

**Jochen Stahn**

Laboratory for Neutron Scattering and Imaging

Erice School *Neutron Science and Instrumentation*, IV course

**Neutron Precession Techniques**

Erice, Sicily, Italy, 01. – 08. 07. 2017

# Solid State Polarisers and Focussing Neutron Optics



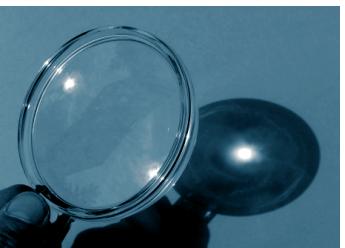
## basics

- reflectometry
- supermirrors
- polarising coatings



## polarisers

- overview
- **reflective coatings**
- comparison



## focusing optics

- refractive
- reflective



## basics

- reflectometry
- supermirrors
- polarising coatings



## polarisers

- overview
- reflective coatings
- comparison



## focusing optics

- refractive
- reflective



## analogy to visible light

flat surfaces partly reflect light

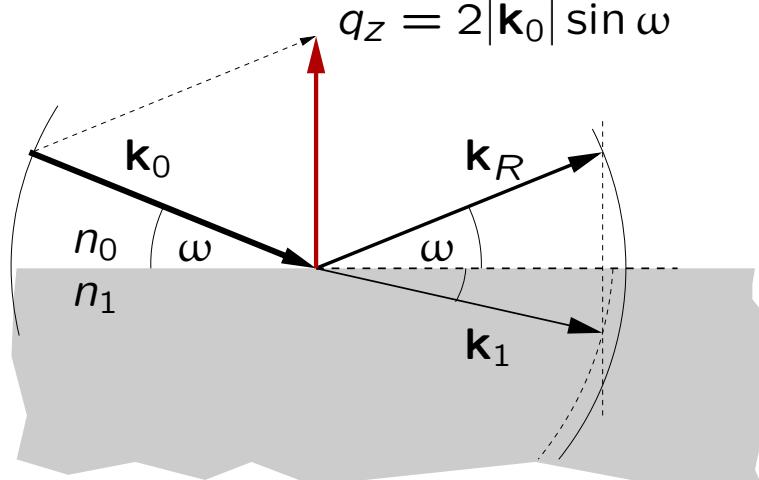
→ image of the boot

some media also transmit light

→ ground below the water

## reflectivity of a surface

function of index of refraction  $n$





## analogy to visible light

flat surfaces partly reflect light

→ image of the boot

some media also transmit light

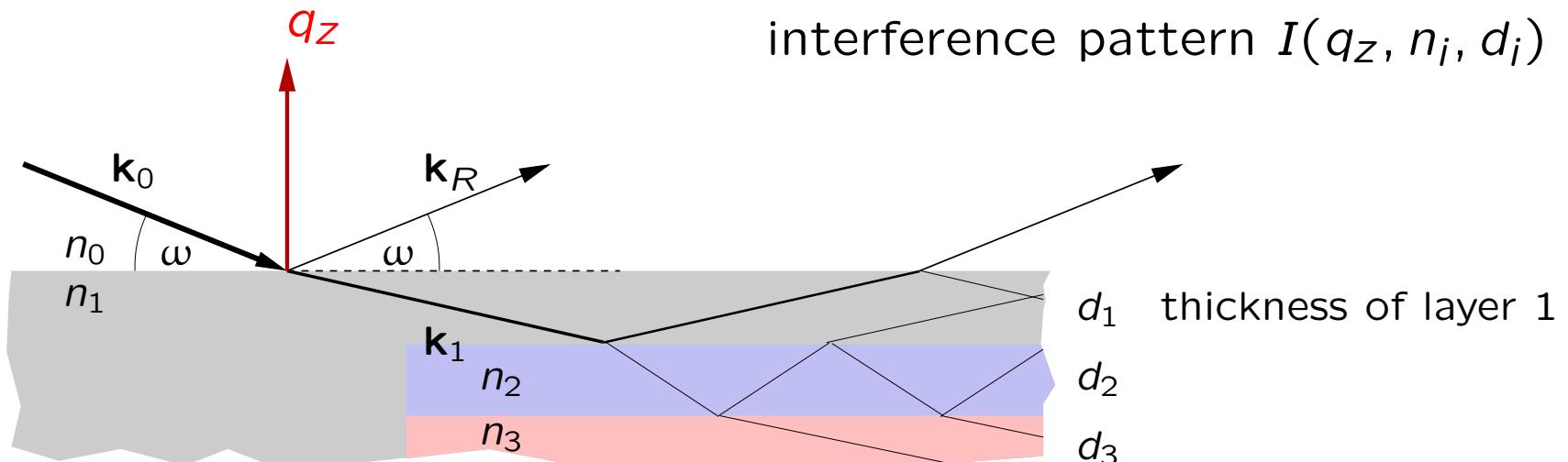
→ ground below the water

parallel interfaces cause interference

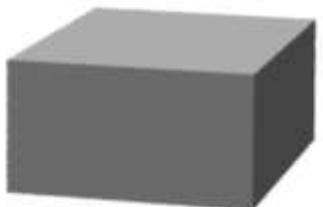
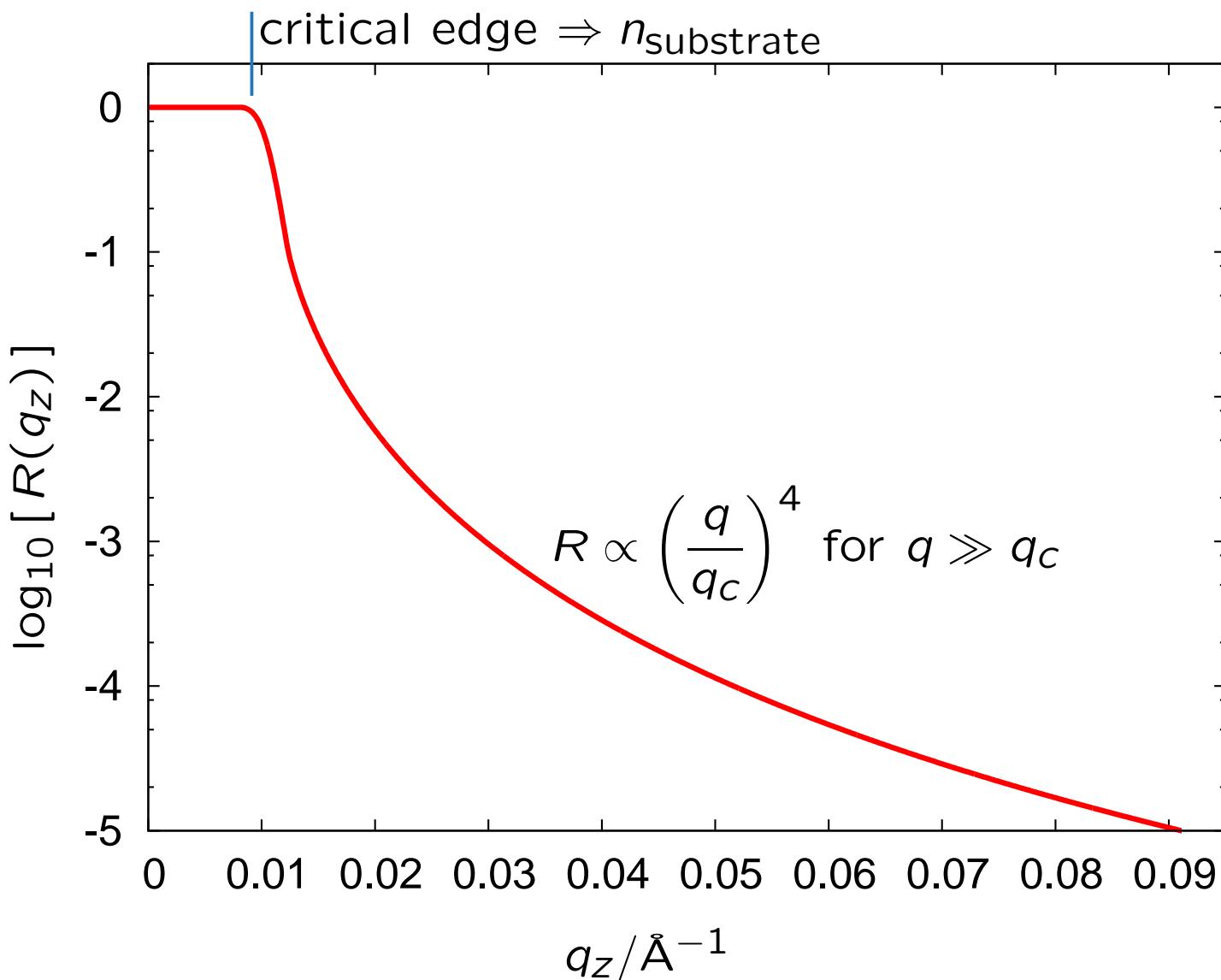
→ colourful soap bubbles

