

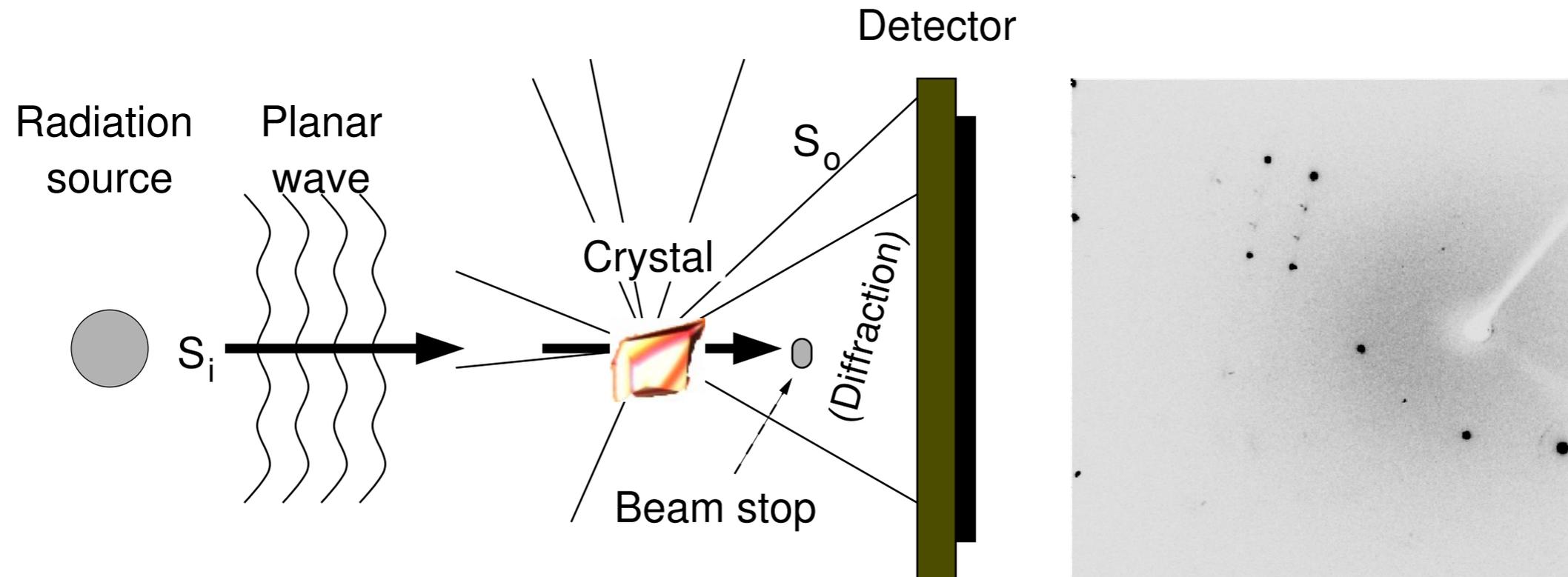
Electron Diffraction of Biological Macromolecules

Bioinformatics and X-Ray Structural Analysis

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1 Crystals and Diffraction

1.1 Structure Determination by Single Crystal Diffraction



- Diffraction spots: interaction between wave and **crystal**
- Experimental result: **Position** and **Intensity** for each spot

1.2 Spot Position and Spot Intensity

- Spots positions according to Laue Conditions and orientation of Unit Cell:

$$(\vec{S}_o - \vec{S}_i) \cdot \vec{a} = h$$

and $(\vec{S}_o - \vec{S}_i) \cdot \vec{b} = k$

and $(\vec{S}_o - \vec{S}_i) \cdot \vec{c} = l$

- Monochromatic wave: $\vec{S} = (S_o - S_i)$ can be calculated from experimental geometry
- Spot position \Leftrightarrow Crystal lattice
- Spot intensity \Leftrightarrow Unit cell content

1.3 Data Collection ... → ... Structure Refinement

- Structure determination: atom coordinates refined against idealized amplitudes $|F_{\text{ideal}}(hkl)|$
- Relationship amplitudes and intensities: $|F_{\text{ideal}}(hkl)|^2 \propto I_{\text{ideal}}(hkl)$
- Detector signal = experimental intensity $I_{\text{exp}}(hkl)$
- Data processing: from detector signal to amplitudes

1.4 Data Processing and Scaling

For X-rays*:

$$I_{\text{exp}}(hkl) = \frac{e^4}{m_e^2 c^4} \underbrace{\frac{\lambda^3 V_{\text{crystal}}}{V_{\text{unit cell}}^2} I_0 L P T E}_{\text{exp. Parameter}} I_{\text{ideal}}(hkl)$$

Data Integration Extraction of I_{exp} from detector: intensity counts after background subtraction — largely **independent** from radiation source

Data Scaling Conversion from I_{exp} to I_{ideal} : reduction of experimental errors, crystal shape, detector properties, ... — **depends** on type of radiation

*C. Giacovazzo, *Fundamentals of Crystallography*, Oxford University Press

2 Types of Radiation

For atomic structure solution by crystallography:

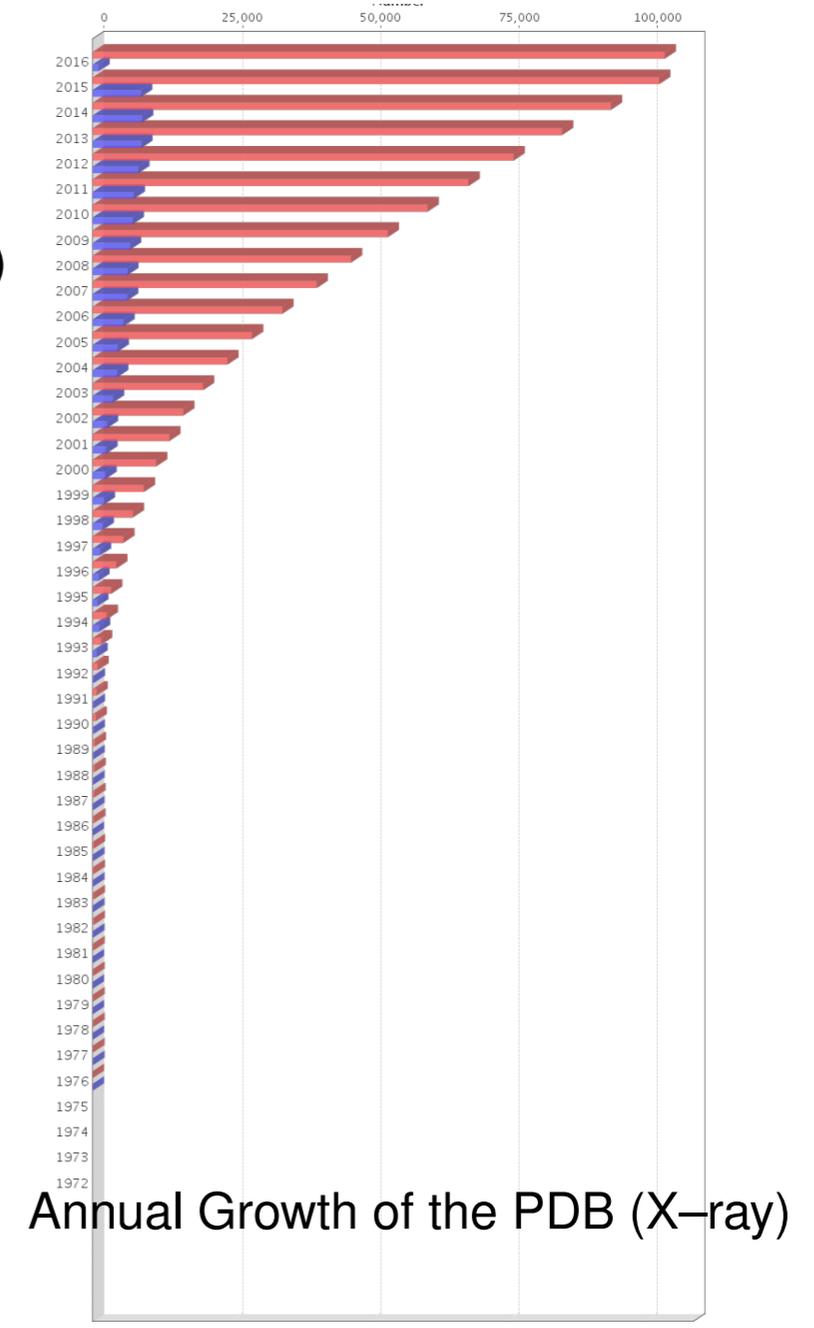
1. X-rays
2. neutrons
3. electrons

3 Differences between Types of Radiation

1. Calculation of $|F_{\text{calc}}(hkl)|$ from atom coordinates
2. Conversion from $I_{\text{exp}}(hkl)$ to $|F_{\text{ideal}}(hkl)|$
3. X-rays and neutrons: $|F_{\text{ideal}}(hkl)| \propto \sqrt{I_{\text{exp}}(hkl)}$

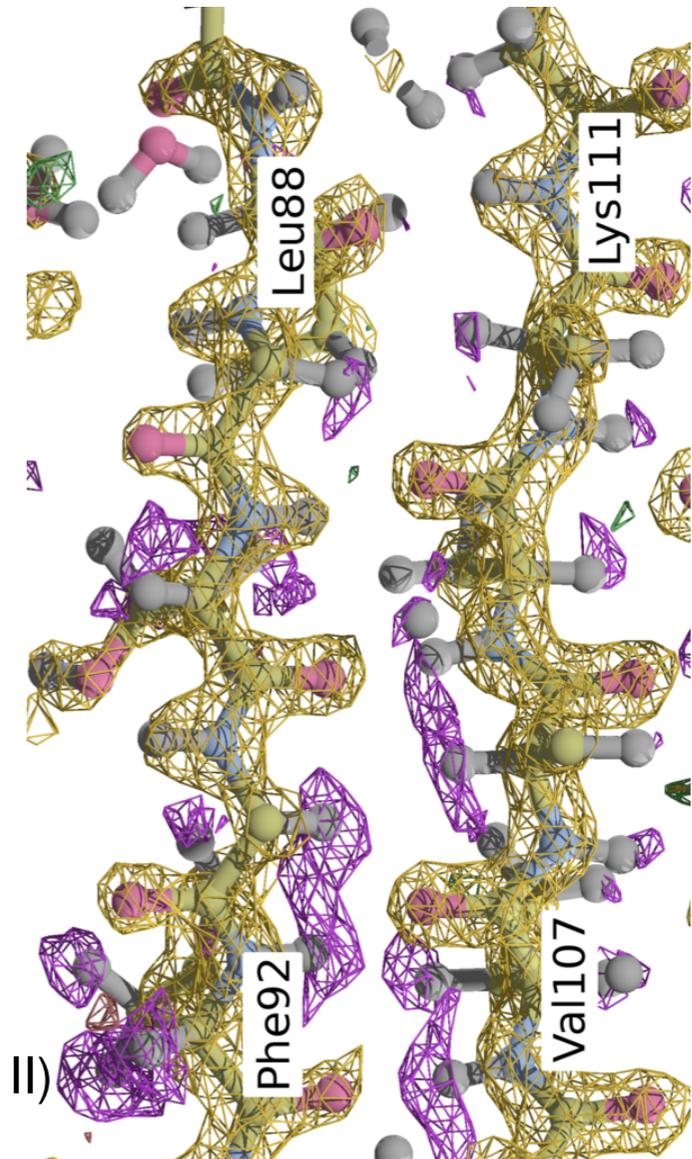
3.1 Types of Radiation — X-rays

1. most advanced (pipelines from data collection to structure refinement)
2. typical wavelength: $\lambda = 0.8\text{--}1.9\text{\AA}$
3. standard structure determination
4. PDB (Protein Data Base):
 - 80,000 X-ray structures
 - 80 neutron structures
 - 60 electron structures



3.2 Types of Radiation — neutrons

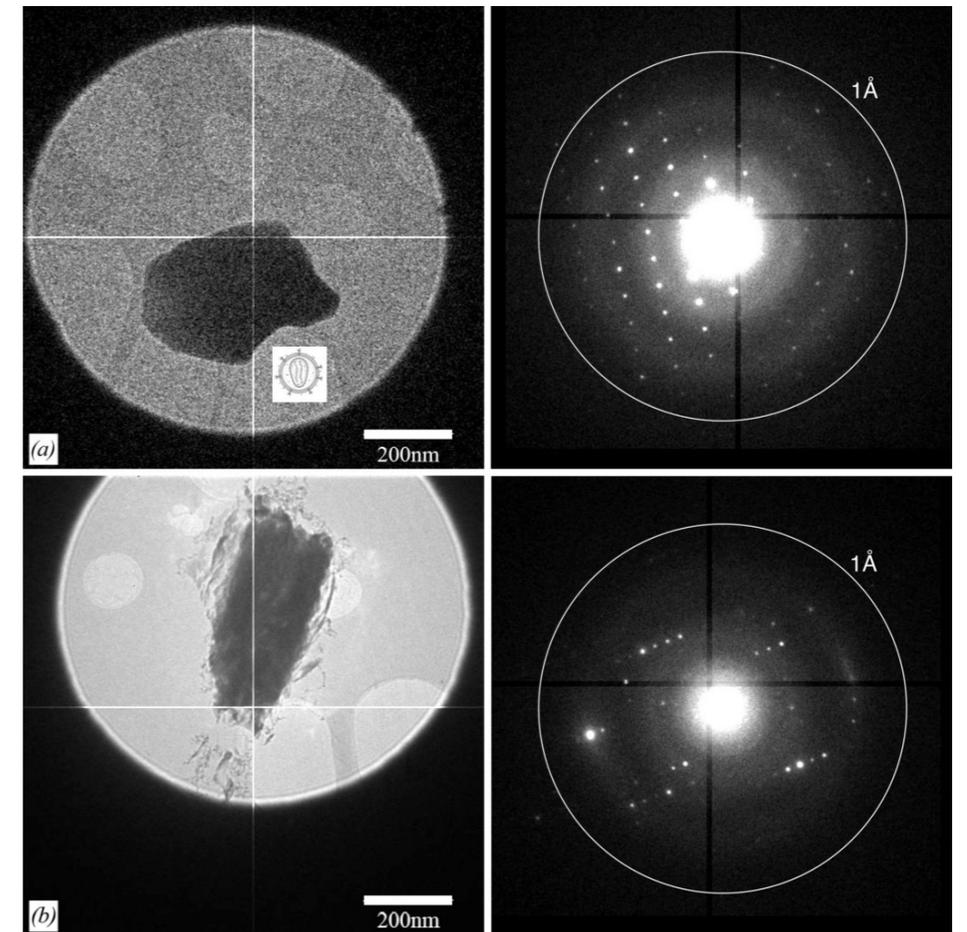
1. (virtually) no radiation damage
2. requires large crystals ($\geq 1\text{mm}^3$)
3. visualisation of hydrogen atoms
4. adjacent elements (e.g. K^+ vs. Cl^- , Zn^{2+} vs. Cu^+)
5. structure determination from radiation sensitive samples (Photosystem II)



PDB ID 2ZOI: D/H exchange in β -strand
(Grüne *et al*, J. Appl. Cryst. 47 (2014), 462–466)

3.3 Types of Radiation — electrons

1. strong interaction compared with X-rays: good for very small crystals ($\ll 1\mu m$ thickness)
2. typical wavelength: $200keV = 0.0251\text{\AA}$: flat Ewald sphere
3. charge enables electron optics: imaging **and** diffraction
4. new phasing possibilities



Diffraction of nanocrystals

(van Genderen *et al.*, *Acta Cryst A*72 (2016))

Inset: HIV to scale, courtesy Thomas Splettstoesser, en.wikipedia.org

3.4 Goal of Diffraction Experiment

- Fit molecule into density $\rho(x, y, z)$ to determine atomic structure
- $\rho(x, y, z) = \sum_{h,k,l} |F_{\text{ideal}}(hkl)| e^{i\phi(hkl)} e^{-2\pi i(hx+ky+lz)}$

