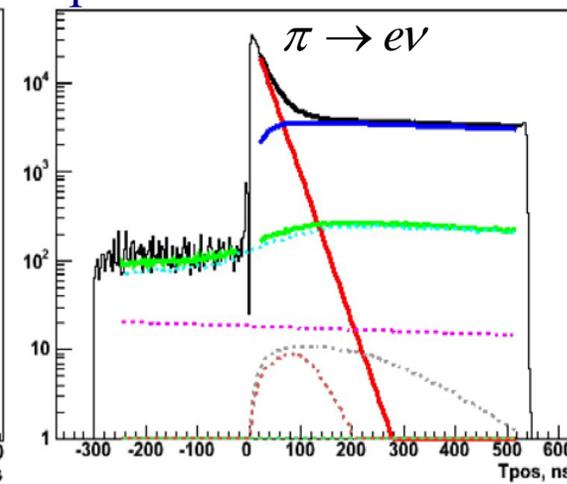
## Time Spectra

Fit time spectra simultaneously

- $\pi \rightarrow e\nu, \pi - \mu - e, \pi DIF, \text{old } \mu$
- radiative  $\pi$  decays
- $\pi + \text{old } \mu \rightarrow \text{pile-up}$
- ( $\mu DIF$ )



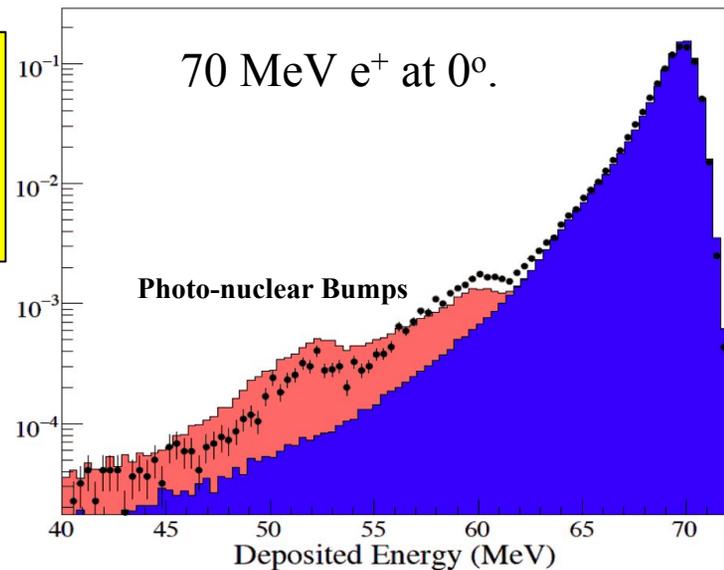
Low Energy



High Energy

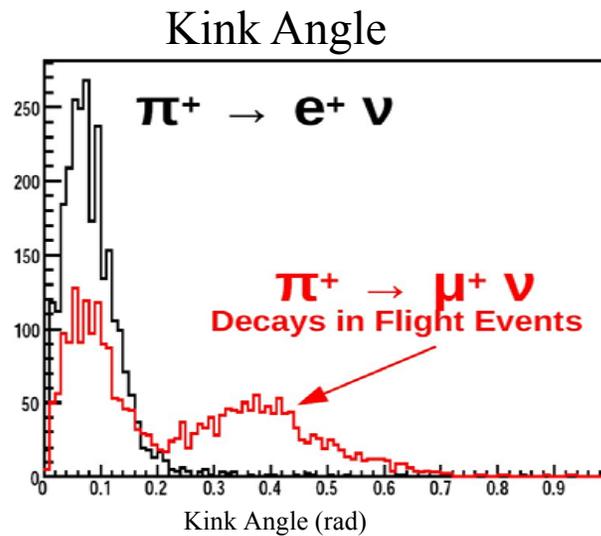
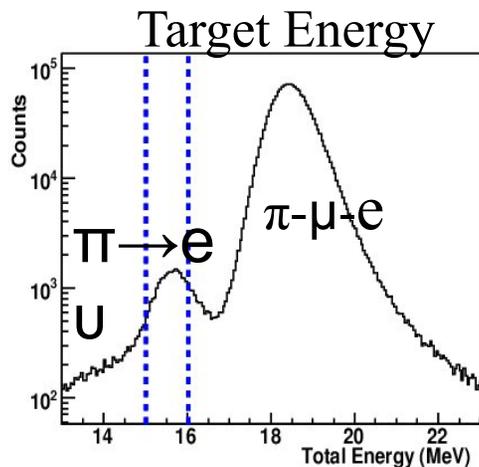
Suppress Backgrounds,  
 Make small systematic corrections for  
 NaI lineshape and other tiny effects.

*Response function measurements  
 showed up photo-nuclear n emission.*



### Background Suppression

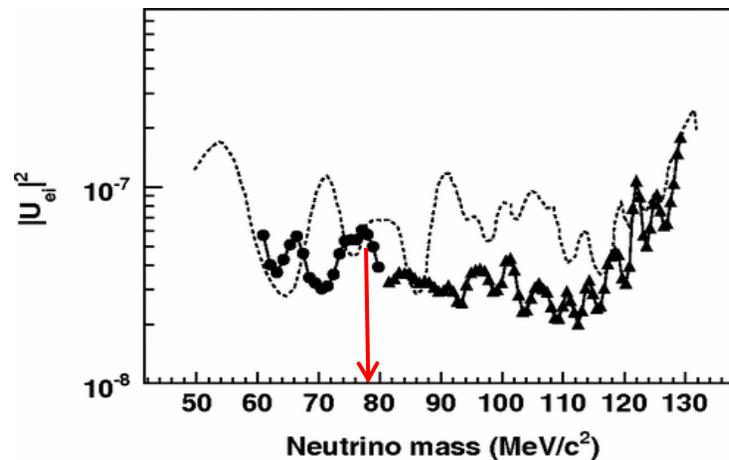
- Suppress  $\pi$ - $\mu$ - $e$  background with target energy
- Remove  $\pi$ DIF background with track angles
- Correct for selection bias



# PIENU: Summary of Expected Uncertainties

| Source                 | Old TRIUMF    | PIENU Goals   |
|------------------------|---------------|---------------|
| Statistics             | 0.0028        | 0.0005        |
| Low-energy tail        | 0.0025        | 0.0003        |
| Acceptance corrections | 0.0011        | 0.0003        |
| Pion lifetime          | 0.0009        | 0.0002        |
| Other                  | 0.0011        | 0.0003        |
| <b>Total</b>           | <b>0.0047</b> | <b>0.0006</b> |

Current PIENU  
result for heavy  
sterile neutrinos:

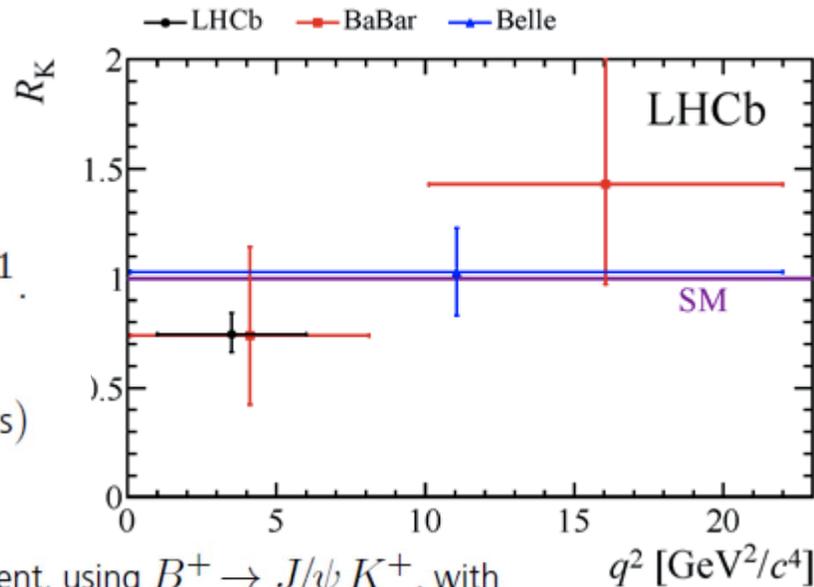


# LHCb Universality Test (2014)

$$R_K = \frac{\Gamma(B^+ \rightarrow K^+ \mu^+ \mu^-)}{\Gamma(B^+ \rightarrow K^+ e^+ e^-)}$$

First analysis by LHCb, uses  $3 \text{ fb}^{-1}$ .

$$R_K = 0.745_{-0.074}^{+0.090}(\text{stat}) \pm 0.036(\text{sys})$$



- Relative branching fraction measurement, using  $B^+ \rightarrow J/\psi K^+$ , with  $J/\psi \rightarrow \mu^+ \mu^-$ ,  $J/\psi \rightarrow e^+ e^-$  as normalisation channels.
- $B^+ \rightarrow K^+ e^+ e^-$  challenging:
  - Recover loss by Bremsstrahlung by adding ECAL cluster energy ( $> 75 \text{ MeV}$ ).
  - Signal shape strongly depends on number of Bremsstrahlung photons,  $p_T$  and occupancy of the event  $\rightarrow$  split analysis in 3 trigger categories.
  - $B^0 \rightarrow K^* e^+ e^-$  largest contribution to part. background.
  - About  $5 \times$  less signal than in  $B \rightarrow K \mu^+ \mu^-$ , mainly due to low trigger and reconstruction efficiency.

Electroweak penguin decays at LHCb

LHCP 2014, New York  
2nd June 2014

Michel De Cian, University of Heidelberg  
on behalf of the LHCb collaboration

# Summary: e- $\mu$ Universality Tests

| Mode  | $g_e/g_\mu$         |                                  |
|---|---------------------|----------------------------------|
| $\pi \rightarrow e\nu / \pi \rightarrow \mu\nu$         | $0.9979 \pm 0.0016$ | $\pm 0.0003$ PIENU/PEN (2014-15) |
| $K \rightarrow e\nu / K \rightarrow \mu\nu$             | $1.0022 \pm 0.0018$ | $\pm 0.0010$ NA62/TREK (>2018)   |
| $\tau \rightarrow e\nu\nu / \tau \rightarrow \mu\nu\nu$ | $0.9980 \pm 0.0015$ | Belle II                         |
| $U_e/U_\mu$ scattering                                  | $1.10 \pm 0.05$     |                                  |
| W decays  | $0.999 \pm 0.011$   |                                  |

Sometimes, the absence of an effect is highly significant....

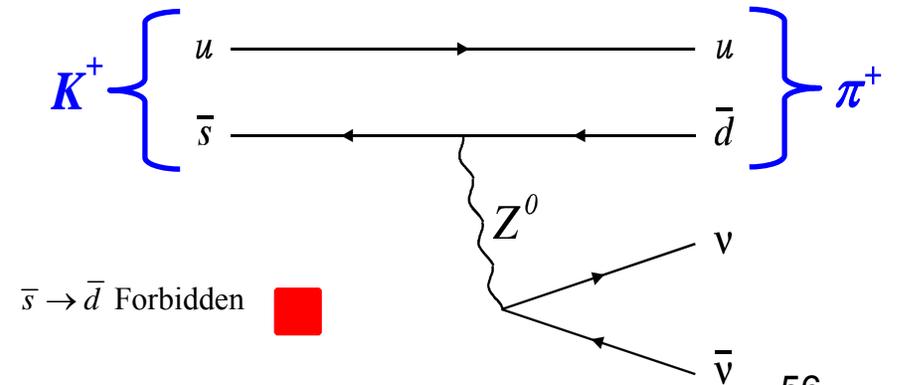
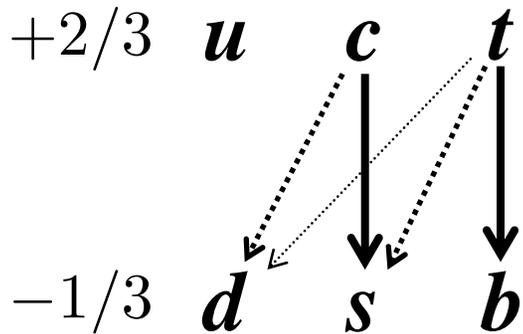
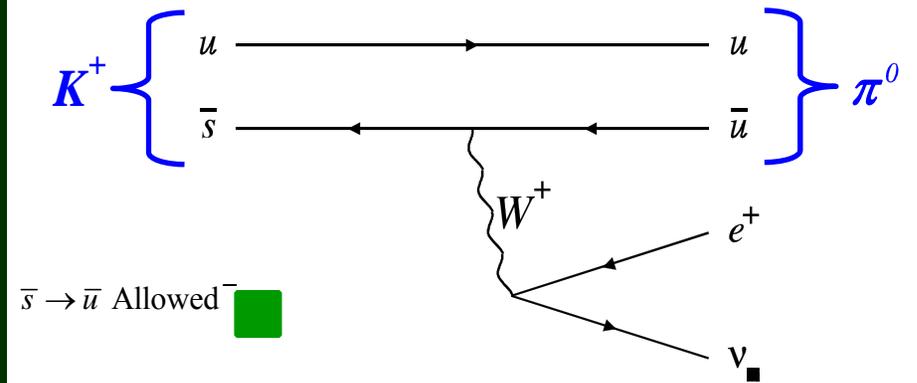
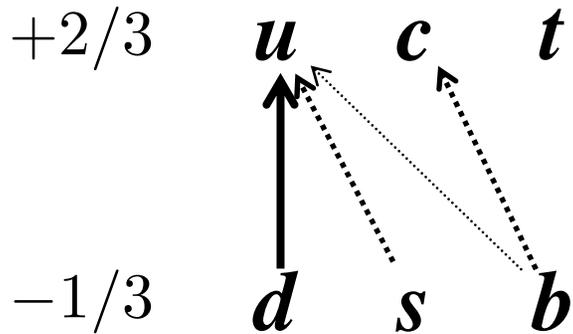


**..the curious incident of the dog in the night-time...**

"The dog did nothing in the night-time."  
"That was the curious incident,"  
remarked Sherlock Holmes.

