



Wir schaffen Wissen – heute für morgen

Paul Scherrer Institut

Stefan Hirschberg

**Laboratory for Energy Systems Analysis (LEA):
Competences and Highlights**

NES Event 18 March 2015

- Developing, implementing and applying integrated framework for inter-disciplinary technology assessment.
- Developing, maintaining and extending comprehensive and consistent databases relevant for inter-disciplinary systems analysis.
- Developing analytical models and tools to improve understanding of energy technology development and policy strategies for realizing sustainable energy systems at the Swiss, European and global levels.
- Addressing current and emerging safety issues, through the development, evaluation and application of risk analysis and human reliability analysis methods, and the collection and analysis of data and operating experience.

Technology Assessment (TA)**P. Burgherr**

- 9 staff scientists
- 1 Ph.D. student
- 1 vacancy (Ph.D. student))

Energy Economics (EE)**Vacancy**

- 2 staff scientists
- 2 post-docs
- 3 Ph.D. students
- 2 vacancies (GL + Ph.D. st.)

Risk & Human Reliability (RHR)**V. N. Dang**

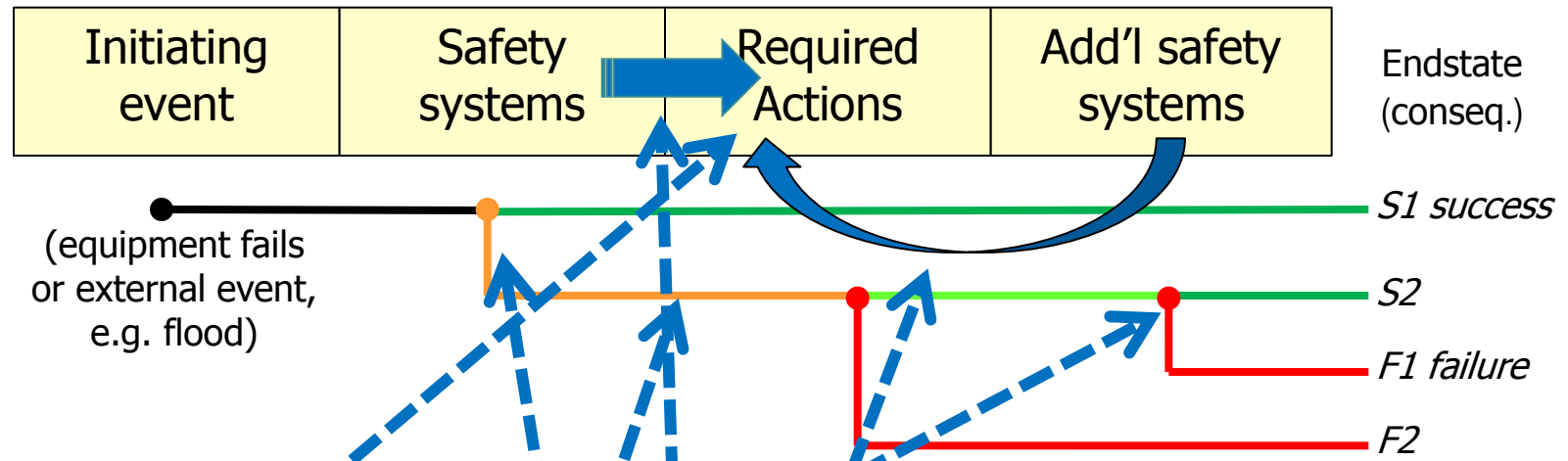
- 5 staff scientists
- 1 post-doc
- 1 Ph.D. student
- 1 vacancy (Ph.D. student)

Personnel

- Currently 17 staff scientists (including Lab-head); thereof 5.8 PSI positions
- 3 Post-docs, 5 Ph.D. students
- 4 vacancies (+ 9 Future Resilient Systems Singapore)
- High number of Master/Bachelor students and internships
- Inter-disciplinary and multi-national (15 countries)
- Personnel with German as mother tongue (6), Swiss (4) and women (7)

Scope

- Current and future fossil, nuclear and renewable technologies; current and future mobility
- National, regional and global energy issues
- Risk-based perspective on human-related safety issues and innovative PSA applications



A. Basic, classical HRA: Identify, Characterize, Quantify

- Situation assessment (decision) + implementation

B. “2nd order” issues: “Errors of commission”. Undesired, aggravating actions, not foreseen in design, emergency procedures, training.

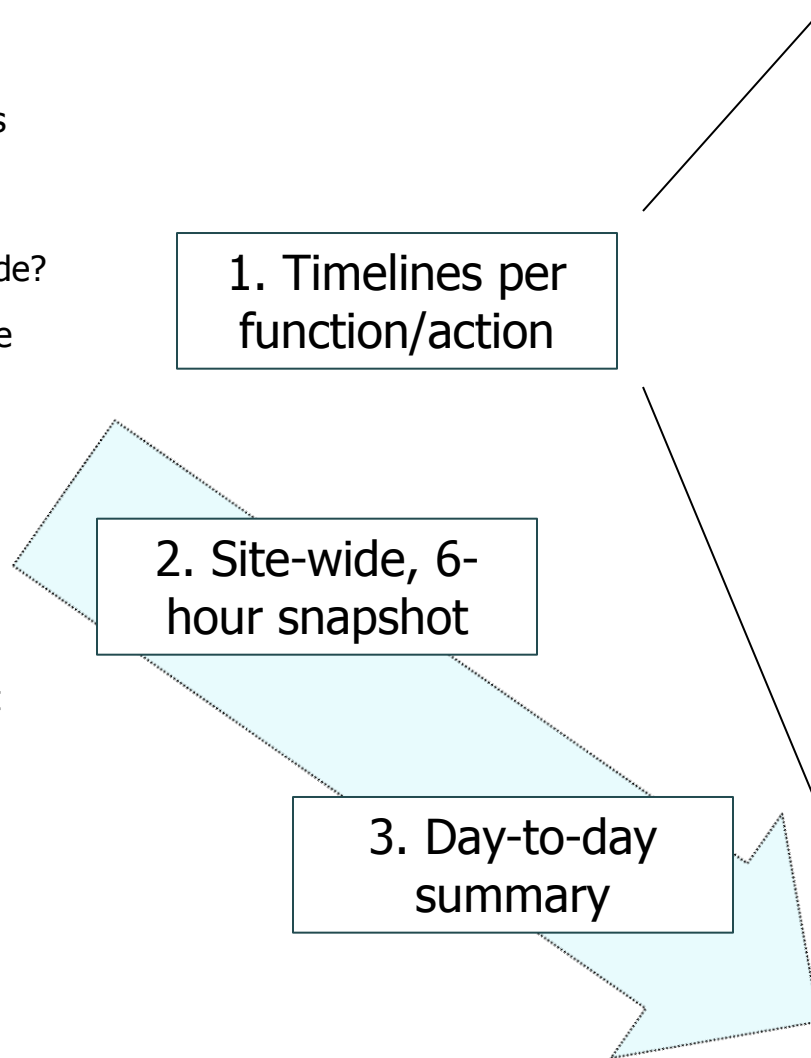
- Can be postulated almost anywhere – need efficient screening
- Predicting these decision failures and estimating their probabilities even more difficult

C. Dynamic PSA: Simulation-based risk assessment

- Eliminate (some) simplifications made in order to handle numerous combinations of initiating events and failures, e.g.. quasi-static model above
- Dynamic event trees – simulation model combined with failure model generates order of headers, considers variability of timing. Also allows propagation of physical uncertainties.

Questions

- What did decision-makers know about state of the units, over time?
- When were decisions made?
- What procedural guidance (EOPs, SAMG, AM) was available?
- How long did implementation require?
- Was implementation successful? (why not?)
- Personnel and equipment resources?



"Action" phases	
Decision / cognition	<i>Pre-decision (evaluate/develop options)</i>
	Strategy (set or change goal)
	<i>Plan (develop/adapt implementation plan or procedure)</i>
	Decision - initiate
Implementation	<i>Pre-implementation (collect and stage equipment)</i>
	Implementation - start
	Implementation – completion of actions
	<i>Implementation – outcome (effective, failed, etc)</i>

- Decisions, outcomes of actions, contributors to delays
- Shortcomings of procedures and guidance
- Performance issues

Site-wide, 6-hour snapshot (excerpt)

Day	Time	Events	Goals
03-11	1800-0000	1830 U1 freshwater inj. ready but reactor pressure is too high 2007 U1 reactor pressure read locally 2049, 2158 U1&U2 CR temporary lighting; U3&U4 temporary lighting 2350 U1 containment pressure read, near design pressure	From afternoon, batteries collected from buses etc. to power instrumentation; small generators collected (for temporary lighting, and for instruments).
03-12	0000-0600	0000-0400 aftershocks delay actions 0400 U1 – fire engine connected (higher discharge head than D/D fire pump) 0430 Tsunami warning and suspension of field work (duration unclear) Arrival of power supply trucks from offsite.	0006 U1 decision to prepare venting plan 0130, U1 venting strategy decided, pending (offsite) evacuations 0245 U1 decision to use fire engine for inj.
	0600-1200	0546-1430 U1 freshwater inj. at low rates 0720 Low voltage for U1 provided	Cabling of power for U1 & U2 0905 U1 venting decision (alignments begin 0915)
	1200-1800	1430 U1 venting succeeds (rupture disk ruptures) 1453 U1 freshwater runs out 1530 High voltage for U2 provided 1536 U1 explosion	1454 U1 switchover to seawater
	1800-0000	1904 U1 successfully inj. seawater 2036 loss of U3 reactor level indication due to instrumentation batteries.	[Note: 2045 end of main U1 timeline from INPO]
03-13	0000-0600	0242 U3 HPCI manually tripped. Communicated to ERC at 0355.	0355 U3 depress (SRVs), batteries, seawater injection decisions 0515 U3 venting decision 0700 U3 freshwater prioritized