3.5 Crystallographic Maps

- After phasing, diffraction data provide *density maps* $\rho(x, y, z)$
- The type of map depends on the interaction

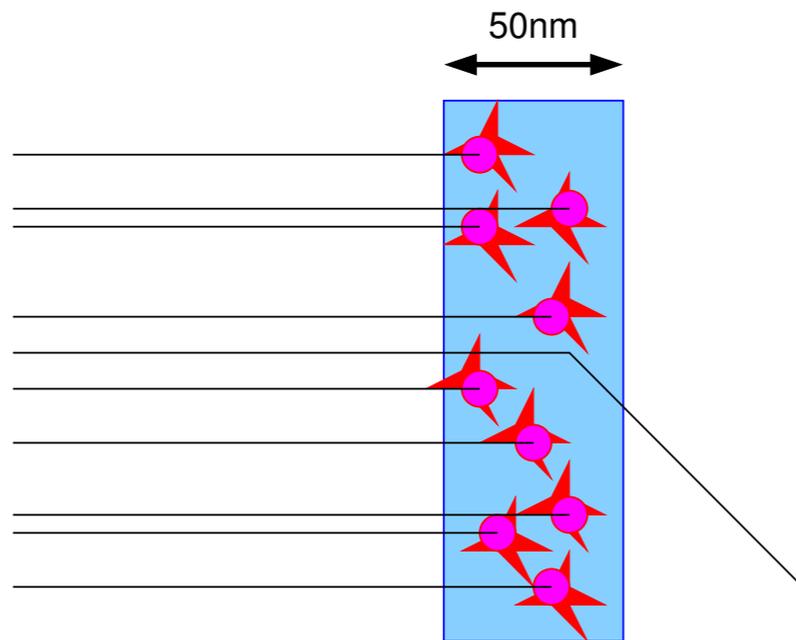
Radiation	Interaction	Map type
X-ray	e^-	electron density map
n	nucleus	nucleic “density” map
e^-	$p + e^-$	Coulomb potential \approx electron density map

- Macromolecules can be built into the maps “as usual”

4 Applications for Electron Diffraction

1. Diffraction & Radiation Damage
2. Nanocrystals have less Defects
3. Powder contains Single Nanocrystals
4. Seemingly failed Crystallisation Attempts contain Nanocrystals

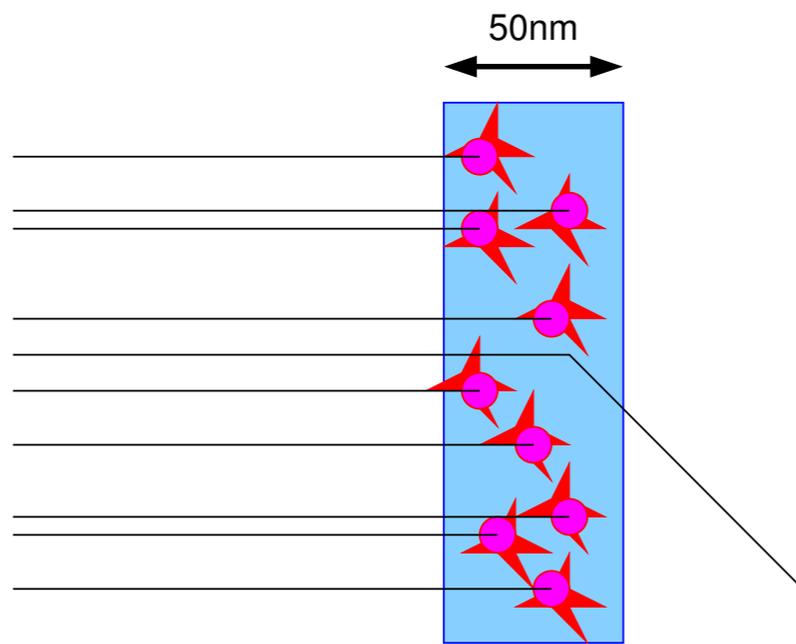
4.1 X-rays Scattering and Electron Scattering



X-rays (10keV)

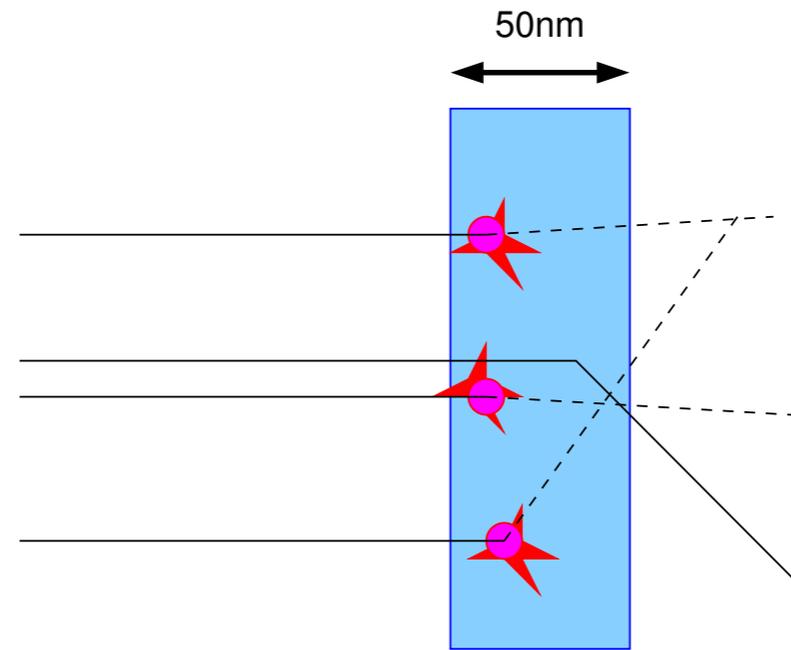
- Probability of inelastic scattering: 10^{-4}
- Deposited energy: 10keV
- Probability of elastic scattering: $10^{-5} = 10^{-4}/10$
- Damage per diffracted photon: 100keV

4.2 X-rays Scattering and Electron Scattering



X-rays (10keV)

- Probability of inelastic scattering: 10^{-4}
- Deposited energy: 10keV
- Probability of elastic scattering: $10^{-5} = 10^{-4}/10$
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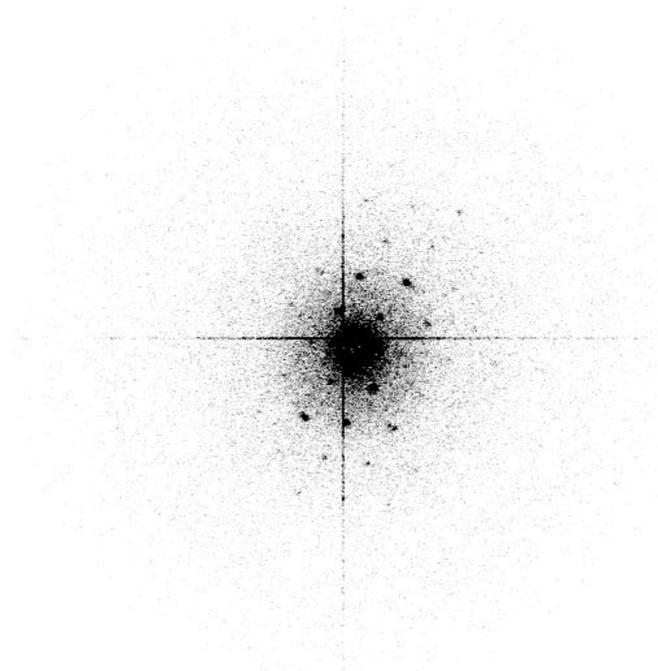
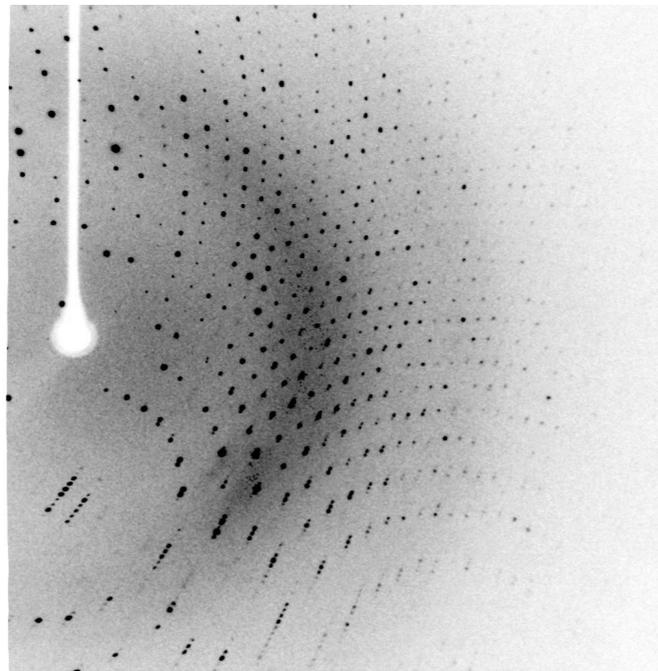


e^- (200keV)

- Probability of inelastic scattering: 30%
- Deposited energy: 20eV
- Probability of elastic scattering: 10%
- Damage per diffracted electron: 60eV = 0.06keV

2,000 times more damage with X-rays

4.3 X-rays Scattering and Electron Scattering



- Small Crystals very radiation sensitive
- X-rays mostly pass through (99.99%): beamstop
- X-rays mostly damage (10:1).

4.4 Small Crystals

X-rays

You **can** measure nanocrystals. You need

- Free Electron Laser (XFEL, SwissFEL, ...)
- 10,000 – 100,000 crystals, $V \approx 5 \text{ ml}$
- Special Software, Computational Demands

Electrons

You **must** measure nanocrystals. You need

- Electron Microscope
- 1–2 nanocrystals
- standard software (XDS, SHELX, Refmac5, ...)
- Direct Pixel Detector helps (Timepix, Dectris Eiger, ...)

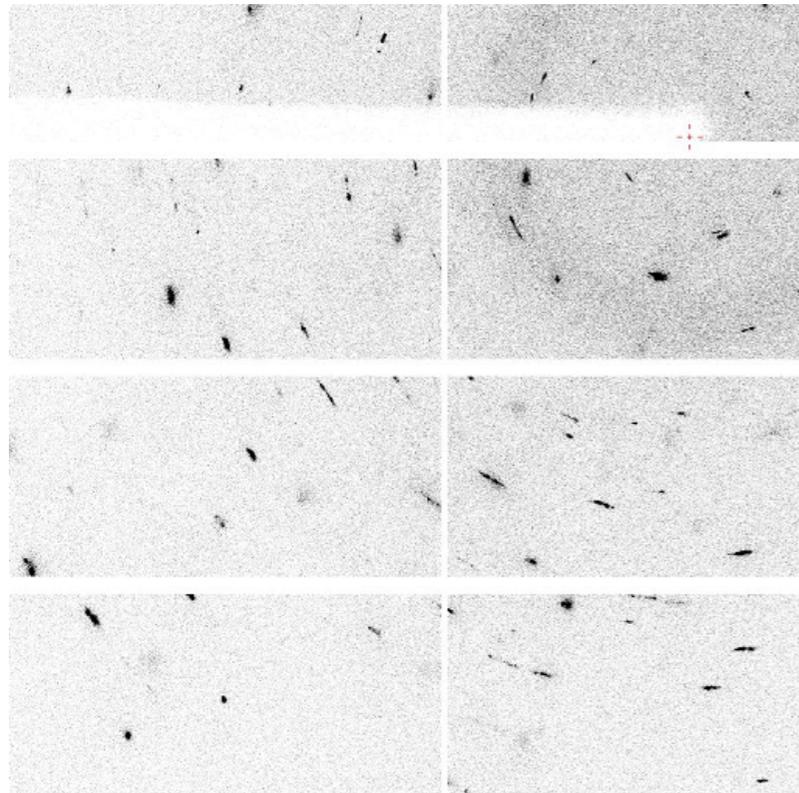
4.5 Joint Venture: Free Electron Lasers and Electron Diffraction

- Beamtime for FELs will be very competitive
- Only few end stations available
- Electron Microscopes are “more abundant”
- Sample quality can be pre-assessed with Electron Diffraction
- Structures can be solved from Electron Diffraction

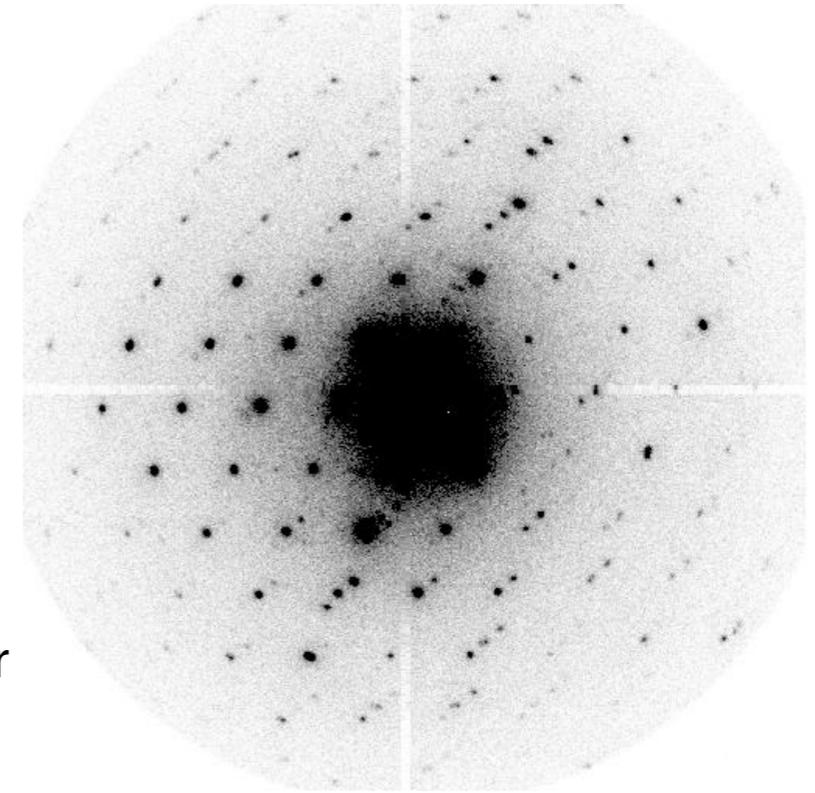
⇒ FEL have more time to time-resolved studies

⇒ Electron diffraction enhances the through-put of FELs

4.6 Applications: Better Ordered Crystals



- “long” range disorder
- worse with larger crystals
- worse with freezing
- nanocrystals: often better defined spots