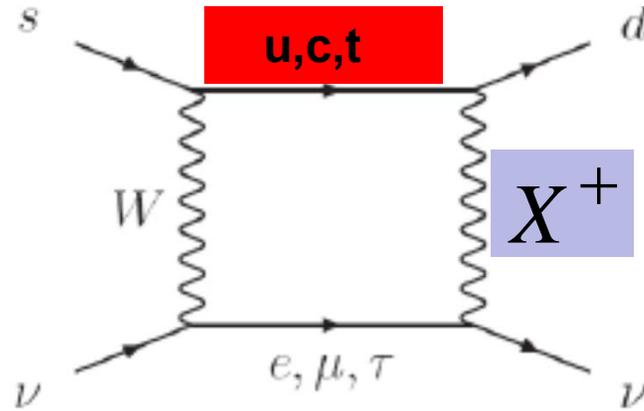
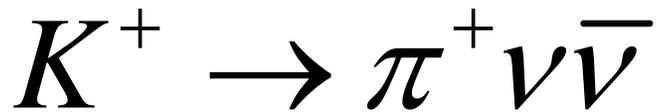
**Sherlock Holmes in "Silver Blaze"**

# Direct flavor-changing neutral currents (e.g. $s \rightarrow d$ ) are absent in the Standard Model:



# Flavor Changing Neutral Current Reactions

occur in the Standard Model via 2<sup>nd</sup> order effects



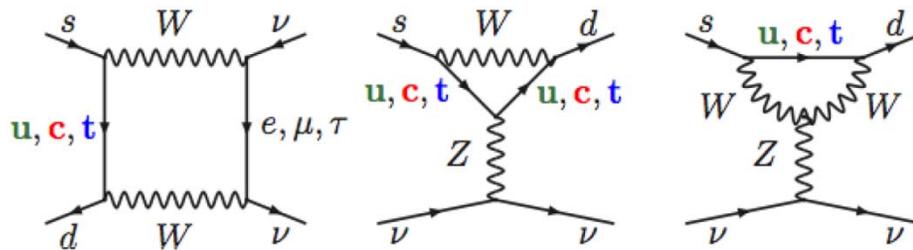
These effects are dominated by the heavy top quark. The predicted branching fractions are very small:

$$B_{\text{SM}}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (8.5 \pm 0.7) \times 10^{-11}$$

This opens the door for other heavy particles to contribute.

The  $K \rightarrow \pi \nu \bar{\nu}$  decays are among the few most precisely predicted FCNC decays .

## $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ in the Standard Model



A single effective operator  $(\bar{s}_L \gamma_\mu d_L)(\bar{\nu}_L \gamma_\mu \nu_L)$

Dominated by top quark exchange

Sensitive to both CP-violating and CP-conserving effects

Hadronic matrix from well-measured  $K^+ \rightarrow \pi^0 e^+ \nu$

$$B_{\text{SM}}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (8.5 \pm 0.7) \times 10^{-11}$$

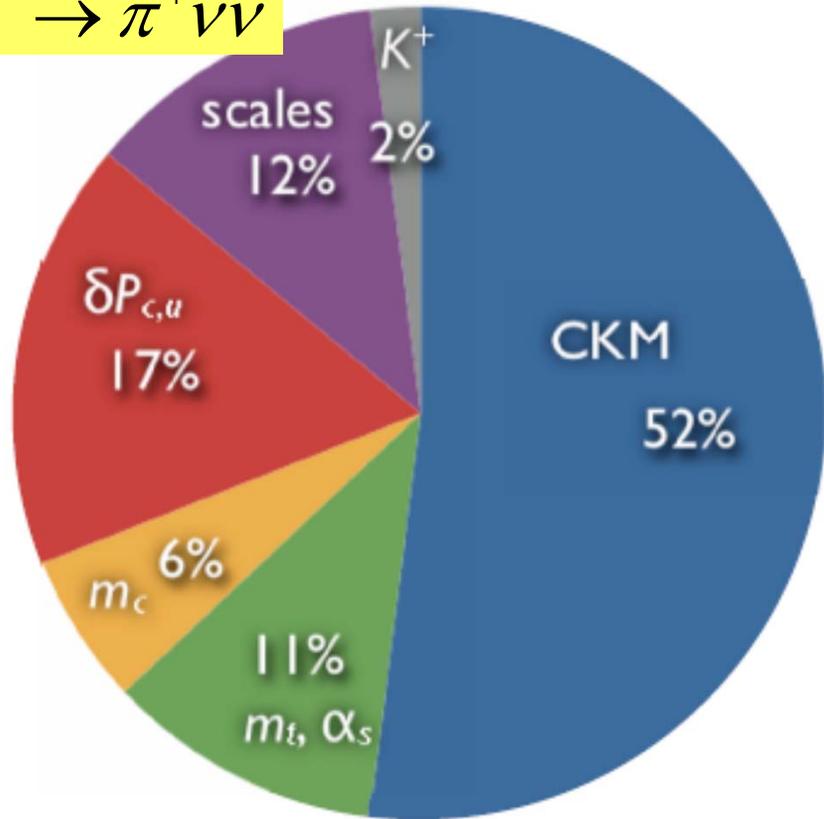
$$B_{\text{SM}}(K_L^0 \rightarrow \pi^0 \nu \bar{\nu}) = (2.6 \pm 0.4) \times 10^{-11}$$

Buras et al. (2013)  
[http://dx.doi.org/10.1007/JHEP04\(2013\)168](http://dx.doi.org/10.1007/JHEP04(2013)168)

Uncertainties expected to improve to <7%.

# Summary of SM Theory Uncertainties

CKM (quark mixing) parameter uncertainties dominate the error budget today.



With foreseeable improvements, expect total SM theory error  $\leq 6\%$ .

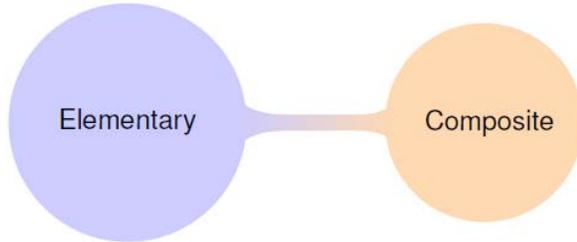
A. Kronfeld (FNAL)

Unmatched by any other FCNC process (K or B).

30% deviation from the SM would be a  $5\sigma$  signal of NP

SM theory error for  $K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$  mode exceeds that for  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ .

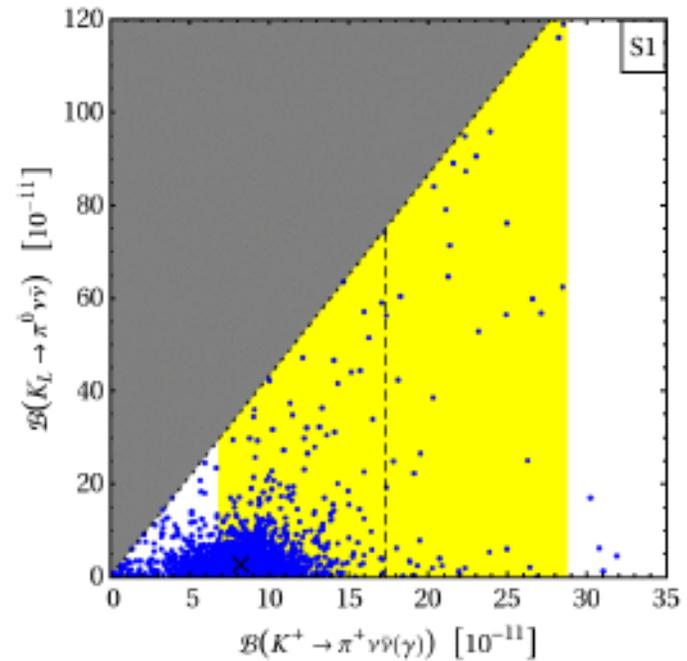
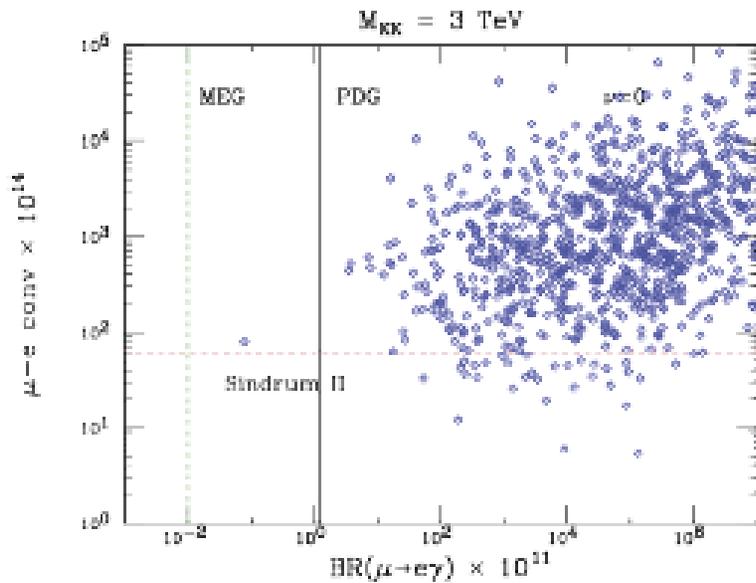
U. Haisch, arXiv:0707.3098



Randall Sundrum Model  
Warped extra dimensions

$$K_L^0 \rightarrow \pi^0 \nu \bar{\nu} \text{ vs } K^+ \rightarrow \pi^+ \nu \bar{\nu}$$

$\mu e$  Conversion vs  $\mu \rightarrow e \gamma$



Some parameters are not viable anymore.

Large parameter space open.

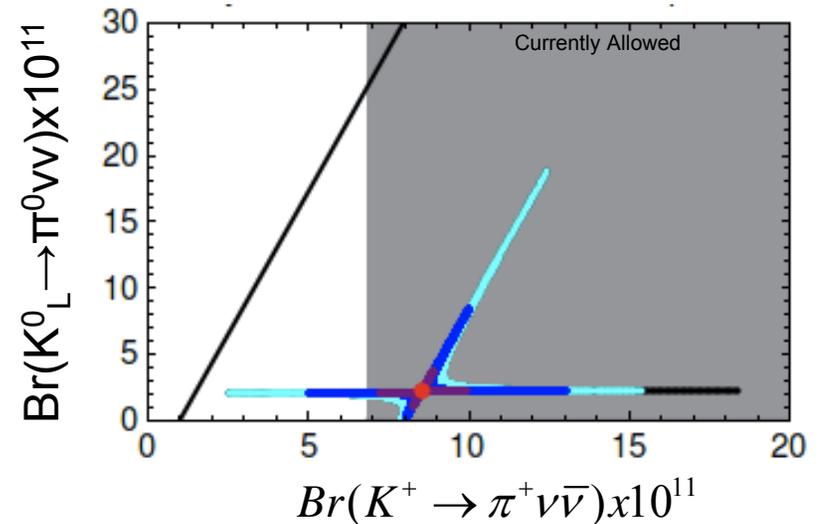
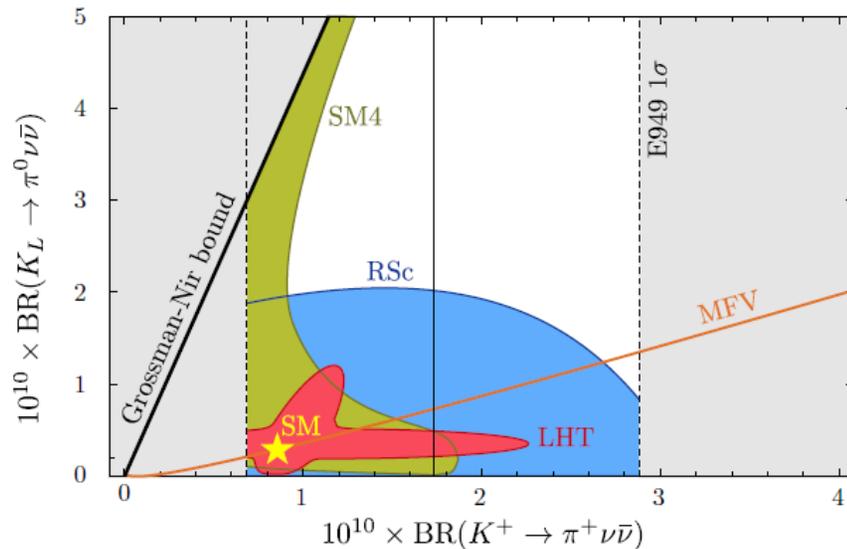
# $K \rightarrow \pi \nu \bar{\nu}$ : High Sensitivity to New Physics

Sensitivity to new physics at high mass scales complementary to studies of B decays and lepton flavor violation.