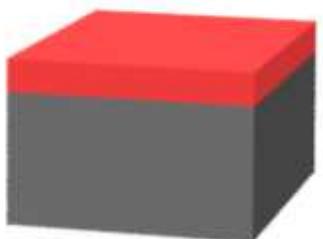
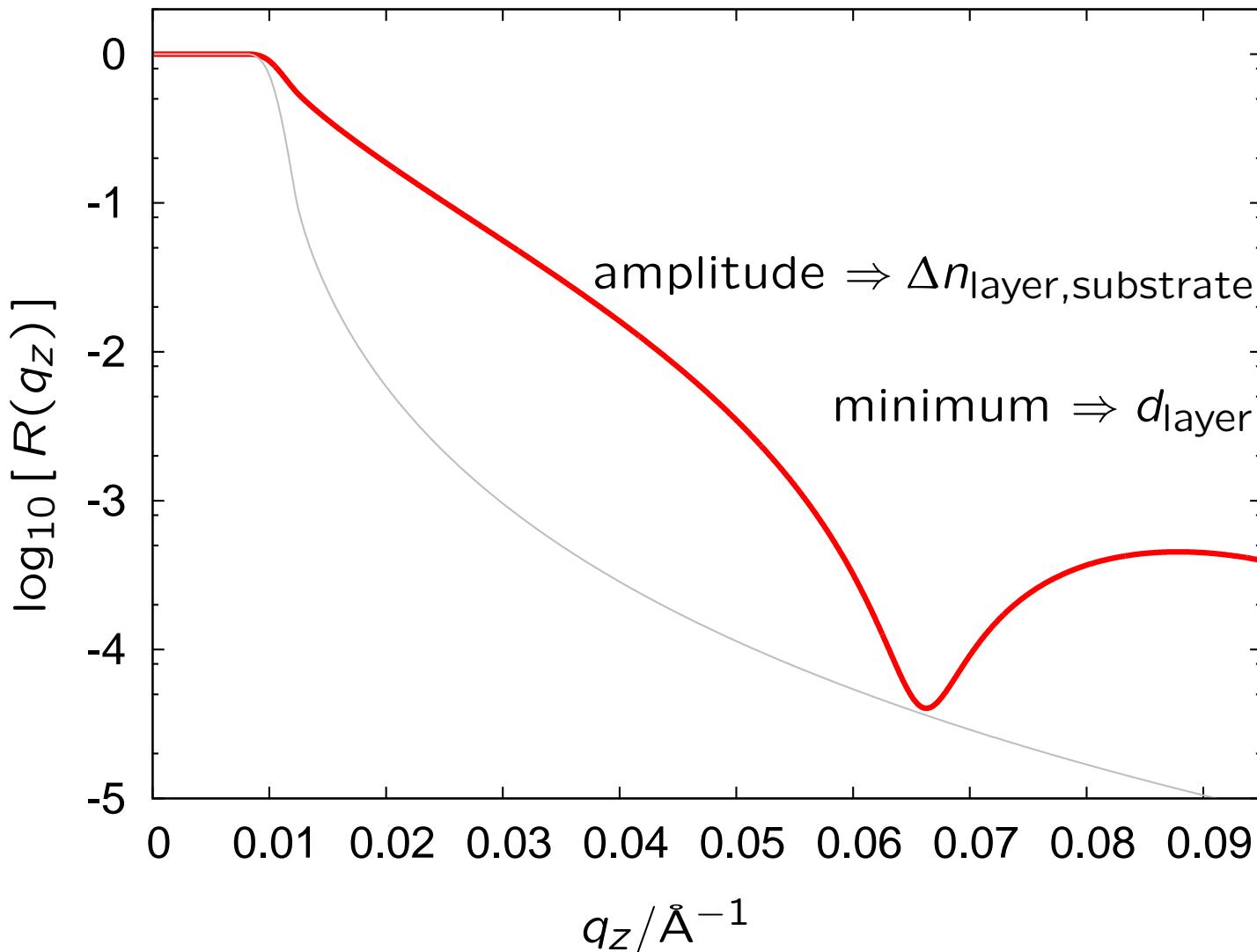
## reflectivity of plane parallel interfaces

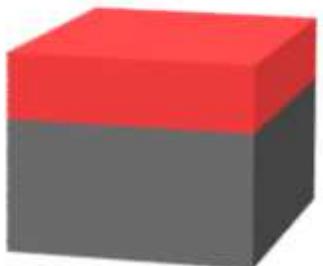
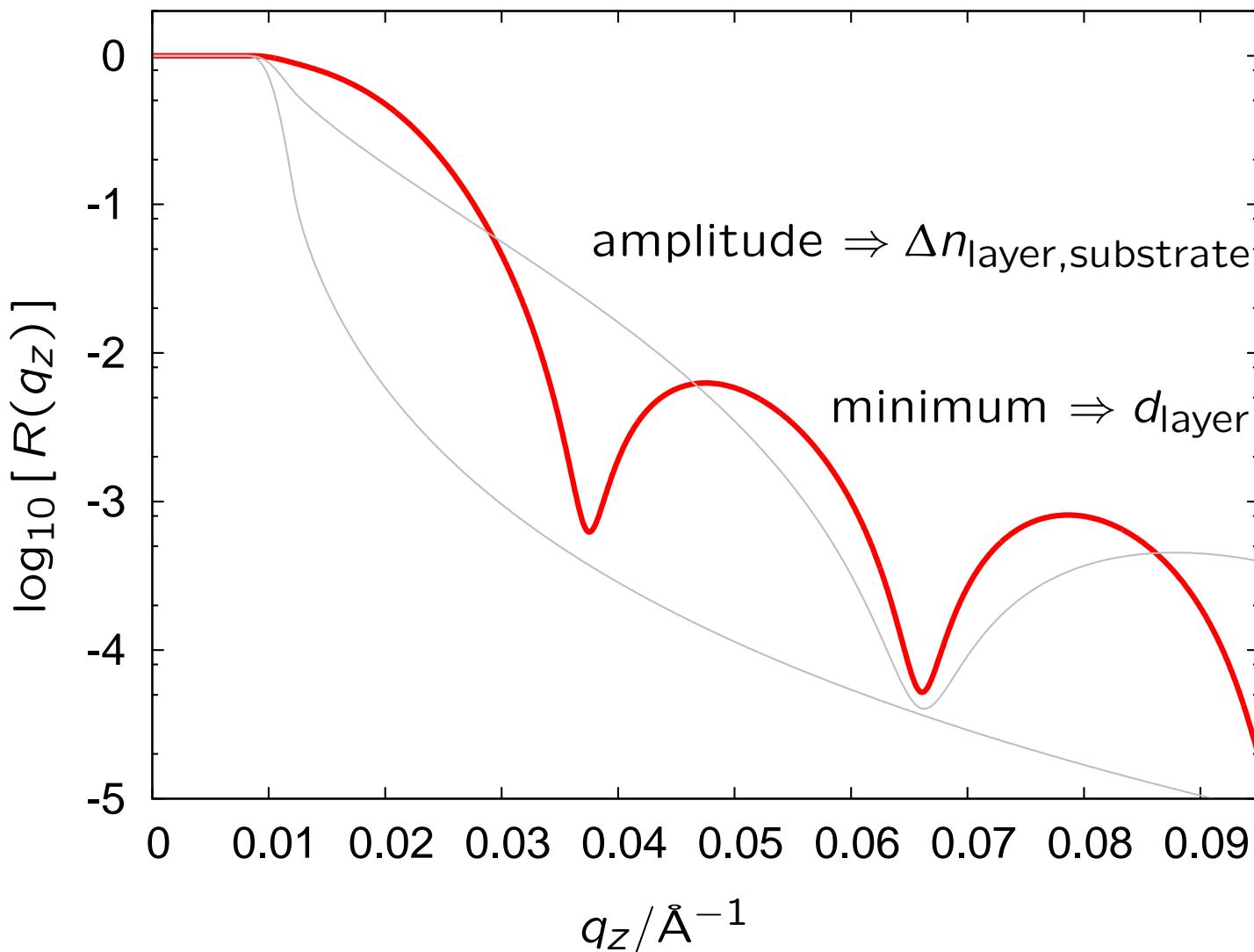
interference pattern  $I(q_z, n_i, d_i)$