Day-by-day summary

Day	Goals	Key events (completion, setbacks)
3-11 (Day 1)	U1 injection, depressurization	1830 U1 freshwater inj ready but RV press too high
3-12 (Day 2)	U1 injection, venting Air supply for operation of valves for U1 containment venting	0000-0400 21 aftershocks 0546 U1 injection but low flowrate due to press 1430 U1 venting, allowing injection (freshwater) by fire engine, <i>success</i> 1536 U1 explosion (damage to fire engine used for U1 freshwater injection; suspension of field work until 1720) 1904 U1 injection (seawater) by restaging to use fire engine connected to FPS
3-13 (Day 3)	U3 venting, injection U2 venting, RV depress., injection Scavenging batteries for U3 (a.m.)	0920 U3 venting successfully started, <i>noted by ERC</i> 0925 U3 injection (freshwater) 1100 U2 venting failed 12—aftershocks with evacuation 1313 U3 injection (switchover to seawater) 2100 U2 venting (2 nd attempt) failed
3-14 (Day 4)	Seawater level in U3 condenser pit U3 restore/maintain injection U2 venting, RV depress., injection	0110 low seawater level 0900 condenser pit seawater level restored, <i>success</i> 1101 U3 explosion 1443-1630 aftershocks [p. 253-254 Hatamura interim] 1630 U3 restoration of seawater injection 1800 U2 SRV opened, decrease of RV level 1954 U2 injection (seawater) at low rate 2130 U2 2 nd SRV opened
3-15 (Day 5)	Spent Fuel Pool	0600 U4 explosion <i>(0600 U2 containment breach suspected)</i>

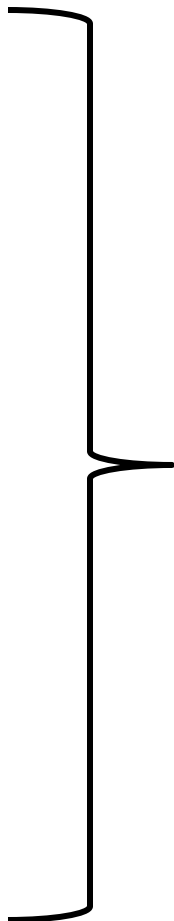
Actions: Outcomes, durations, delays

Function/action	Decision	Outcome/operation	Time to achieve	Additional details
U2 freshwater and seawater inj (preparation)	03-12/early hours ~0255 (staging only)	The seawater equipment is damaged by the U1 explosion at 03-12/1536.		U1 venting had priority from 03-12/0255. In parallel, workers at U2 worked to stage injection, planning to use fire pumps for fresh water and seawater.
U2 containment venting (strategy and preparation)	03-12/1730 (prioritized)	03-13/0810 first alignment took place, with opening of MO containment vent valve.		U2 containment venting was prioritized.
U2 venting (1)	03-13/1015	03-13/1100 (venting not successful)	45'	03-13/1015 is the actual order to vent, presumably the opening of the AO drywell and/or suppression chamber vent valve. Containment pressure below rupture disk setpoint and inability to keep vent valves open.
U2 seawater inj (1)	03-13/1205	Ready by 03-13 late afternoon.		03-13 evacuation orders due to aftershocks Lack of seawater 03-14/0110-0900 with priority for U3, U3 explosion damage at 03-14/1101.
U2 venting (2)	03-14/1230	03-14/2100 (not successful)	8.5h	U3 explosion at 03-14/1101 and aftershocks, both leading to suspensions of field work until 1600.
U2 RPV depress	03-14/1230	03-14/1800 (1 st SRV) and 2120 (2 nd SRV)	5.5h then 3+h	Evacuation order in force until 03-14/1600
U2 seawater inj (3)	03-14/1230 or 1325	03-14/1954 (actual start but no injection due to reactor pressure) 03-14/2120 (limited success after 2 nd SRV opened)	7h	U3 explosion at 03-14/1101 damaged equipment staged for U2, and evacuation order in force until 1600. 03-14/1630-1800 attempt to open an SRV and to align seawater injection. No seawater available (prioritized for U1 and U3 until 03-14/1957)

Background

• Shortcomings of emergency preparedness were identified by many organizations relatively quickly

- Inadequacy of protections against tsunamis exceeding the design basis
 - Design basis for Loss of Offsite Power: assumed short-term AC power only
 - Severe Accident Management assumed AC power available within 30 minutes, including credit for AC power from neighboring unit
- Not analyzed
- Design basis exceedance curve for tsunami (and, correspondingly, no PSA treatment of tsunami as consequence of earthquake)
 - SAMG based on internal events PSA only (no seismic, no tsunami, no area events affecting multiple units)



Became assumptions underlying Accident Management guidelines and procedures

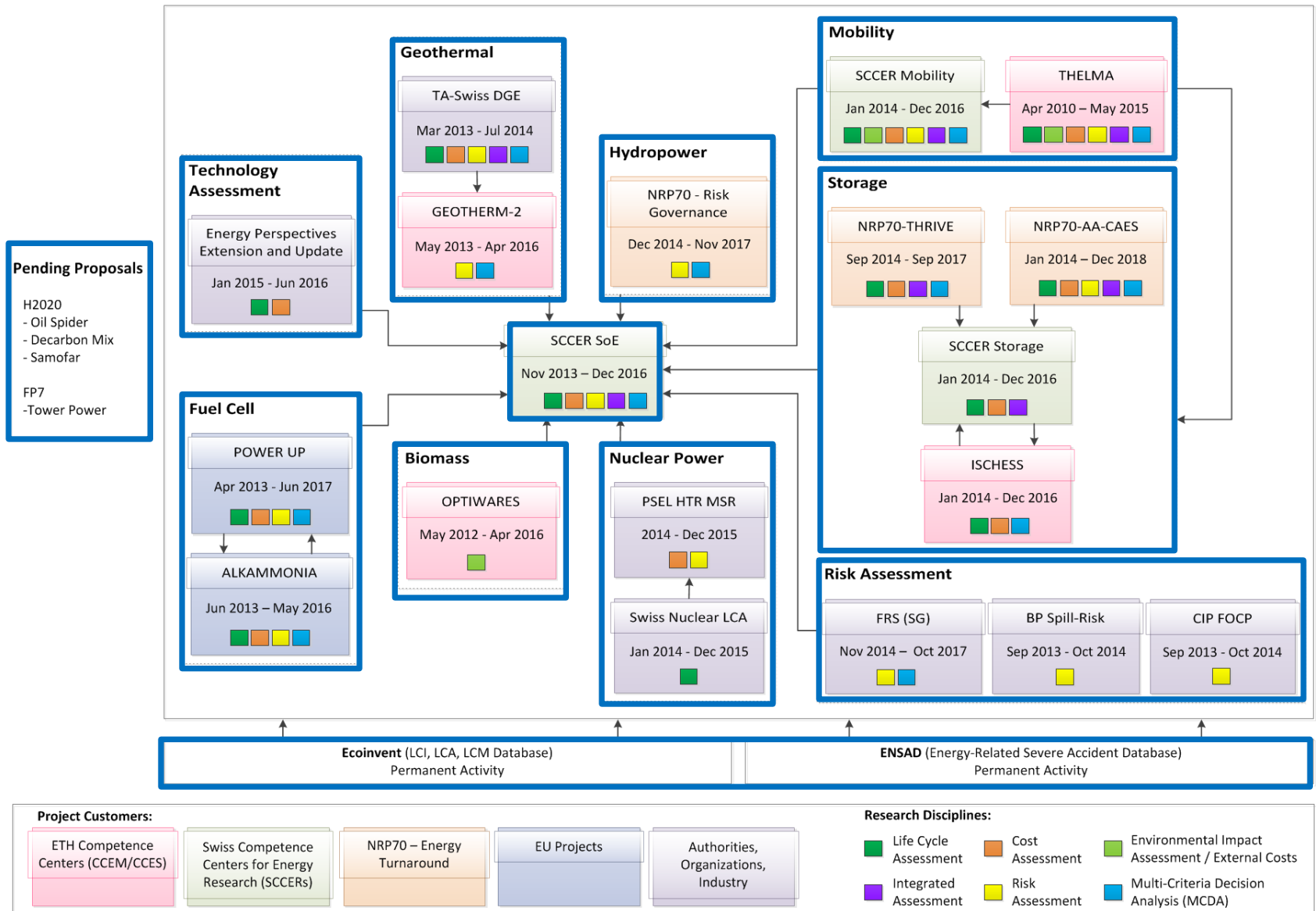
Fukushima analysis - Findings

- Critical assumptions of AM guidance and procedures were not satisfied in event
 - AC available within 30 min
 - DC available 8 hours
 - Operability from control room
 - Instrumentation available in control room
 - Loss of most on-site communications
- Power for instrumentation and actuation of equipment had to be improvised.
 - Scavenged batteries shared between instrumentation and actuation (*10 car batteries = 120 V DC*)
 - Compressors for actuation power
- “Foreseen” AM measures included no contingency for loss of all power, so plans needed to be developed ad hoc
 - Reactor depressurization (opening of SRVs)
 - Containment venting
- Essential AM measures that were needed in event were not foreseen, also had to be developed ad hoc
 - Water injection using fire engine
 - Injection of seawater
- Not foreseen => no procedure, no training, documentation not readily available (P&IDs), no equipment (hoses, connectors, etc.)

Major delays

- Suspensions of field work due to tsunami warnings and earthquake aftershocks
- Unit 1 and Unit 3 reactor building explosions, scattering radioactive debris
 - led to suspensions of work
 - damaged staged equipment, e.g. U2 injection
- Lack of resources (people, batteries) to pursue additional strategies in parallel, e.g. U2 and U3 venting / depressurization
 - Reports confirm that RCIC (U2) and HPCI (U3) operation explicitly used by ERC to prioritize implementation
- Strategies selected early (containment venting as well as seawater injection) but massively hindered by loss of all AC and DC and no anticipation of this condition