4.7 Applications: Single Crystals

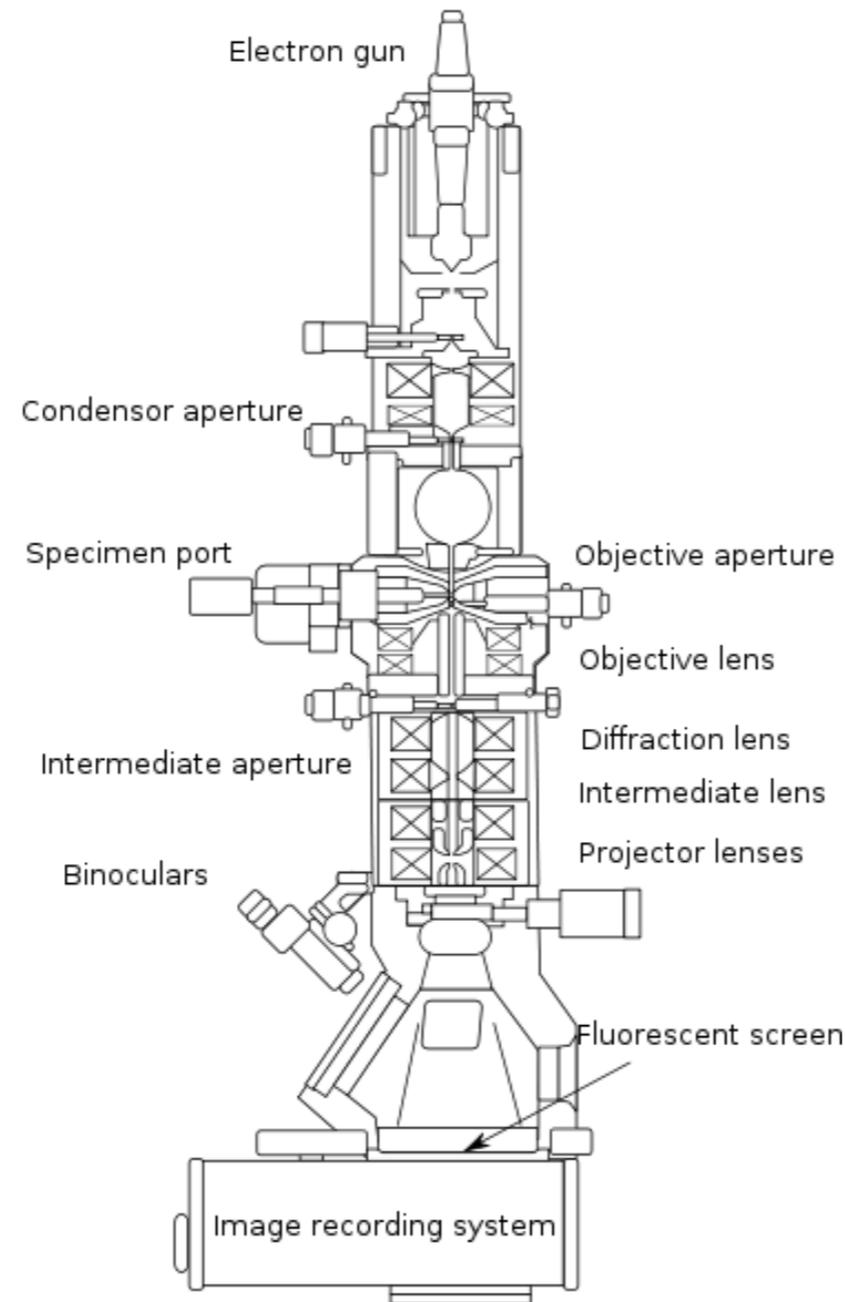
- Powder samples often contain single nanocrystals suitable for electron diffraction
- Usually too small for conventional crystallography
- Highly interesting for the pharmaceutical industry

4.8 Applications: Crystals at all!

- macromolecules are difficult to crystallise
- in particular: membrane proteins
- Large fraction of clear drops actually contains nanocrystals —Stevenson *et al.*, PNAS (2014) 111, 8470–8475

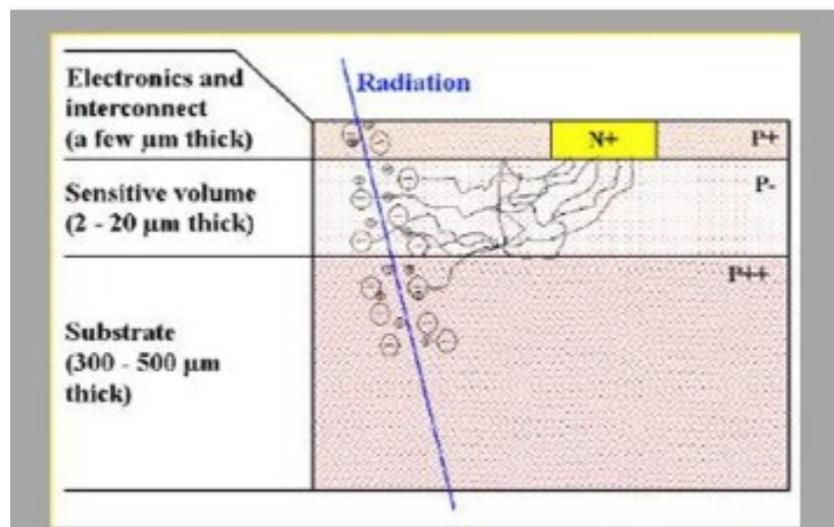
5 Instruments for Electron Diffraction

5.1 Electron Microscopes



(Wikipedia)

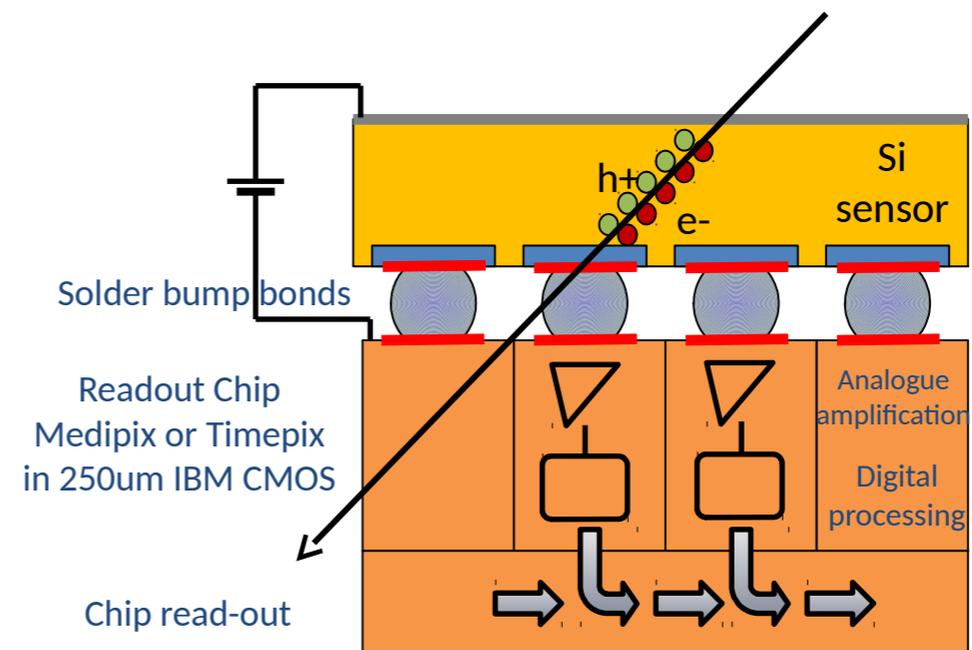
5.2 Direct Pixel Detectors



Monolithic direct electron detector:

- damage prone
- Small point spread
- Low dynamic range

Ideal for imaging



Hybrid pixel detector:

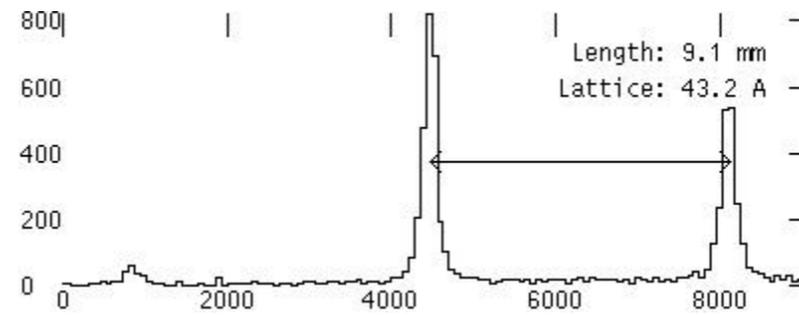
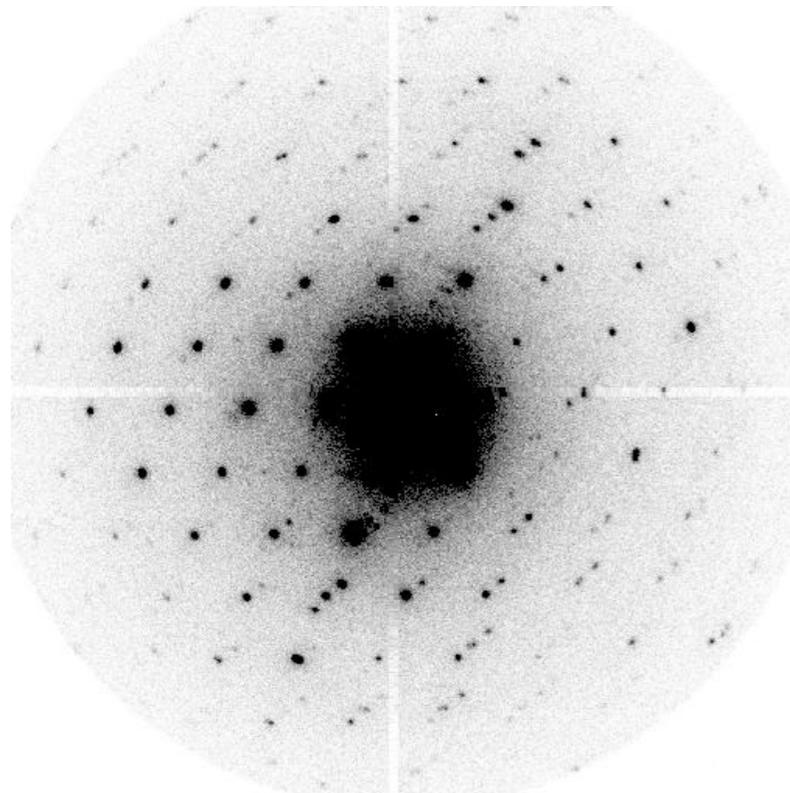
- radiation hard
- Larger point spread
- High dynamic range

Ideal for diffraction

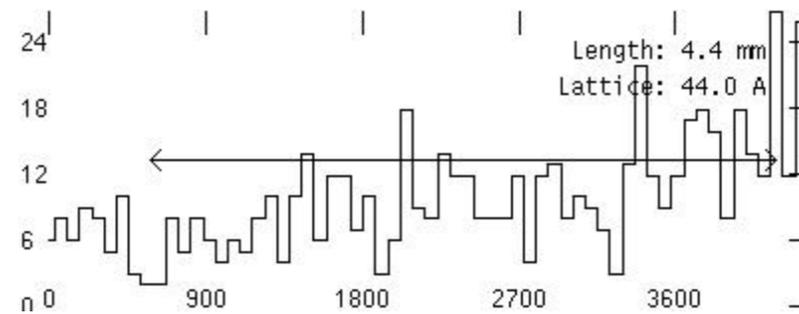
(Courtesy Prof. Abrahams)