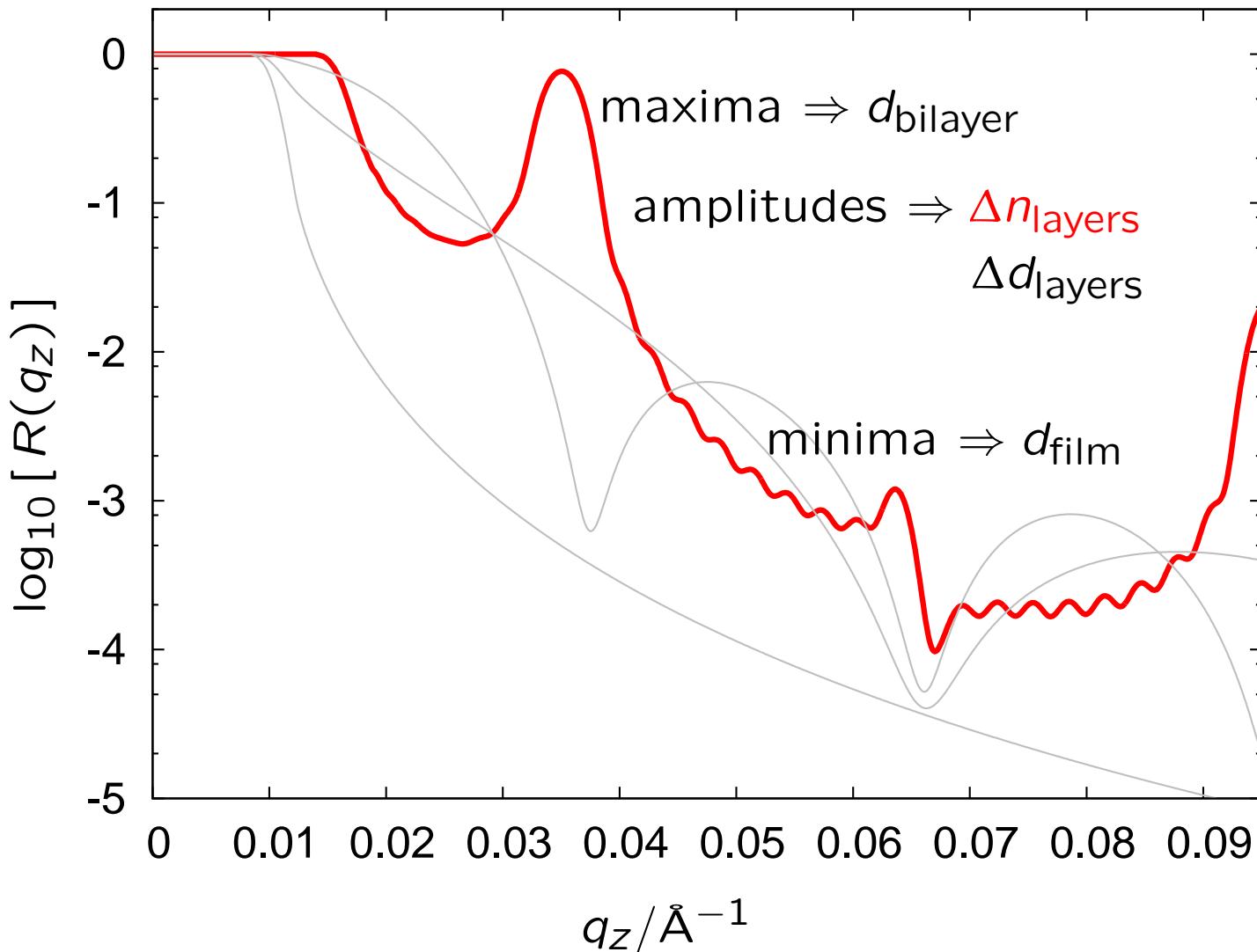
simulated reflectivity of a surface

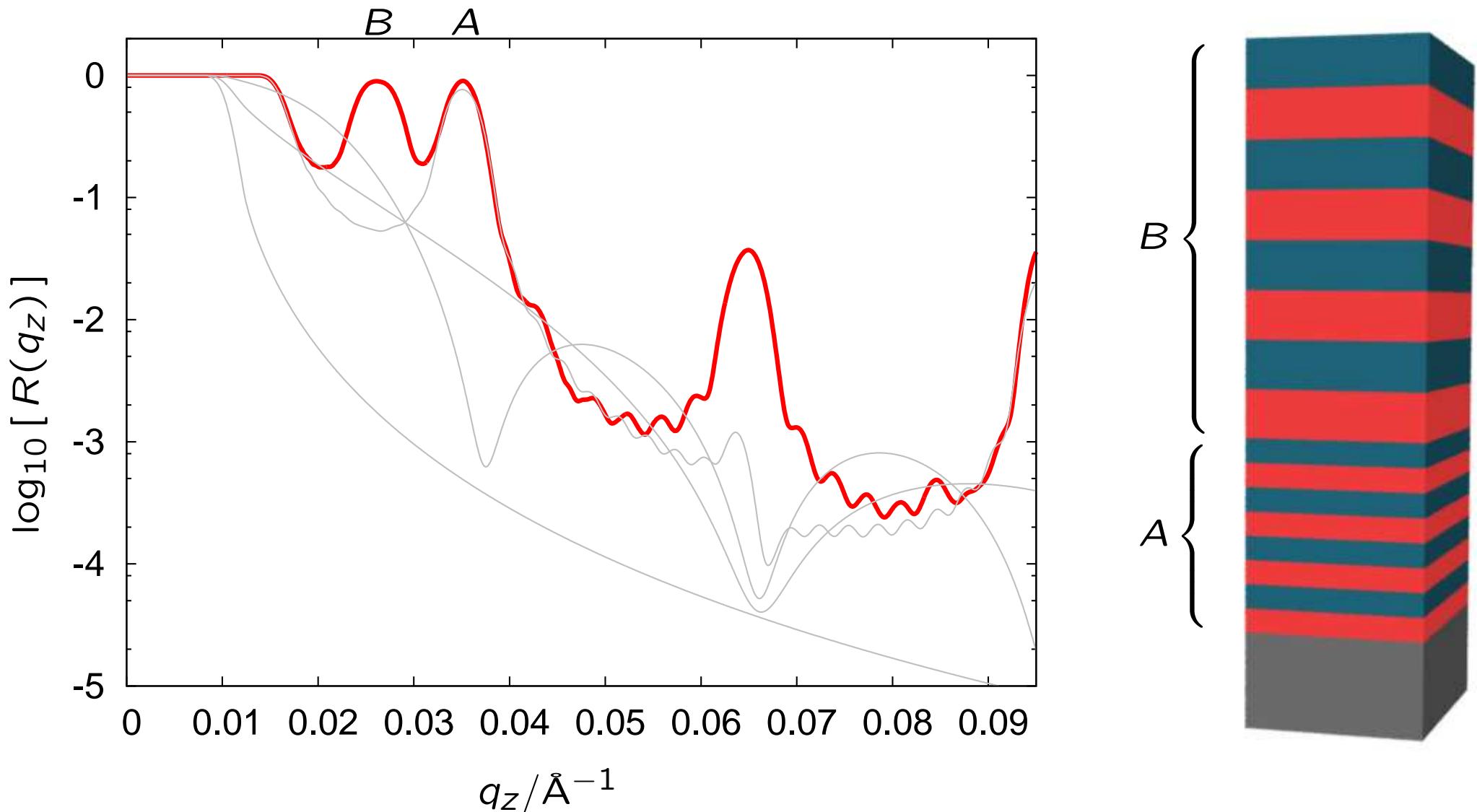


simulated reflectivity of a **thin layer**

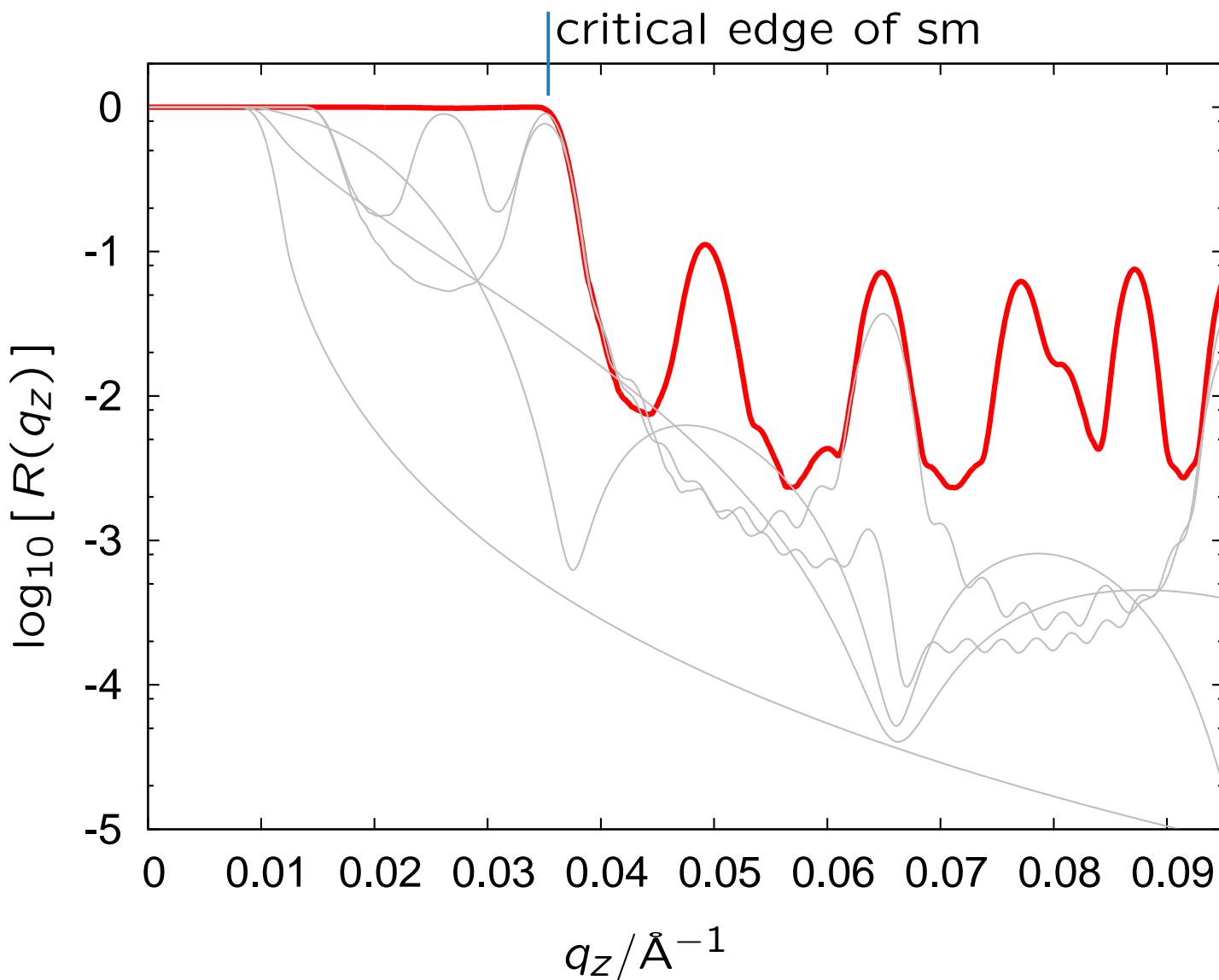
simulated reflectivity of a **thick layer**

