

## Action Plan Charter & Code, Status Q2/2016

Topic No. of Action Plan No. HR Process	Project / Goal / Measures / Status	Responsible	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
No. Charter & Code	Establish and Charles for UD											
HR Strategy	Establish own Chapter for HR < 2016: Swiss Federal Council sets generic goals in	CTU Doord										
A 03.06.02	the field of HR to be implemented in the strategy	ETH Board, Directorate,										
23	of the ETH-Domain.	Head HR										
23	2016: HR contributes with an own chapter for	Ticaa Tiix										
	strategy of the ETH-Domain 2017-2020. HR											
	initiatives are embedded in the company strategy											
	of PSI. Focus on the three areas:											
	1. attract, hold and develop staff at every age											
	2. strengthen leadership culture and diversity											
	3. improve quality and culture of interdepart-											
	mental cooperation											
	2017+: implementation of the strategy at federal,											
	ETH-Domain and institute level											
Research Integrity	Guideline and Trainings											
В	2010: Guideline for research integrity.	RI Committee,										
01.01.05	2012: Start with yearly changing topic including	Head RI										
6	dedicated training											
	2015: Committee for RI established											
Research Quality	2016: Expansion of compulsory trainings.  Evaluation of Research Units											
C Cuality	Every research unit is being evaluated at least	Directorate										
01.01.05	every eight years through external experts. The	Directorate										
1	evaluation covers past achievements (last 5 years)											
	as well an outlook on the future developments											
	(plus 5 years).											
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Participation	Committee for Equal Opportunity											
D 01.02 / 01.01.02 35 / 10 / 27	The committee works with four-year-cycles 2012: Action plan for 2012-2016 2013: Workshops on Diversity as new dimension. 2014: Diversity Index Schweiz (UniLU), ranked 2 <sup>nd</sup> 2015: Diversity Index Schweiz (UniLU), ranked 1 <sup>st</sup> 2016: Member of the International Dual Career Network IDCN 2016: Hiring a Diversity coordinator together with Eawag (aquatic research in the ETH domain) 2017: Action plan for 2017-2020	Committee for equal opportunity (KfC)										
	Employees Council											
E 01.02 35	< 2012: The employees' council was only partially representative to staff of PSI. 2013: new constitution according diversity dimensions with representatives from all divisions, gender and age balanced, including non-swiss and a PhD-student member. 2017-2020: Evaluation & (re-)election of the council.	Employees' Council (PeKo), Head HR										



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Staff Recruitment	Internal Mobility and OTMR											
F 02.02 18	< 2011: Hardly no internal mobility, difficulties in finding professionals for strategic projects, low awareness for importance of organizational changes.  2012: Decision of the Directorate to improve the internal mobility under consideration of non-discrimination and transparency  2013: Creating awareness by using the term "Internal Mobility" e.g. in the hiring approval process.  2017: Check the existing recruiting guidelines of PSI wether they are fully compatible with the OTMR principles (open transparent and merit	Directorate, Head HR										
Ctability of Employment	based recruiting).											
G 02.01 13	<ul> <li>Permanence of Employment</li> <li>&lt; 2011: Guideline based on Specific Law for ETH-Domain</li> <li>2013: Revision and implementation of the guideline for technical and administrative staff.</li> <li>2014: Project team (with delegates from Directorate, employees' council and equal opportunity committee) evaluates variants for scientific staff.</li> <li>2015: Directorate defines guiding principles.</li> <li>2016: Task force of the Directorate (heads or deputies of the divisions) develops guideline.</li> <li>2017: Implementation of the revised Tenure Track Guideline</li> </ul>	Directorate, Head HR										



