Hints of physics beyond the Standard Model in the Flavor Sector

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- ▶ Introduction [*Flavor Physics within and beyond the SM*]
- On the recent "anomalies" in B-physics
- Bottom-up approaches to describe the anomalies
- Speculations on UV completions
- Possible future implications
- Conclusions

<u>Introduction</u>

The Standard Model has proven to be successful over an unprecedented range of energies. However, despite all its phenomenological successes, this <u>Theory</u> has some deep unsolved problems (*hierarchy problem, flavor pattern, dark-matter*, U(1) *charges*,...)

The SM should be regarded as an <u>effective theory</u>, i.e. the limit –*in the accessible* range of <u>energies</u> and <u>effective couplings</u>– of a more fundamental theory, with new degrees of freedom

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"Common lore" (I) :

$$\mathscr{L}_{SM} = \mathscr{L}_{gauge}(A_{a}, \psi_{i}) + \mathscr{L}_{Higgs}(\phi, A_{a}, \psi_{i})$$

Understanding what stabilizes the Higgs sector (*hierarchy problem*) is the natural "avenue" to discover New Physics

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But we must admit that, so far, we have very little clues about the validity range of this effective theory...

We need to search for New Physics with a broad spectrum perspective



Identify symmetries and symmetrybreaking patterns beyond those present in the SM

Probe physics at energy scales not directly accessible at accelerators

The Flavor structure of the SM

$$\mathscr{L}_{SM} = \mathscr{L}_{gauge}(A_{a}, \psi_{i}) + \mathscr{L}_{Higgs}(\phi, A_{a}, \psi_{i})$$

 $4 = \frac{3 \text{ identical replica}}{[\psi = Q_L, u_R, d_R, L_L, e_R]} \Rightarrow \text{ huge } \frac{\text{flavor-degeneracy}}{[U(3)^5 \text{ symmetry}]}$

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3 <u>identical replica</u> of the basic fermion family $[\psi = Q_L, u_R, d_R, L_L, e_R] \Rightarrow$ huge <u>flavor-degeneracy</u>

Within the SM the flavor-degeneracy is broken only by the Yukawa interaction:

$$\overline{L}_{L}^{i} Y_{L}^{ik} e_{R}^{k} \phi + h.c. \rightarrow \overline{L}_{L}^{i} M_{L}^{ii} l_{R}^{i} + \dots$$

$$\overline{Q}_{L}^{i} Y_{D}^{ik} d_{R}^{k} \phi + h.c. \rightarrow \overline{d}_{L}^{i} M_{D}^{ik} d_{R}^{k} + \dots$$

$$\overline{Q}_{L}^{i} Y_{U}^{ik} u_{R}^{k} \phi_{c} + h.c. \rightarrow \overline{u}_{L}^{i} M_{U}^{ik} u_{R}^{k} + \dots$$





The Flavor structure of the SM

Altogether, the SM flavor (Yukawa) sector is characterized by 13 parameters:

[3 lepton masses + 6 quark masses + 3+1 CKM parameters]

Which do not look at all accidental...



The Flavor structure of the SM and beyond...

The key question we try to address by continuing doing high-precision measurements of flavor-changing processes of quarks & charged-leptons is:

Are there other sources of flavor symmetry breaking [beside the SM Yukawas]?

So far everything seems to fit well with the SM \rightarrow Strong limits on NP

$$\mathscr{L}_{\text{eff}} = \mathscr{L}_{\text{SM}} + \Sigma \frac{1}{\Lambda^2} O_{\text{eff}}$$

"Common lore" (II) :

The flavor structures are generated at some very heavy energy scale

 \rightarrow No chance to probe their dynamical origin



The Flavor structure of the SM and beyond...

This point of view is challenged by the recent "anomalies" in B physics, i.e. the observation of a different (*non-universal*) behavior of different lepton species in specific semi-leptonic processes:

• b \rightarrow c charged currents: τ vs. light leptons (μ , e)

• b \rightarrow s neutral currents: μ vs. e

IF taken together... this is probably the largest "coherent" set of NP effects in present data...

What is particularly interesting, is that these anomalies are challenging an assumption (Lepton Flavor Universality), that we gave for granted for many years (*without many good theoretical reasons*...)