5.3 Direct Pixel Detectors

Direct Pixel Detectors have no electronic noise, only background scattering

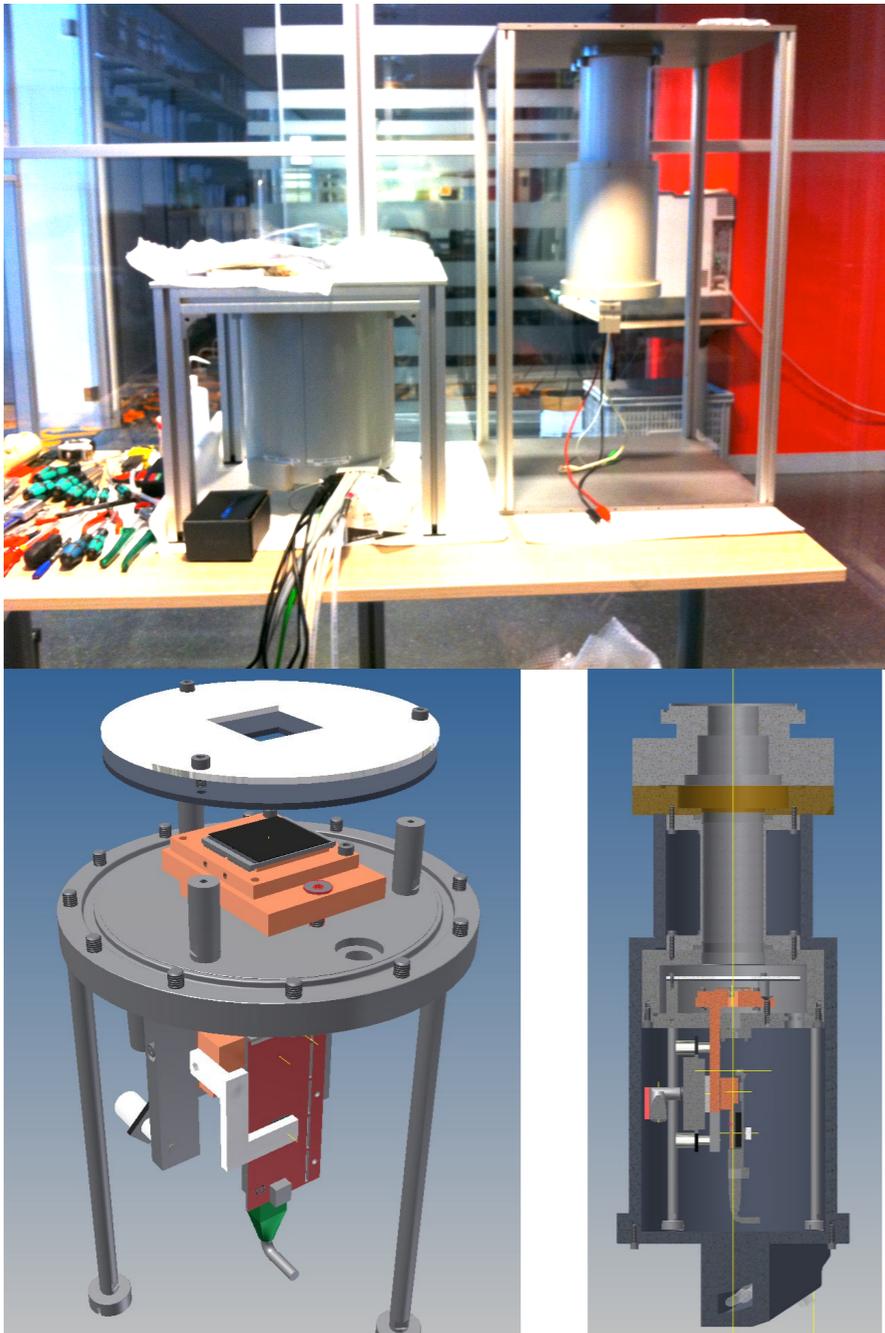


Cross-section with spots



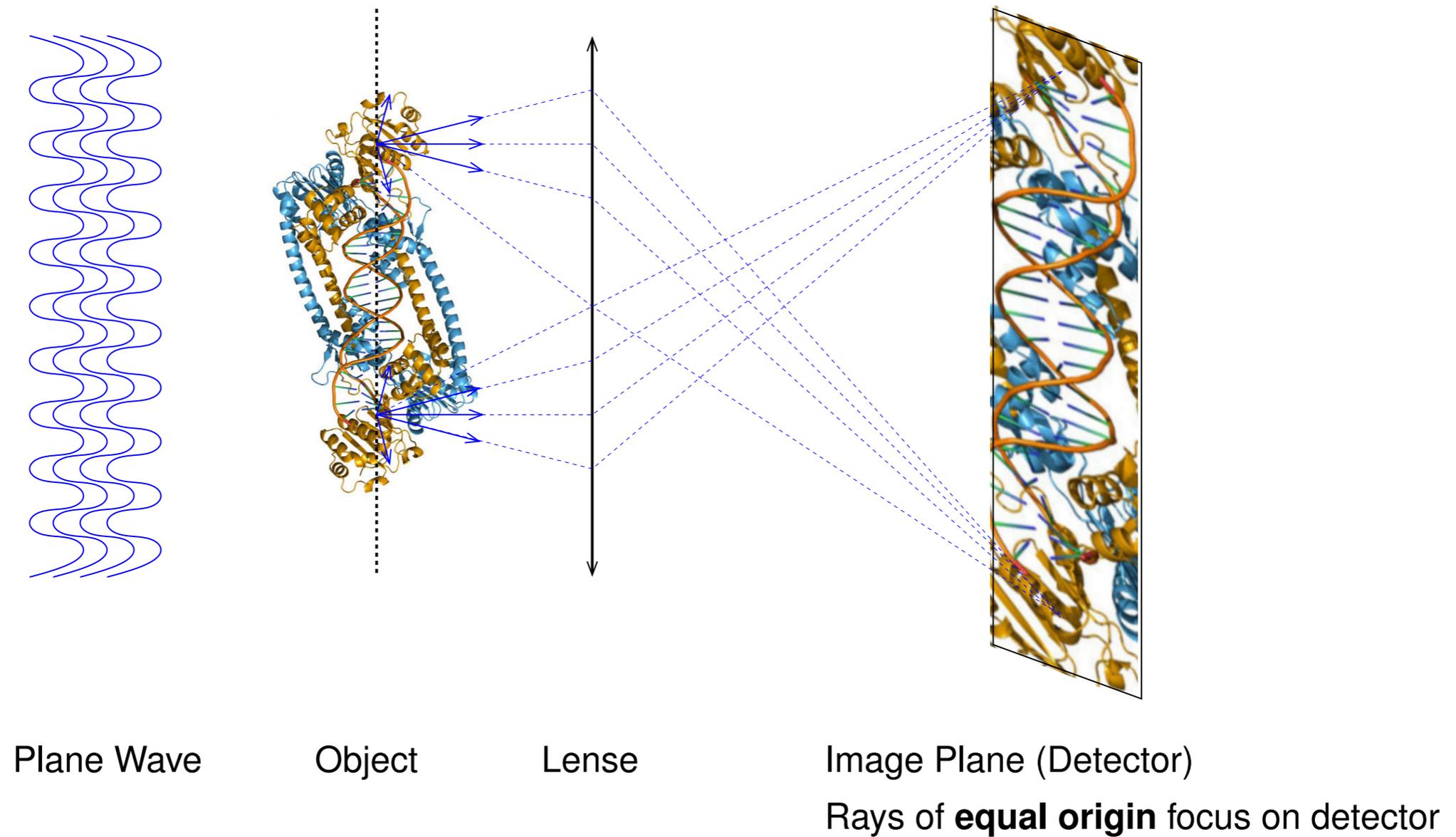
Cross-section without spots

5.4 The Timepix Detector

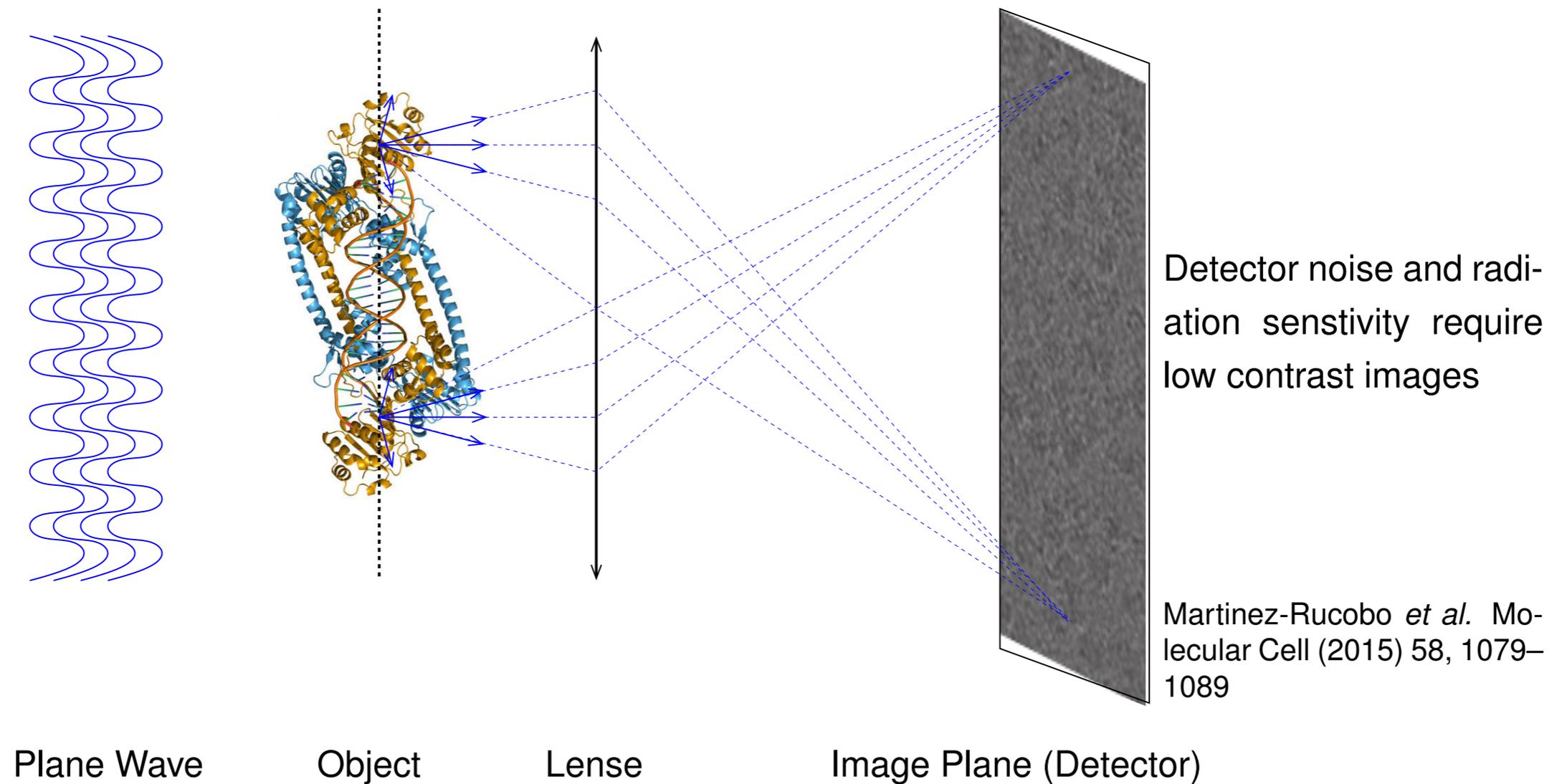


- Timepix assembly:
- ASI read-out
- Electronics outside vacuum
- Peltier cooling of detector $\pm 0.1K$
- 512×512 and 1024×1024 pixel versions
- linear: $1-10,000 e^- / \text{frame}$
- read-out: up to 120 frames /s
- radiation hard

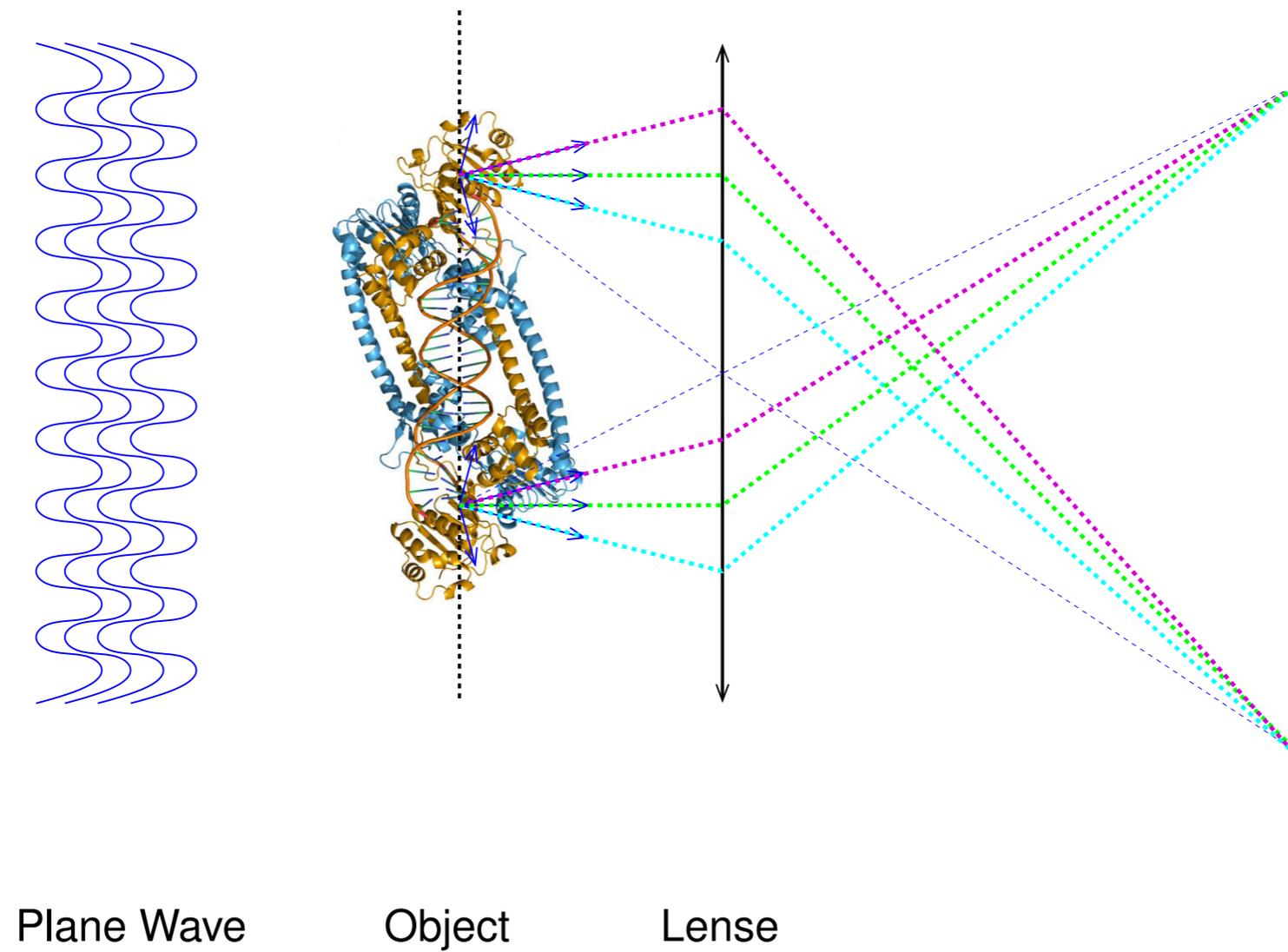
5.5 Electron Microscope: Imaging Mode



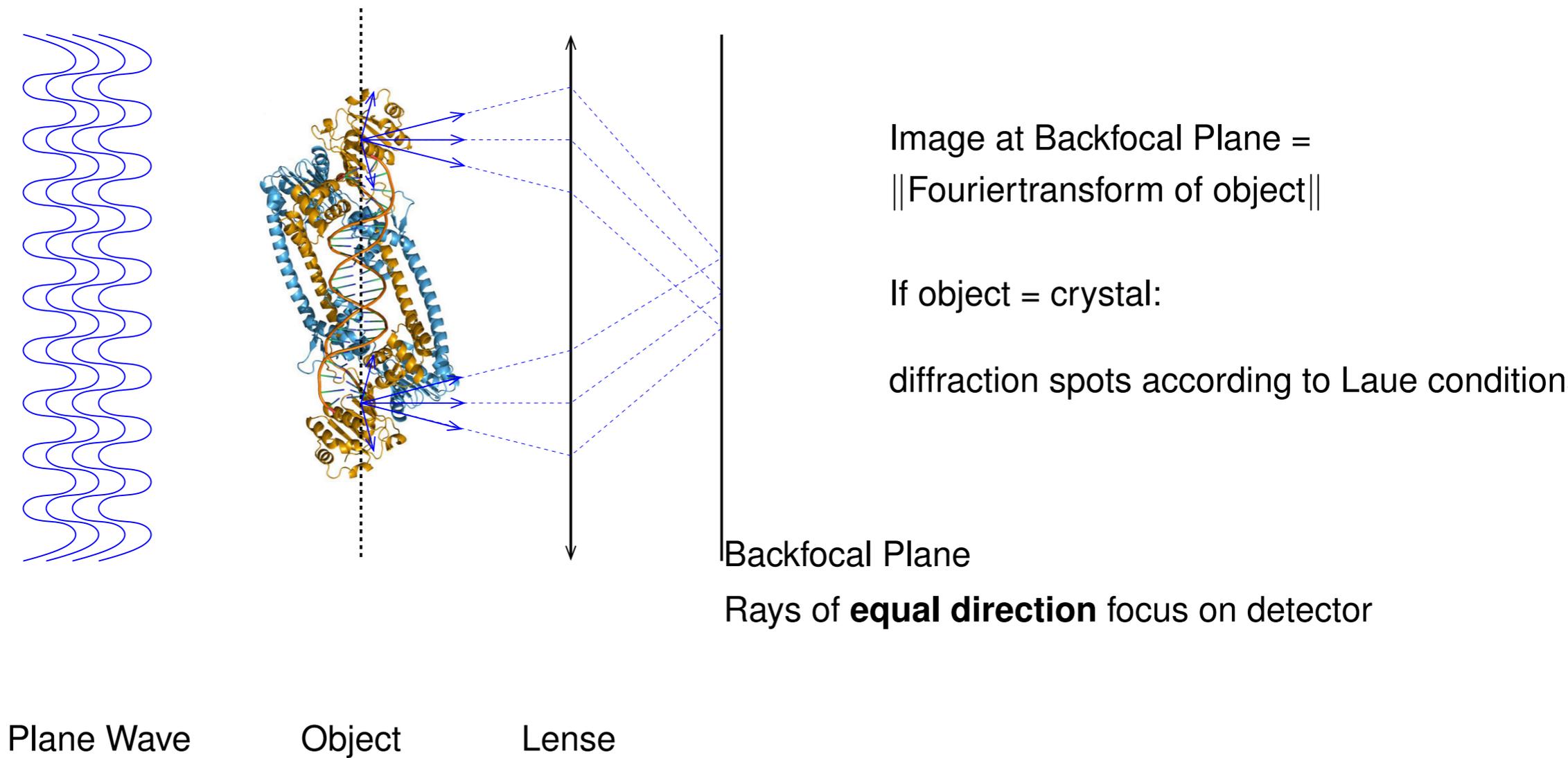
5.6 Electron Microscope: Imaging Mode



5.7 Electron Microscope: Diffraction Mode



5.8 Electron Microscope: Diffraction Mode

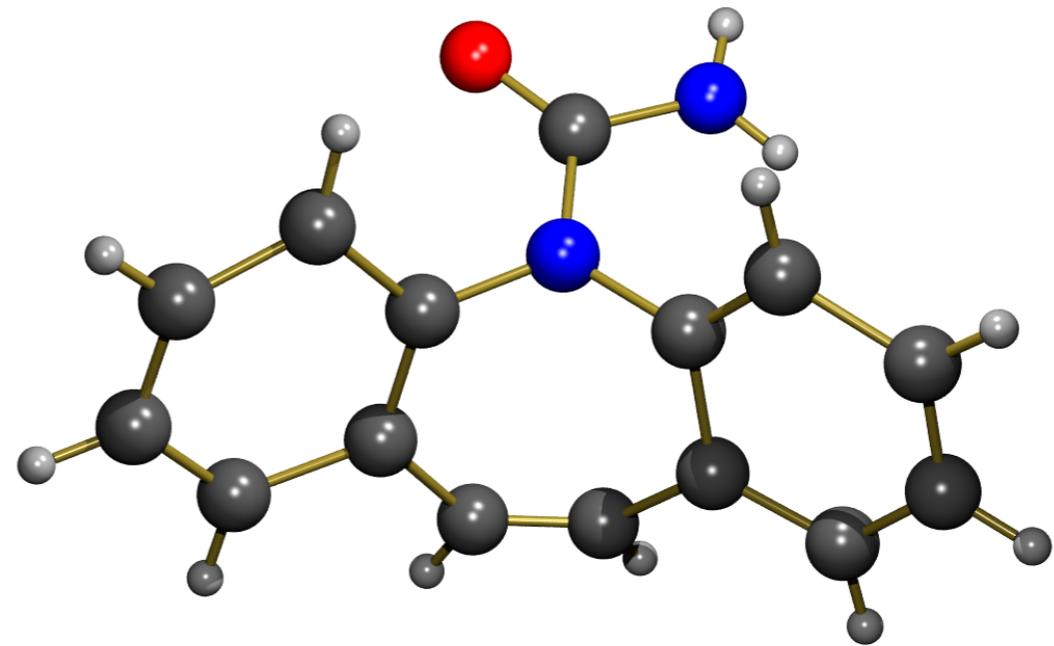


6 Example Data and Example Structures

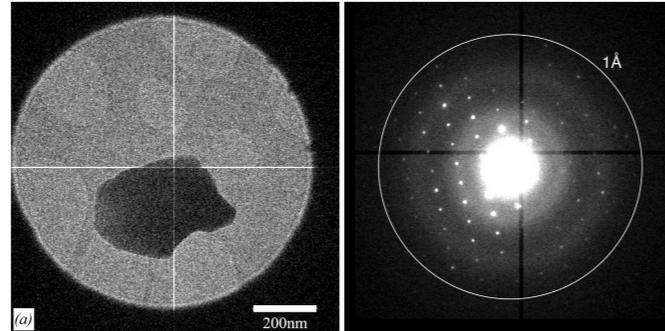
1. Carbamazepine (van Genderen *et al.*, Acta Cryst (2016) A72 (2))
2. Lysozyme (Manuscript in preparation)