simulated reflectivity of a **periodic stack of layers**  
= multilayer, ml

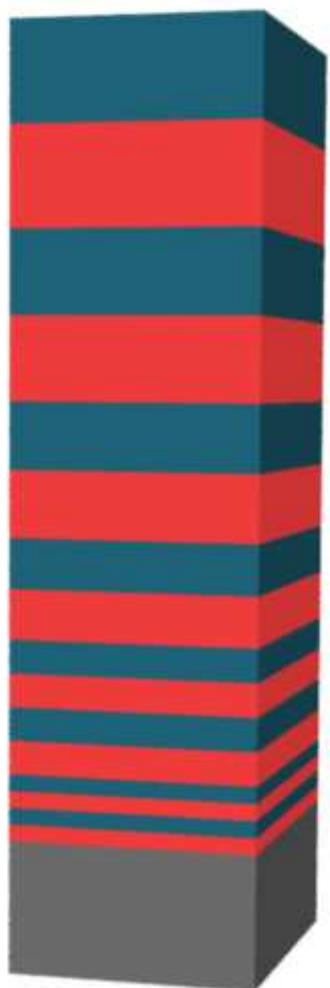
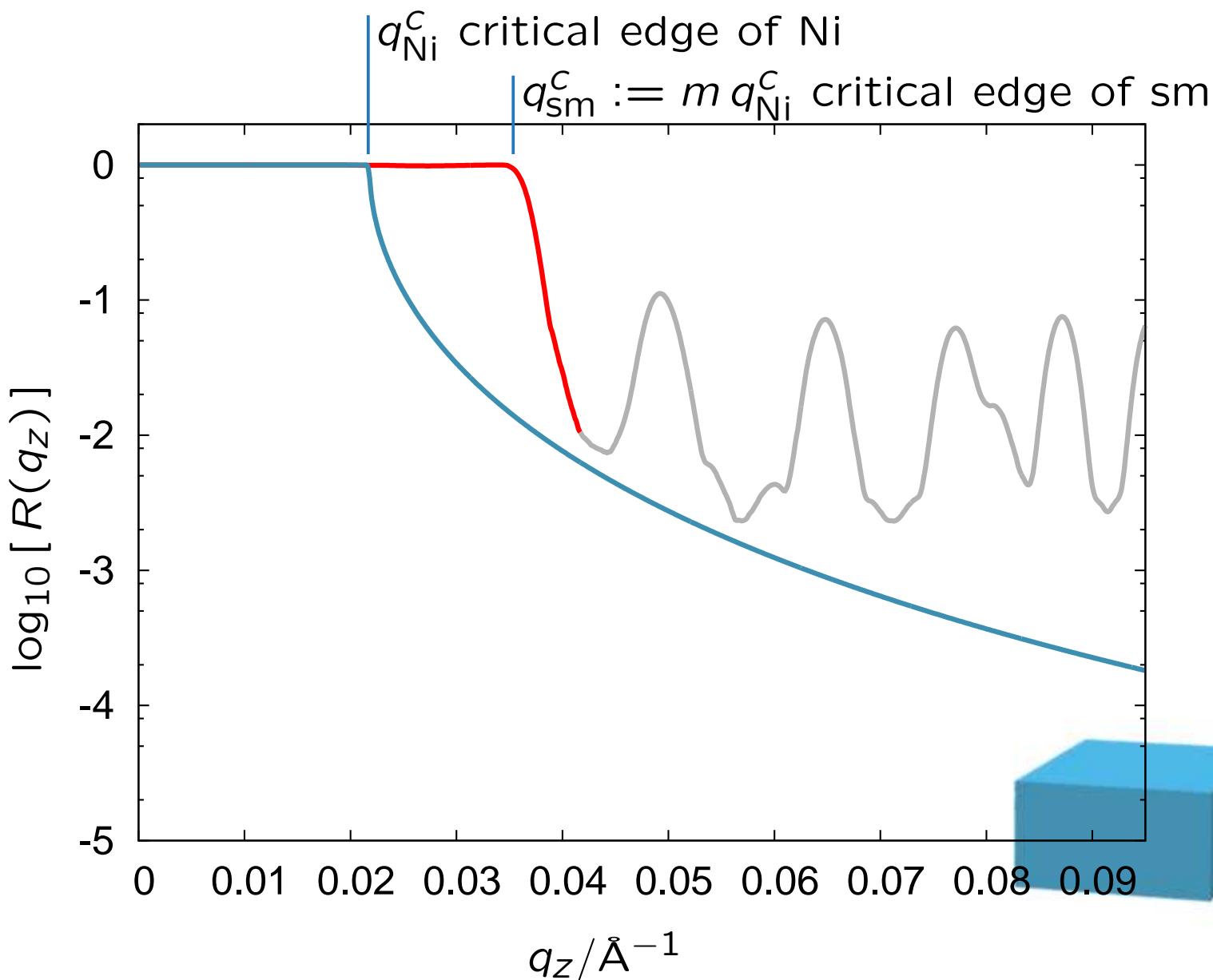


simulated reflectivity of a **stack of mls**

simulated reflectivity of a **stack with thickness gradient**  
= supermirror, sm



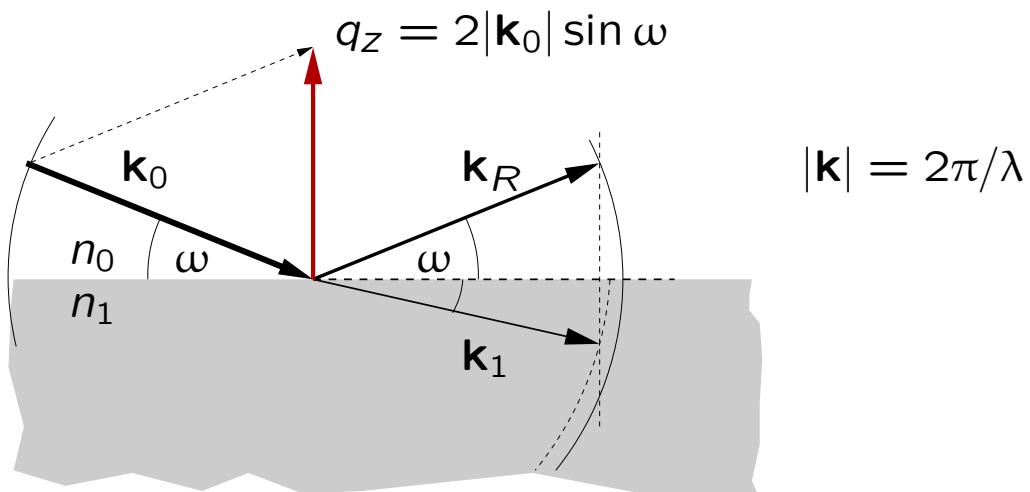
simulated reflectivity of a **sm**  
and of **Ni**