Extra Dimensions as a Theory of Flavor,  $Z'$ , Dark Sector, Sterile Neutrinos...

$B(K_L^0 \rightarrow \pi^0 \nu \bar{\nu})$  vs.  $B(K^+ \rightarrow \pi^+ \nu \bar{\nu})$

$Z' (m_{Z'} = 5 - 30 \text{ TeV})$



Large effects in K decays; <10% in B decays.

\*\*\*

## “FCNC portals to the dark sector”

Dark Sector Decays  $K \rightarrow \pi^+ X(X)$  may also be sought....

Operator Dimensional Analysis

$$\frac{m_I^{n-6}}{\Lambda^{n-4}} \approx \frac{g^2}{M_W^2} \frac{g^2}{16\pi^2} |V_{tI} V_{tJ}|$$

K decays

Highly sensitive  
for low dimension  
operators

|                   | $n = 5$       | $n = 6$   | $n = 7$   | $n = 8$    | $n = 9$    |
|-------------------|---------------|-----------|-----------|------------|------------|
| $s \rightarrow d$ | $3.310^7 TeV$ | $130 TeV$ | $2 TeV$   | $0.25 TeV$ | $0.07 TeV$ |
| $b \rightarrow d$ | $1.310^5 TeV$ | $26 TeV$  | $1.5 TeV$ | $0.37 TeV$ | $0.16 TeV$ |
| $b \rightarrow s$ | $2.710^4 TeV$ | $12 TeV$  | $0.9 TeV$ | $0.25 TeV$ | $0.11 TeV$ |

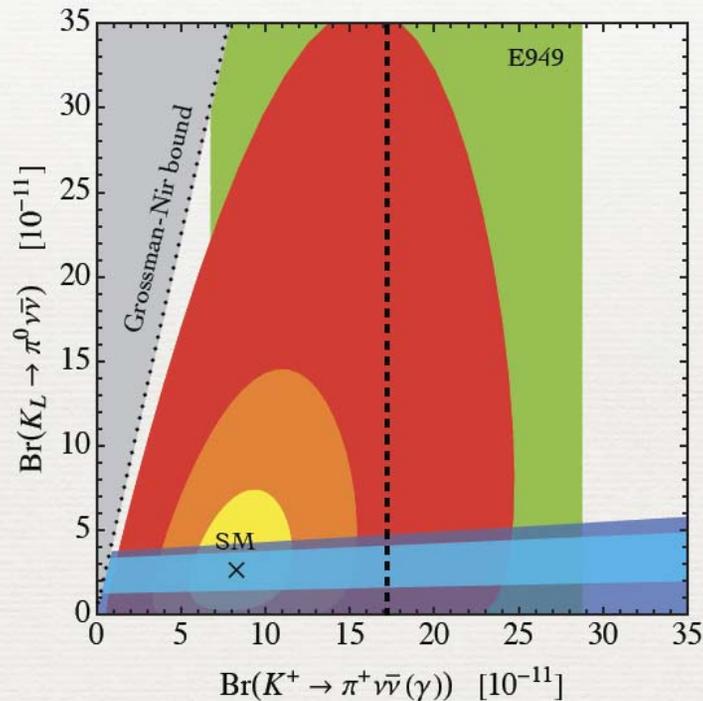
ASIDE:

U. Haisch Proj. X workshop 2012

$K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$  may be further constrained by  $\varepsilon'/\varepsilon$  –

measure of direct CP violation in  $K_L^0 \rightarrow \pi^0 \pi^0$  decays.

## $\varepsilon'/\varepsilon$ Strikes Back



stringent correlation  
between CP-violating  
kaon observables  
present in MSSM,  
RS, compositeness, ...



$\varepsilon'/\varepsilon$  “sleeping beauty”  
of flavor physics:  
when will lattice’s kiss  
wake her?

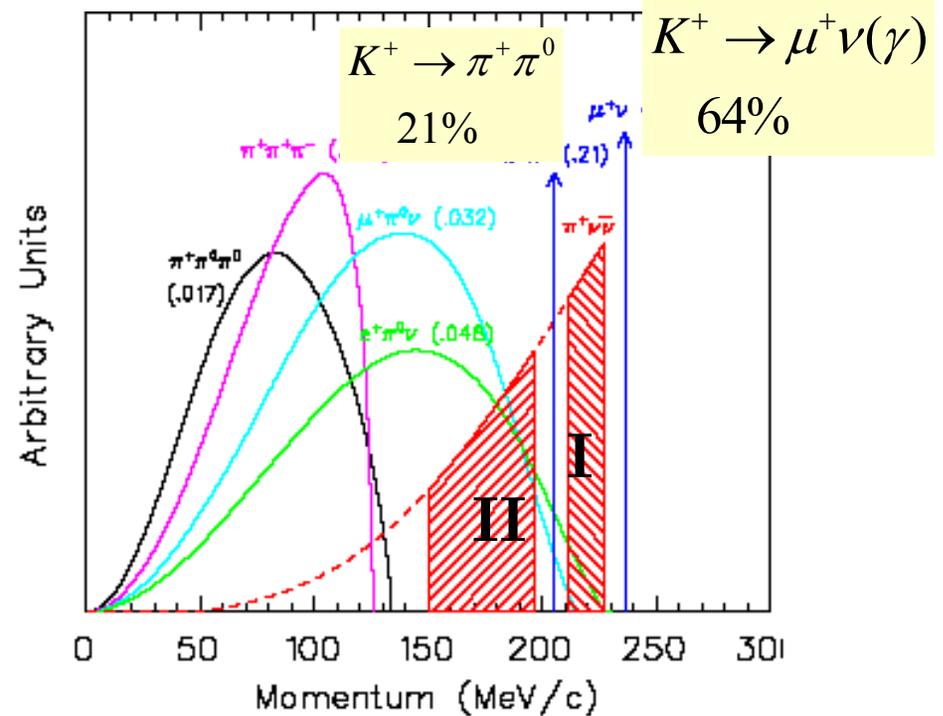
[see S. Jäger, talk at NA62 Physics Handbook Workshop; M. Bauer et al., arXiv:0912.1625 [hep-ph]]

# Challenges for Measuring



$$B_{\text{SM}}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (7.8 \pm 0.8) \times 10^{-11}$$

Experimentally weak signature: backgrounds exceed signals by  $>10^{10}$



Determine everything possible about the K and  $\pi$

- $\pi^+/\mu^+$  particle ID better than  $10^6$  ( $\pi^+ \rightarrow \mu^+ \rightarrow e^+$ )
- Work in the CM system (stopped  $K^+$ )

Eliminate events with extra charged particles or *photons*

- \*  $\pi^0$  inefficiency  $< 10^{-6}$

Suppress backgrounds well below the expected signal ( $S/N \sim 10$ )

- \* Predict backgrounds *from data*: dual independent cuts
- \* Use “Blind analysis” techniques
- \* Test predictions with outside-the-signal-region measurements

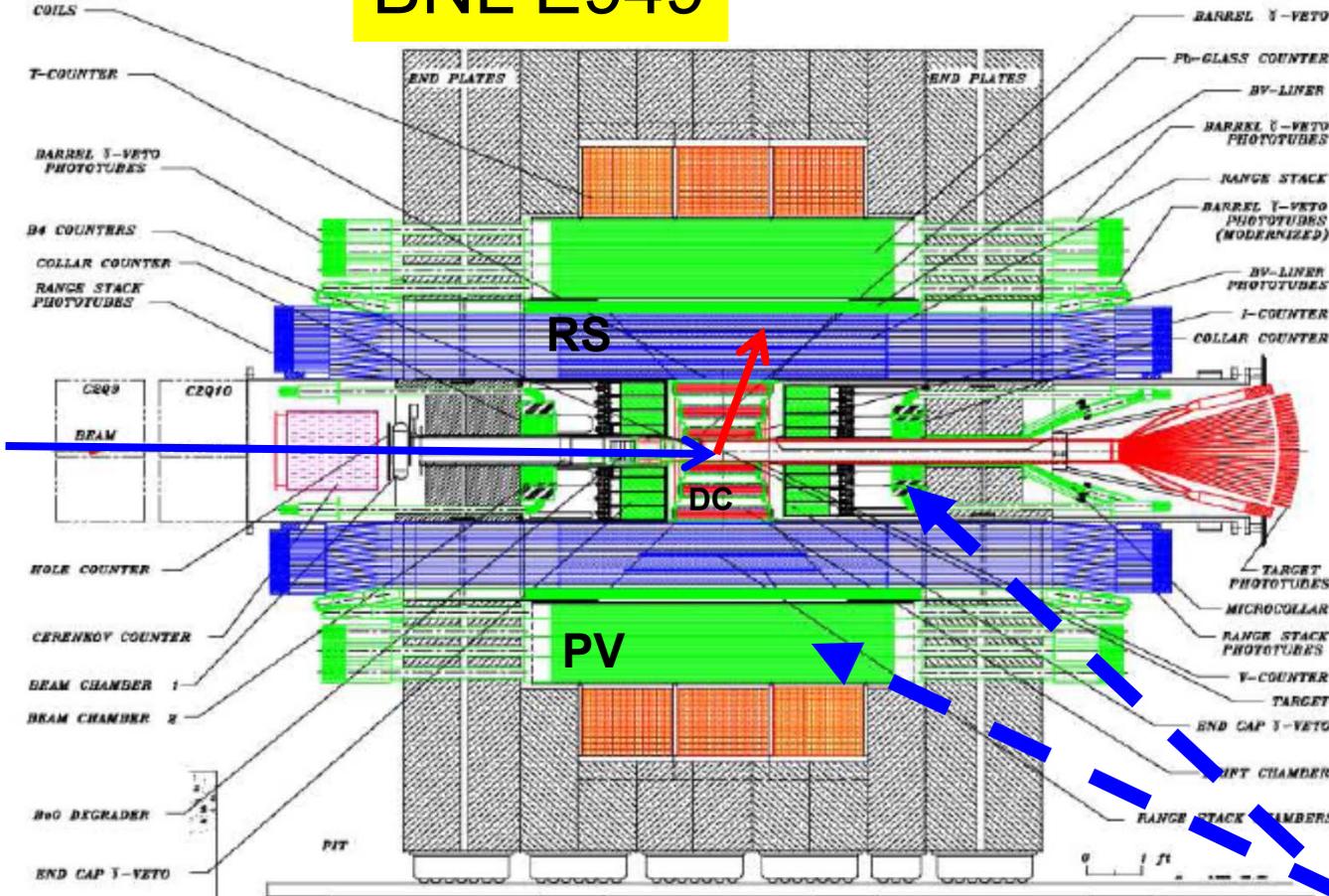
Evaluate candidate events with S/N function



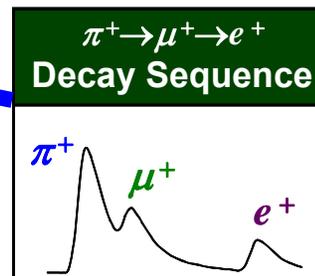
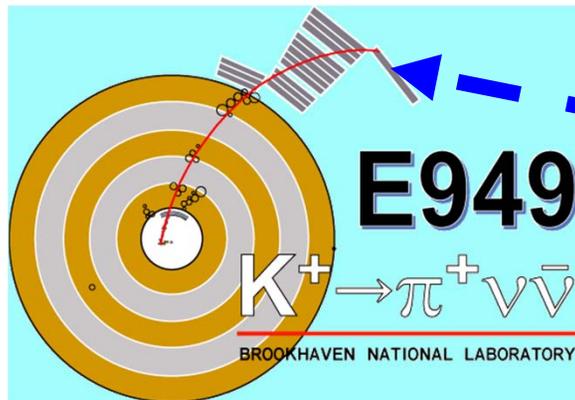
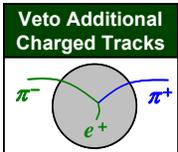
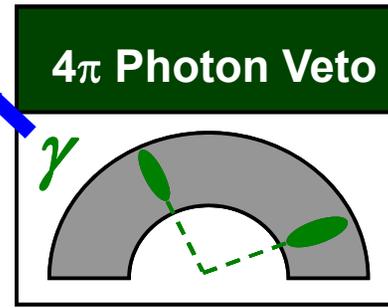
**“...when you have eliminated the impossible, whatever remains, *however improbable*, must be the truth?”**