Interesting <u>shift of paradigm</u> (*in flavor physics, but possibly also beyond*)

A digression on LFU

Let's go back ~ 100 years, and suppose we can test matter only with long wavelength photons...



These two particles seems to be "identical copies" but for their mass ...

Let's go back ~ 100 years, and suppose we can test matter only with long wavelength photons...



These two particles seems to be "identical copies" but for their mass ...

That's exactly the same (misleading) argument we use to infer LFU...



These three (families) of particles seems to be "<u>identical copies</u>" <u>but for their mass</u> ...

The SM quantum numbers of the three families could be an "accidental" <u>low-</u> <u>energy property</u>: the different families may well have a very different behavior at high energies, as <u>signaled by their different mass</u>

So far, the vast majority of BSM model-building attempts

- Concentrate only on the Higgs hierarchy problem
- Postpone (ignore) the flavor problem, implicitly assuming the 3 families are "identical" copies (but for Yukawa-type interactions)

"Common lore" (I)

"Common lore" (II)



large (more interesting...)



small (less interesting...)

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The recent flavor anomalies seem to suggest a shift of paradigm:

- <u>We should not ignore the flavor problem</u> [→ *new (non-Yukawa) interactions at the TeV scale distinguishing the different families*]
- A (very) different behavior of the 3 families (with special role for 3rd gen.) *may be the key to solve/understand also the gauge hierarchy problem*





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And if we are lucky... these anomalies may help us to shed light on another key problem of the SM that we have postponed (*somehow forgotten*...) for a long time:

• The quantization of U(1) charges and the possible (*natural*...) quark-lepton unification

On the recent B-physics anomalies



► B \rightarrow D^(*) τv [Babar, Belle, LHCb]

Test of Lepton Flavor Universality in charged currents $[\tau \text{ vs. light leptons } (\mu, e)]$:



• SM prediction quite solid: hadronic uncertainties cancel (*to large extent*) in the ratio and deviations from 1 in *R*(X) expected only from phase-space differences

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- Consistent results by 3 different exps. $\rightarrow 3.6-3.9\sigma$ excess over SM ($D + D^*$)
- → The two channels are well consistent with a <u>universal enhancement</u> (~30%) of the SM $b_L \rightarrow c_L \tau_L v_L$ amplitude

• Anomalies in $B \rightarrow K^{(*)} \mu \mu / ee [LHCb]$

- → The largest anomaly is the one [*observed in 2013 and confirmed with higher statistics n 2015*] in the P_5' [B → K^{*}µµ] angular distribution.
- Less significant correlated anomalies present also in other $B \rightarrow K^* \mu \mu$ obs. and also in other $b \rightarrow s \mu \mu$ channels [\rightarrow overall smallness of all BR($B \rightarrow$ Hadron + $\mu \mu$)]

N.B.: $b \rightarrow s ll$ transitions are Flavor Channing Neutral Current amplitudes

- No SM tree-level contribution
- Strong suppression within the SM because of CKM hierarchy
- Sizable hadronic uncertainties in the rates



Anomalies in $B \rightarrow K^{(*)} \mu \mu / ee [LHCb]$

- The largest anomaly is the one in the $P_5' [B \rightarrow K^* \mu \mu]$ angular distribution.
- Less significant correlated anomalies present also in other $B \to K^* \mu \mu$ obs.
- But also in this case the most interesting effects are the deviations from the SM in appropriate μ/e "clean" LFU ratios:

$$\left(R_{\rm H} = \frac{\int d\Gamma(B \to H \,\mu\mu)}{\int d\Gamma(B \to H \,ee)} \right)$$

 $R_{K} [1-6 \text{ GeV}^{2}] = 0.75 \pm 0.09$ LHCb, '14 (vs. 1.00±0.01 SM)

Overall significance $\sim 3.8\sigma$ (*LFU ratios only*)



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- Less significant correlated anomalies present also in other $B \to K^* \mu \mu$ obs.
- Most interesting deviations from the SM in the μ/e "clean" LFU ratios.





- All effects well described by NP of <u>short-distance origin</u> only in b→sµµ and (& not in ee)
- <u>LH structure on the quark side</u> largely favored
- Helicity structure on the lepton side less clear

Bottom-up approaches to describe the anomalies [*from EFT to simplified models*]



Bottom-up approaches to describe the anomalies

These recent results have stimulated a lot of theoretical activity (*not particularly instructive to discuss all NP proposals*...)