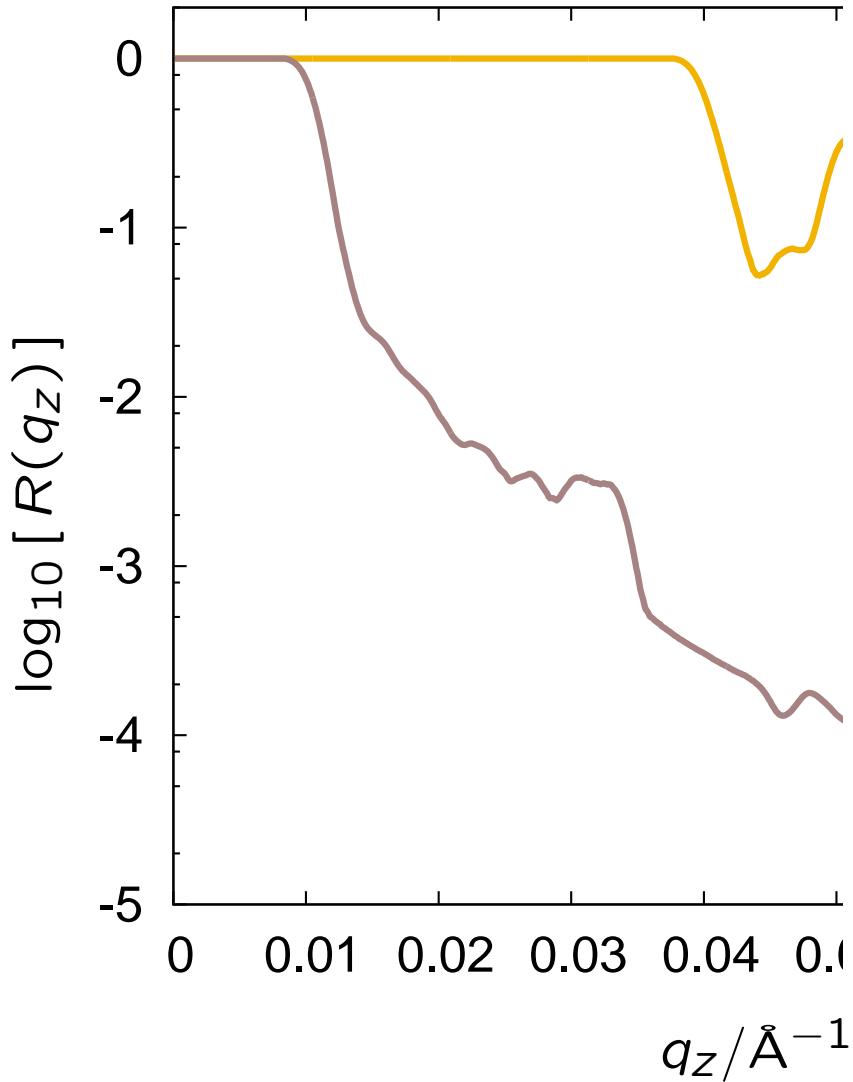


## index of refraction

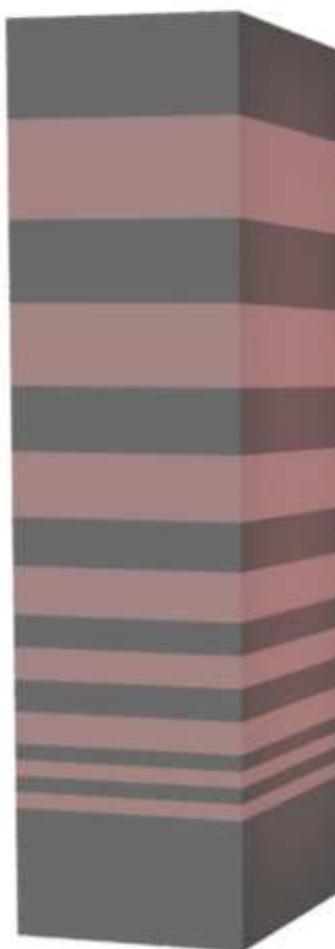
$$\begin{aligned}
 n &:= \frac{|k_i|}{|k_0|} \\
 &\approx 1 - \frac{V}{2E_{\text{kin}}} \\
 V &= \frac{2\pi\hbar^2}{m_n} \rho^b - \underbrace{\mu_n \mathbf{B}}_{\pm \mu_n B} \\
 &:= \frac{2\pi\hbar^2}{m_n} (\rho^b \pm \rho^m)
 \end{aligned}$$



## polarising sm



$$\rho^b - \rho^p \approx \rho_s$$



$$\rho^b > \rho_s$$



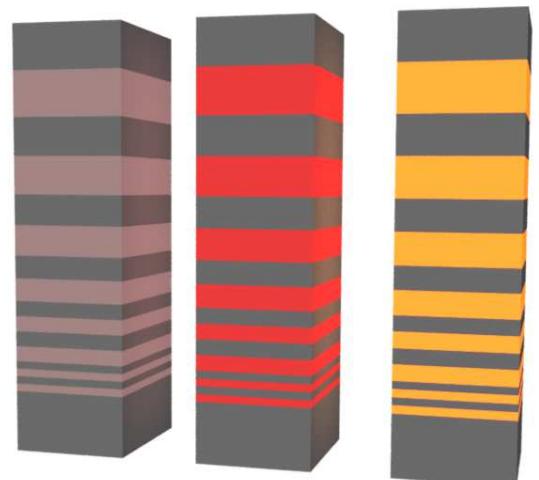
$$\rho^b + \rho^p \gg \rho_s$$



## polarising sm coatings

FM	spacer	substrate	pro	con
Fe <sub>89</sub> Co <sub>11</sub>	Si	Si		Co
Fe	Si : N		high transmission low activation	$q_c^{\leftarrow}$
FeCoV	Ti : N	absorber	$q_c^{\leftarrow} < 0 \text{ \AA}^{-1}$	Co
Fe <sub>0.5</sub> Co <sub>0.5</sub>				Co

Co gets activated  $\Rightarrow$  avoid whenever possible!

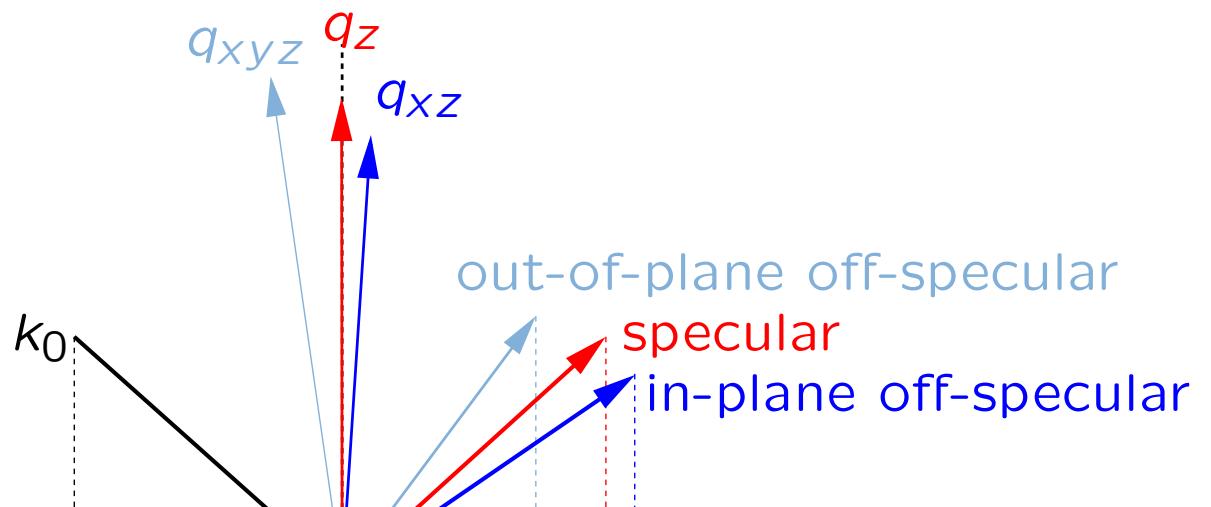
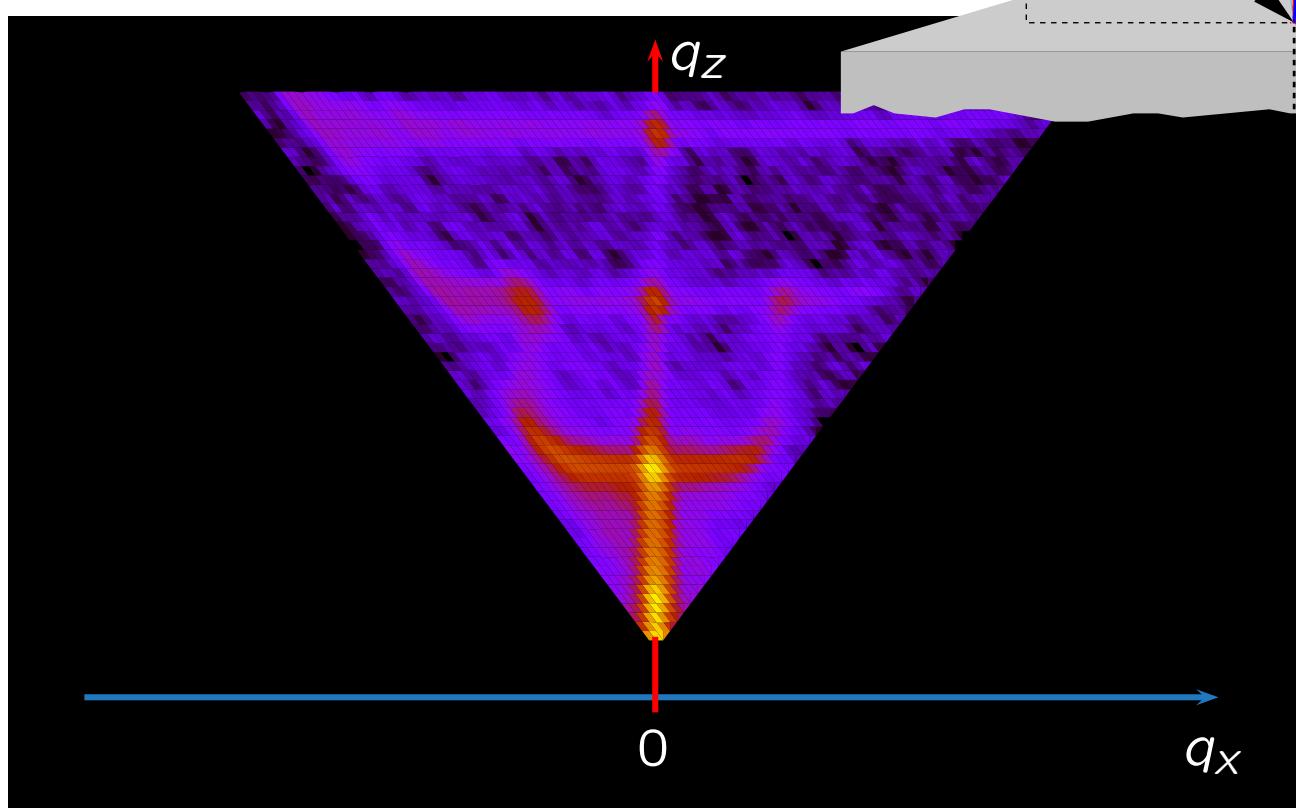


