

# CALL INFORMATION

**Information and networking event** on 9<sup>th</sup> August 2023 in Dübendorf for the  
Call for Joint Initiatives in the Strategic Area Advanced Materials and Key Technologies (SA-AMKT)

## Preliminary Information

The exact rules and conditions are not yet determined.  
The call documents will only be issued end of September.

Lars Sommerhäuser (Scientific Officer SA-AMKT)



Initiate **new collaborations** between ETH Domain institutions and also with external partners as appropriate.

Joint Initiatives have the explicit ambition to achieve a **tangible impact on society** and in addition must meet the following criteria:

- They are **related to the Strategic Areas** of the ETH Domain targeted in the respective call.
- They require a **higher level of inter-institutional coordination and cooperation** than an individual institution can bear alone to achieve excellence at the national and international level.
- They should lead to **new institutionalized forms of collaboration** within the ETH Domain and beyond, and be of national relevance (e.g. national platforms).
- They have a **long-term horizon**.

- Christian Rüegg, PSI (chair)
- Beate Jessel (WSL)
- Vanessa Wood (ETHZ)
- Christian Wolfrum (ETHZ)
- Jan Hesthaven (EPFL)
- Ursula Oesterle (EPFL)



- AMKT = Advanced Materials and Key Technologies
- HH = Human Health
- RDT = Responsible Digital Transformation
- ECSE = Energy, Climate and Sustainable Environment
- EDS = Engagement and Dialogue with Society

1 external chair, ~3 ETH Domain representatives, plus domain experts/reviewers

SRC = Scientific Review Committee

## Phase 1 Pre-Proposals

1. Consortia: Preparation and submission of pre-proposals for JI
2. Scientific Officers: Review of pre-proposals according to **Scope and Eligibility**
3. Steering Committee members: Assess according to **Scope and Eligibility**
4. Steering Committee: Meeting and decision who can submit a full proposal

## Phase 2 Full Proposals

5. Consortia: Preparation and submission of full proposals for JI
6. Scientific Review Committee members: **Evaluation** of the full proposals
7. Scientific Review Committee: Meeting, recommendations and ranking of JI
8. Steering Committee members: **Evaluation** of the full proposals
9. Steering Committee: Meeting and decision which JI should be funded
10. ETH Board: **Funding decision** for Joint Initiatives



## Structure of the call document

- Introduction
- Strategic Areas targeted in this call:
  - Human Health (HH)
  - Advanced Materials and Key Technologies (AMKT)
  - Responsible Digital Transformation (RDT)
- Call Scope
- Eligibility Criteria
- Budget and Eligible Costs
- Application Procedure and Evaluation

## Joint Initiatives ...

- relate to **at least one of the Strategic Areas** targeted in this call (further details about the specific scope and focus of each Strategic Area will be defined in the call document).
- involve **at least two institutions** of the ETH Domain.
- strive ...
  - to create **solutions** of national or global relevance and to achieve a **tangible impact on the economy and society** in Switzerland and beyond and/or
  - to build up **new competences and capabilities** inside the ETH Domain that **enable cutting-edge research** in the addressed Strategic Areas, but are not already part of the mission of an individual institution.
- require a **high level of inter-institutional coordination and cooperation** that an individual institution cannot bear alone to achieve (inter-)national excellence.
- have a **longer-term horizon** and lead to new **institutionalized forms of collaboration** beyond the period of co-funding by the ETH Board.
- exploit the potential lying in the diversity of disciplines within the ETH domain and **utilize complementary competencies across disciplines and institutions**.