*Sherlock Holmes in The Sign of the Four (1890)*

# BNL E949

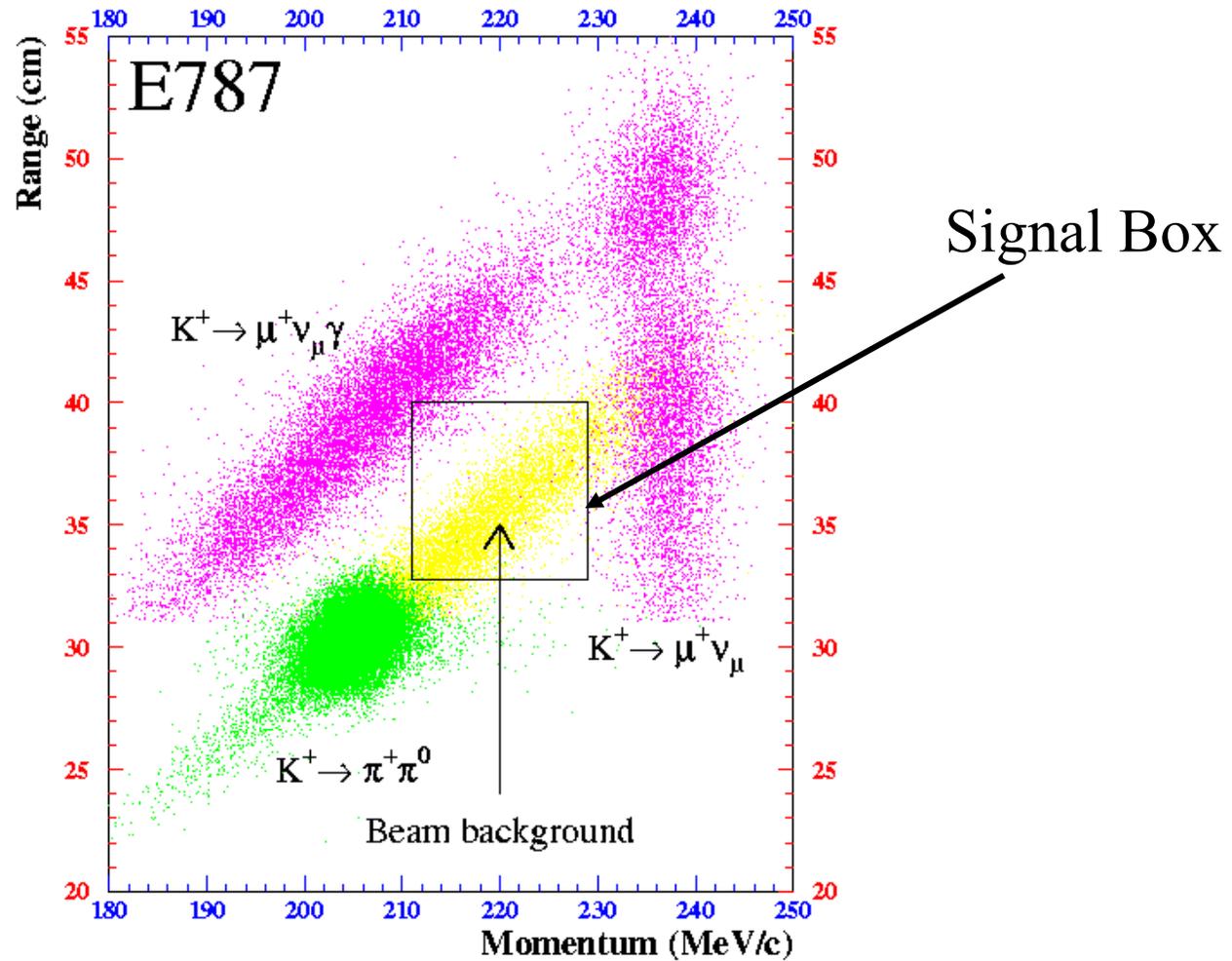


Stop 700 MeV/c  $K^+$   
 Scintillating fiber tgt.  
 $\pi^+$  Momentum in DC  
 Energy, range in RS  
 $\pi \rightarrow \mu \rightarrow e$   
 $> 10^6 \mu$  suppression  
 $4\pi$  Photon Veto  
 $> 10^6 \pi^0$  suppression



500 MHz digitizers

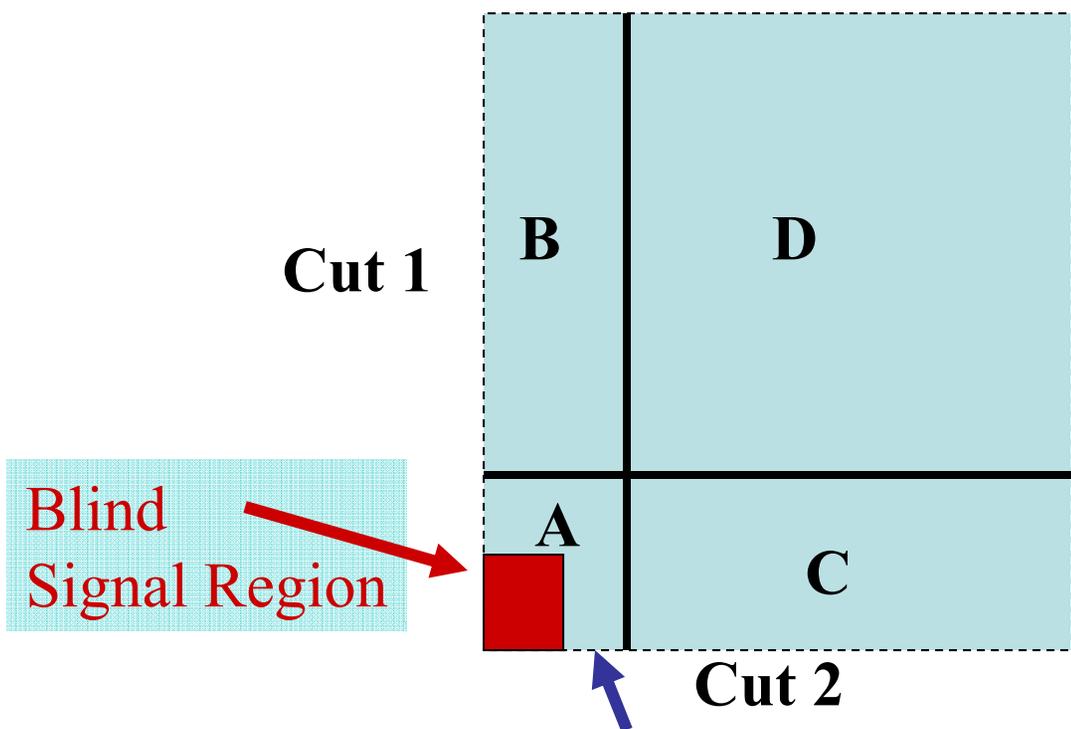
## Background Processes: Pion Range vs. Momentum



# *Estimating Backgrounds* $10^{-12}$ Level

## Dual-Cut BLIND Analysis Method

### Cut 1 vs Cut 2



If Cuts 1 and 2  
are uncorrelated:  
 $A/B=C/D$   
Background in A:  
 **$A=B C/D$**

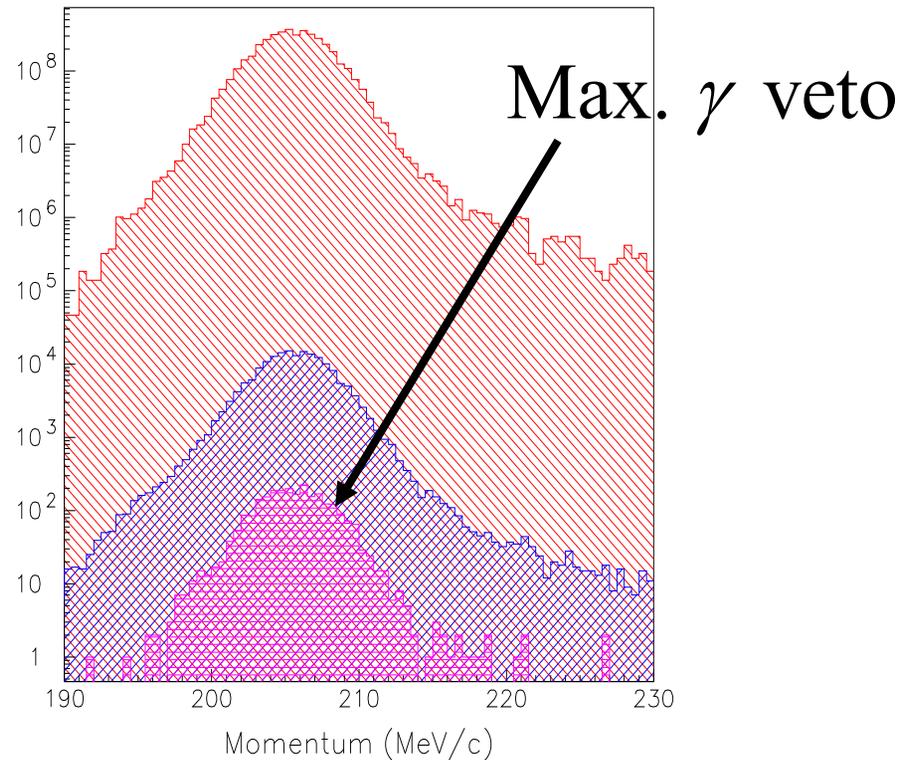
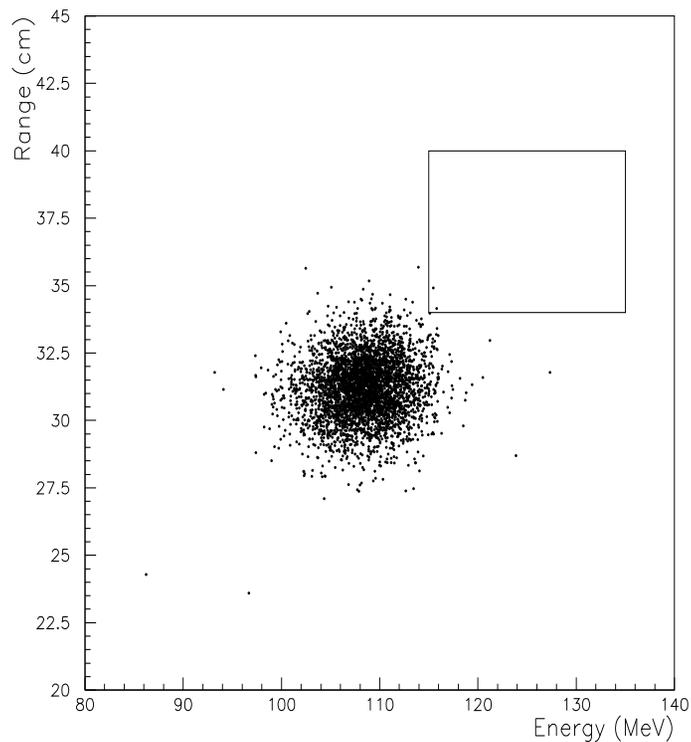
**Blind Near-Signal Region:  
Test Predictions**

# $K^+ \rightarrow \pi^+ \pi^0$ Background Suppression

Dual cuts:  $\gamma$  Veto and Kinematics (P,R,E...)