6.1 Carbamazepine

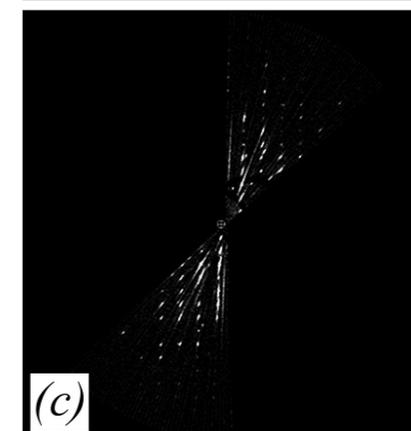
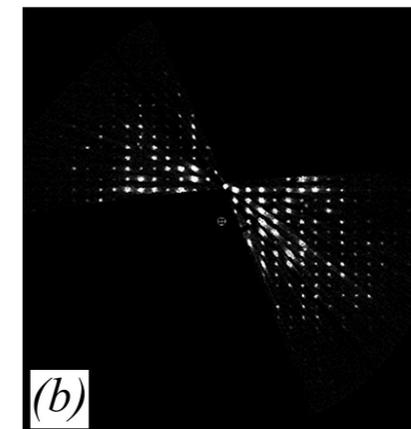
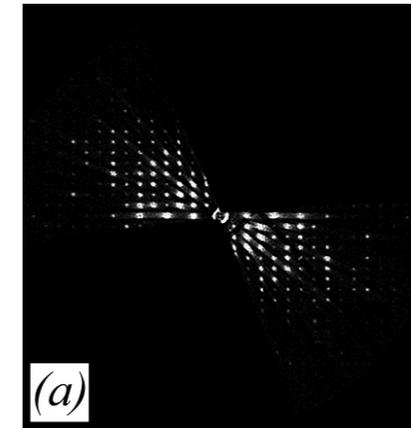
- Drug for epilepsy
- Small organic compound $C_{15}H_{12}N_2O$
- Well known structure used as test case (El Hassan *et al.*, *Crystal Growth and Design* (2013), 13, 2887–2896)



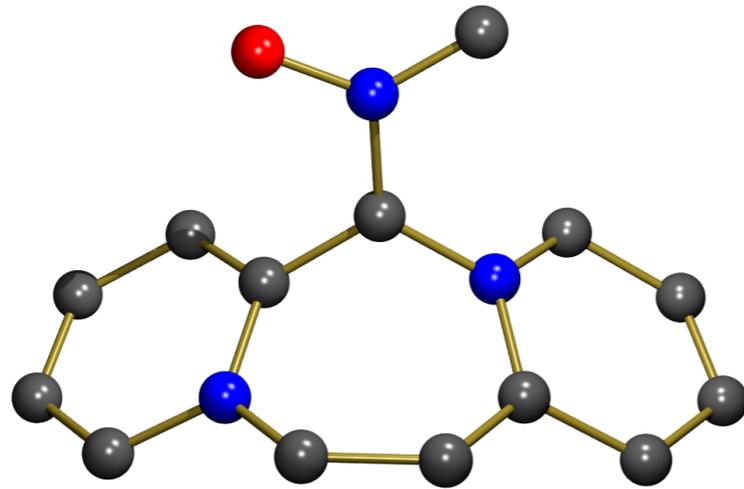
6.2 Carbamazepine Data



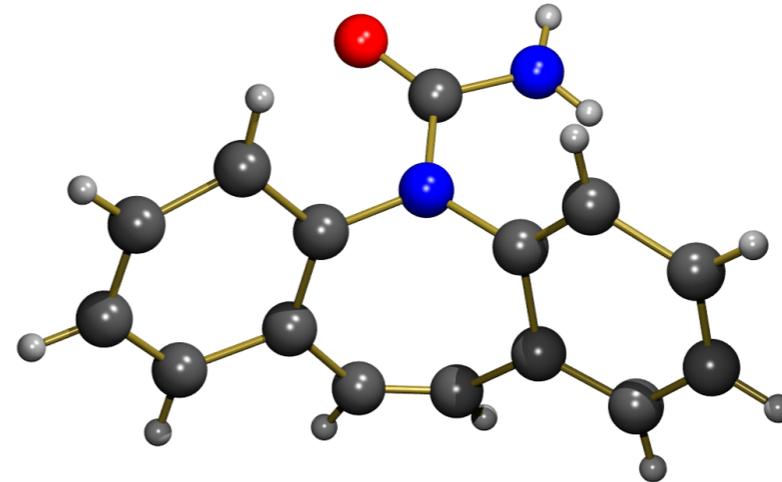
Crystal Size	$1.2 \times 0.8 \times 0.2$
Rotation range	51°
Dose	$4.0e^- / \text{\AA}^2$
Space group	$P2_1/n$
Resolution	$8.7-0.8$ ($0.85-0.80$) \AA
Completeness	45% (46%)
R_{merge}	8.4% (35.8%)
I/σ_I	5.6 (1.8)
R_{complete}	28.0 %



6.3 Carbamazepine Structure Solution



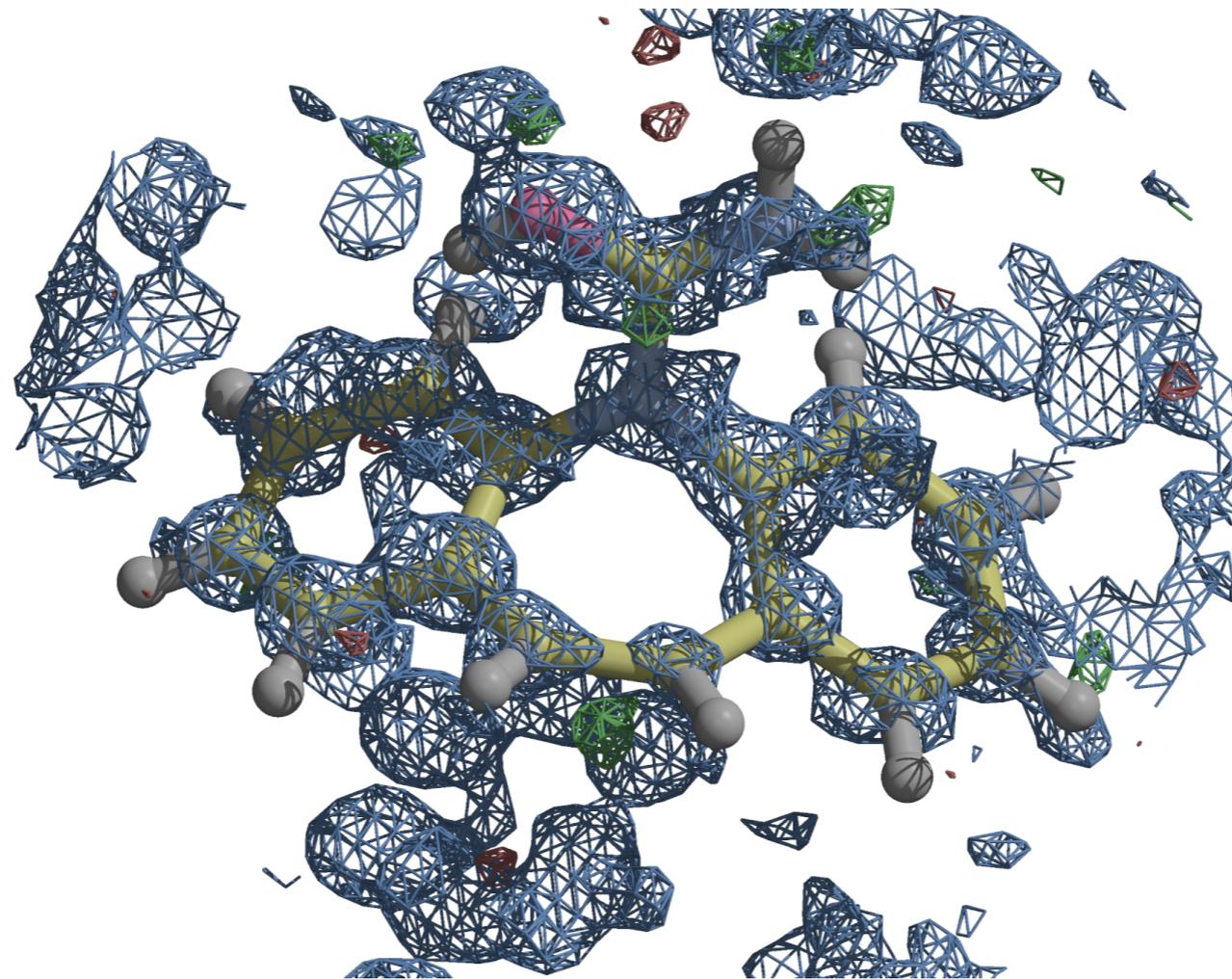
shelxt solution



final model

- Solved with direct methods, *i.e.* no chemical information
- No atoms missed, no atoms too many
- Only 4 wrongly assigned atom types

6.4 Carbamazepine Structure Solution



Low data completeness affects map quality despite atomic resolution

6.5 Solving Macromolecular Data: Lysozyme

- Currently PDB holds three entries from 3D electron diffraction
 1. 4ZNN: peptide involved in Alzheimers disease, $P2_1$, 1.4Å [Rodriguez *et al.* Nature (2015) 525, 486–490]
 2. 5A3E: Lysozyme, $P2_1$, 2.5Å [Nannenga *et al.* Nat. Meth. (2014) 11, 927]
 3. 3J7U: Catalase, $P2_12_12_1$, 3.2Å [Yonekura *et al.* PNAS (2015) 112, 3368–3373]

Structures 1+2 are collected from μ crystals; Structure 3 was solved by merging data from 99 crystals.

6.6 Data from a single Lysozyme nanocrystal

- Collected 40° before radiation damage destroyed crystal
- Crystal thickness $\approx 100nm$
- Data processed with RED and with XDS in *P1*

	RED			XDS		
Cell	32.3Å	69.7Å	105.6Å	32.1Å	70.9Å	104.0Å
	93.6°	92.0°	90.1°	93.4°	91.9°	91.1°
Resolution	32.3–2.4 (2.5–2.4)			32.1 –2.2 (2.3–2.2)		
I/σ_I	21.2 (8.8)			6.7 (1.4)		
R_{merge}	7.9% (13.7%)			28.9% (49.8%)		
Completeness	4.1% (0.1%)			20.7% (20.8%)		
# refl.	1897			14148 (2571)		
# unique refl.	1568			9542 (1539)		

6.7 Spacegroup of Lysozyme nanocrystal

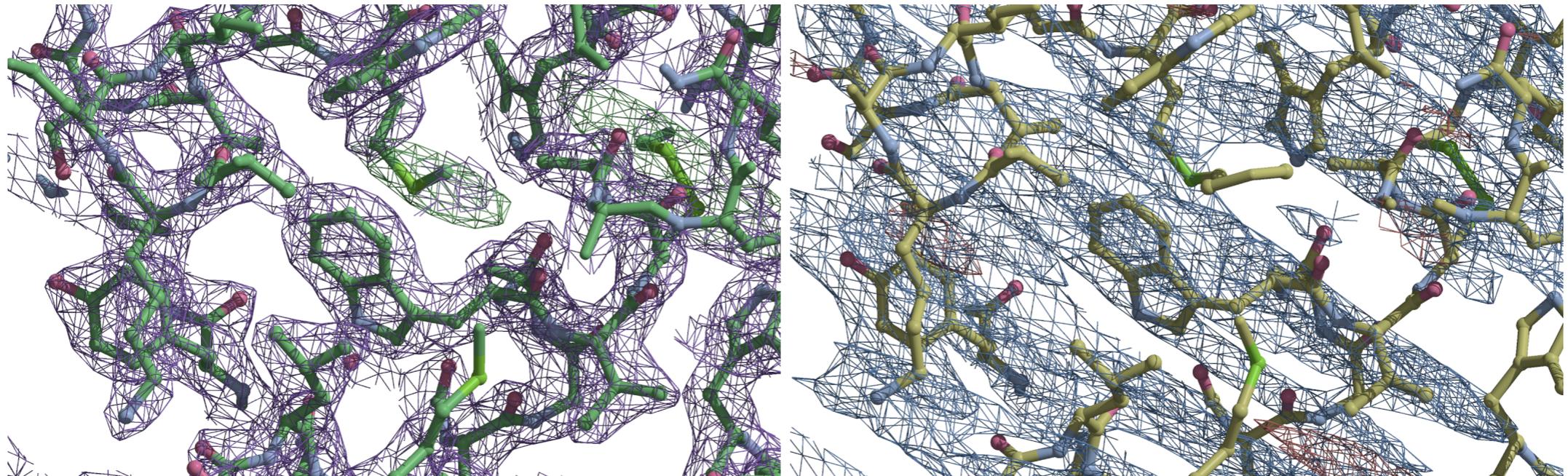
- Cell: $32.1 \times 70.9 \times 104.0$, 93.4° 91.9° 91.1°
- XDS suggests: $P 2 1 1$
- PDB ID 4R0F: $P2_12_12$ with $104.63 \times 66.49 \times 31.65$

Possible explanations:

1. α angle distorted because of erroneous parameters (distance, frame width, rotation range, image distortions)
2. Macrocrytal induces more rigid packing \Rightarrow enforces higher symmetry