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No. HR Process No. Charter & Code												
Work Place Health Promotion	Ambitious and Friendly Workplace											
Н	< 2011: A bundle of initiatives, measures and	Committee for										
03.06.02	facilities were existing but visibility and use of	Workplace										
23	synergies was missing.	Health										
	2012: The project team (with delegates from HR,	Promotion										
	employees' council, safety leaders, sports club and line managers of different levels) for	(BGM)										
	workplace health promotion analyzes the											
	situation and develops measures.											
	2013: internal assessment according to EFQM-											
	principles.											
	2015: The Directorate puts the concept											
	"Ambitious and Friendly Workplace" into force.											
	2016: external audit for the "Label Friendly											
	Workspace" (national label according to the											
	principles of European Network for Workplace											
	Health Promotion)											
	Reintegration Guideline	110										
05.03	< 2011: Reintegration case-by-case without	HR										
05.02 12	guidelines and clear responsibility.											
12	2012: First reintegrations with internal and external case-management and development of a											
	concept.											
	2014: The Directorate puts the guideline											
	"Reintegration of long-term-absent employees"											
	into force (status 2016: over 90% success rate).											
	2015: Enhancement of the integration of external											
	handicapped and long-term unemployed people.											
	2016: Call for bids (insurance with professional											
	case-management).											
	2017: Evaluation of procedures and measures.											



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	Integrated Safety											
J	<2014: Standard procedures according to Swiss	Project Team										
01.01.05	law.	Integrated										
7	2015: Decision to reduce the non-occupational/	Safety										
	occupational accidents											
	2016: Start of the project "Integrated Safety" with											
F1D.	the Swiss Accident Insurance Agency (SUVA)											
Equal Pay	Evolution of Salary System	Here de HD Decemb										
K	2006: New salary system established.	Heads HR Board										
01.02.02	2015: External audit of salary system and	of the ETH-										
10	practices with overall excellent results in equal	Domain										
	pay, performance differentiation and cooperation											
	employees-line managers-HR department. 2016: Smooth evolution of salary systems.											
Development	Career Support for PhDs and Postdocs											
Development	< 2014: Findings of the employee opinion survey	Working Group										
05.02.05 / 05.03	were that PhDs and postdocs are not completely	Working Group										
30 / 39	satisfied with their appraisal and career support.											
30 / 39	2015: Analysis of the situation through the											
	Directorate.											
	2016: Establish a working group (with delegates											
	from PhDs and postdocs, line managers and HR)											
	to develop adequate measures.											
	to develop duequate medsures.											
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No. Charter & Code	Career Center											
M 05.02.05 30	< 2015: In general no structured career support for young scientists (except within frame of the PSI fellowship program and several initiatives of Lab Heads).  2015: Set-up of an interdisciplinary project team.  2016: Launch of a company named "Career Center AG" in the facilities of PARK innovAARE (one of the five sites of the Swiss Innovation Park) to support PhDs and postdocs, engineers and other professionals in their career with training, advice and personnel procurement. Close cooperation with large international companies as well as small and medium-sized enterprises in the area.	Project Team, CEO of innovAARE AG, Head HR										
	Redesign of Training Center											
N 05.03 39	< 2014: Training Center with two well-established units "School for Reactor Safety" and "School for Radiation Protection".  2014: Intercompany task force develops new organizational structures.  2015: Decision that all existing education activities in leadership, management, research integrity, workplace health & safety shall be concentrated in the "PSI Academy/School for Leadership and Management".  2016: Establishing the new structures.  2017+: Development of the "School for KMU Know-How Transfer".	Head Training Center										



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	<b>Expert Development Program EDP</b>											
0	< 2014: Difficulties in finding professionals for	Project Team										
05.03	important projects. Knowledge is dispersed all											
38	over PSI, know how carriers are not enough											
	visible. In general no structured development											
	program for engineers and other technical											
	professionals without line-management duties.											
	2015: Analysis based on the EDP of ALSTOM											
	2016: Set-up of a project team (driven by the											
	heads of the infrastructure divisions GFA & LOG)											

Key

Detection of issue/analysis <b>or</b> relevant input to change existing procedures	
Development of action plan	
Implementation of actions	Preceeding or following periods in pastel colours
Evaluation and continous improvement process	Preceeding or following periods in pastel colours
Future actions/next steps in italics	