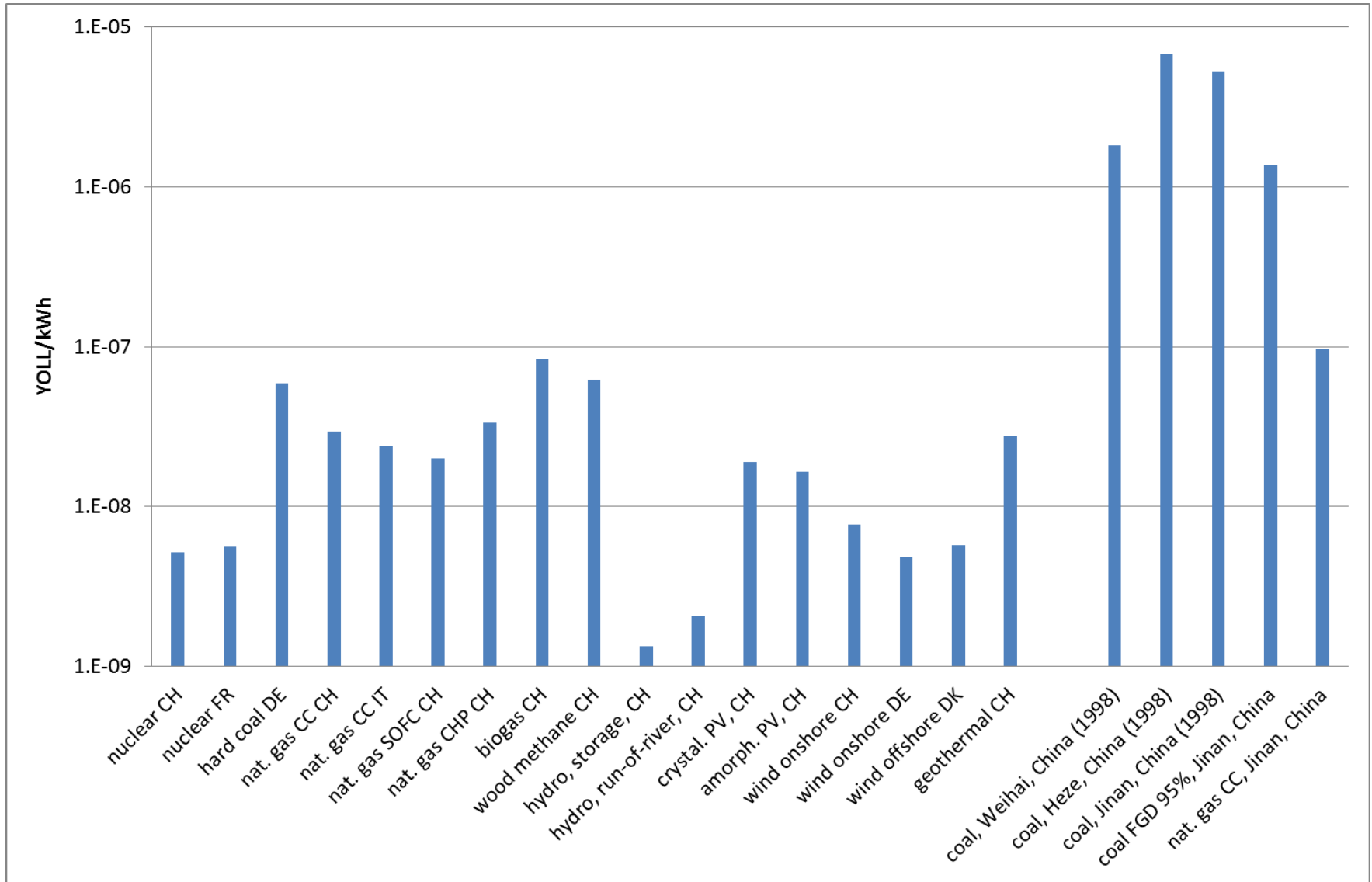
Projects Relationship Diagram



Health impacts of electricity generation: Normal operation, accidents, terrorism

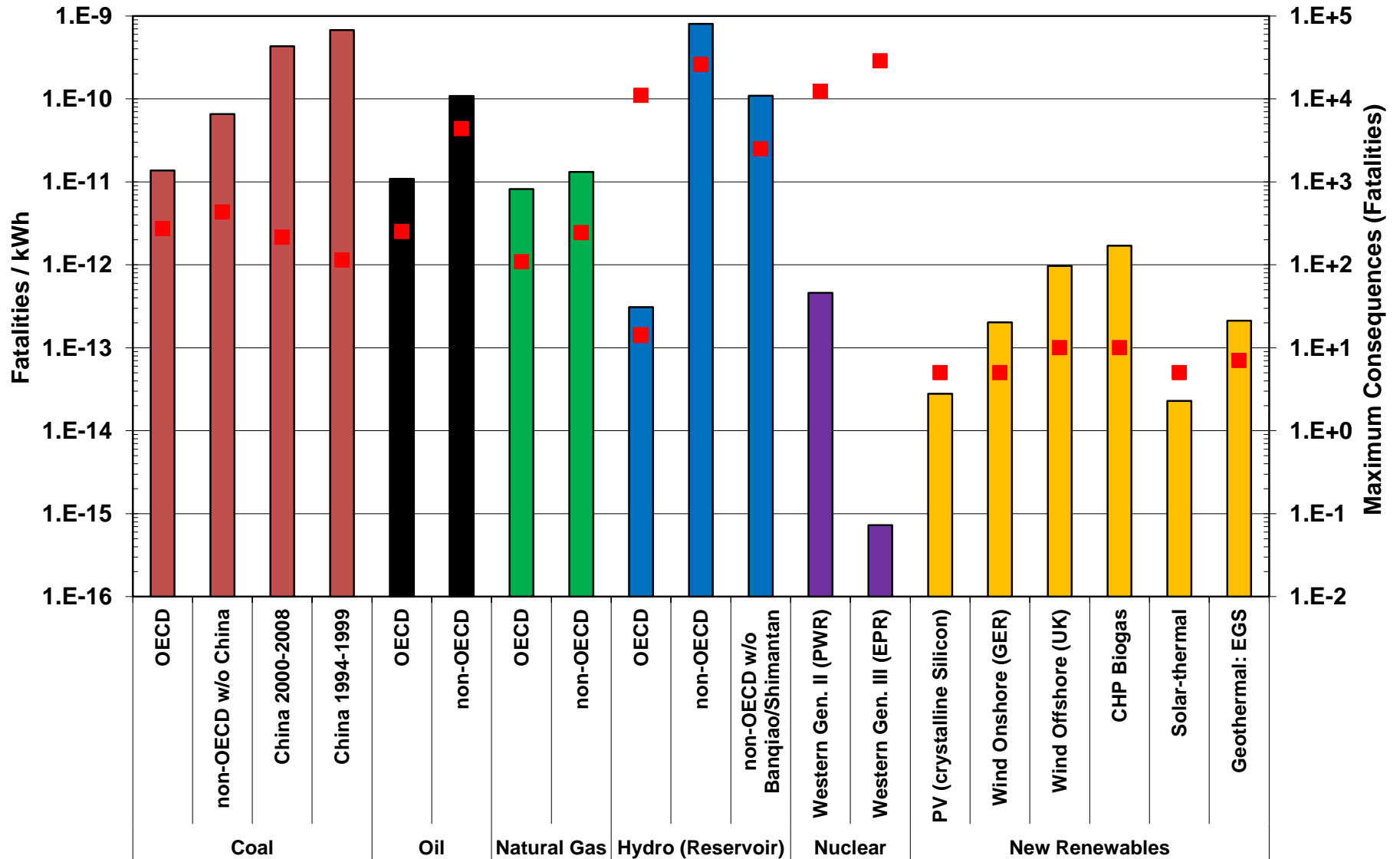
- Minimization of health impacts is one of the goals of sustainable energy policies.
- High public interest but serious misunderstandings and deficiencies of available analyses.
- Questions addressed:
 - How large are health effects associated with various electricity generation technologies and fuel cycles?
 - How do health risks from normal operation compare with those resulting from accidents and hypothetical terrorist attacks?
 - Which are the major limitations of the current estimates?

YOLL = Years of Life Lost



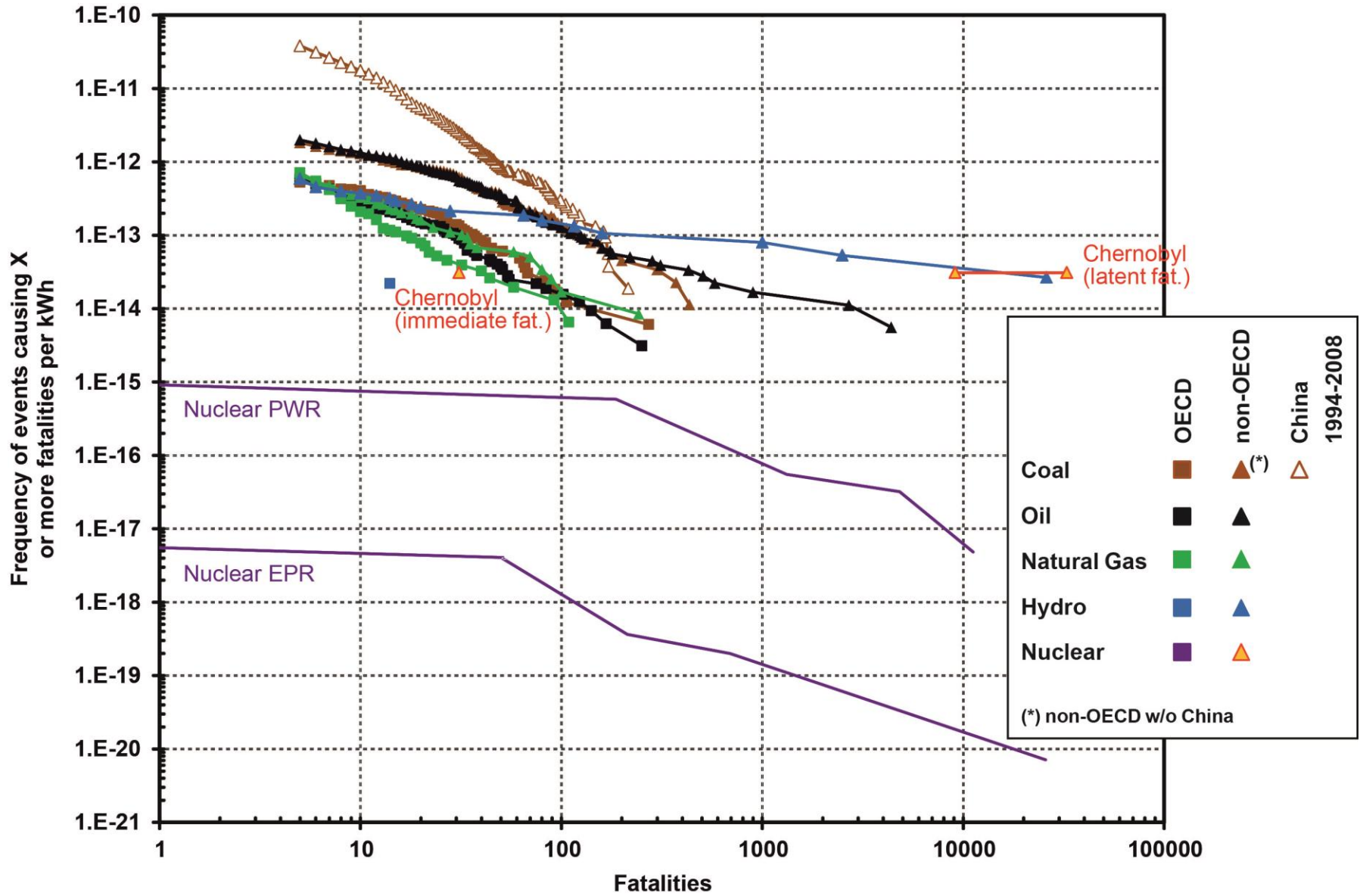
Sources: after Hirschberg et al., 2003; Heck & Hirschberg, 2011; Roth et al., 2009; Hirschberg et al., 2014