$\gamma$  Veto Reversed  
Range vs. Energy

$\gamma$  Veto Applied  
Momentum



Check for correlations

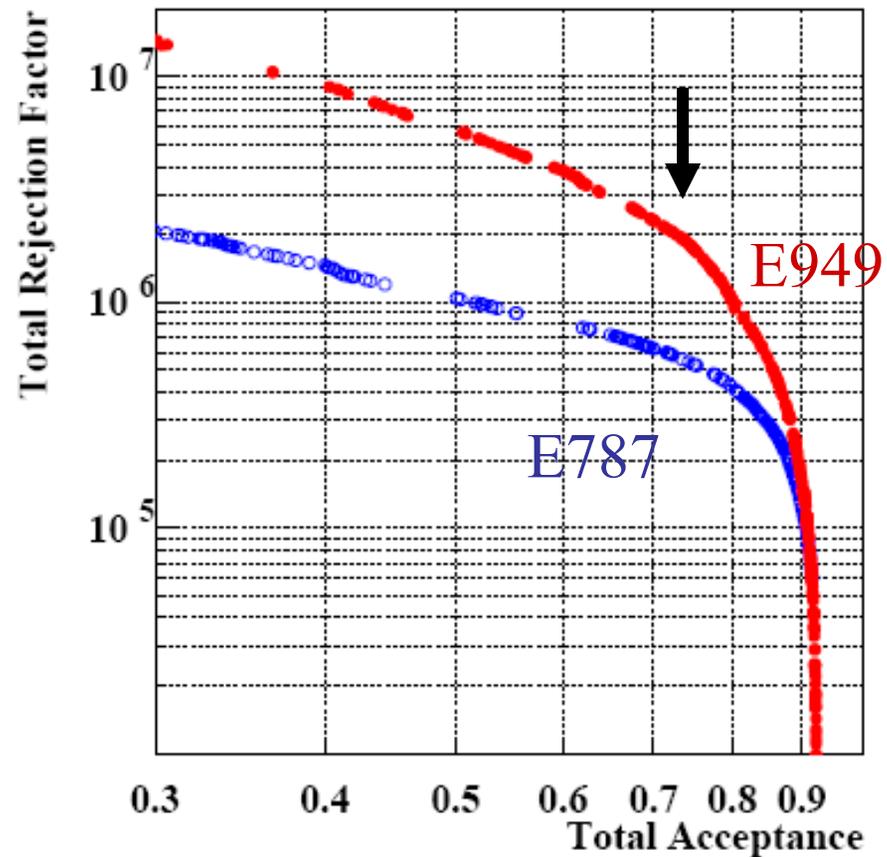
Doug Bryman TSI 2012

# Background Suppression: E949 Extreme Photon Detection Efficiency

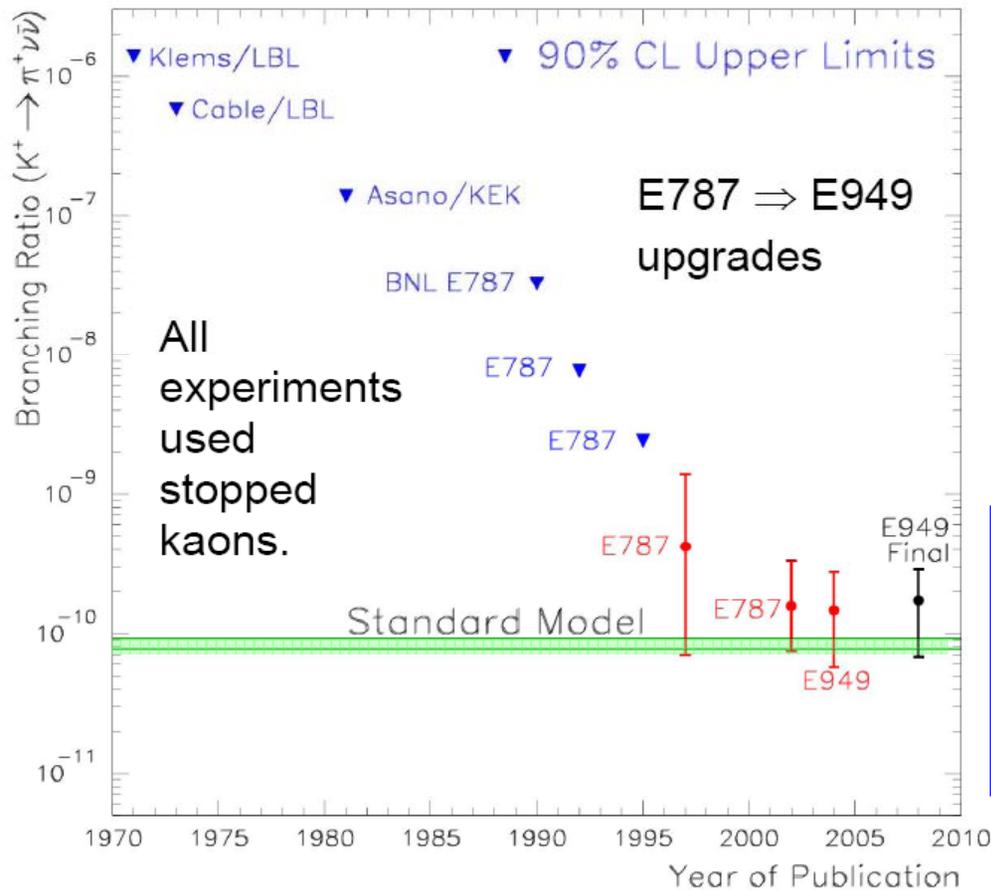
$\pi^0$  Rejection:  $>10^6 - 10^7$

Possibly the most efficient  
photon detector built so far.

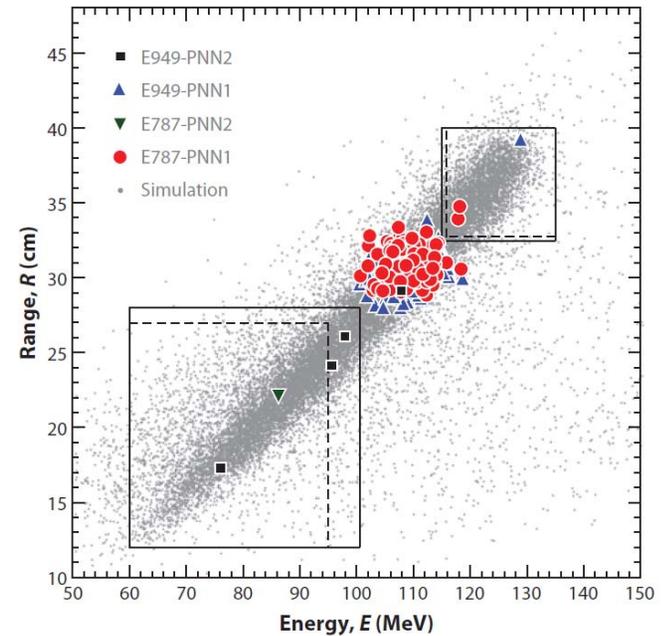
Rejection vs. Acceptance



# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ History



## Pion Range vs. Energy



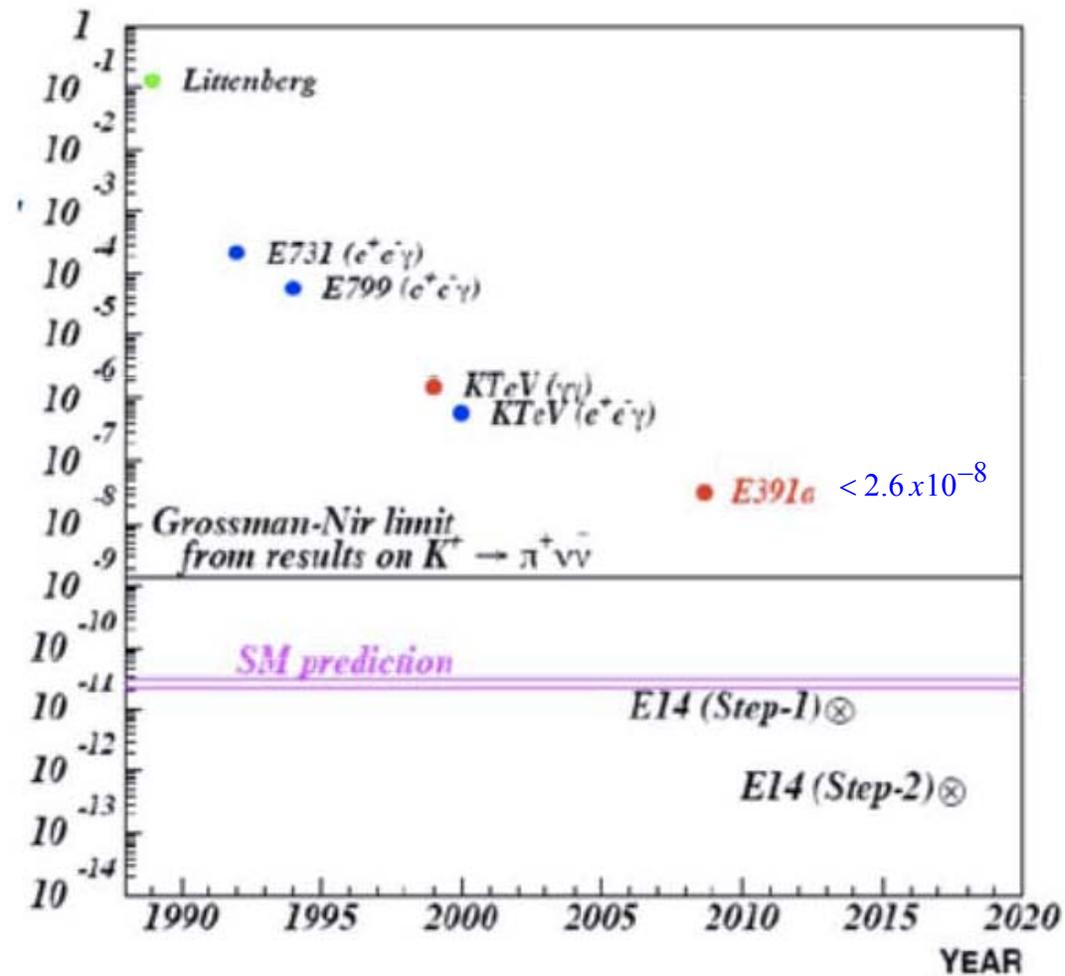
E787/E949 Final: 7 events observed

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = 1.73^{+1.15}_{-1.05} \times 10^{-10}$$

Standard Model:

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (0.78 \pm 0.08) \times 10^{-10}$$

# $K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$ Experiments History



# Emerging $K \rightarrow \pi \nu \bar{\nu}$ Measurements

CERN  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$



Builds on NA-31/NA-48  
Un-separated GHz beam  
Aim: 80 events at SM  
2015-18 (LHC Run II)

J-PARC  $K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$



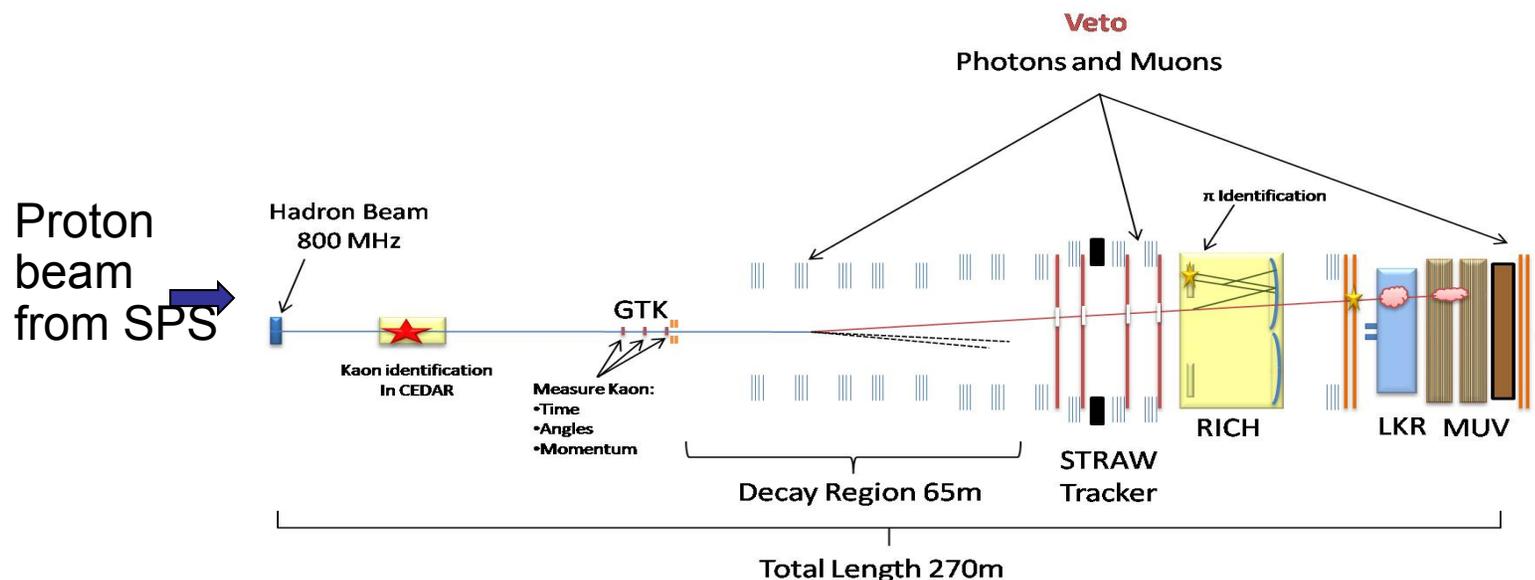
Upgraded from KEK experiment E391a  
Aim: few events (S/B~1) at SM (Phase I)  
Commissioning 2013

# Emerging $K \rightarrow \pi \nu \bar{\nu}$ Measurements



$K^+ \rightarrow \pi^+ \nu \bar{\nu}$  at CERN

75 GeV Kaon Decays-in-flight

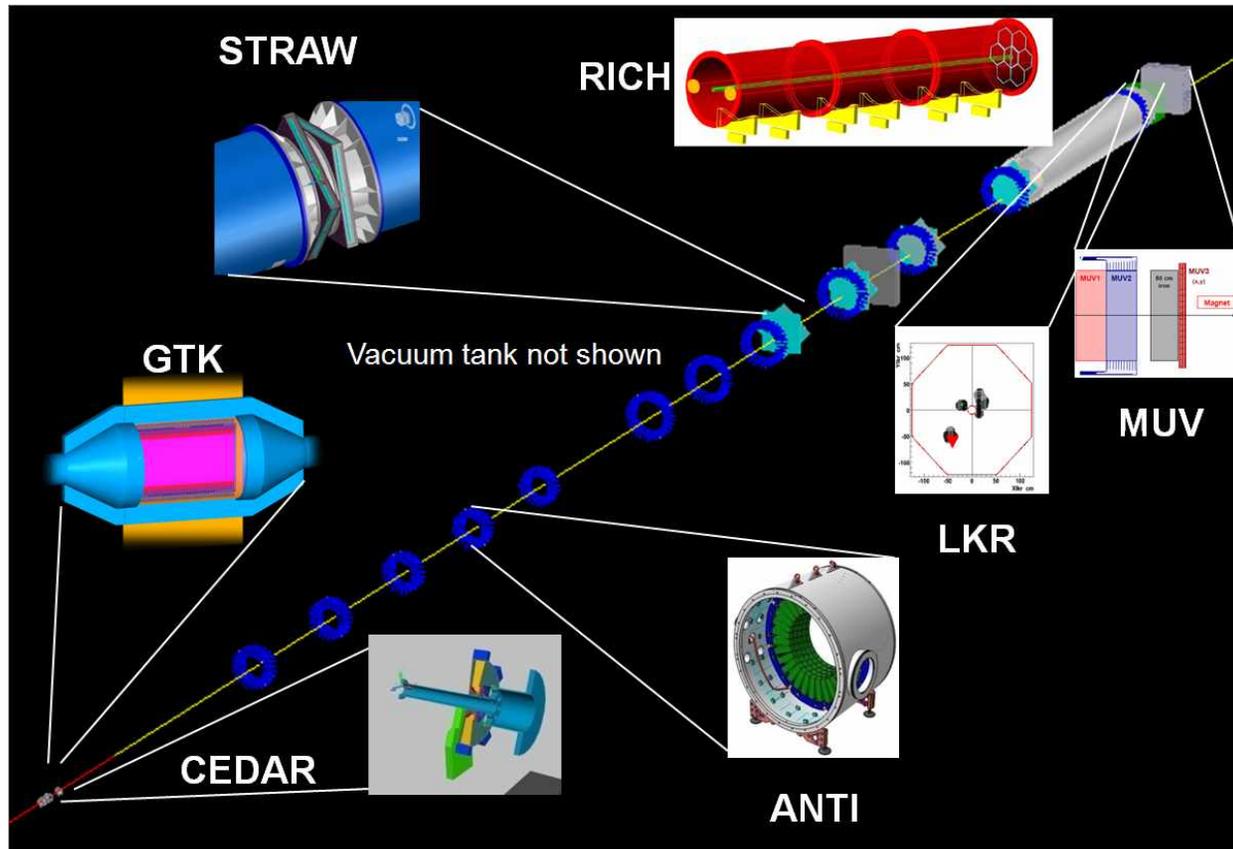


10.12.09

Na62 Physics Handbook Workshop

1

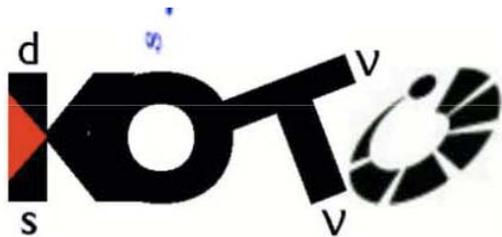
- Builds on NA-31/NA-48
- Un-separated GHz beam
- Aim: 40-50 events/yr at SM
- Under construction; start 2015