## off-specular scattering

$$\rho = \rho(x, z)$$

$$\Rightarrow R(q_x) \neq 0!$$

$\Rightarrow$  dilution of phase space  
background  
losses



$$\rho^{\text{magnetic}}(x, y) \neq 0$$

$\Rightarrow$  spin flip

Ni/Ti multilayer

keep in mind:

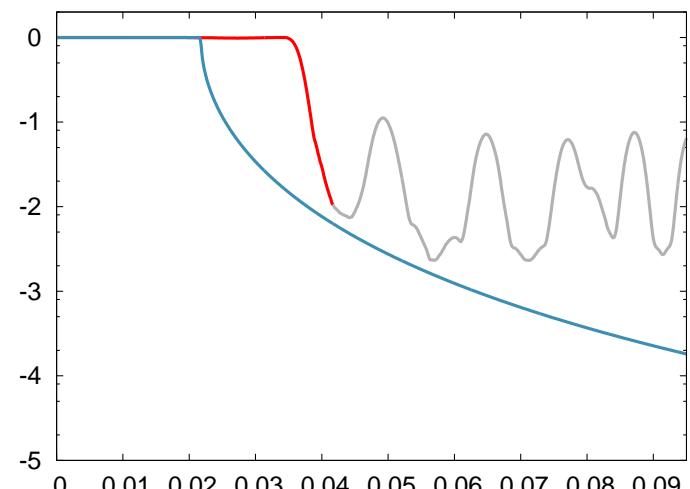


$$\begin{aligned}
 R(q_z) &= R(n(z), B(z)) \\
 &= 1 \quad \forall q_z < q_c \\
 &= 1 \dots 0.55 \quad \text{for } q_z < q_{sm} \\
 &\propto q_z^{-4} \quad \forall q_z \gg q_c
 \end{aligned}$$

- typical numbers:

	$\rho^b / 10^{-6} \text{\AA}^{-2}$	$q_c / \text{\AA}^{-1}$	$\omega_c @ 4 \text{\AA}$
Si, Fe <sup> −&gt;</sup>	2.1	0.010	0.18°
Fe <sup> +&gt;</sup>	13.9	0.026	0.47°
Ni	9.4	0.022	0.40°
Ti	-3.4		

⇒ small angles ⇒ geometrical constraints



- roughness ⇒ off-specular scattering ⇒ background & depolarisation



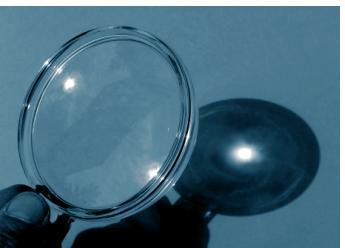
## basics

- reflectometry
- supermirrors
- polarising coatings



## polarisers

- overview
- **reflective coatings**
- comparison



## focusing optics

- refractive
- reflective

## overview

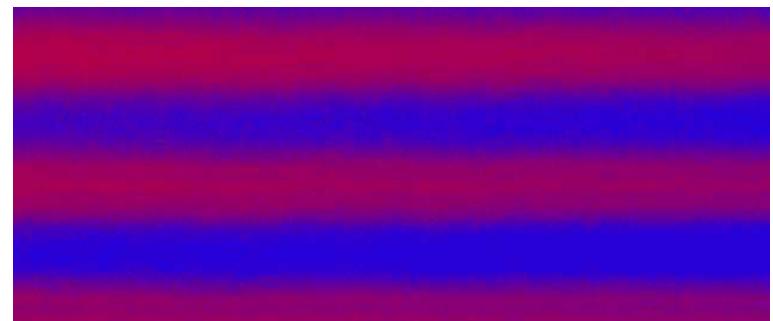


transmission through polycrystalline Fe  
6 mm Fe:  $P = 33\%$  at  $\lambda = 3.6 \text{ \AA}$



Heusler alloy  
crystal monochromator

thin film coatings



$^3\text{He}$   
→ talk by E. Babcock



## Heusler alloy monochromator / analyser

Cu<sub>2</sub>MnAl single crystals

with  $F_{\text{magnetic}}(111) = \pm F_{\text{nuclear}}(111)$

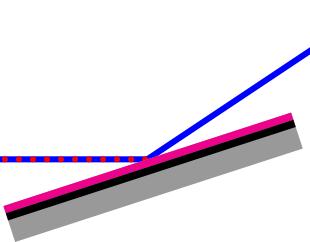
$\Rightarrow F(111)$  reflex strong for  $\mu_n \uparrow\uparrow \mathbf{B}$   
weak for  $\mu_n \downarrow\uparrow \mathbf{B}$

- $\lambda \in [0.8, 6.5] \text{ \AA}$
- $\Delta\lambda/\lambda \approx 1\%$
- $P \approx 95\%$



- used for triple-axis spectrometers

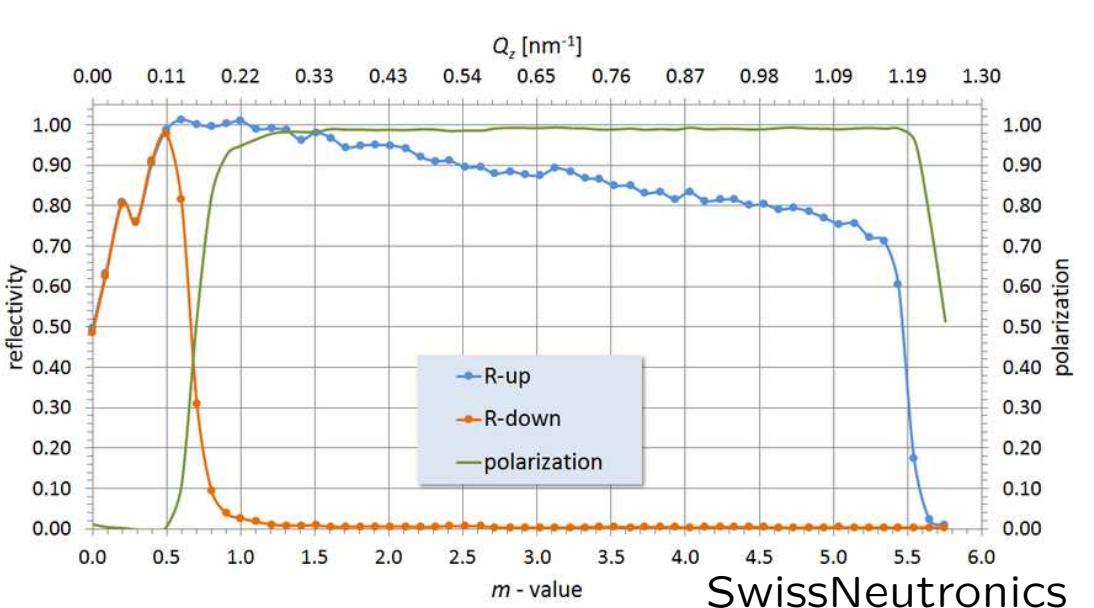
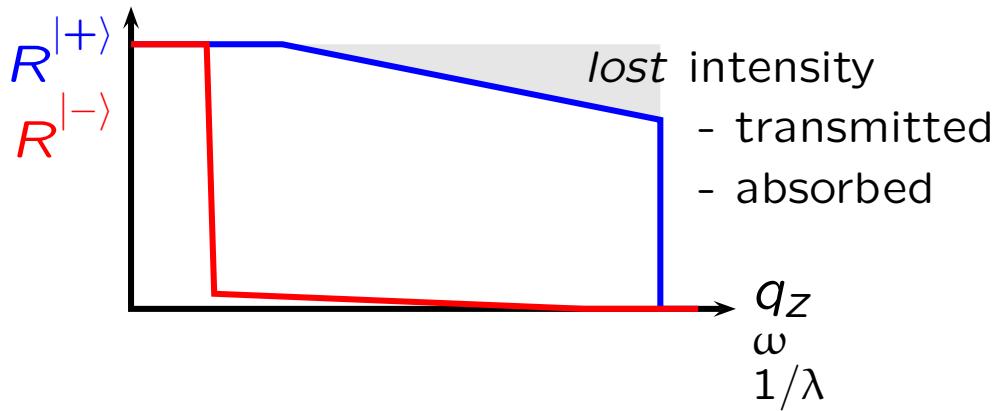
## Using Reflected beam