Severe accident fatality rates and maximum consequences

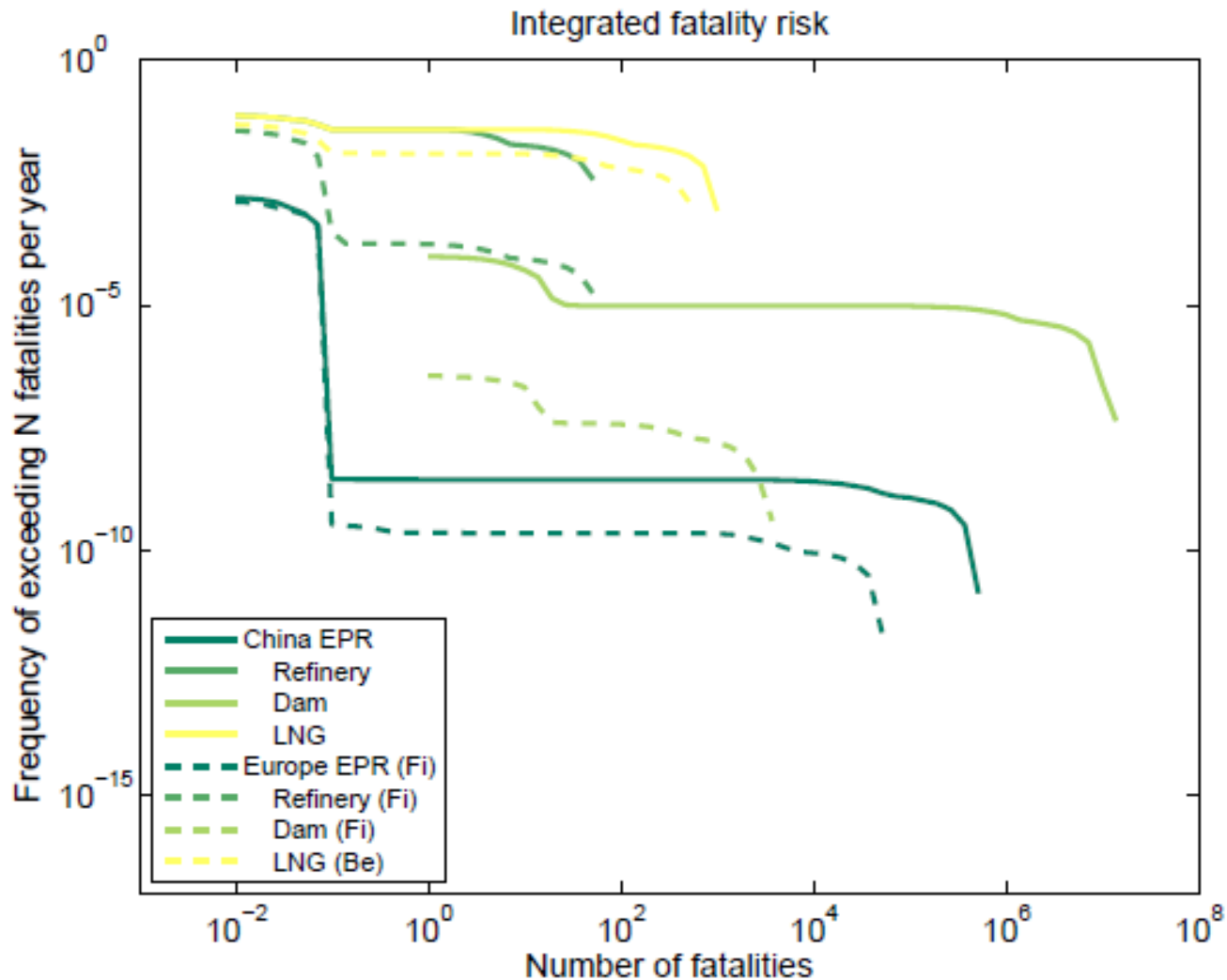


Sources: after Burgherr, 2011; Burgherr et al., 2013; Burgherr et al., 2014; Burgherr & Hirschberg, 2014

Frequency-Consequence Curves: OECD & non-OECD (1970-2008)



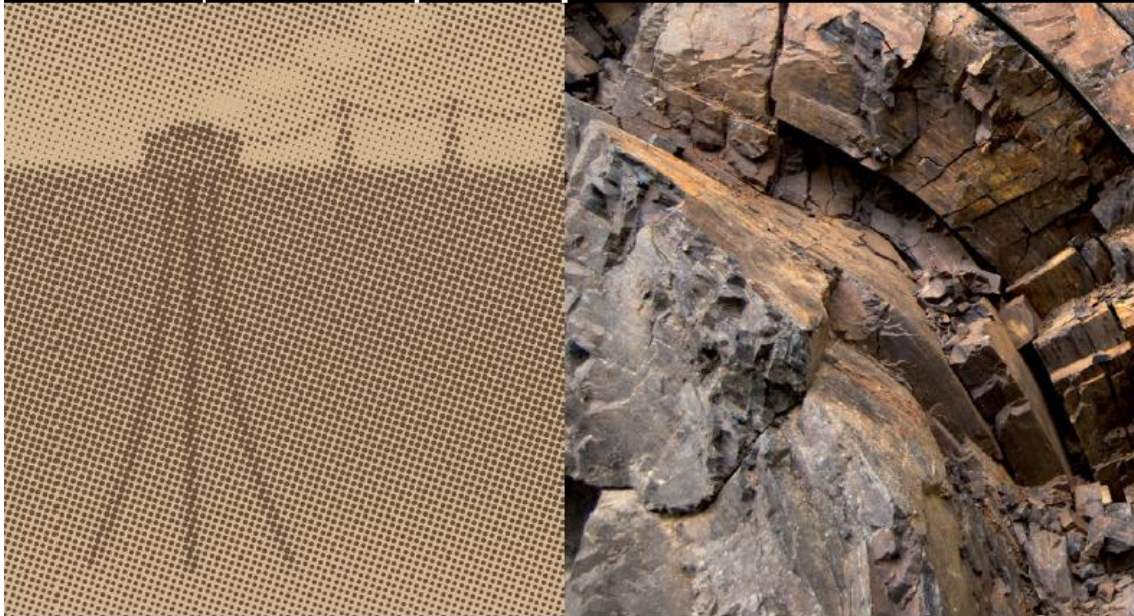
Sources: after Burgherr et al., 2013; Burgherr & Hirschberg, 2014; Hirschberg et al., 2014



Source: Eckle, Cazzoli, Burgherr & Hirschberg, 2010

- **General:**
 - State-of-the art approaches to comprehensive comparative assessment of the various contributions to health risks of energy systems established and applied
 - Importance of covering full energy chains
 - Strong dependence on technologies, location and operational environment
 - Dominance of health impacts from normal operation
- **Normal operation risks:** Renewables and nuclear mostly exhibit very good performance with hydro being the best option; coal ranks mostly worst while performance of natural gas is mixed.
- **Severe accidents risks:** Lowest fatality rates apply to hydro and nuclear in OECD countries though in both cases events with very low frequency can lead to quite extreme consequences.
- **Terrorist threat risks:** Frequency of a successful terrorist attack with very large consequences is of the same order of magnitude as can be expected for a disastrous accident in the respective energy chain.
- **Limitations:** Choice of reference technologies, geographical coverage, treatment of health impacts of climate change, solar PV accident risks, cyber risks and implementation of terrorist risk assessment.

Zentrum für Technologiefolgen-Abschätzung
Centre d'évaluation des choix technologiques
Centro per la valutazione delle scelte tecnologiche
Centre for Technology Assessment



*Stefan Hirschberg, Stefan Wiemer,
Peter Burgberr (eds.)*

Energy from the Earth

Deep Geothermal as a Resource
for the Future?

- Research consortium:
4 organizations
32 scientists
- Highly inter-disciplinary
competences
- Effort: ~ 5 person-years
- Duration: ~18 months
- Report: ~500 pages
- Very high media echo
- Recognition (BFE etc.)