\Rightarrow Currently an open question

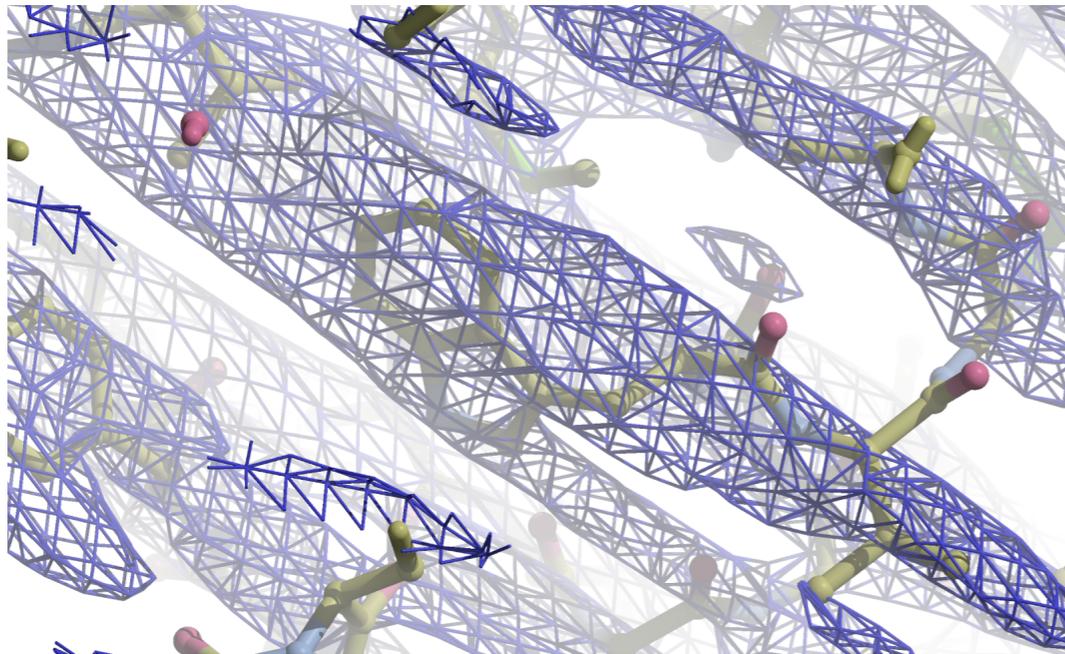
6.8 Lysozyme: Model Bias



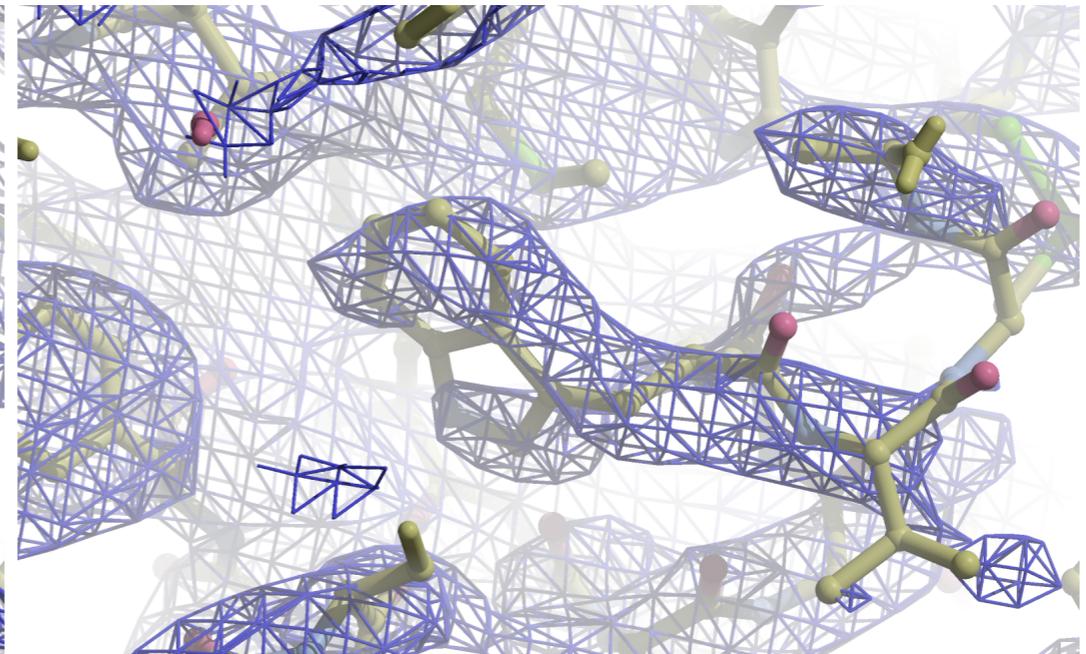
Refined map from Refmac5

Refined map from Shelxl

6.9 Lysozyme: is it Real? (I)

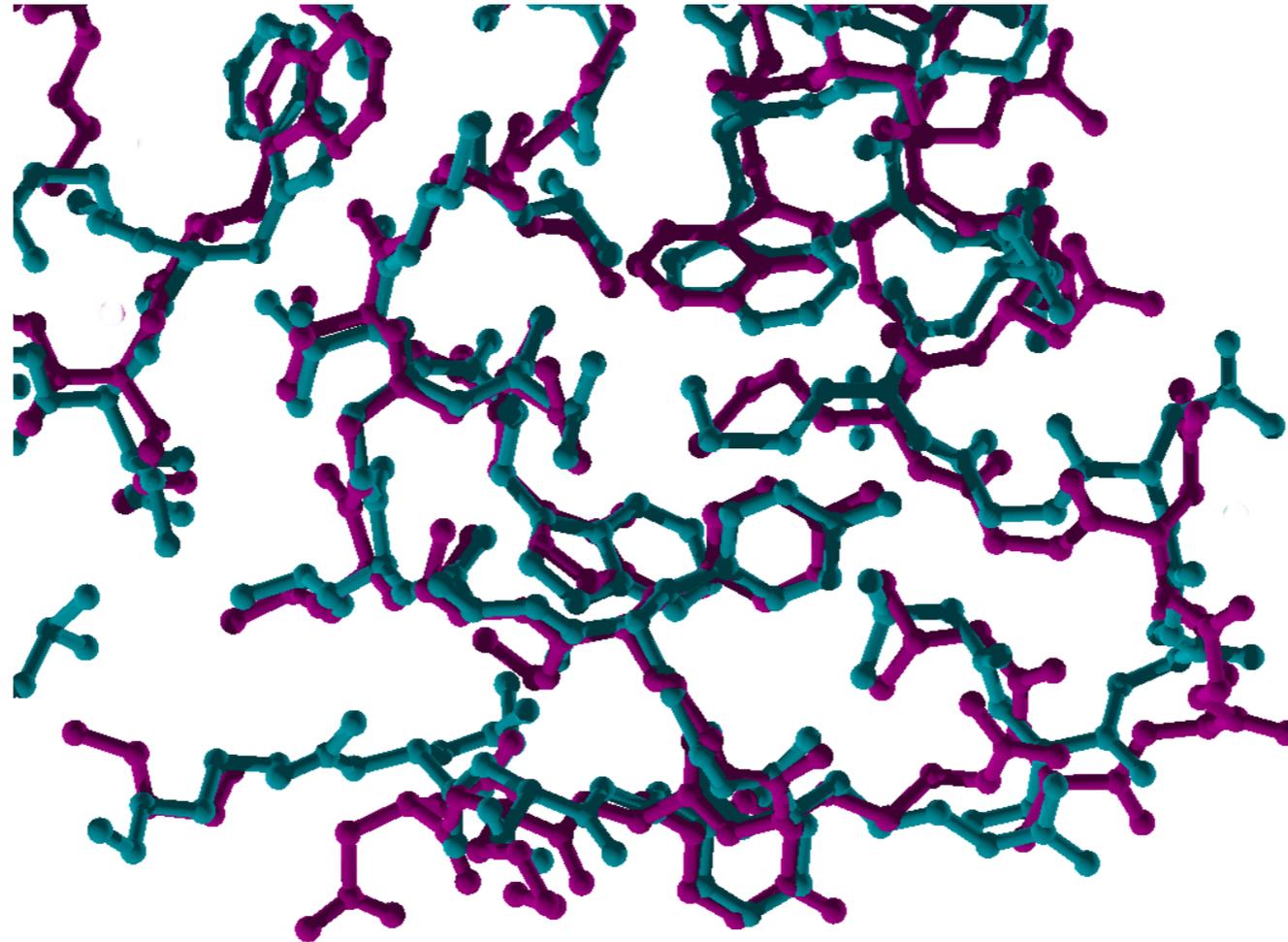


Refined map from Shelxl (zoomed)



Same map 4x NCS averaged

6.10 Lysozyme: is it Real? (II)



- Purple: Molecular replacement including side chains
- Green: Molecular replacement with poly-Ala model; side chains autobuilt with Buccaneer
- Autobuilding uses sequence information and data.
- Many side chains consistent

7 Phasing with Images

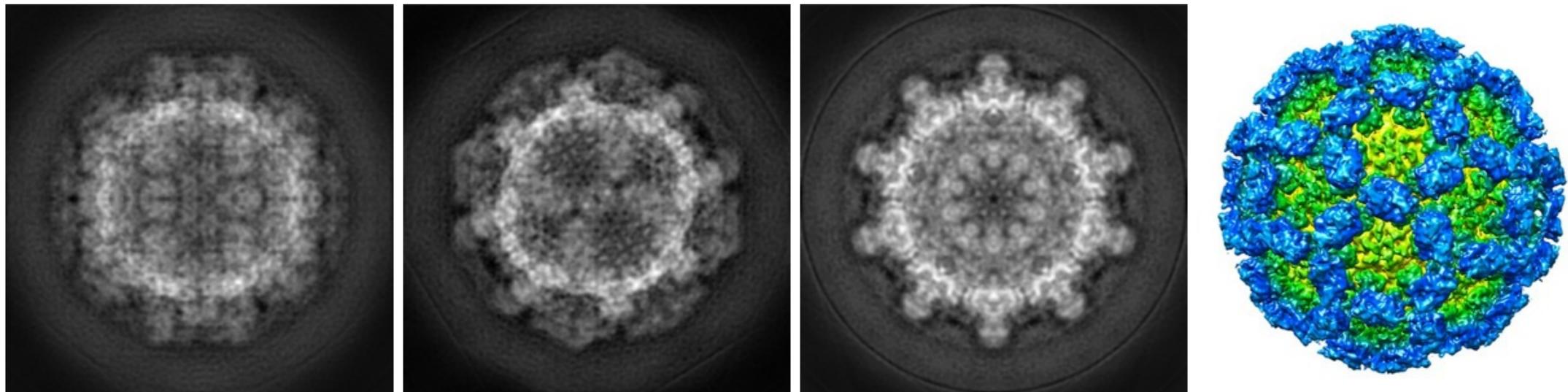
7.1 The Crystallographic Phase Problem

$$\rho(x, y, z) = \sum_{h, k, l} |F_{\text{ideal}}(hkl)| e^{i\phi(hkl)} e^{-2\pi i(hx + ky + lz)}$$

- Diffraction experiment measured amplitudes $|F_{\text{ideal}}(hkl)|$
- Phases $\phi(hkl)$ “get lost”
- Phasing methods:
 1. Molecular Replacement
 2. SAD/MAD — Single-/Multi-wavelength anomalous dispersion
 3. SIRAS — Isomorphous replacement with anomalous dispersion

7.2 Electron Microscope Imaging

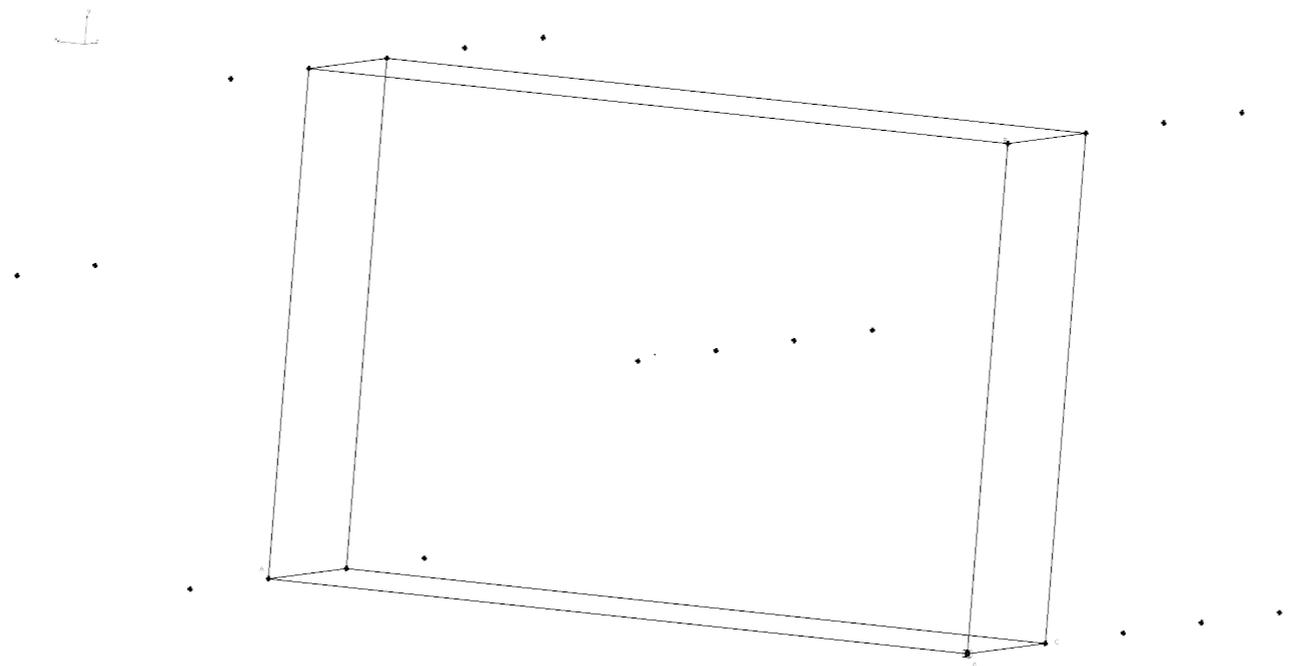
1. Record *many* images
2. Classify, group, and reduce noise
3. Find orientations
4. Reconstruct 3D electron density



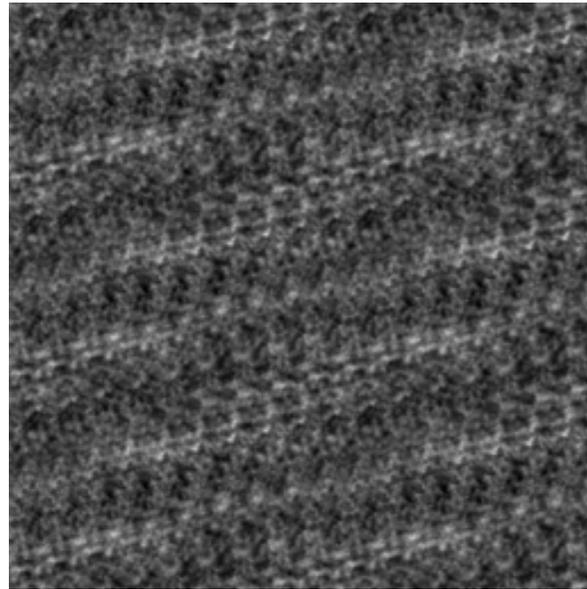
(EMDB 3281, *A chimeric sapovirus capsid*)

7.3 Indexing Diffraction Data

- Diffraction Data can be indexed
- ⇒ Unit Cell Dimensions and (often) Space group are known without solving the structure
- ⇒ Place single atom at unit cell corners and create projections from “single atom map” from all orientations



7.4 EM Imaging from Crystals



Projected Lysozyme Density
 $210\text{\AA} \times 210\text{\AA}$



Projected Single Atom Density
 $210\text{\AA} \times 210\text{\AA}$
Same Orientation
Match: 3.6%



Projected Single Atom Density
 $210\text{\AA} \times 210\text{\AA}$
Different Orientation
Match: 0.8%

In Image Mode, Contrast between 3.6% and 0.8% too low
(Simulated Data)

7.5 EM Imaging from Crystals in Fourier Space

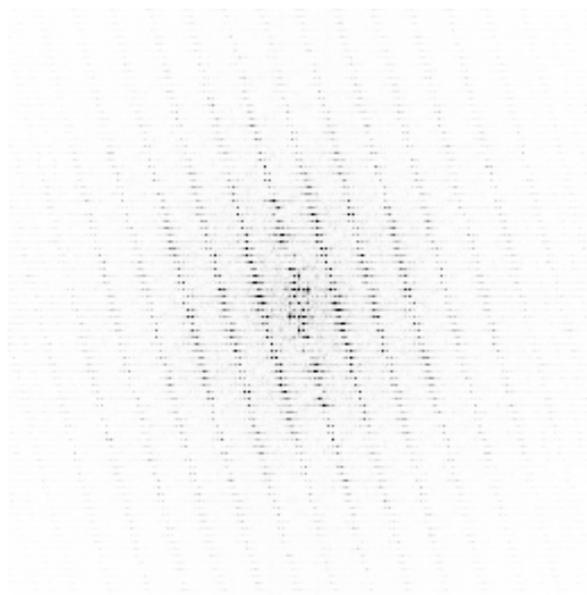
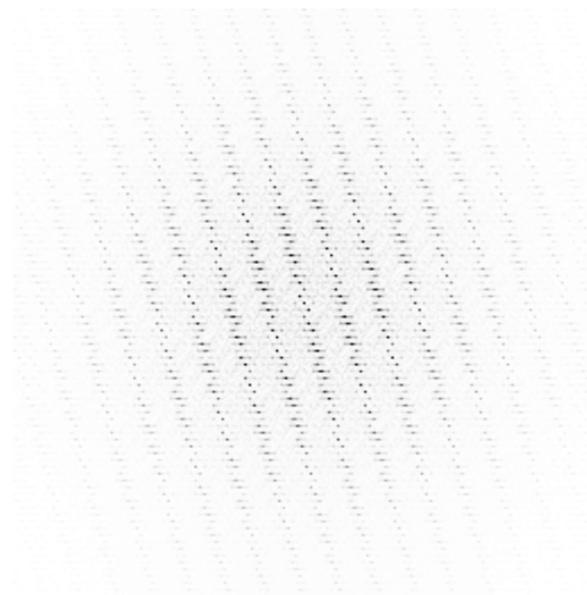
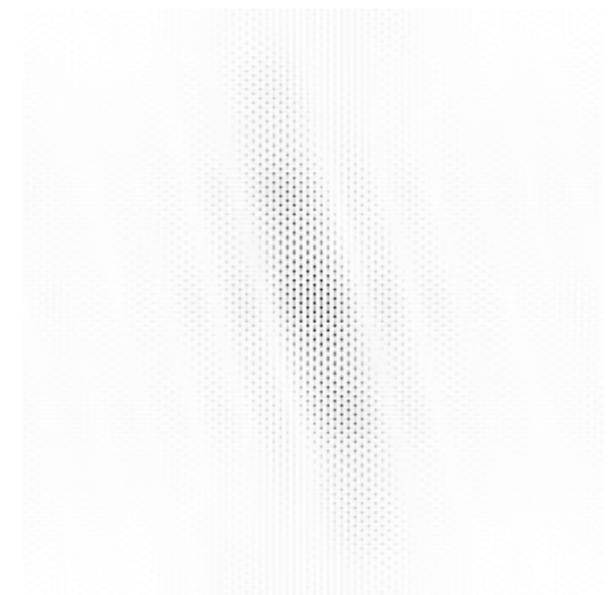