$\pi^0$  Rejection goal:  $10^8$      $\mu$  Rejection goal:  $10^5$

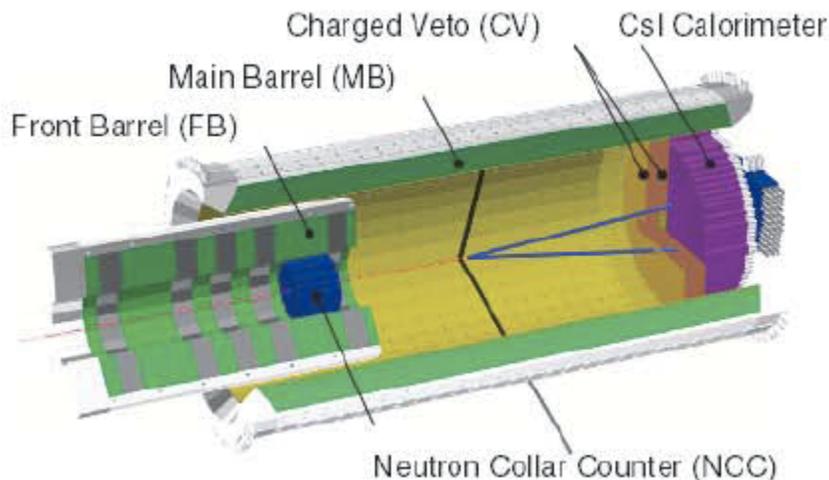
$\pi / \mu$  RICH separation    up to 35 GeV/c

Beam tracking: 40 MHz/cm<sup>2</sup>



$$K_L^0 \rightarrow \pi^0 \nu \bar{\nu} \text{ at J-PARC}$$

Improved setup based on KEK E391a ( $< 2.6 \times 10^{-8}$ )

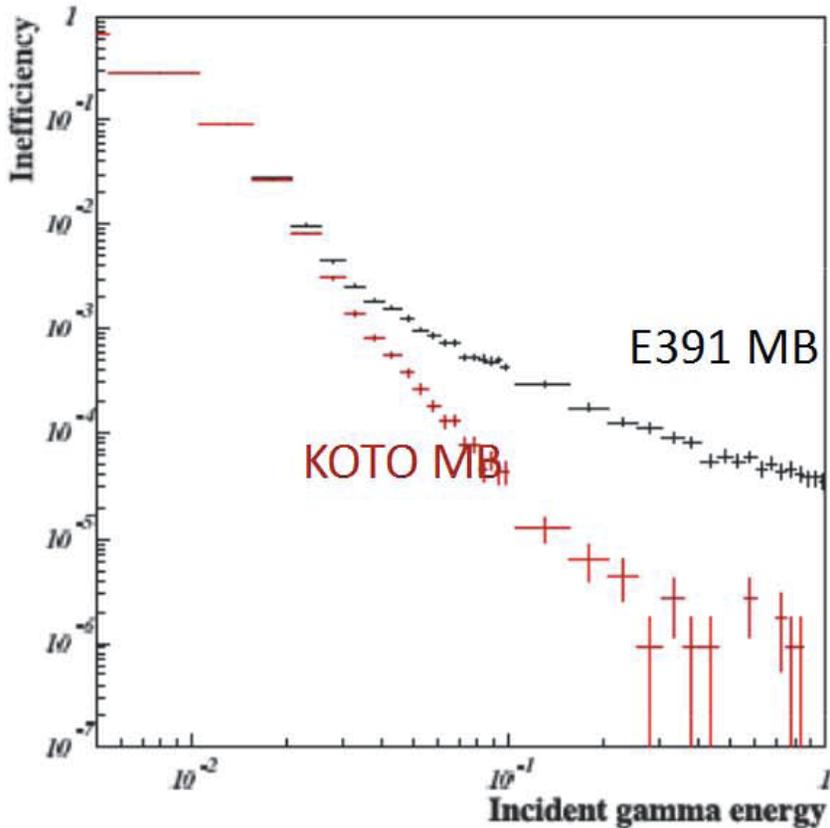


Improved J-PARC Beam line  
 Upgraded Detector  
 100 x proton intensity  
 Aim: few events (S/B~1) at SM  
 Under construction; start 2013

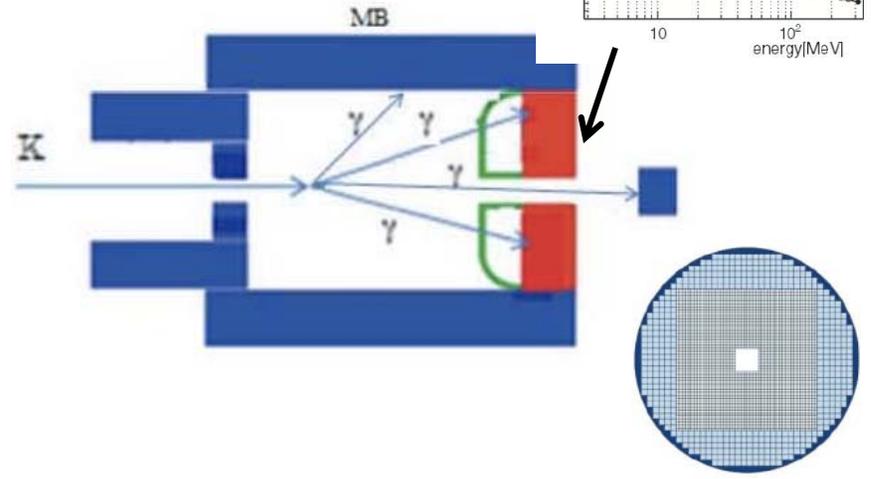
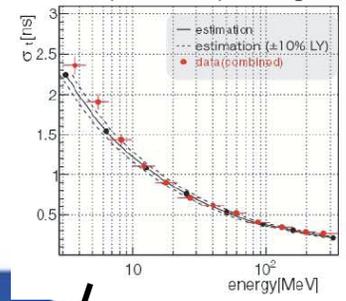
| Background source                            | #evts |
|--|-------|
| $K_L \rightarrow \pi^0 \pi^0$                | 1.8   |
| $K_L \rightarrow \pi^+ \pi^- \pi^0$          | 0.46  |
| n + residual gas                             | 0.04  |
| n + upstream veto                            | 0.13  |
| accidental coincidence                       | 0.10  |
| sum  | 2.5   |
| $K_L \rightarrow \pi^0 \nu \bar{\nu}$ signal | 3.5   |

# Expected Photon Veto Performance

Principal background:  $K_L^0 \rightarrow \pi^0 \pi^0$



CsI Calorimeter:  $\Delta t$  (50 MeV)~500 ps



|                | J-PARC KOTO           | KEK-E391a            | improvement |
|----------------|-----------------------|----------------------|-------------|
| KL yield/spill | $8.1 \times 10^6$     | $3.3 \times 10^5$    | x30/sec     |
| Run time       | 12 months             | 2 months             | x6          |
| Decay prob.    | 3.6%                  | 2.1%                 | x2          |
| Acceptance     | 4.7%                  | 1%                   | x3.6        |
| Sensitivity    | $0.8 \times 10^{-11}$ | $1.1 \times 10^{-8}$ | x1300       |

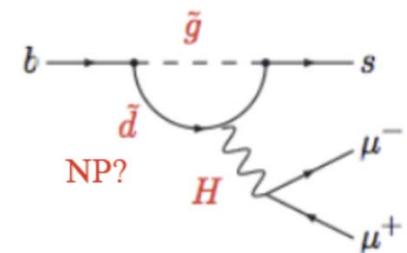
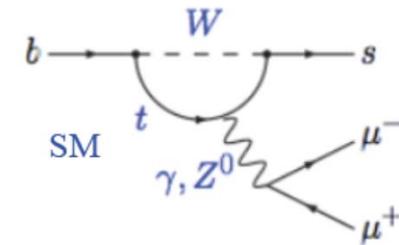
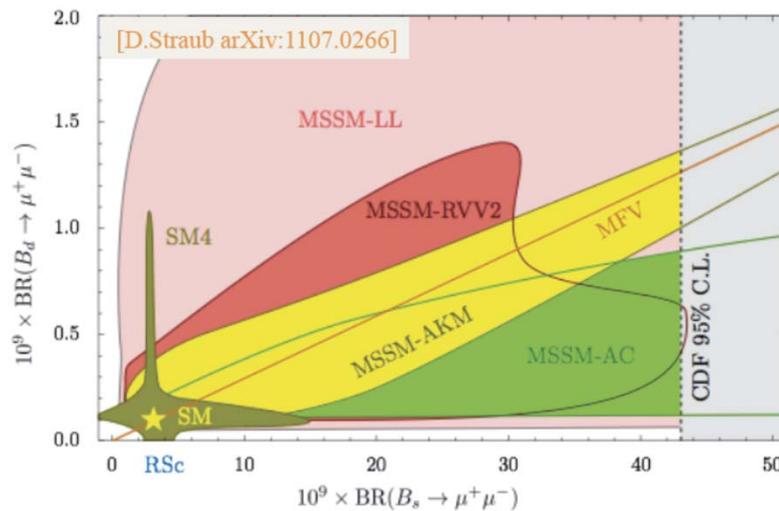
# Rare B Decays—Entering the Precision Regime

Standard Model Predictions for  $B_q \rightarrow \mu\mu$

$$B(B_s \rightarrow \mu\mu) = (3.65 \pm 0.23) \times 10^{-9}$$

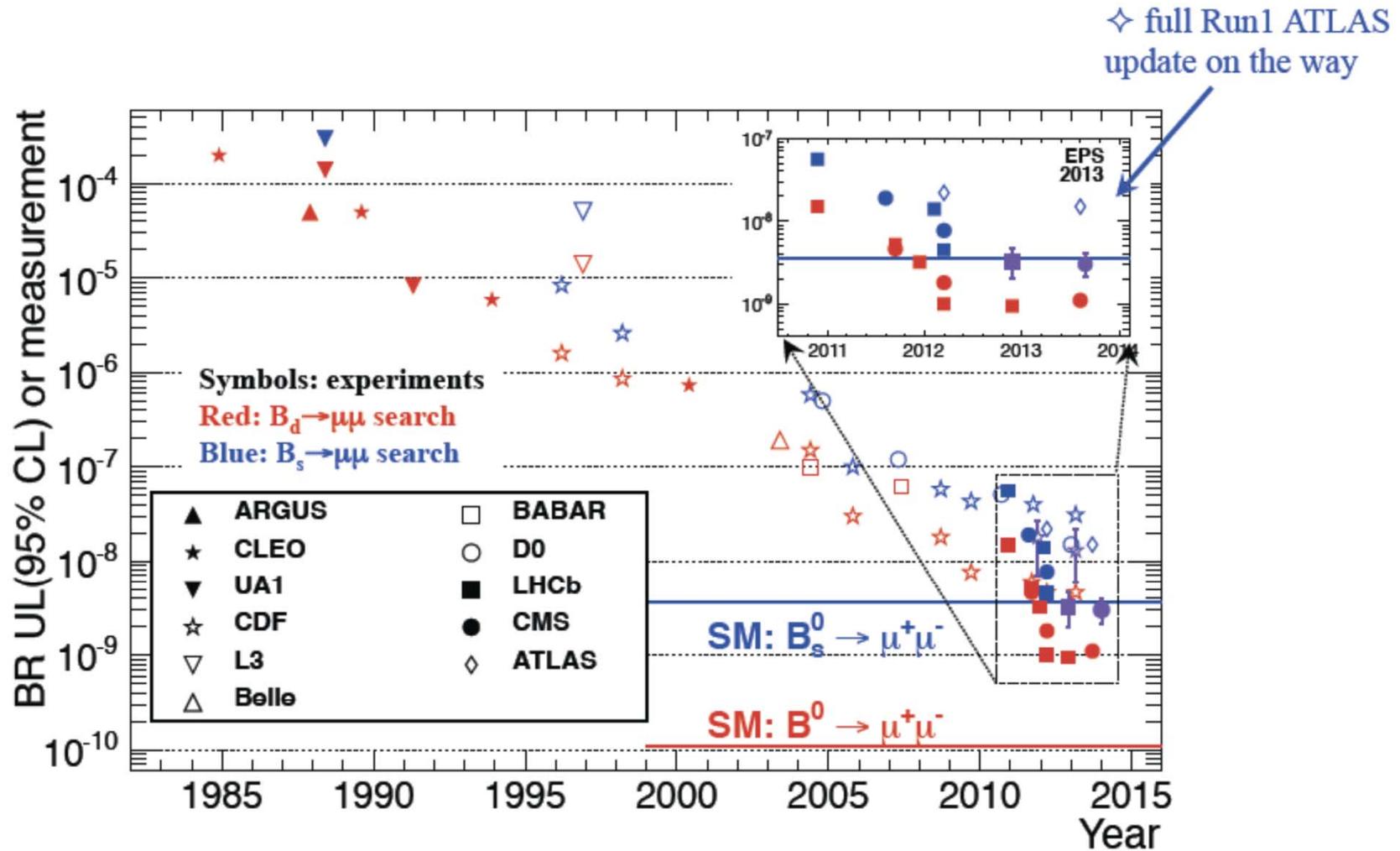
$$B(B_d \rightarrow \mu\mu) = (1.06 \pm 0.09) \times 10^{-10}$$