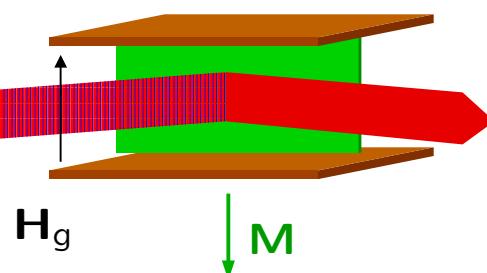
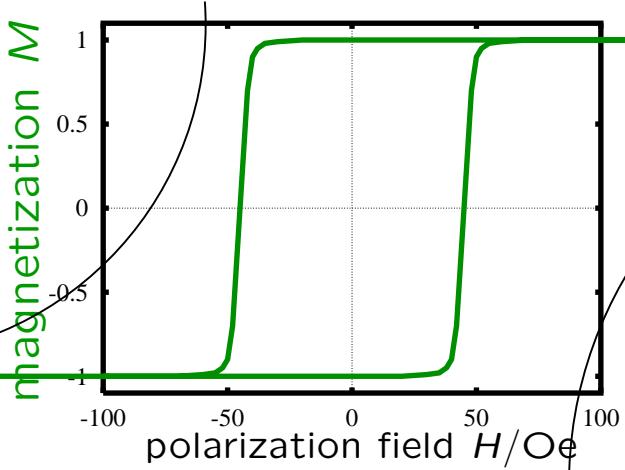
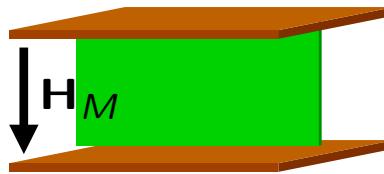
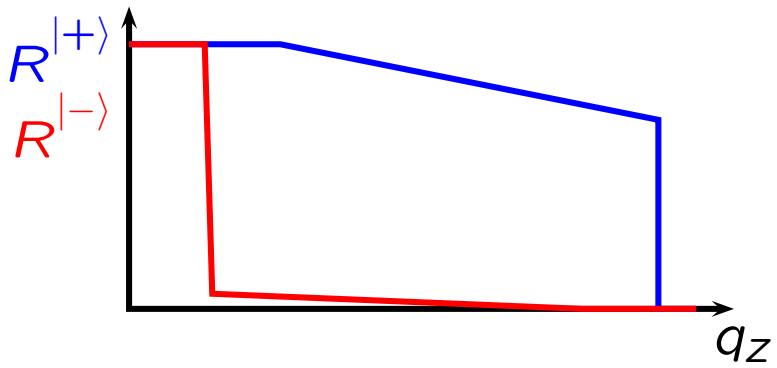
- trajectory is inclined
- high polarisation  
 $P_R \approx 96\% - 99\%$

$$P_R = \frac{R^{|+>} - R^{|->}}{R^{|+>} + R^{|->}}$$

$$P_R \approx 1 - \frac{R^{|->}}{R^{|+>}}$$

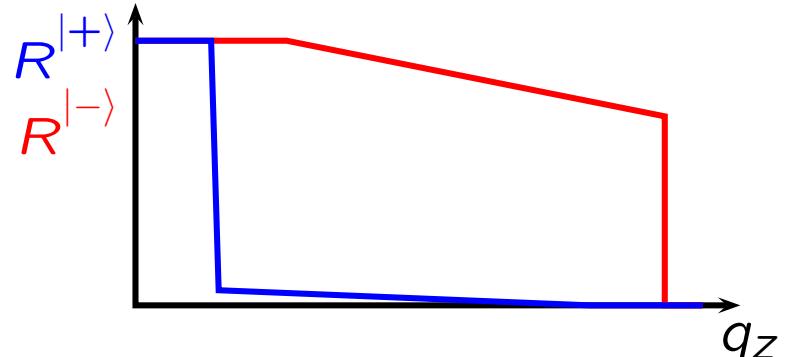
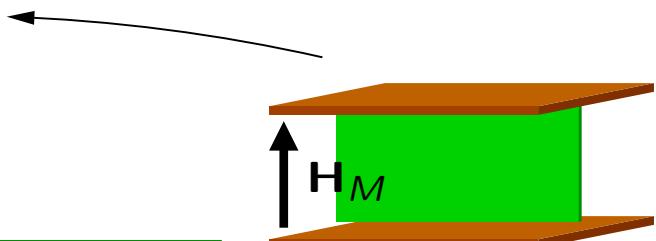