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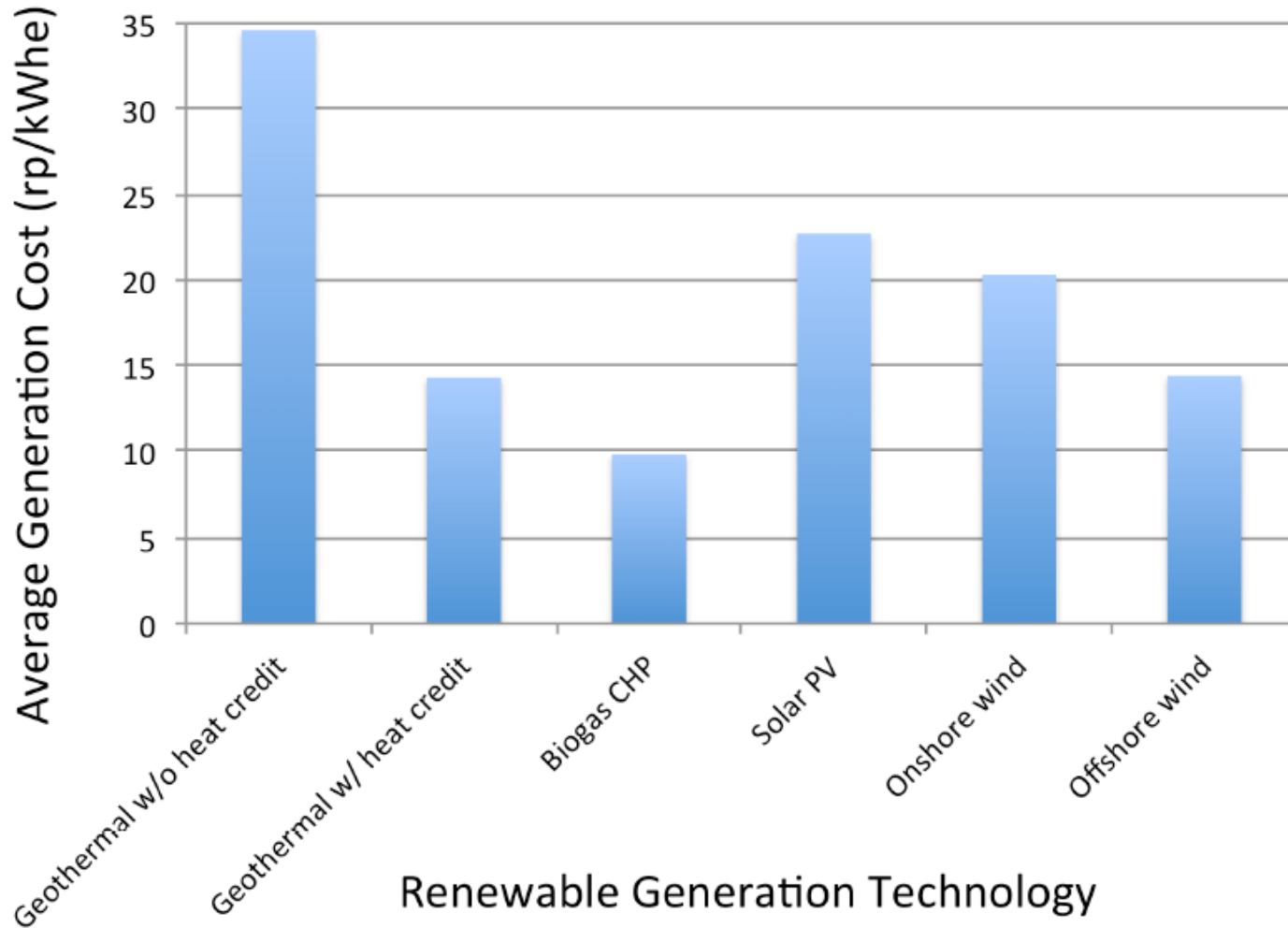
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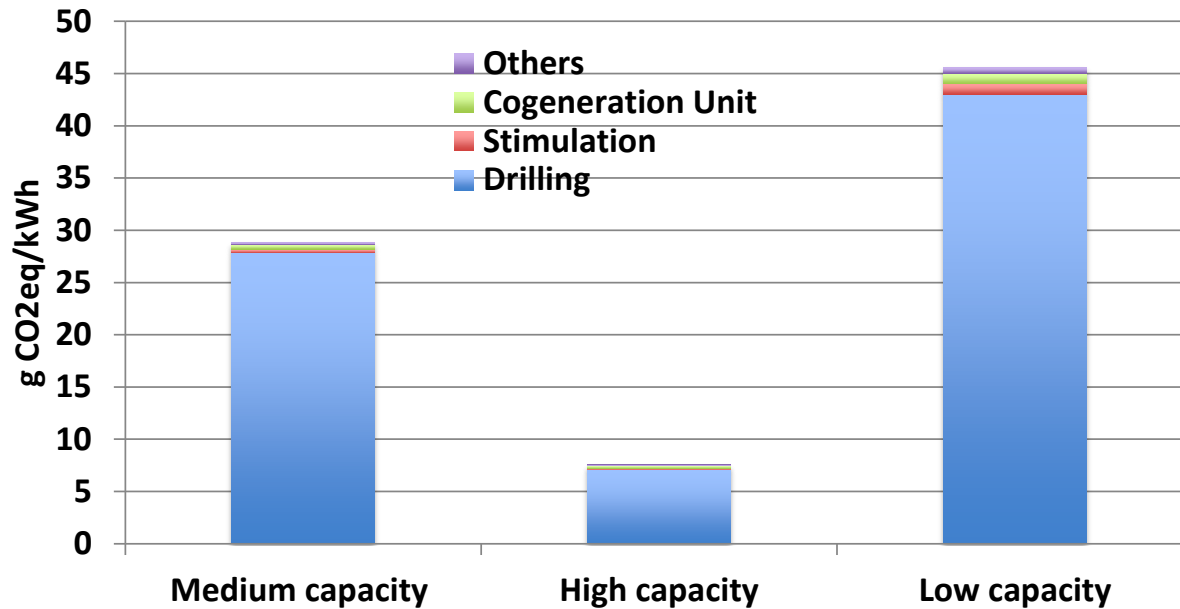
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Costs of deep geothermal power vs. other technologies



Source: Schenler, 2014

Impact assessment results – Climate change

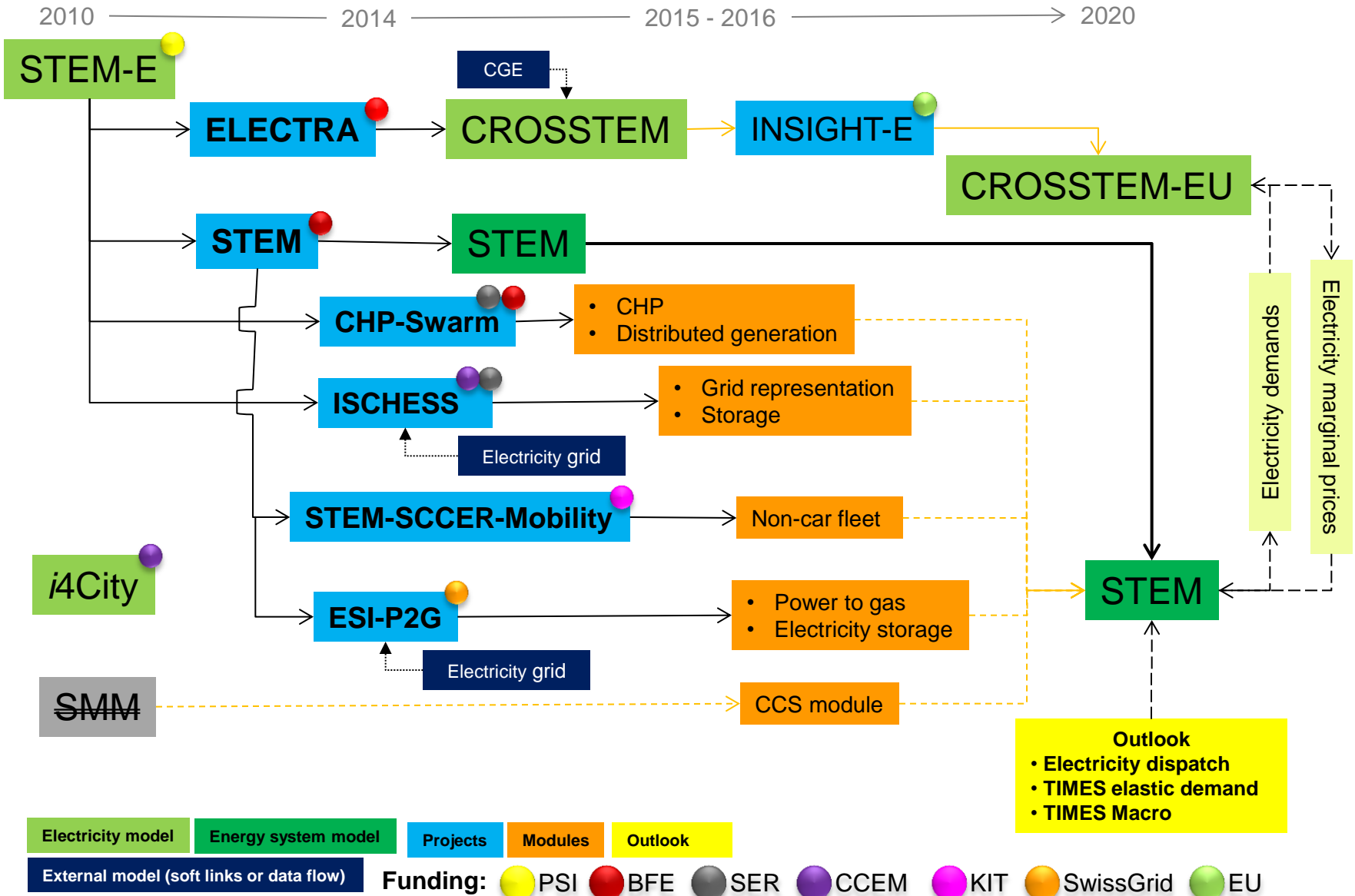


«Others»: Refrigerant loss during operation, pump material, land use

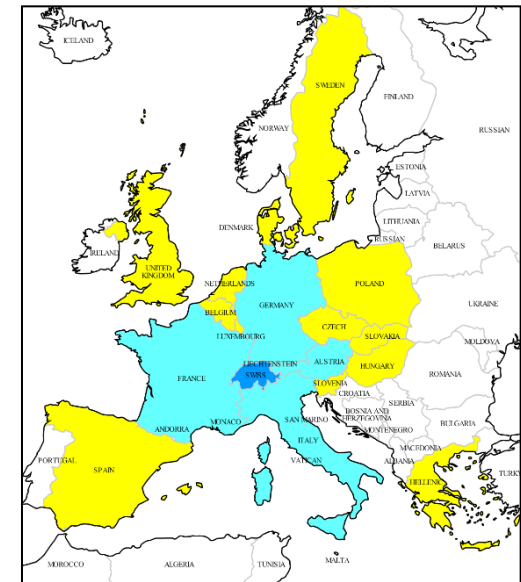
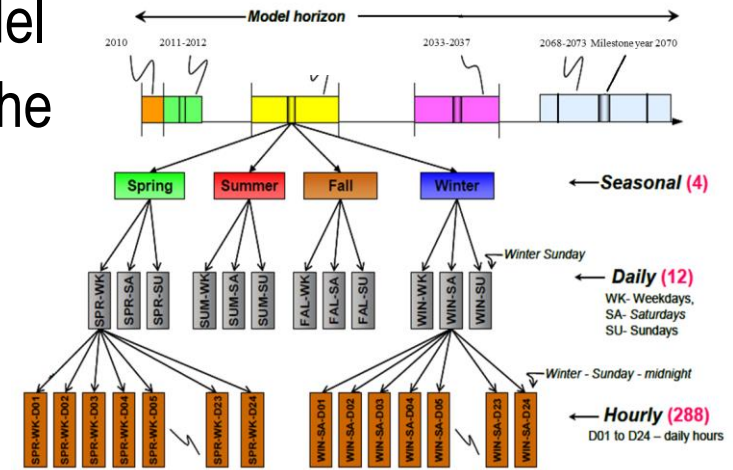
Source: Treyer et al., 2014

Plant net capacity	5.5 MWeI	14.6 MWeI	2.9 MWeI
Gradient	35°C/km	40°C/km	30°C/km
Depth of wells	5 km	6 km	5 km
Number of wells	6 (2 well triplets)	3 (1 well triplet)	3 (1 well triplet)
Surface plant life time	30 a	30 a	20 a
Well life time	20 a	30 a	20 a
Production flow rate	147 l/s (2*73.5)		
Surface system	Organic rankine cycle (ORC)		
Cooling system	Air cooling		
Rig power source	Electricity		

- **The EGS potential** is large provided a combination of **cost reductions, heat sales and efficient use of the resource.**
- It has so far proved **difficult to create a petrothermal reservoir to allow commercial flow rates**, without the benefit of pre-existing, highly-permeable fracture zones and faults.
- **EGS technology is not mature and requires a program of basic research** before it is ready for large-scale deployment.
- **Environmental burdens of EGS** are lower or of the same order as those of other electricity generation technologies in Switzerland.
- The contribution of **geothermal** to the **security of energy supply** should be more strongly emphasized.
- **Earthquake risks** can be controlled, but **not eliminated**. The success and economy of geothermal energy will depend on the level of **socially acceptable risk**.