Image of Lysozyme Crystal
after Fourier Transform
 $210\text{\AA} \times 210\text{\AA}$



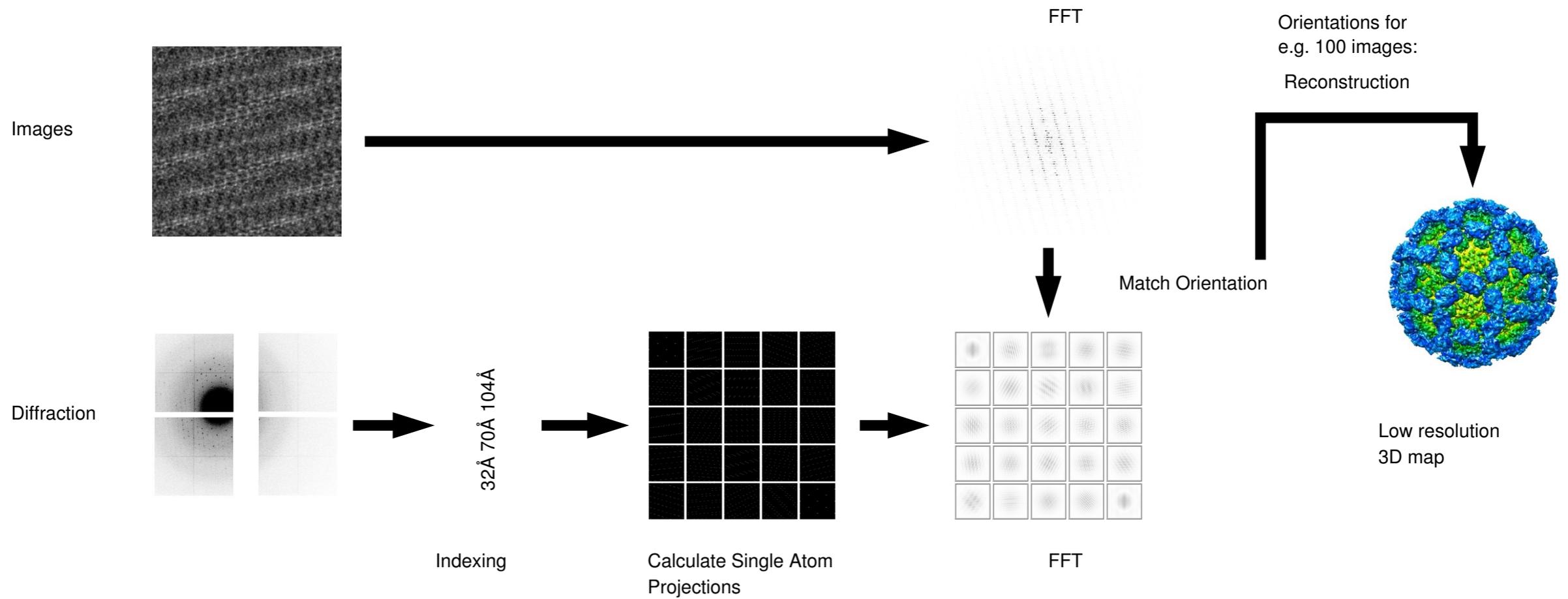
Projected Single Atom Density
after Fourier Transform
 $210\text{\AA} \times 210\text{\AA}$
Same Orientation
Match: 65%



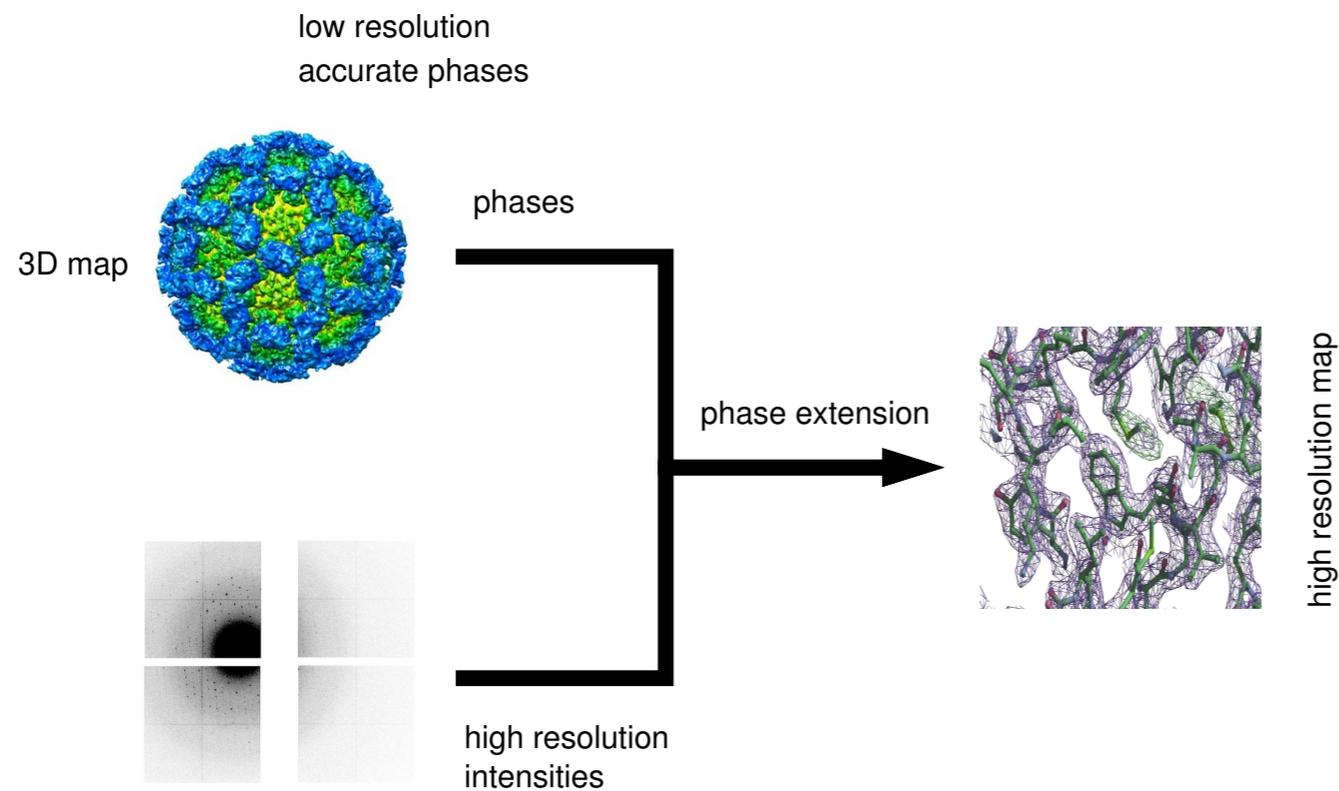
Projected Single Atom Density
after Fourier Transform
 $210\text{\AA} \times 210\text{\AA}$
Different Orientation
Match: 14%

Contrast after Fourier Transformation enables selection of correct orientation
(Simulated Data)

8 Phasing with EM Images I



9 Phasing with EM Images II



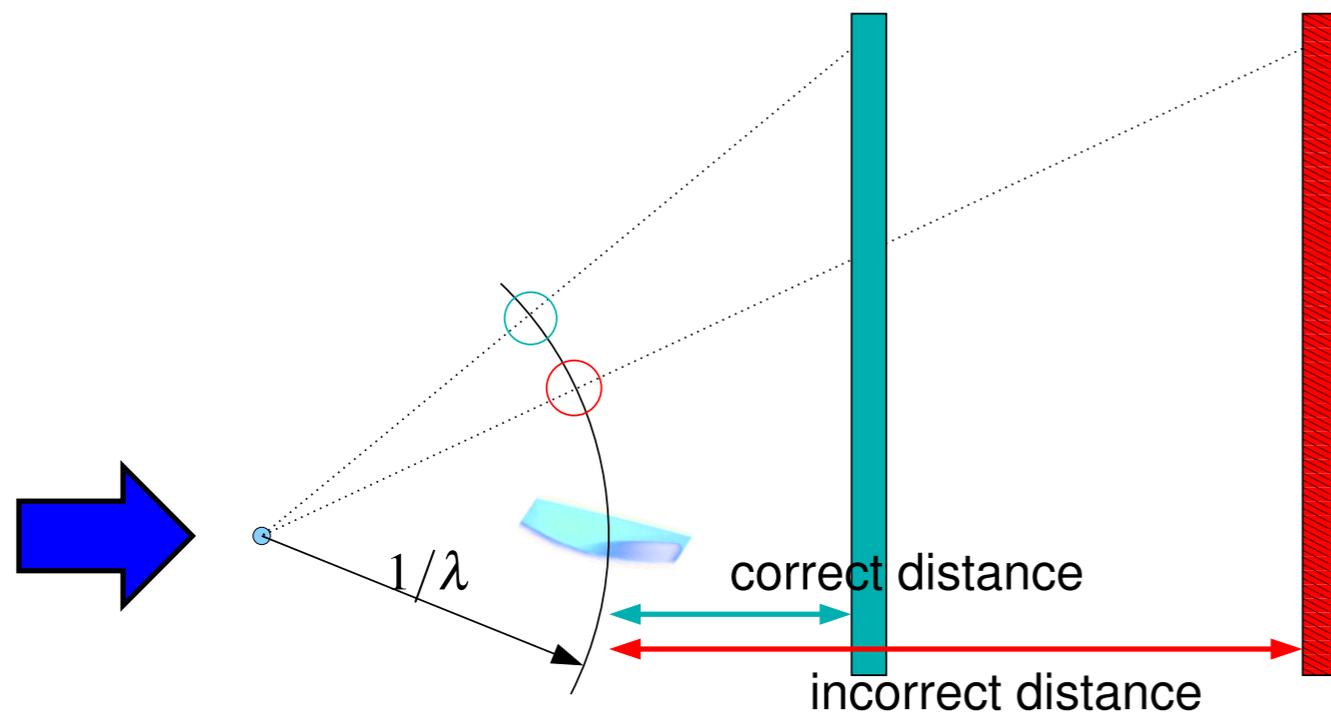
- Phases from Electron imaging **low resolution** but **accurate**
- Phases can be extended to high resolution
refle

10 Experimental Considerations

- Ewald “plane”
- dynamic scattering
- Instrumental limitations

10.1 X-rays: The Ewald Sphere

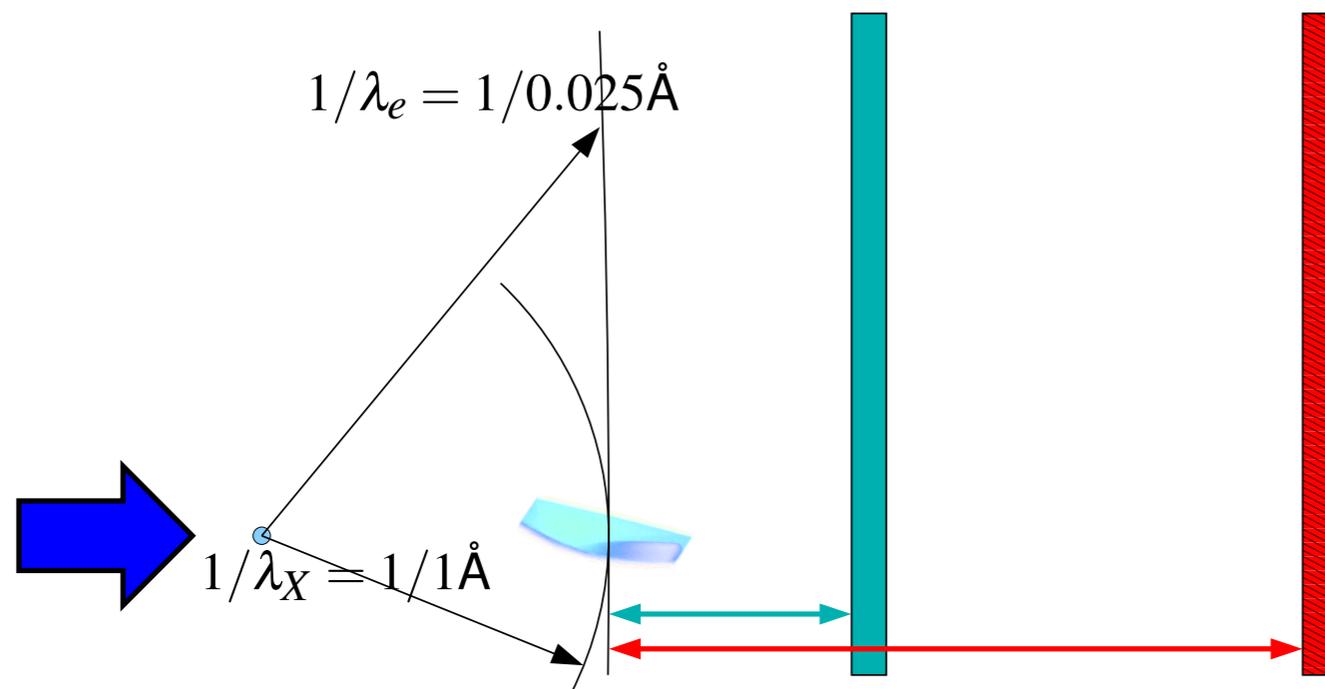
$\lambda = 1\text{\AA}$, "normal" resolution: $2\theta_{\text{max}} = 40^\circ$



- Assume: wrong detector distance
- Diffraction spot calculated wrongly (red circle)
- Reciprocal lattice becomes distorted