What I will discuss next is a bottom-up approach made of three main steps:



The main guide will be the attempt to describe <u>both LFU effects</u> within the same framework and, while "going up" in energies (and assumptions), check the consistency with

- high-pT physics

- Anomalies are seen only in semi-leptonic (quark×lepton) operators
- Data largely favor non-vanishing <u>left-handed</u> current-current operators [*the Fermi-like* SU(2)_L *triplet contributes to both charged & neutral curr.*], although other contributions are also possible



Bhattacharya *et al.* '14 Alonso, Grinstein, Camalich '15 Greljo, GI, Marzocca '15 (+many others...)

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- Large coupling (competing with SM tree-level) in $bc \rightarrow l_3 v_3$
- Small non-vanishing coupling (competing with SM FCNC) in $bs \rightarrow l_2 l_2$

$$\Lambda_{ij\alpha\beta} = (\delta_{i3} \times \delta_{3j}) \times (\delta_{\alpha3} \times \delta_{3\beta}) +$$

small terms for 2nd (& 1st) generations Link to pattern of the Yukawa couplings !

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Two classes of (tree-level) mediators, giving rise to different correlations among the anomalies, other lowenergy observables, and high- p_T physics

Three main problems identified in the recent literature (*driven mainly by* R_D ...):

I. <u>high-p_T constraints</u>



[low naïve EFT scale: $\Lambda \sim 700 \text{ GeV}$]

Faroughy, Greljo, Kamenik '16

II. radiative constraints



Feruglio, Paradisi, Pattori '16

III. flavor bounds



Greljo, GI, Marzocca '15 Calibbi, Crivellin, Ota, '15 (+many others...)

EFT-type considerations [The U(2)ⁿ flavor symmetry]

A solution to all these "*combination*" problems + natural link with the origin of the Yukawa couplings, is provided by a suitable EFT based on the hypothesis of an approximate $U(2)_q \times U(2)_l$ flavor symmetry

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A brief detour: U(2)ⁿ flavor symmetries (acting on light generations)

Quark sector: $U(2)^3 = U(2)_q \times U(2)_u \times U(2)_d$

Barbieri, G.I., Jones-Perez, Lodone, Straub, '11

The exact symmetry limit is good starting point for the SM spectrum $(m_u=m_d=m_s=m_c=0, V_{CKM}=1)$

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A brief detour: U(2)ⁿ flavor symmetries (acting on light generations)

Quark sector: $U(2)^3 = U(2)_a \times U(2)_u \times U(2)_d$





Minimal breaking to reproduce SM Yukawa couplings:

$$|\mathbf{V}| \approx |\mathbf{V}_{\rm ts}| = 0.04$$

 $|\Delta| \approx y_{\rm c} = 0.006$

- The assumption of a single leading breaking ensures an effective protection of FCNCs
 → consistency with CKM fits
- Large NP effects possible for 3rd generation

EFT-type considerations ["The Zurich's guide"]

A solution to all these "*combination*" problems + natural link with the origin of the Yukawa couplings, is provided by a suitable EFT based on the hypothesis of an approximate $U(2)_q \times U(2)_l$ flavor symmetry

- NP in left-handed operators only
- Leading NP effects in 3rd generation only
- → Light generation couplings controlled by $U(2)_q \times U(2)_l$ <u>flavor symmetry</u> minimally broken (→ link to SM Yuk. coupl.)

Buttazzo, Greljo, GI, Marzocca, '17 "*The Zürich's Guide*"

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} - \frac{1}{v^2} \lambda_{ij}^q \lambda_{\alpha\beta}^\ell \left[\underline{C_T} \left(\bar{Q}_L^i \gamma_\mu \sigma^a Q_L^j \right) (\bar{L}_L^\alpha \gamma^\mu \sigma^a L_L^\beta) + \underline{C_S} \left(\bar{Q}_L^i \gamma_\mu Q_L^j \right) (\bar{L}_L^\alpha \gamma^\mu L_L^\beta) \right]$$

four free parameters...

$$C_{T}, C_{S}$$
$$\lambda_{bs} = O(V_{cb})$$
$$\lambda_{\mu\mu} = O(|V_{\tau\mu}|^{2})$$