## Out of scope are ...

- Standard (collaborative) **research or innovation projects** already receiving or being eligible to receive funding from instruments such as **SNSF, Innosuisse, NCCR, Horizon, SWEET, SDSC, SFA, etc.**
- Projects already receiving or being eligible to receive funding in the call for **Open Research Data (ORD)** activities in the ETH Domain.
- Projects that are registered in the **Swiss Roadmap for Research Infrastructure 2023.**

**Funds that have been granted for projects as mentioned above can also not be credited as co-funding of the institutions that will be matched by the ETH Board.**

**Eligible are costs directly aimed towards achieving the goal of the Joint Initiative (JI).  
These may include:**

- **materials and equipment,**
- **networking activities, public events and workshops,**
- **knowledge and technology transfer activities** (as far as they can't be covered by other means)
- **salaries of project staff** and **other costs** directly attributable to achieve the aim of the JI.

**Not eligible are:**

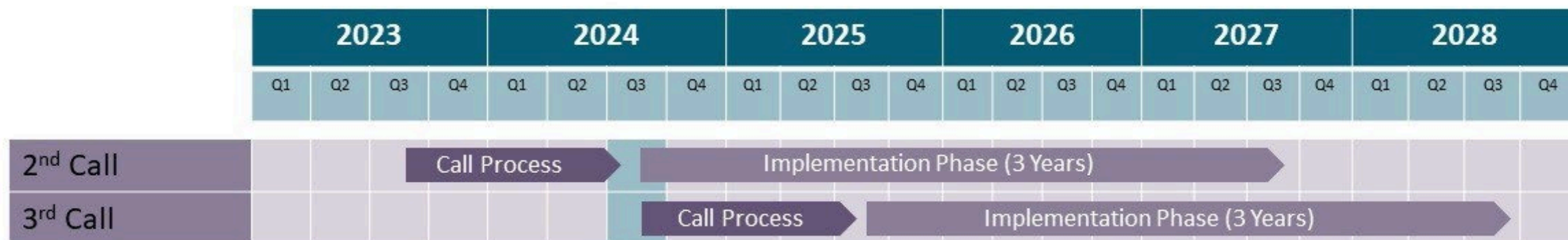
- the **applicants own salaries** and
- costs for research activities that are mentioned in the previous slide as "**out of scope**"



- The ETH Board will finance **up to 50% of the total costs** of a Joint Initiative.
- ETH Board matching funds are **only available for ETH Domain institutions**.
- The **applicants** are responsible to **secure the commitment** for co-funding **from their institutions**. The institutional contribution can be in-kind or cash. Management costs for the initiative should be included in the institutional contribution.
- Co-funding means funds that are not earmarked for any other purpose. Eligible are...
  - **basic funds (Erstmittel) of the institutions** or
  - **other funds** (also from external partners) which were **specifically raised for the Joint Initiative** or
  - **other funds** that are **at the free disposal of the applicants** without being tied to another project.

## Not eligible as co-funding are:

- Contributions that are **used outside the ETH Domain institutions**, e.g. at external partners
- Funding granted for **other projects** (see previous slides)



## Schedule 2<sup>nd</sup> Call

- Sep 2023 Call opens
- Dec 2023 Pre-proposal deadline
- Feb 2024 Pre-proposal decision
- Apr 2024 Full proposal deadline
- Jul 2024 Funding decision

**Do you have any  
(further) questions?**

# Program of the Workshop

09:00	<i>Registration and welcome coffee</i>
10:00	Event introduction by the scientific officer
10:15	<b>IDEA PITCHES – Block 1</b> Scientists pitch their ideas for potential joint initiatives (topic, motivation, vision, objectives, approach, current partners/competences and missing partners/competences).
11:00	<i>Coffee break</i>
11:15	<b>IDEA PITCHES - Block 2</b> Scientists pitch their ideas for potential joint initiatives (topic, motivation, vision, objectives, approach, current partners/competences and missing partners/competences).
11:45	<b>CALL INFORMATION</b> The scientific officer informs about what is known so far regarding the boundary conditions, selection criteria and the call schedule.
12:30	<i>Lunch</i>
13:15	<b>PITCH DISCUSSIONS</b> Every speaker can be approached at their own discussion table to talk about their pitches, to offer ideas/competences and to connect for potential consortia.
14:45	<i>End of the event</i>