- **CROSs** border **Swiss TIMES Electricity Model**
- Extension of the STEM-E model to include the four neighbouring countries
- Time horizon: 2010 – 2070
- An hourly timeslice (288 timeslices)
- Detailed reference electricity system with resource supply, renewable potentials and demands for 5 countries
- Calibrated for electricity demand and supply data between 2000-2010
- **Endogenous** electricity import / export based on costs and technical characteristics

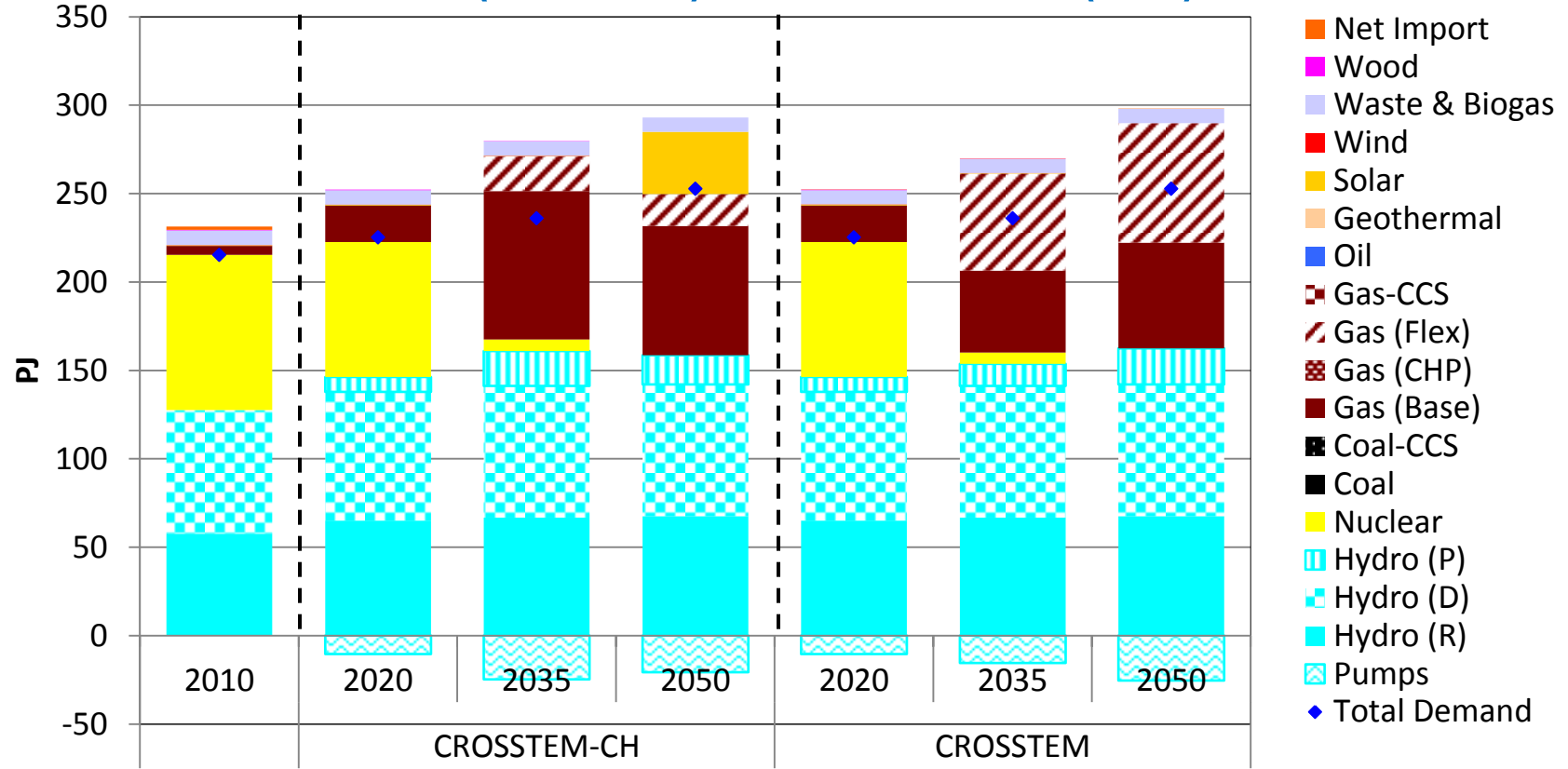


CROSSTEM Scenarios

	<h2>CROSSTEM Scenarios</h2>
Sc.1	<p>Baseline scenario</p> <p>No particular constraints in technology investment*</p> <p>Trade constraints applied – net exporter (France, Germany) cannot become net importer (Italy, Austria) and vice versa</p> <p>Switzerland self-sufficient</p> <p>CO₂ prices for allowances in the ETS as in WWB (SES 2050)</p>
Sc.2	<p>De-carbonization of power sector (95% CO₂ reduction by 2050 from 1990 levels) for all five countries together</p> <p>All other conditions same as Sc.1 (including trade constraints)</p>
Sc.3	<p>No gas based generation in Switzerland</p> <p>Trade constraints relaxed for CH only (allowed to be a net importer)</p> <p>All other conditions same as Sc.2</p>

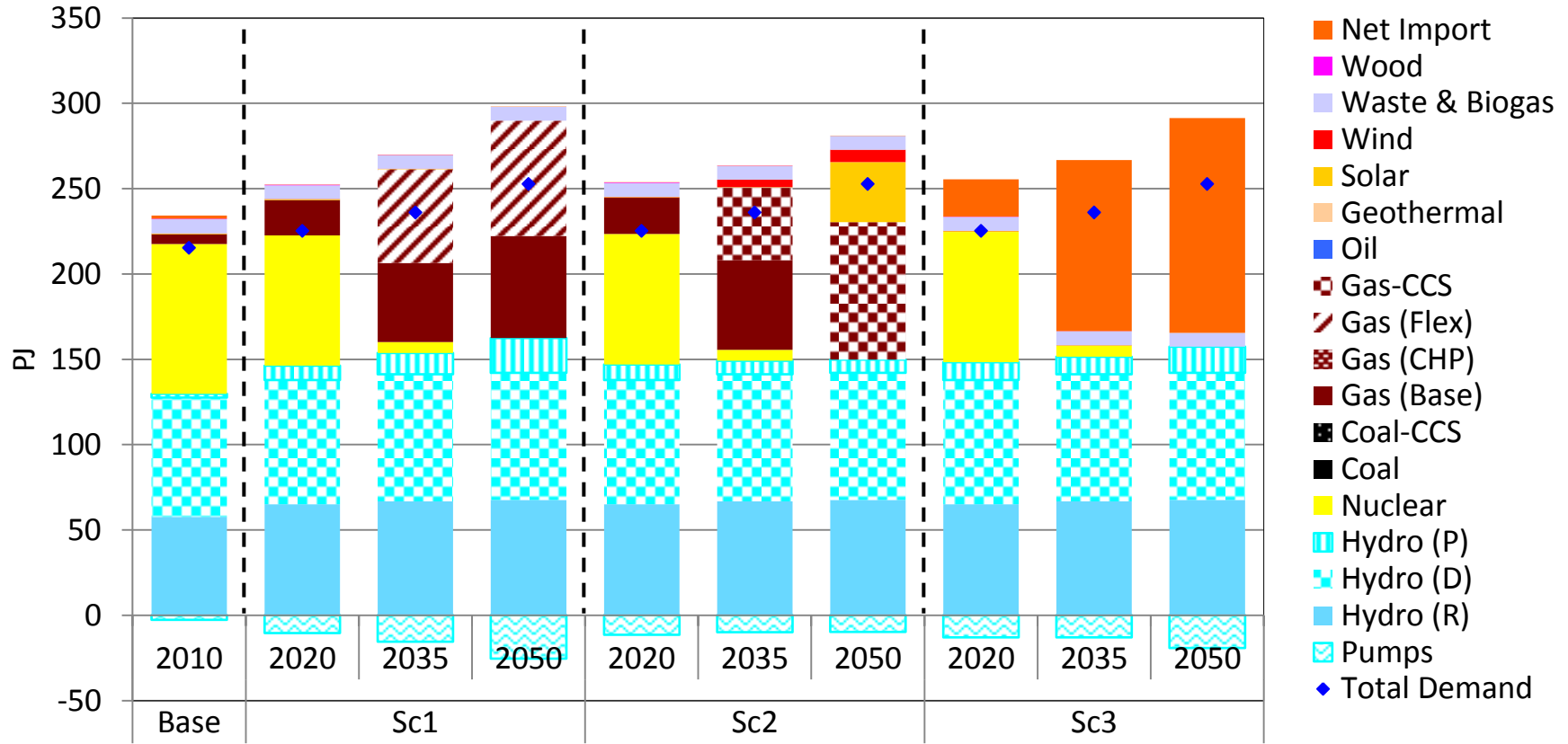
* except where already part of policy: e.g., Nuclear phase-out in Switzerland (CH) and Germany (DE), no nuclear investment in Italy (IT) and Austria (AT). No Coal investment in Switzerland (CH).