...*and a long list of constraints* [FCNC and CC semi-leptonic processes, tau decays, EWPO]

EFT-type considerations ["The Zurich's guide"]

Excellent fit to both anomalies, passing all existing constraints with no fine tuning



- *Key features compared to previous analyses:*
- Flavor symmetry
- Deviation from "pure-mixing"
- $O(V_{cb})$ misalignment to b-quark mass basis

EFT-type considerations ["The Zurich's guide"]

Excellent fit to both anomalies, passing all existing constraints with no fine tuning



- The virtue of this EFT approach is the demonstration that is possible to find a "combined" (*motivated*) explanation of the two set of anomalies. Very useful in identifying implications in other low-energy measurements [→ *more later*...]
- The EFT solution is not unique [e.g. sub-leading RH currents can be added], but large variations are possible only <u>if the R_D anom. goes away completely</u>

Simplified dynamical models ["The Return of the LeptoQuark"...]

If we ask which tree-level mediators can generate the effective operators required by the EFT fit, we have not many possibilities...

Three main options (*for the combined explanation*):

	$SU(2)_L$	
	singlet	triplet
Vector LQ:	U_1	U ₃
Scalar LQ:	\mathbf{S}_1	S ₃
Colorless vector:	B'	W'

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models with more than one mediators are possible

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Three main options (*for the combined explanation*):



Similarly, 3^{rd} gen. LQ are in very good shape also as far as direct searches are concerned (*contrary to Z'*...):

LQ (both scalar and vectors) have an <u>additional</u> clear advantage concerning constraints from non-semilpetonic processes:






Speculations on UV completions

Two main approaches

Non-perturbative TeV-scale dynamics [non-renormalizable models]

• Scalar LQ as PNG

Gripaios, '10 Gripaios, Nardecchia, Renner, '14 Marzocca '18

• Vector LQ (or W',Z') as technifermion resonances

> Barbieri *et al.* '15, Buttazzo *et al.* '16 Barbieri, Murphy, Senia, '17 Blanke, Crivellin, '17

• W', Z' as Kaluza-Klein excitations [*e.g. from warped extra dim.*]

Megias, Quiros, Salas '17 Megias, Panico, Pujolas, Quiros '17 *Perturbative TeV-scale dynamics* [renormalizable models]

• Renormalizable models with scalar mediators [*LQ*, *but also RPV-SUSY*]

Hiller & Schmaltz, '14 Becirevic *et al.* '16, Fajfer *et al.* '15-'17 Dorsner *et al.* '17 Crivellin, Muller, Ota '17 Altmannshofer, Dev, Soni, '17 + ...

• Gauge models

Cline, Camalich '17 Calibbi, Crivellin, Li, '17 Assad, Fornal, Grinstein, '17 Di Luzio, Greljo, Nardecchia, '17 Bordone, Cornella, Fuentes-Martin, GI, '17 + ...

PSI, 26th Apr. 2018

Speculations on UV completions

In the following I will concentrate on one (class of) option(s), among the gauge models, that I find particularly interesting.

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Speculations on UV completions

In the following I will concentrate on one (class of) option(s), among the gauge models, that I find particularly interesting.

The starting observation is that the Pati-Salam model [*proposed in 1974 to unify quark and lepton quantum numbers*], <u>predicts</u> a massive vector LQ with the correct quantum numbers

<u>Pati-Salam</u> group: $SU(4) \times SU(2)_L \times SU(2)_R$

Fermions
in SU(4):
$$\begin{bmatrix} Q_L^{\ \alpha} \\ Q_L^{\ \beta} \\ Q_L^{\ \gamma} \\ L_L \end{bmatrix} \begin{bmatrix} Q_R^{\ \alpha} \\ Q_R^{\ \beta} \\ Q_R^{\ \gamma} \\ L_R \end{bmatrix} LQ [U_1] \text{ from SU(4)} \rightarrow SU(3)_c$$

The problem of the "original PS model" are the strong bounds on the LQ couplings to 1st & 2nd generations [e.g. M > 100 TeV from $K_L \rightarrow \mu e$].