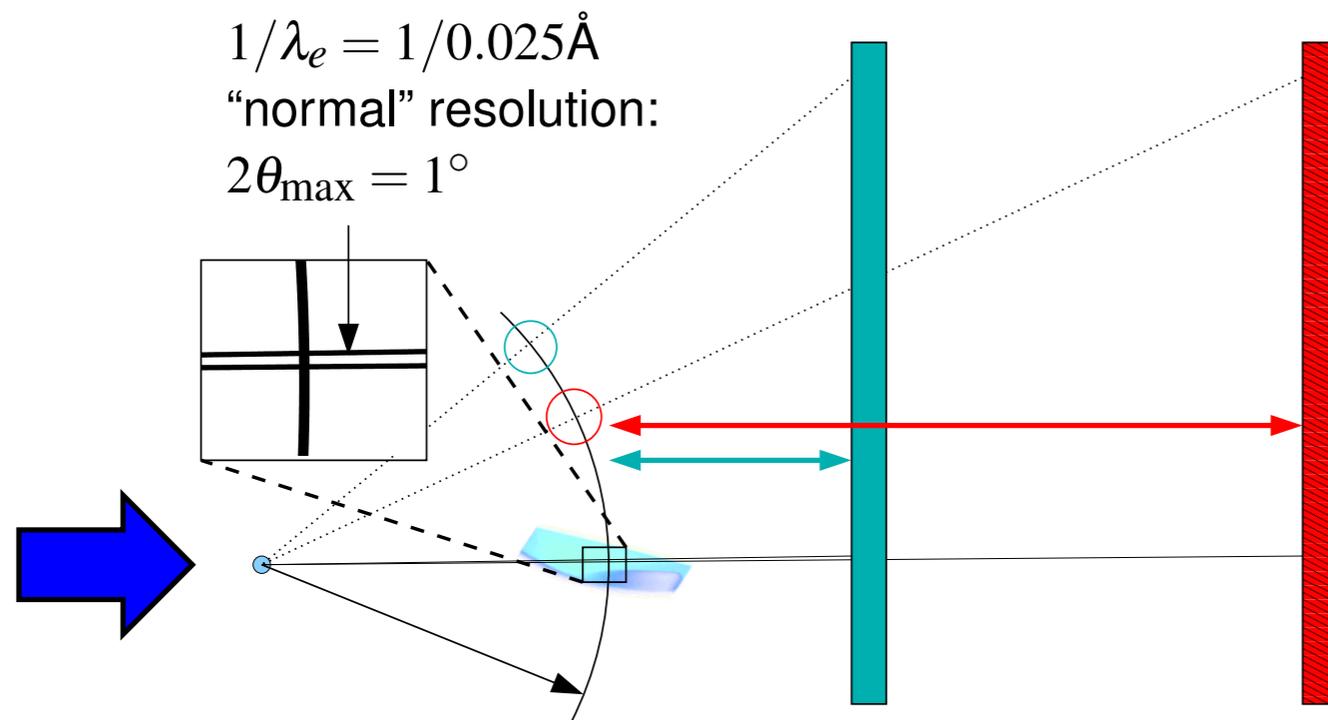
Curvature of the Ewald sphere **gauges** the diffraction geometry

10.2 Electrons: The Ewald “Plane”



- Typical X-ray wavelength $\lambda_X = 1\text{\AA}$
- Typical e^- wavelength $\lambda_e = 0.025\text{\AA}$
- Radius of Ewald sphere 40x greater
- Ewald sphere nearly flat

10.3 Electrons: The Ewald “Plane”



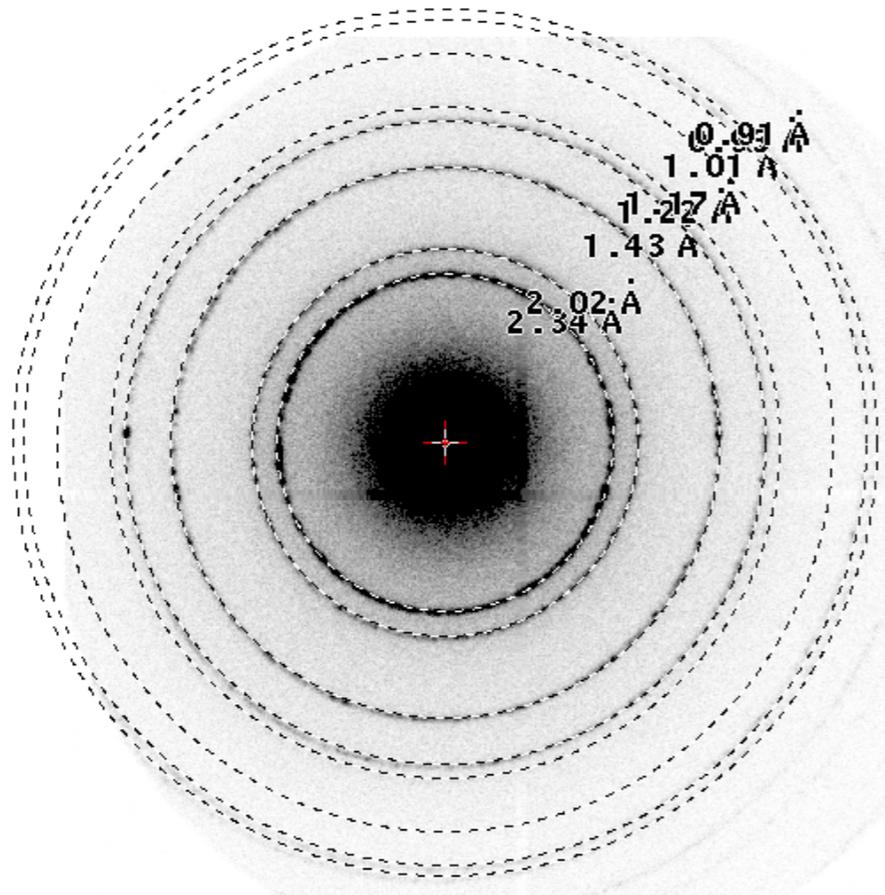
- opening angle of highest resolution reflections $\approx 1^\circ$
- Ewald sphere virtually flat
- Without curvature: impossible to refine both detector distance and cell

10.4 Electrons: The Ewald “Plane”

- Detector distance and unit cell parameters are strongly related
- Wrongly set distance can lead to incorrect bond lengths
- Distance refinement with X-ray data routine
- Distance refinement with electron data = unstable
- Distance calibration from powder sample

10.5 Distance Calibration

- Bragg's law: $\lambda = 2d \sin \theta$; d, λ are known

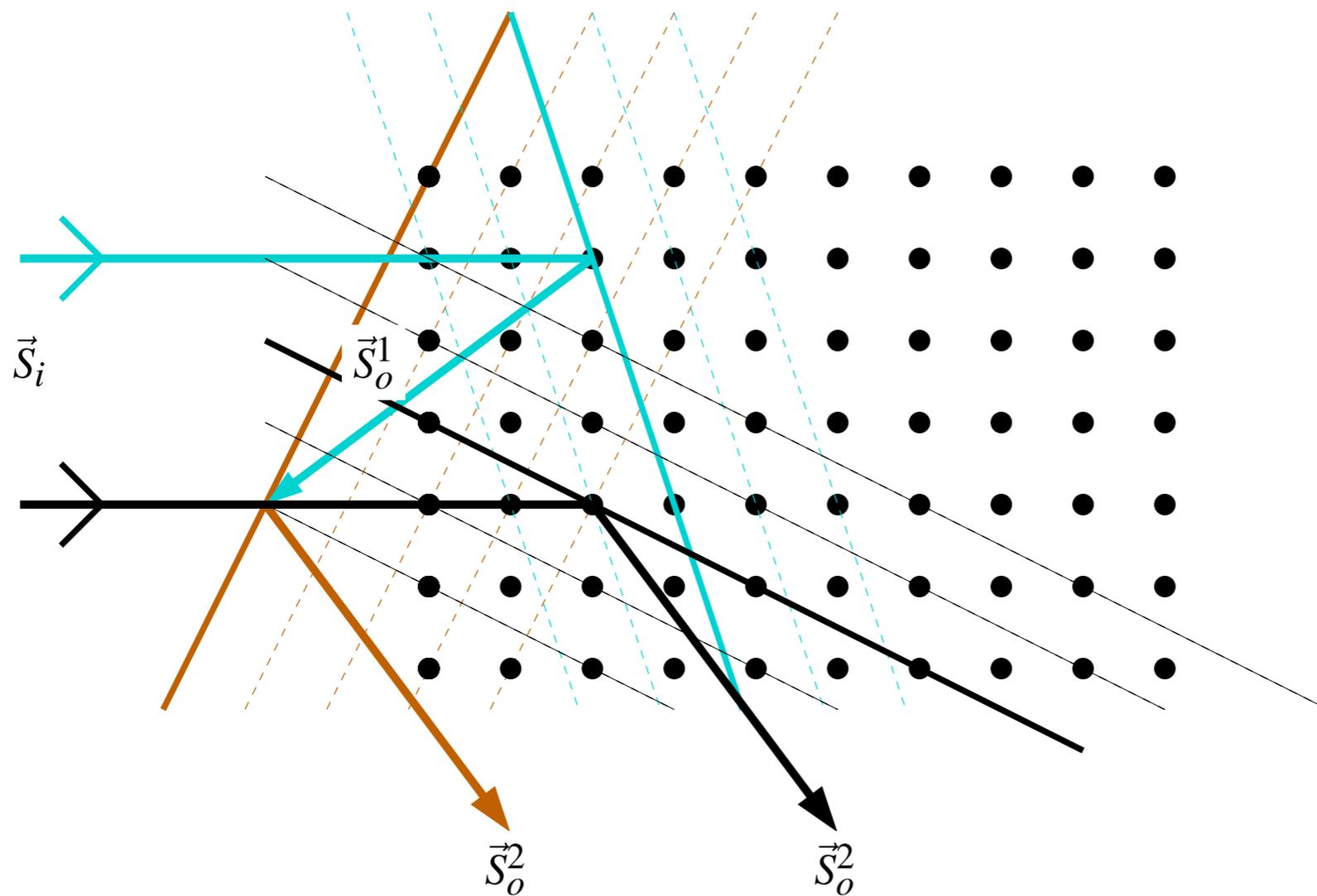


Distance:	<input type="text" value="485"/>	(mm)	<input type="checkbox"/>	Fix
Pixel Size:	<input type="text" value="0.055"/>	(mm)	<input type="checkbox"/>	
Wavelength:	<input type="text" value="0.02508"/>	(Å)	<input type="checkbox"/>	
2-Theta				
	<input type="text" value="0.00"/>	(deg)	<input type="checkbox"/> Horiz. <input checked="" type="checkbox"/> Vert.	<input type="checkbox"/>
Beam Center				
X:	<input type="text" value="256"/>		<input type="checkbox"/> mm <input checked="" type="checkbox"/> pixels	<input type="checkbox"/>
Y:	<input type="text" value="256"/>			
<input type="checkbox"/> Small Spots <input type="checkbox"/> Fix Contrast				
				<input type="button" value="Close"/>

10.6 Dynamic Scattering

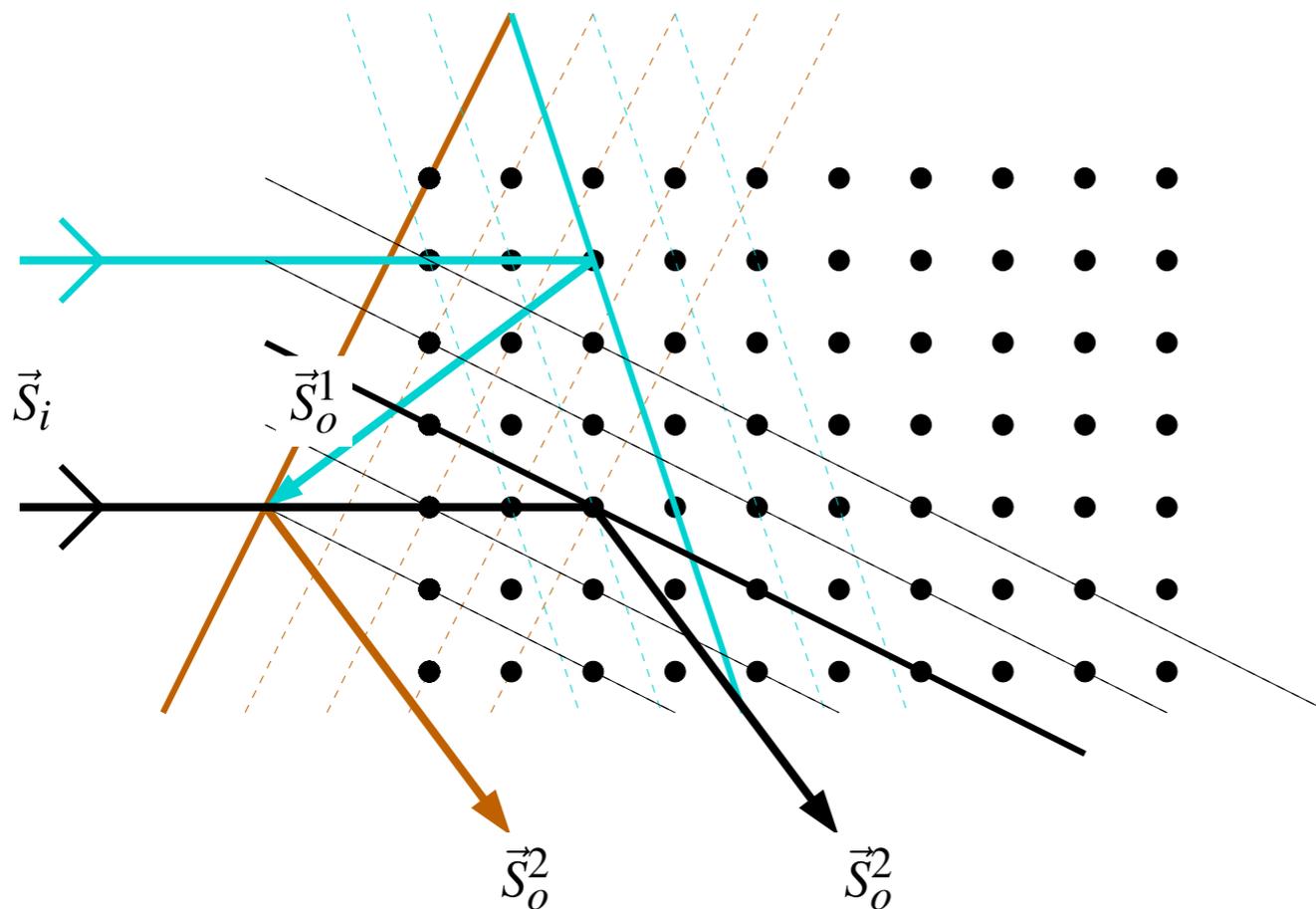
- Kinematic Theory of Diffraction: Every photon / electron / neutron scatters once in the crystal
- $|F_{\text{ideal}}(hkl)| \propto \sqrt{I_{\text{exp}}(hkl)}$
- Dynamic Scattering: Multiple Scattering events occur
- Electron Diffraction: Multiple Scattering occurs even with nanocrystals

10.7 Dynamic Scattering



- Outgoing ray \vec{S}_o^1 acts as incoming ray for reflection \vec{S}_o^2 .
- Re-reflection with 10% probability at 50 nm path length

10.8 Dynamic Scattering



Laue Conditions (accordingly \vec{b} and \vec{c}):

$$(\vec{S}_o^1 - \vec{S}_i) \cdot \vec{a} = h_1$$

$$(\vec{S}_o^2 - \vec{S}_o^1) \cdot \vec{a} = h_2$$

$$(\vec{S}_o^2 - \vec{S}_i) \cdot \vec{a} = h_1 + h_2$$

Experimental Intensities by superposition of two reflections:

$$I_{\text{exp}}(h_2 k_2 l_2) = |F_{\text{ideal}}(h_2 k_2 l_2) + \alpha F_{\text{ideal}}(h_1 k_1 l_1)|$$

- $\alpha < 1$: 0.1 for 50 nm path length
- $(h_1 k_1 l_1)$ strong and $(h_2 k_2 l_2)$ weak \Rightarrow wrong estimate for $|F_{\text{ideal}}(h_2 k_2 l_2)|$
- affects high resolution data

10.9 Dynamic Scattering for Organic Crystals

- Presence in Macromolecular Diffraction data currently discussed in literature
- Some claim it is negligible
- Experimental evidence equivocal
- Treatment (scaling / refinement) should be improved

10.10 Other Instrumental limitations

- Electron Microscopes not designed for accurate sample rotation
- Rotation axis not linked to Camera read-out
- Lense system rotates (diffraction) image: rotation axis unknown
- Sample holder not desiged for 180° rotation

10.11 SwissFEDI

Swiss Free Electron Diffraction Instrument

- Horizontal beam
- 15–18m instrument length
 1. Reduced Cross-talk between magnetic lenses
 2. No optical enlargement of detector distance: $1 - 2^\circ$ opening angle covers $20 \times 20\text{cm}^2$ detector area
- Sample holder designed for sample rotation

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