Switzerland – STEM-E (Baseline) vs CROSSTEM (Sc1)



- No Solar PV in CROSSTEM, more flexible gas plants
- Import/Export costs as well as surrounding country electricity profiles cause this difference

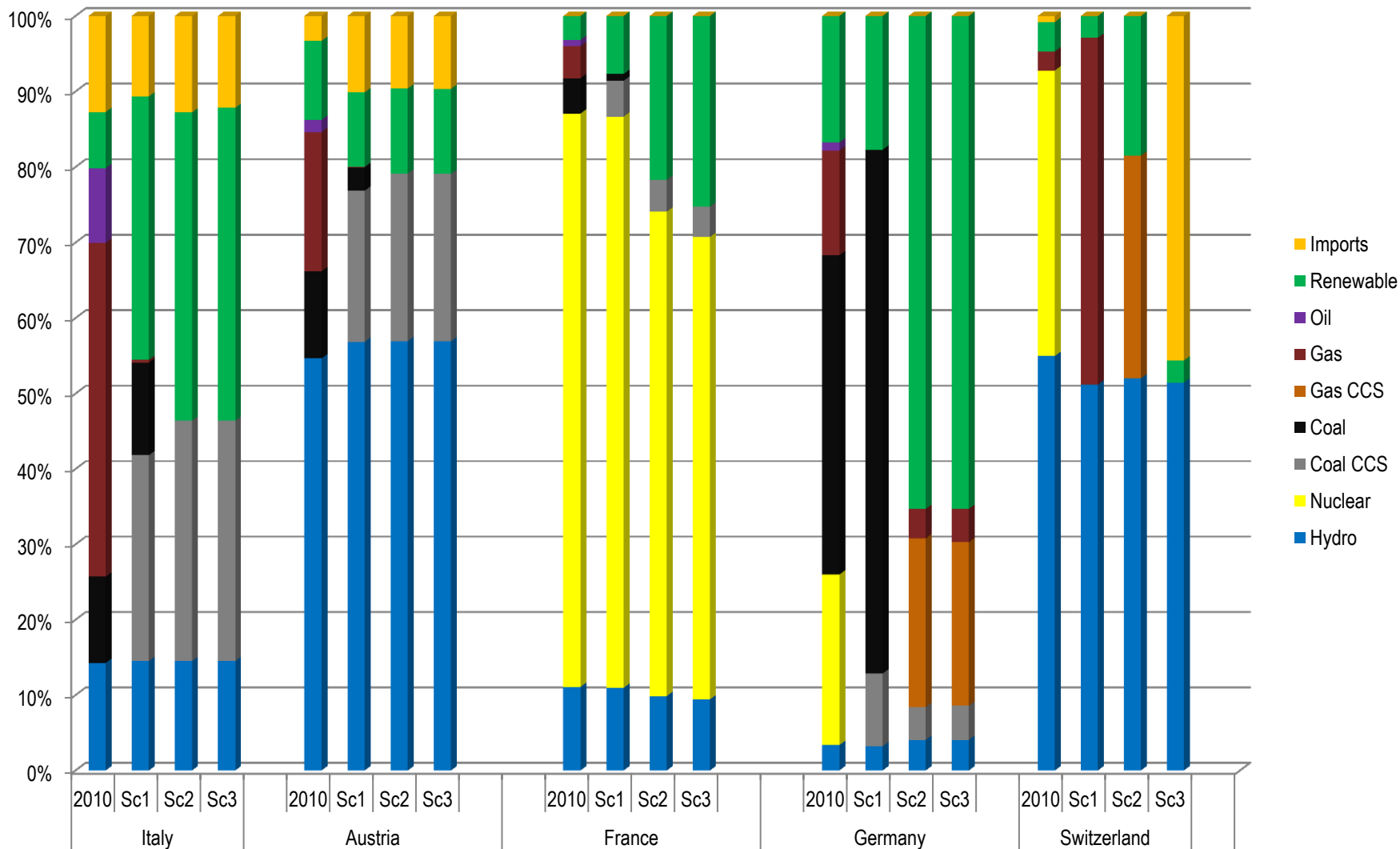
Switzerland – All CROSSTEM scenarios



Source: Pattupara & Ramachandran, 2014

- Sc2 – Gas plants replaced by gas CCS + renewables, lower pump hydro (higher electricity price)
- Sc3 – Imports preferred to investments in renewables, Investments made elsewhere

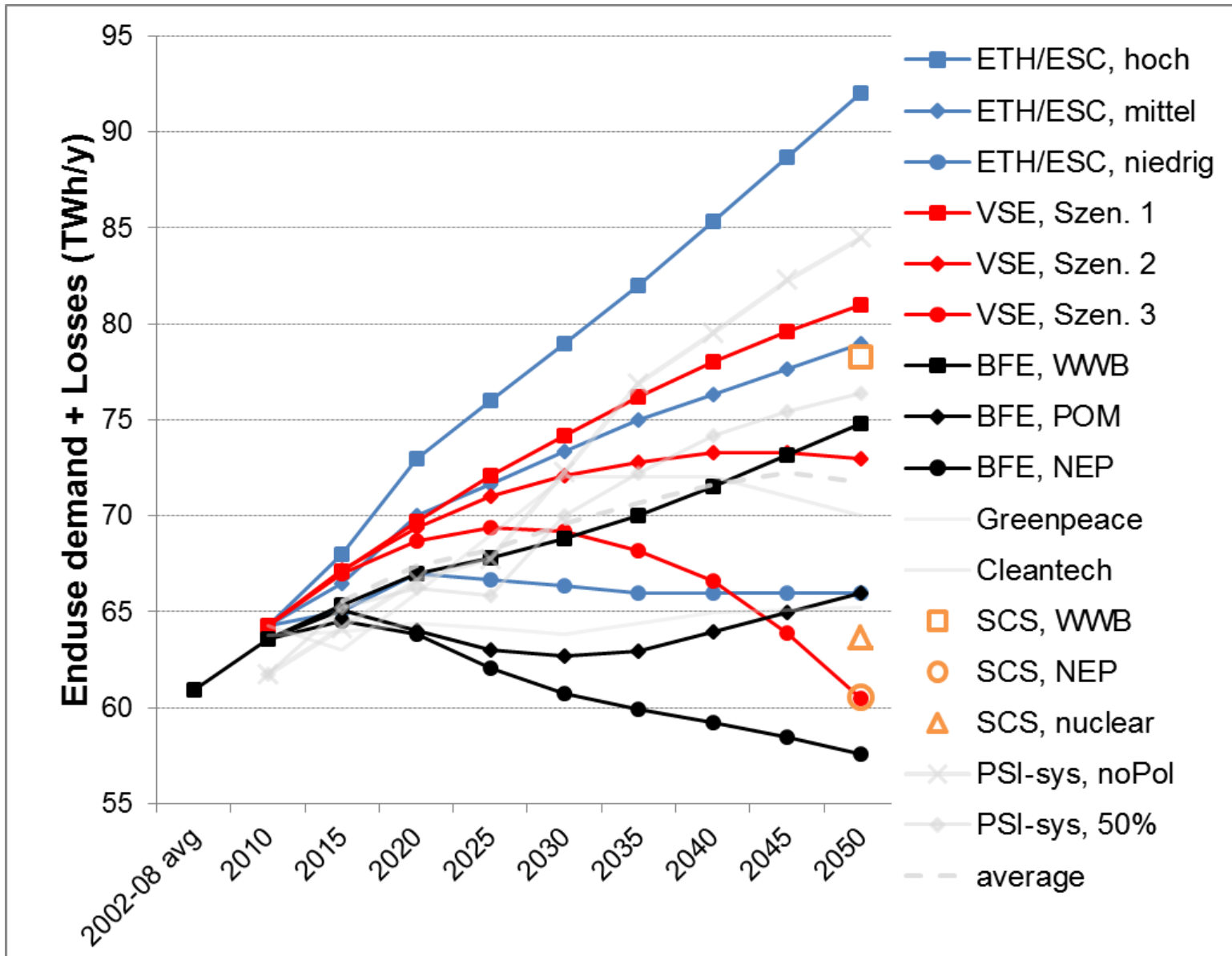
Electricity generation mix 2050



Study	Full name	Author (Modeller)	Year	System scope
BFE	Energieperspektiven für die Schweiz bis 2050	BFE (Prognos AG)	2012	Energy system
VSE	Stromzukunft Schweiz	VSE (Pöyry AG)	2012	Electricity
ETH / ESC	Energiezukunft Schweiz	G. Andersson, K. Boulouchos, L. Bretschger	2011	Energy system
SCS	SCS-Energiemodell	A. Gunzinger (SCS AG)	2013	Electricity
Greenpeace	Energy [r]evolution	S. Teske, G. Heiligtag (DLR, SCS AG)	2013	Energy system
Cleantech	Energiestrategie	F. Barmettler, N. Beglinger, C. Zeyer	2013	Energy system
PSI-sys	Transformation strategies towards a sustainable Swiss energy system – energy-economic scenario analysis	N. Weidmann	2013	Energy system
PSI-elc	Swiss electricity supply options (Energie-Spiegel 21)	R. Kannan, H. Turton	2012	Electricity