Interesting recent attempts to solve this problem adding extra fermions and/or modifying the gauge group [Calibbi, Crivellin, Li, '17; Di Luzio, Greljo, Nardecchia, '17]



 $[PS]^3 = [SU(4) \times SU(2)_L \times SU(2)_R]^3$

Main idea: at high energies the 3 families are charged under 3 <u>independent gauge</u> <u>groups</u> (*gauge bosons carry a flavor index !*)

A three site gauge model for <u>flavor hierarchies</u> and <u>flavor anomalies</u>

Bordone, Cornella, Fuentes-Martin, GI, '17



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- * The breaking to the diagonal SM group occurs via appropriate "link" fields, responsible also for the generation of the hierarchy in the Yukawa couplings.
- * The 2-3 breaking gives a TeV-scale LQ [+ Z' & G'] coupled mainly to 3rd gen. [similar to "4321" but "natural" flavor structure: no ad-hoc mixing with vector-like quarks]



Leading flavor structure:

- Yukawa coupling for 3rd gen. only
- "Light" LQ field (from PS₃) coupled only to 3rd gen.
- U(2)⁵ symmetry protects flavorviolating effects on light gen.



Collider phenomenology and flavor anomalies are controlled by the lastbut one step in the breaking chain.

Despite the apparent complexity, the construction is highly constrained:

Quark flavor structure determined up to an angle $(\rightarrow degree \ of \ alignment \ to \ d-quark \ mass \ basis)$

Key difference to all existing pheno models: unsupressed b_R - τ_R coupling of the LQ



PSI, 26th Apr. 2018

<u>A more ambitious attempt...</u>

Collider phenomenology and flavor anomalies are controlled by the lastbut one step in the breaking chain.

Despite the apparent complexity, the construction is highly constrained





The fit to low-energy data is very good (although slightly smaller NP effects in R_D , mainly because of radiative constraints)

 ΔF=2 constraints imply 5-10% alignment to d-quark mass basis Possible future implications

"It is very difficult to make predictions, especially about the future"

[attributed to Niels Bohr]

Implications for low-energy measurements

If the anomalies are due to NP, we should expect to see several other BSM effects in low-energy observables

<u>Main message</u>: "super-reach" flavor program for LHCb, but also other flavor physics facilities (Belle-II, Kaons, CLFV)

- This program is <u>essential</u> to determine the flavor structure of the new sector
- Correlations among low-energy obs. can be studied by means of EFT

Implications for low-energy measurements

If the anomalies are due to NP, we should expect to see several other BSM effects in low-energy observables

E.g.: <u>correlations among down-type FCNCs</u> [using the results of U(2)-based EFT]:

	μμ (ee)	ττ	νν	τμ	μe
$b \rightarrow s$	R _K , R _{K*} O(20%)	$B \rightarrow K^{(*)} \tau \tau$ $\rightarrow 100 \times SM$	$B \rightarrow K^{(*)} vv$ $O(1)$	$B \rightarrow K \tau \mu$ $\rightarrow \sim 10^{-6}$	$ \begin{array}{c} \mathbf{B} \to \mathbf{K} \ \mu \mathbf{e} \\ \hline ??? \end{array} $
$b \rightarrow d$	$B_{d} \rightarrow \mu\mu$ $B \rightarrow \pi \mu\mu$ $B_{s} \rightarrow K^{(*)} \mu\mu$ $O(20\%) [R_{K}=R_{\pi}]$	$B \rightarrow \pi \tau \tau$ $\rightarrow 100 \times SM$	$B \rightarrow \pi \nu \nu$ $O(1)$	$B \rightarrow \pi \tau \mu$ $\rightarrow \sim 10^{-7}$	$B \rightarrow \pi \mu e$???
$s \rightarrow d$	long-distance pollution	NA	$\frac{K \rightarrow \pi vv}{O(1)}$	NA	K → μe ???