PRL 112 (2014)101808, JETP 1312 (2013)097, PRD 89(2014)034023



B. Sciascia INFN - Very rare B decays - FPCP, Marseille 27 May 2014

# 30 Year Quest for $B_s \rightarrow \mu\mu$

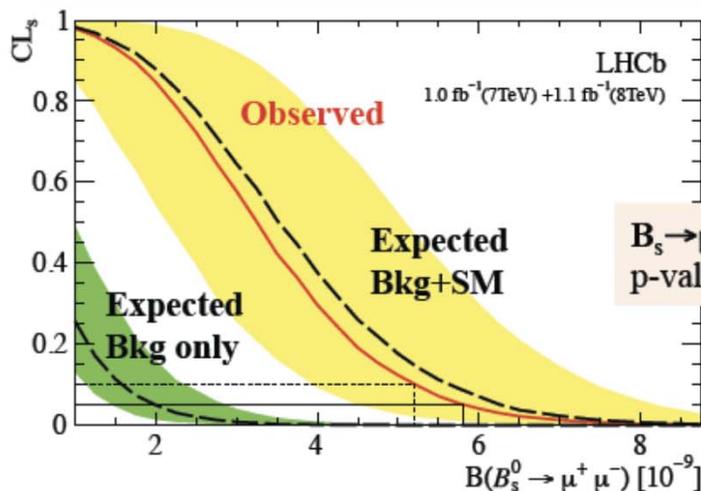


# $B_s \rightarrow \mu\mu$ : first observation (LHCb)

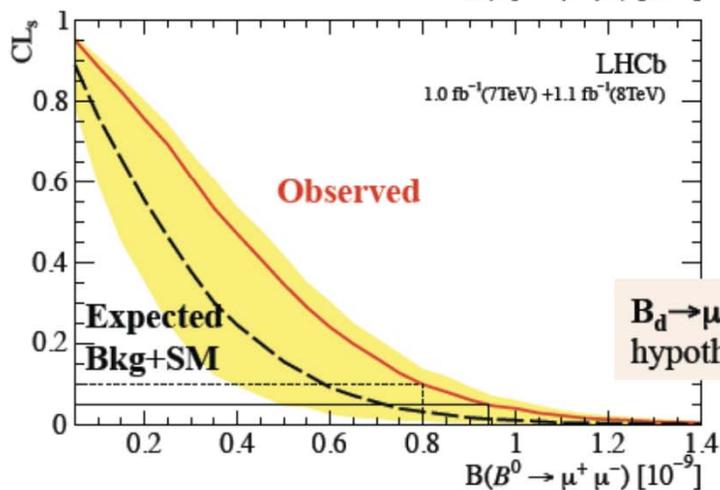
[LHCb, PRL 110(2013)021801]

November 2012

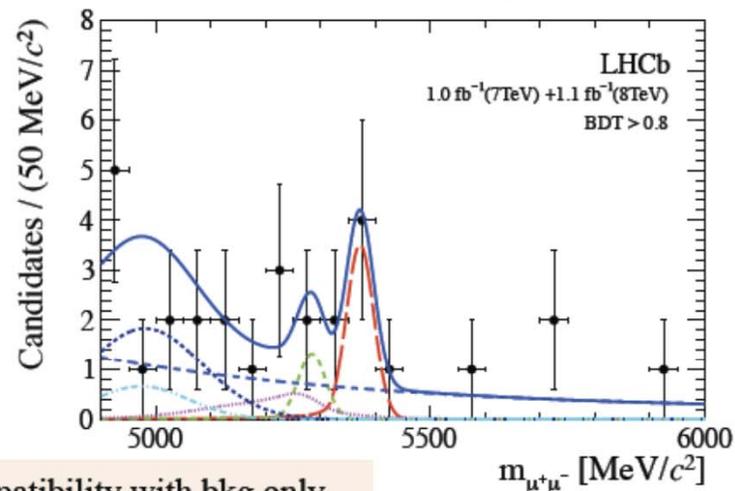
1/fb (7 TeV) + 1.1/fb (8 TeV)



$B_s \rightarrow \mu\mu$ , compatibility with bkg only hypothesis:  
p-value =  $1 - CL_b = 5.3 \times 10^{-4}$  (**3.5  $\sigma$  excess**)



$B_d \rightarrow \mu\mu$ , compatibility with bkg only hypothesis: p-value =  $1 - CL_b = 0.11$



B.Sciascia INFN -Very rare B decays- FPCP, Marseille 27 May 2014

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# $B_{(s)} \rightarrow \mu\mu$ : evidence at LHCb

[PRL 111(2013)101805]

Simultaneous UML fit to mass spectra on 8 BDT bins.

Combinatorial bkg,  $B_s$  and  $B_d$ : yields free

Exclusive bkg: yields and PDFs constrained to their expectations.

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (2.9_{-1.0}^{+1.1}(\text{stat})_{-0.1}^{+0.3}(\text{syst})) \times 10^{-9}$$

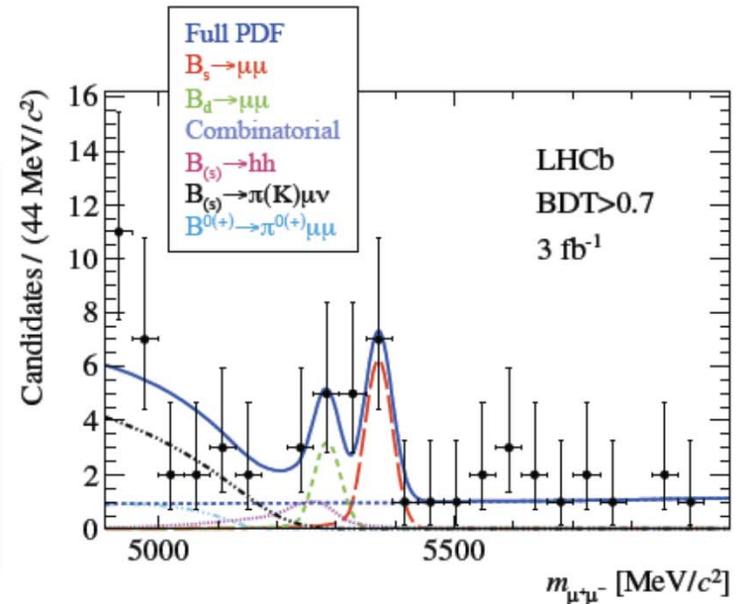
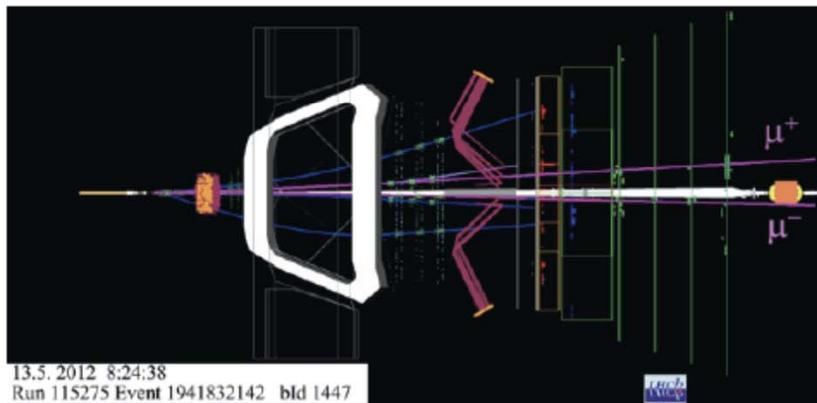
$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (3.7_{-2.1}^{+2.4}(\text{stat})_{-0.4}^{+0.6}(\text{syst})) \times 10^{-10}$$

significances:

$B_s \mu\mu$   $4.0 \sigma$

[expected  $5 \sigma$  (median)]

$B_d \mu\mu$   $2.0 \sigma$

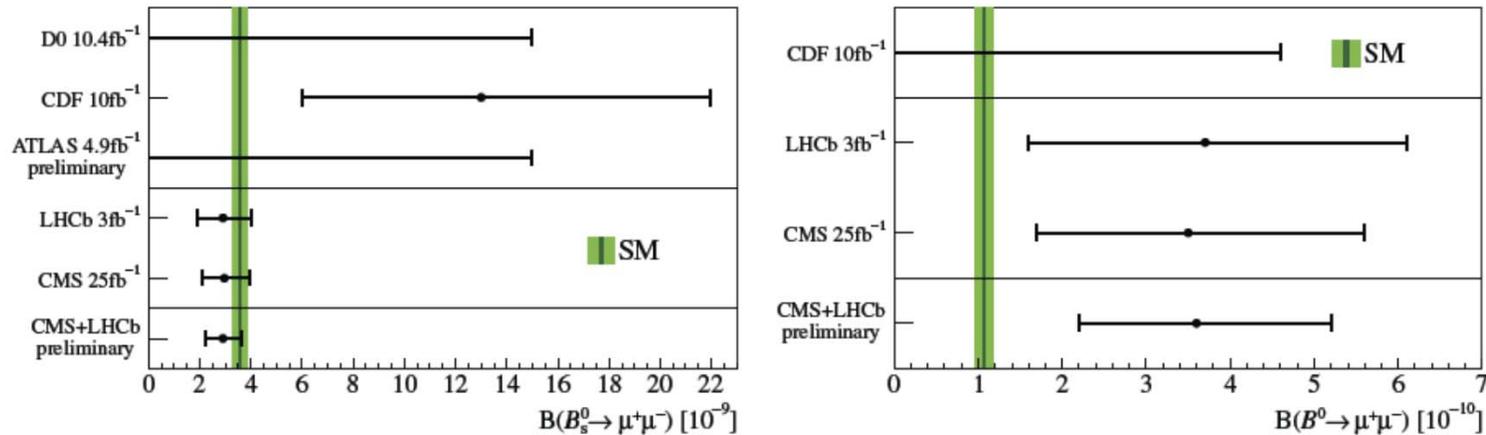


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# $B_{(s)} \rightarrow \mu\mu$ : LHCb+CMS average

[LHCb-CONF-2013-012, CMS-PAS-BPH-13-007]