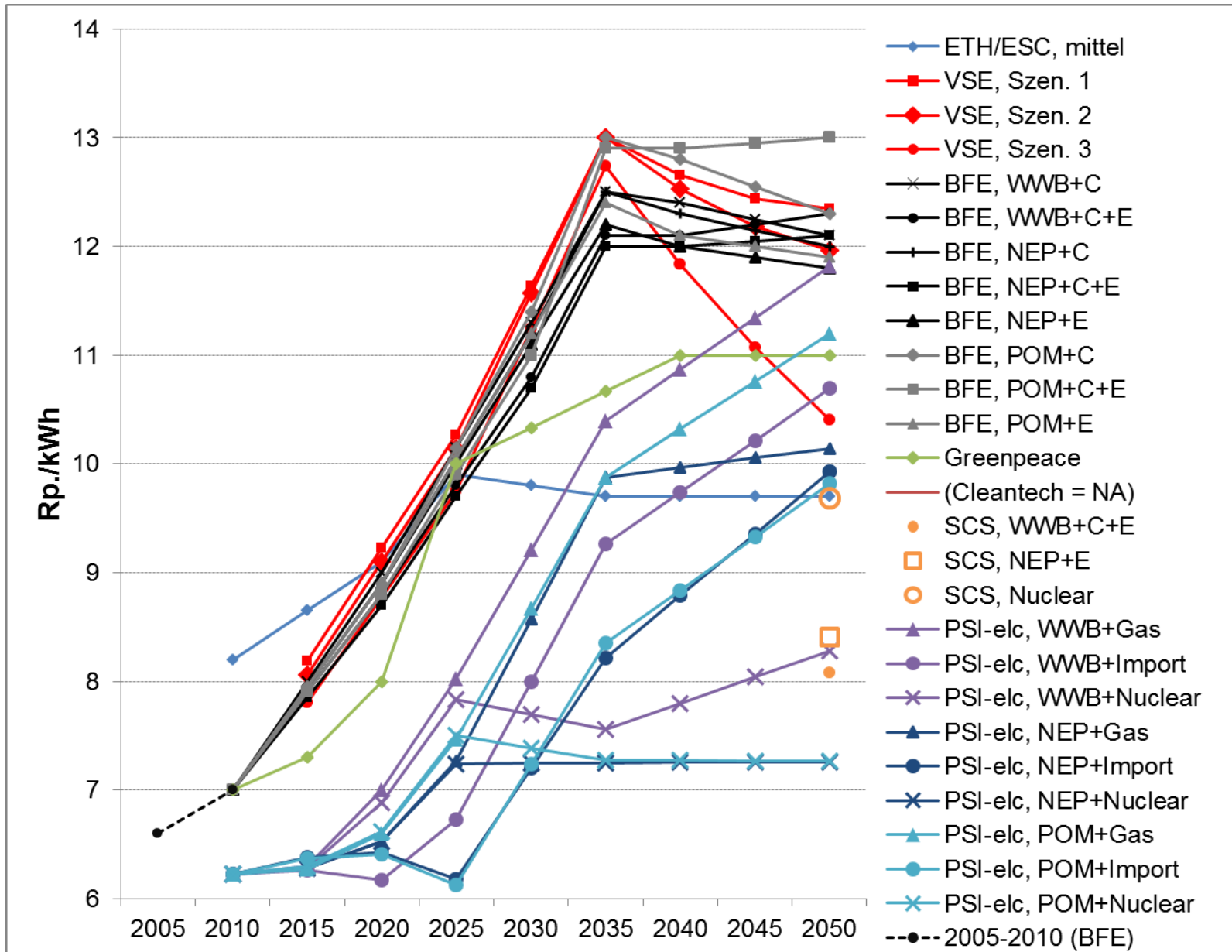
Overview of models

Study (electricity only)	Electricity demand model (if no model: data from)	Capacity expansion model	Dispatch model	Modelling of energy system network	Speciality
BFE	Simulation	Simulation	Simulation	na	
VSE (elc)	Simulation	Optimization		na	Cap./Disp. model also for neighbouring countries
ETH/ESC	Simulation	Simulation	na	na	3rd model used for the whole economy (labour, capital, energy)
SCS (elc)	(from BFE)	na	Simulation	na	Model is only for year 2050
Greenpeace	Simulation	Simulation	(from SCS)	yes	Electricity demand is endogenous (?)
Cleantech	Simulation	Simulation	na	na	no costs (not even ex-post)
PSI-sys	Optimization		na	yes	Electricity demand is endogenous
PSI-elc	(from BFE)	Optimization		na	«typical hour» for dispatch



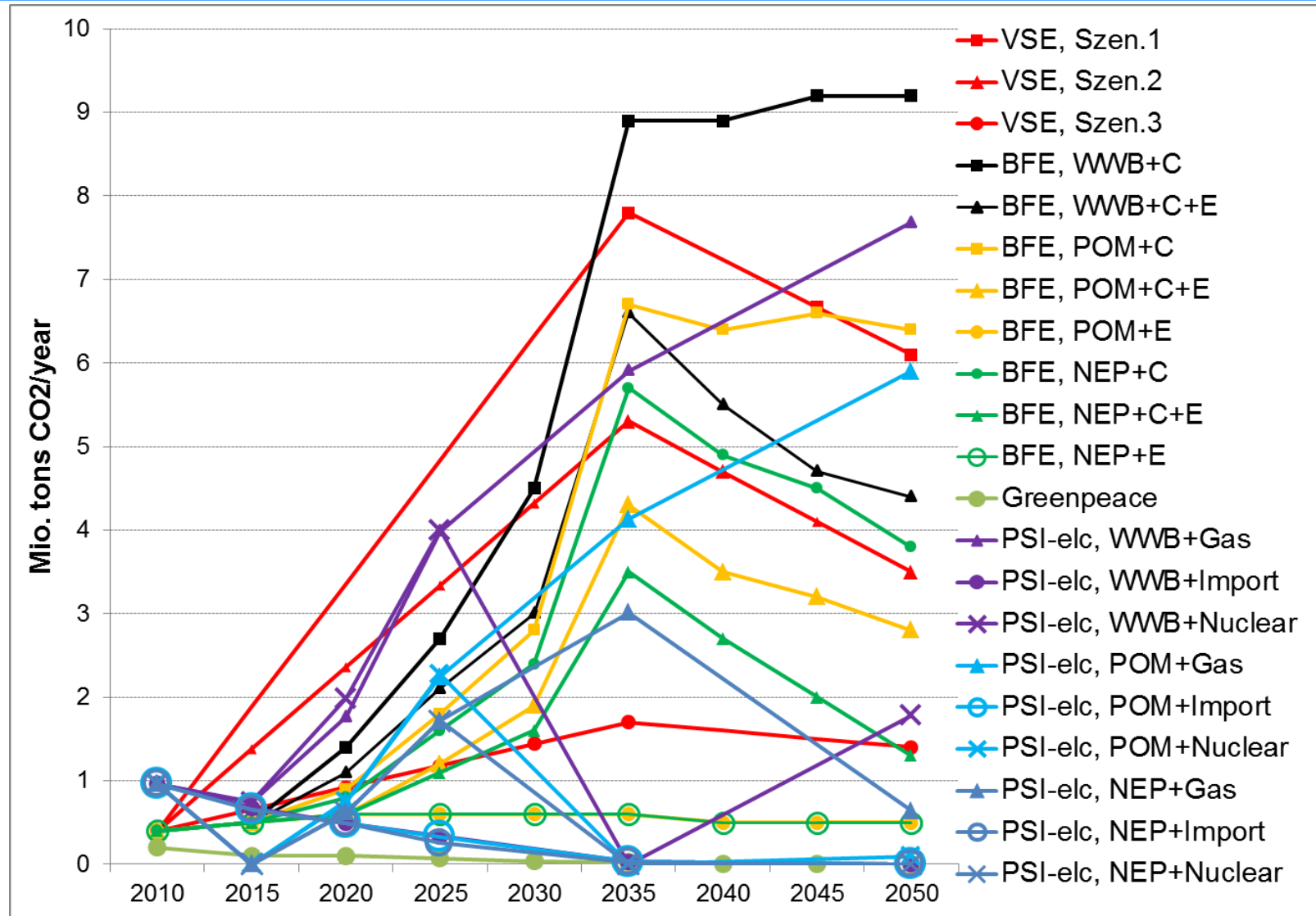
Sources: Densing et al., 2014

Production cost of generation mix



Sources: Densing et al., 2014

CO₂-emissions form power sector (without imports)

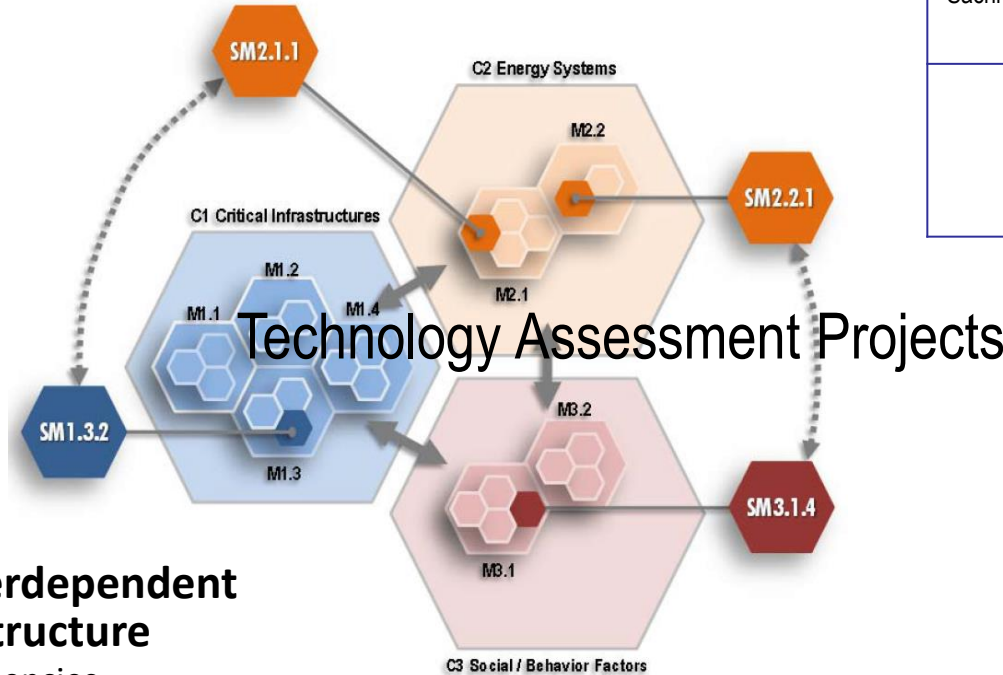


Sources: Densing et al., 2014

- **Comparison:** CO₂ from energy sector (+transport) today: ~40 Mio. tons/Jahr
- **BFE, NEP+E** and **BFE, POM+E** have same domestic emissions, but POM-E has more imports

Cluster 2. Energy Systems & Comparative Assessment

M2.1 Energy System Resilience
M2.2 People and Operations



Cluster 1. Interdependent Critical Infrastructure

M1.1 Interdependencies
M1.2 Modeling
M1.3 Consequences
M1.4 Improving CI systems

Cluster 3. Social and Behavioral Factors in Decision-Making

M3.1 Human Decision-Making
M3.2 Sustainable Energy Demand

	Sing. SNF (for PSI in C2)	PSI in-kind
Staff & students	3.1 MSGD (2.1 MCHF)	1.4 (0.9)
Sachmittel	0.24 (160k)	
	3 PhDs 4 Postdocs 2 specialists (based in SNG)	In-kind: PIs, staff



Thank you for your attention!
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