• $\mu \rightarrow e$

Implications for low-energy measurements

The low-energy observables with large uncertainties are those mediated by four-quark or four-leptons effective operators (*larger model-dependence in connecting them to the semi-leptonic operators, hence to the anomalies*)

However, in many explicit constructions, the effects are close to present bounds:

- Meson mixing O(1-10%) deviations from SM in $\Delta M_{Bs} \& \Delta M_{Bd}$
 - ◆ O(0.1%) CPV violation D-D mixing
- τ decays $\tau \rightarrow 3\mu$ can be close to exp. bound (BR ~ 10⁻¹⁰)
 - No firm prediction (1^{st} family mixing \rightarrow work in prog...), but potentially very interesting, with non-trivial interplay between $\mu \rightarrow 3e$ [tree-level Z'] & $\mu \rightarrow e\gamma$ [LQ loop]



Implications for high-p_T physics

Some general considerations:

Independently of the details of the UV models, the anomalies (and particularly $R_{D(*)}$) point to <u>NP in the ball-park of direct searches @ LHC</u>

This NP could have escaped detection so far only under specific circumstances (*that are fulfilled by the proposed UV completions*):

- Coupled mainly to 3^{rd} generation (\rightarrow *no large coupl. to proton valence quarks*)
- No narrow peaks in dilepton pairs (*including tau pairs*)

Significant room for improvement for the corresponding searches @ HL-LHC But only HE-LHC would be able to rule out all reasonable models

Implications for high-p_T physics

In particular, 3rd gen. LQ are (*still*...) in rather good shape also as far as direct searches are concerned:



Implications for high-p_T physics

Pair vs. Single scalar LQ production @CMS:



Conclusions



If these *LFU* anomalies were confirmed, it would be a fantastic discovery, with far-reaching implications...

Conclusions

- If these LFU anomalies were confirmed, it would be a fantastic discovery, with far-reaching implications
- If interpreted as NP signals, both set of anomalies are <u>not in contradiction</u> among themselves & with existing low- & high-energy data.
 <u>Taken together</u>, they point out to NP coupled mainly to 3rd generation, with a flavor structure connected to that appearing in the SM Yukawa couplings
- Simplified models with LQ states seem to be favored. However, realistic UV for these models naturally imply a much richer spectrum of states at the TeV scale (*and possibly above...*).
- The PS³ model I have presented is particularly interesting as example of the shift of paradigm that these anomalies could imply. But of many points (and possible variations) remains to be clarified/explored...

A lot of fun ahead of us...

(both on the exp., the pheno, and model-building point of view)



Symmetry breaking pattern in PS³



Symmetry breaking pattern in PS³



 $\Phi^{L}_{12} \sim (1,2,1)_{1} \times (1,2,1)_{2}$ $\Phi^{R}_{12} \sim (1,1,2)_{1} \times (1,1,\underline{2})_{2}$ $\Omega_{12} \sim (4,2,1)_{1} \times (\underline{4},\underline{2},1)$

 $VEV \rightarrow SU(2)_{1+2}^{L}$ $VEV \rightarrow SU(2)_{1+2}^{R}$ $VEV \rightarrow SU(4)_{1+2} \& SU(2)_{1+2}^{L}$

- → The largest anomaly is the one [*obs. in 2013 and confirmed with higher stat. in 2015*] in the P_5' [B → K^{*}µµ] angular distribution.
- Less significant correlated anomalies present also in other $B \rightarrow K^* \mu \mu$ observables and also in other $b \rightarrow s \mu \mu$ channels [overall smallness of all BR(B \rightarrow Hadron + $\mu \mu$)]

Pro NP:

• Reduced tension in <u>all the</u> <u>observables</u> with a unique fit of non-standard $C_i(M_W)$

Against NP:

- Main effect in P₅' not far from cc threshold
- "NP" mainly in $C_9 \iff charm$)
- Significance reduced with conservative estimates of non-factorizable corrections



Jaeger et al. '12, Hambrock et al. '13, Hiller & Zwicky '13, Ciuchini at al. '15, ...

Pro NP:

 Reduced tension in all the observables with a unique fit of non-standard shortdistance Wilson coefficients
 Descotes-Genon, Matias, Virto '13, '15



Pro NP:

• Reduced tension in all the observables with a unique fit of non-standard shortdistance Wilson coefficients



More precise data on the $q^2=m_{\mu\mu}$ distribution can help to distinguish NP vs. SM

Descotes-Genon, Matias, Virto '15

But also in this case the most interesting effects are the deviations from the SM in appropriate μ/e "clean" LFU ratios:

$$R_{K^*} = \frac{\int d\Gamma(B^0 \to K^* \mu \mu)}{\int d\Gamma(B^0 \to K^* ee)}$$