**Naive combination** (central values, no significance assessment)

$$B(B_s^0 \rightarrow \mu^+\mu^-) = (2.9 \pm 0.7) \cdot 10^{-9}$$

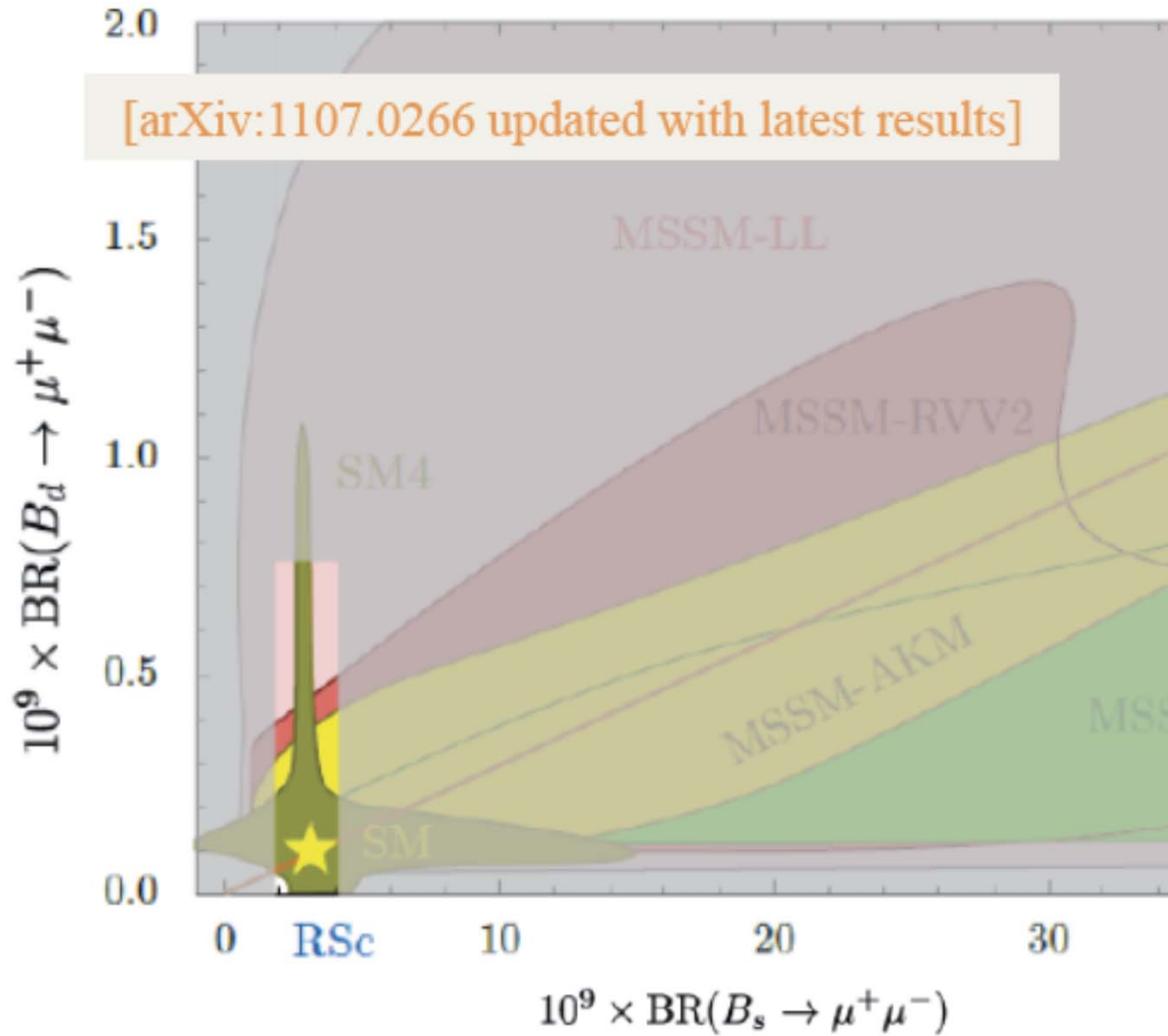
$$B(B^0 \rightarrow \mu^+\mu^-) = 3.6_{-1.4}^{+1.6} \cdot 10^{-10}$$

Work is ongoing to do a **full combination** of LHCb and CMS measurements: combined fit to the two datasets, sharing of all PDFs and correlated parameters ( $f_s/f_d$ ,  $BR(B^+ \rightarrow J/\psi K^+)$ , ...).

**Output:** combined BF and 2D scans, significances. **Results expected end of summer.**



Limited Openings remaining for New Physics after latest results....

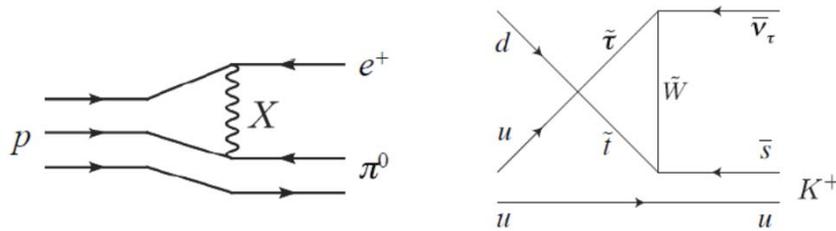


# Baryon and Lepton Number Violation

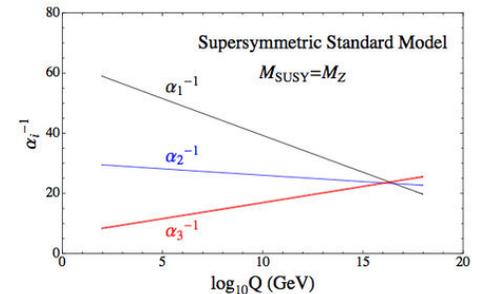
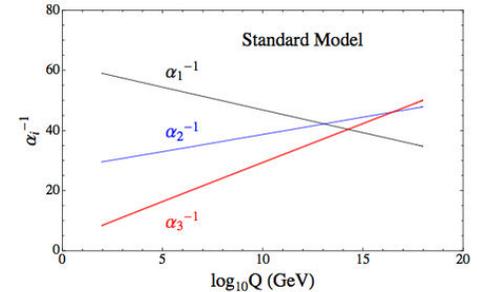
Stability of matter depends on B conservation!  
 Global symmetry, approximate symmetry?  
 But B violation needed to explain matter asymmetry of the Universe

Grand Unified Theories (GUT) – SU(5), SO(10) -- would explain a lot:  
 quarks and leptons in a common representation  
 $Q_e = -Q_p$   
 scale unification at  $10^{16}$  GeV, ....  
 right handed heavy neutrinos -> tiny neutrino mass, lepto-genesis...  
 B-L conservation

SUSY-GUT e.g.  $p \rightarrow e^+ \pi^0$   $\frac{\tau_p}{Br} \sim 10^{34 \pm 1}$  also  $p \rightarrow \mu^+ \pi^0$ ,  $p \rightarrow K^+ \nu$



X, Y gauge bosons mediate proton decay  $p \rightarrow \pi/K e$



Ref: J. Hewett et al.  
[arXiv:1401.6077](https://arxiv.org/abs/1401.6077)

$$\Delta(B-L)=0$$

SuperKamiokande

$$\frac{\tau}{Br}(p \rightarrow e^+ \pi^0) > 1.4 \times 10^{34} \text{ yrs}$$

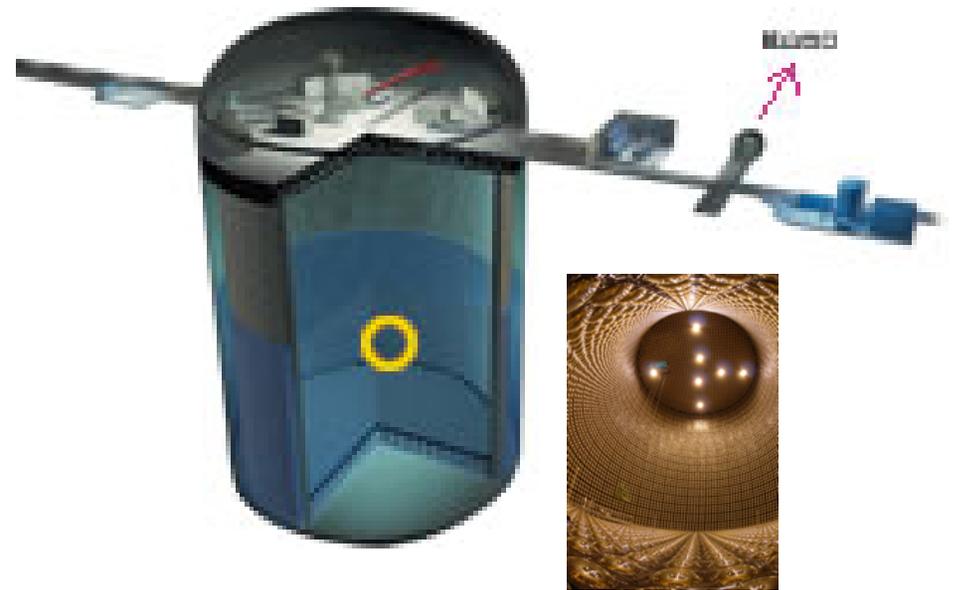
$$\frac{\tau}{Br}(p \rightarrow \nu K^+) > 5.9 \times 10^{33} \text{ yrs}$$

Mass scale probed:  $10^{16} \text{ GeV}$

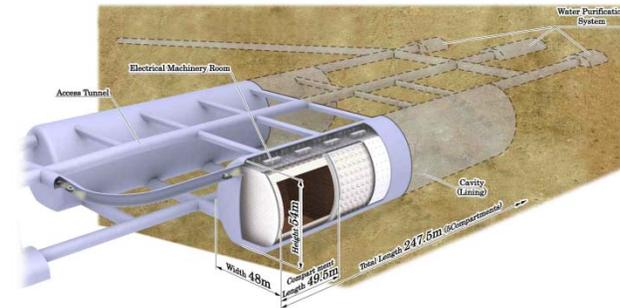
$\frac{\tau}{Br} \equiv$  partial mean life, where  $\tau$  is the total mean life and  $Br$  is the branching fraction for the decay mode in question

Proposed HyperK:

$$\text{Possible sensitivity: } \frac{\tau}{Br}(p \rightarrow e^+ \pi^0) > 10^{35} \text{ yrs}$$



50 Kton Water Cerenkov Detector



0.56 Mton Water Cerenkov Detector

# Other Possibilities

$$\Delta(B-L)=0; \Delta B=\Delta L=2:$$

2 nucleon decays:  $\frac{\tau}{Br} > 10^{30} \text{ yrs}$       First 2 Generations (e,  $\mu$ )

$$pn \rightarrow e^+ \bar{\nu}, \quad np \rightarrow \mu^+ \bar{\nu}$$

$$pp \rightarrow e^+ e^+, \quad pp \rightarrow \mu^+ \mu^+, \quad pp \rightarrow e^+ \mu^+ \dots$$

$$nn \rightarrow \bar{\nu} \bar{\nu}$$

Mass scale probed: **few TeV**

|                              | PDG                                    | $10^{30} \text{ yrs}$ |        |
|------------------------------|--|-----------------------|--------|
| $\Delta B=2$ dinucleon modes |  |                       |        |
| $\tau_{64}$                  | $pp \rightarrow \pi^+ \pi^+$           | > 0.7                 | CL=90% |
| $\tau_{65}$                  | $pn \rightarrow \pi^+ \pi^0$           | > 2                   | CL=90% |
| $\tau_{66}$                  | $nn \rightarrow \pi^+ \pi^-$           | > 0.7                 | CL=90% |
| $\tau_{67}$                  | $nn \rightarrow \pi^0 \pi^0$           | > 3.4                 | CL=90% |
| $\tau_{68}$                  | $pp \rightarrow e^+ e^+$               | > 5.8                 | CL=90% |
| $\tau_{69}$                  | $pp \rightarrow e^+ \mu^+$             | > 3.6                 | CL=90% |
| $\tau_{70}$                  | $pp \rightarrow \mu^+ \mu^+$           | > 1.7                 | CL=90% |
| $\tau_{71}$                  | $pn \rightarrow e^+ \bar{\nu}$         | > 2.8                 | CL=90% |
| $\tau_{72}$                  | $pn \rightarrow \mu^+ \bar{\nu}$       | > 1.6                 | CL=90% |
| $\tau_{73}$                  | $nn \rightarrow \nu_e \bar{\nu}_e$     | > 1.4                 | CL=90% |
| $\tau_{74}$                  | $nn \rightarrow \nu_\mu \bar{\nu}_\mu$ | > 1.4                 | CL=90% |
| $\tau_{75}$                  | $pn \rightarrow \text{invisible}$      | > 0.000021            | CL=90% |
| $\tau_{76}$                  | $pp \rightarrow \text{invisible}$      | > 0.00005             | CL=90% |

# 3<sup>rd</sup> Generation Effects

$p \rightarrow \tau^+ \pi^0$  Not allowed kinematically

But  $np \rightarrow \tau^+ \bar{\nu}$  is allowed.

Available energy :  $1868 MeV$

$m_\tau = 1776.8 MeV / c^2$

$P = 79 MeV / c$

$T = 1.8 MeV$

$np \rightarrow \tau^+ \bar{\nu} : \frac{\tau}{Br} > 1 \times 10^{30} yrs$

Result based on IMB3\* limit on  $p \rightarrow e^+ \nu \nu$

using  $\tau^+ \rightarrow e^+ \nu \nu$  :

(D.B.Phys.Lett.B (2014))

Future: SuperK sensitivity  $\sim 10^{33} yrs$

Also possible:

$pp \rightarrow \tau^+ e^+$

\*IMB3 Collab, Phys.Rev.D59(1999)052004.

# Summary: Scenarios for Discovery of New Physics

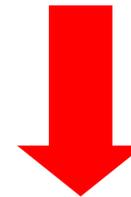
**New Physics found at LHC or in K decays, Universality tests, LFV, B decays ...**

⇒ New effects with unknown flavor- and CP-violating couplings



*Precision Flavor-physics experiments needed to do studies of flavor- and CP-violating effects to interpret New Physics models.*

**New Physics NOT found at LHC or in K decays, Universality tests, LFV, B decays...**



*Precision Flavor physics experiments needed to give orders of magnitude additional mass reach; sensitive to New Physics at mass scales beyond the LHC (through virtual effects).*

# Concluding Observations

- Precision low energy experiments are powerful searches for new physics at high mass scales
- Charged LFV remains popular in most BSM theories but target sensitivities are obscure and gains in mass scale ( $\text{Br} \sim 1/M^4$ ) are slow
- Many measurements e.g. tests of Universality, with precise SM observables are especially sensitive to new physics
- Big gains in experimental sensitivity are in the works
- Worthwhile to keep at it until BSM physics becomes clearer or experimental capabilities wane (or experiments become too expensive!)