## single, flat mirror

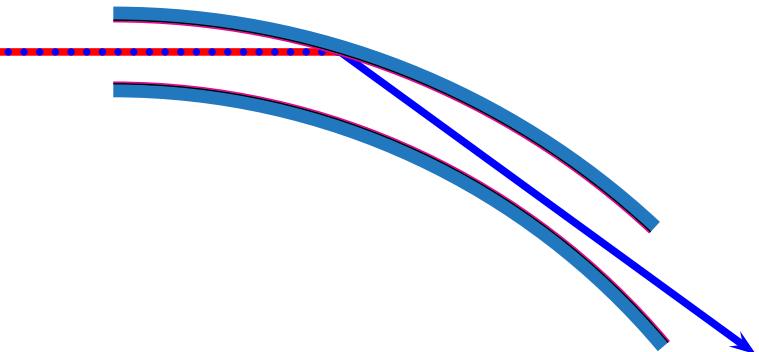


## switchable remanent polariser

$H_M \approx 200 \text{ Oe}, \quad H_g < 40 \text{ Oe}$

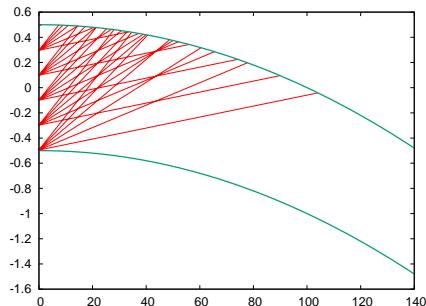


## bender

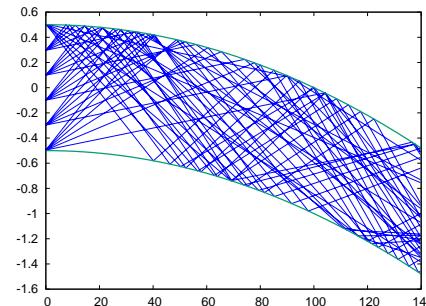


optimum parameters

$|-\rangle$

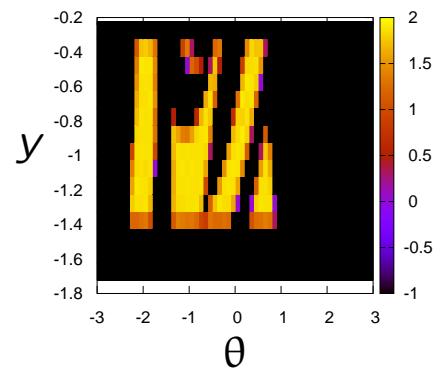


$|+\rangle$

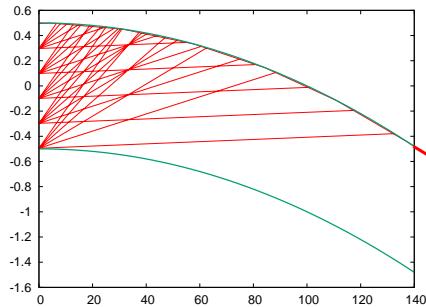


$$\frac{\text{length}}{\text{width}} \approx 150$$

$|+\rangle$

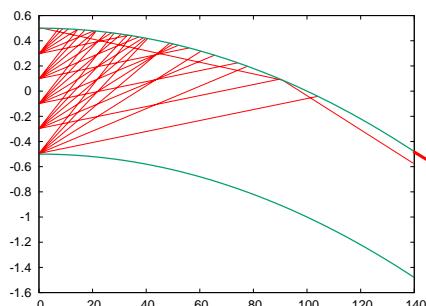


too large  $\delta\theta$



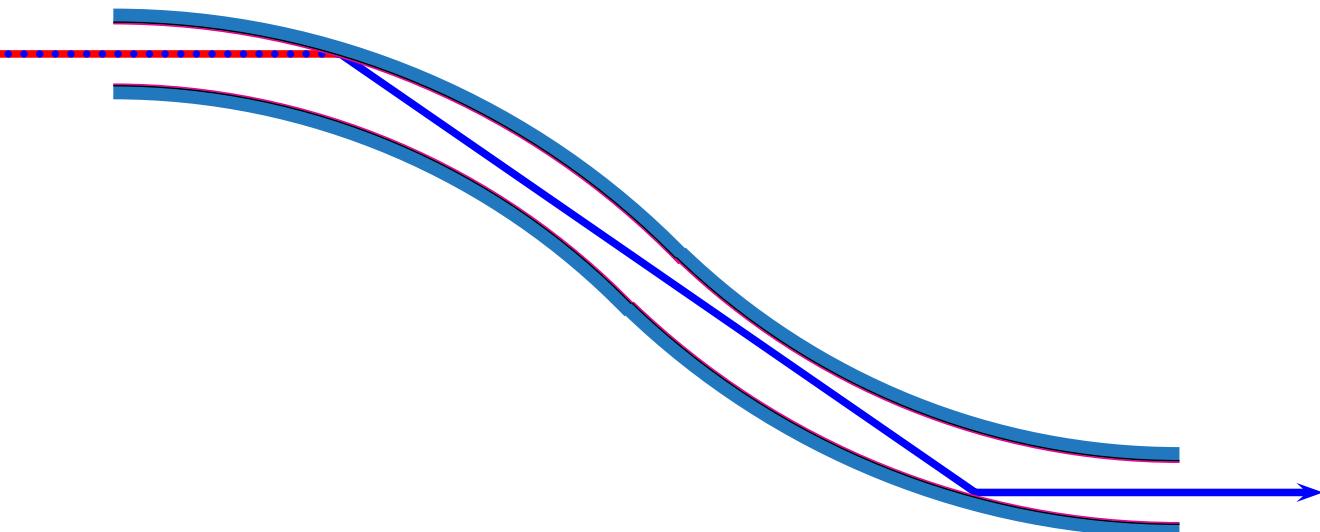
garland reflections of  $|-\rangle$

too high  $\lambda$

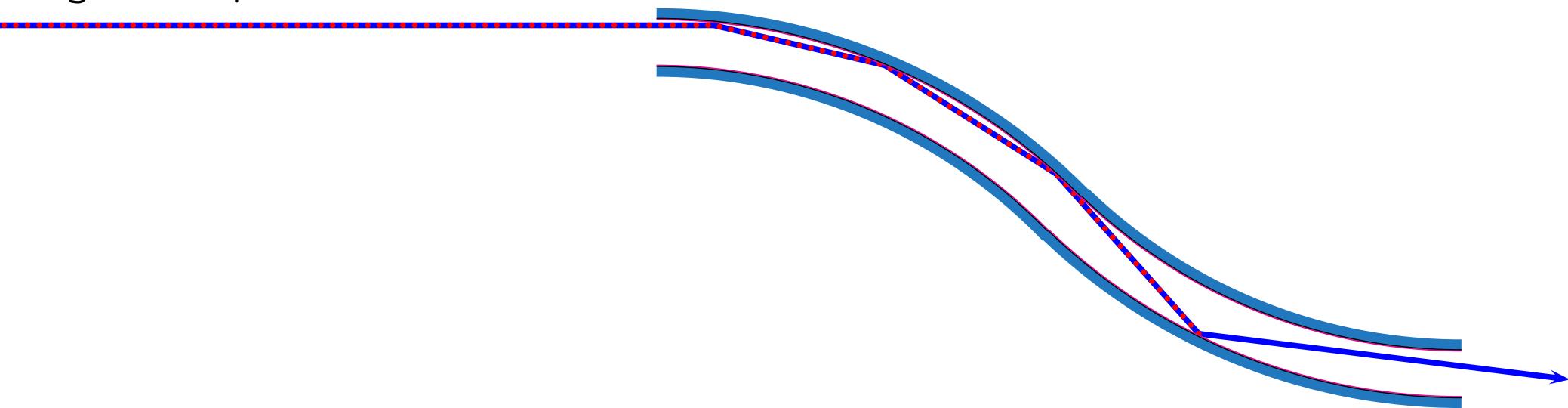


## S-bender

$\frac{\text{length}}{\text{width}} \approx 250$



- almost straight trajectory
- garland-problem is solved

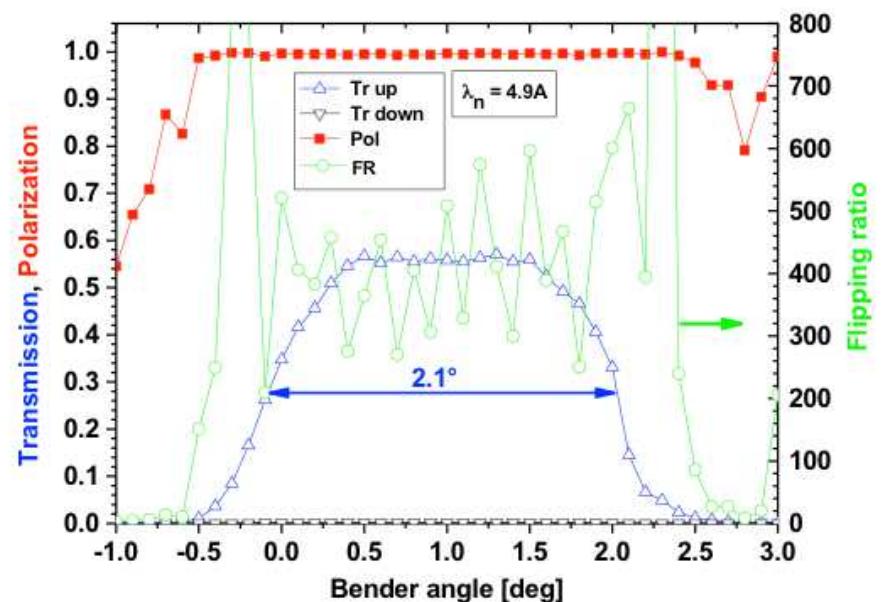
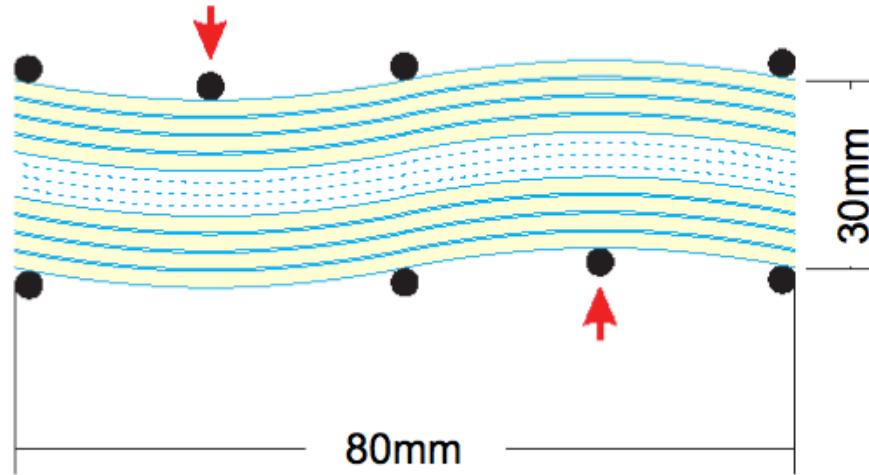


## application: solid-state S-bender

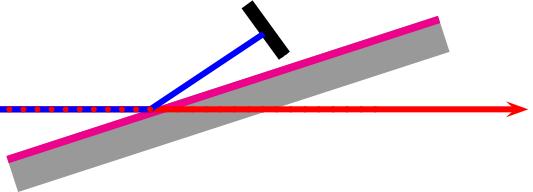
Si wafers ( $150\text{ }\mu\text{m}$ ) used as channel

- thin and short channels
- $q_c^{\parallel} < 0\text{ \AA}^{-1}$
- no dark region due to substrate
- higher absorption

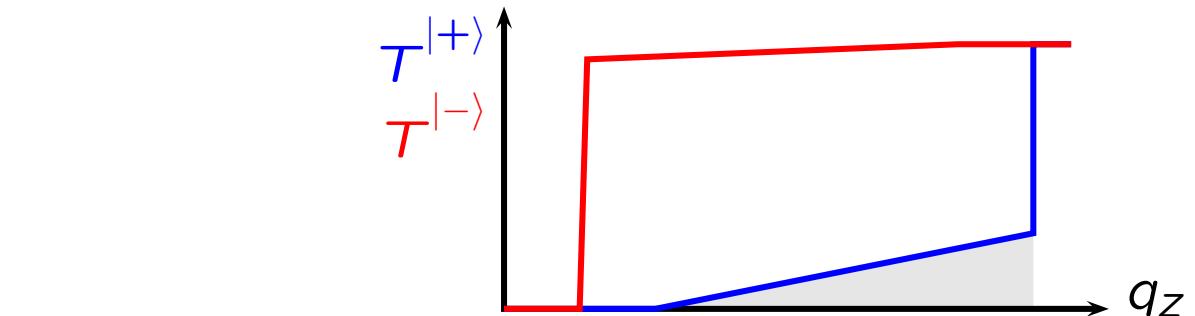
this principle also applies to benders



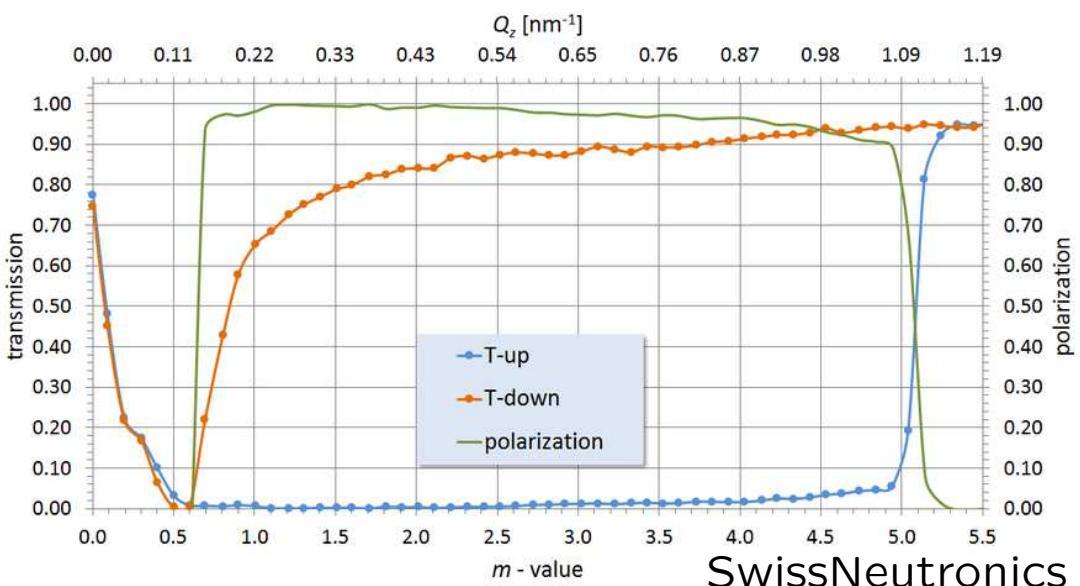
## using Transmitted beam



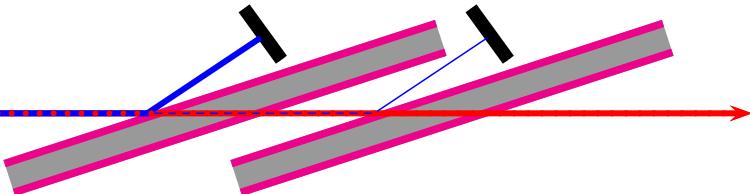
- straight trajectory
  - moderate polarisation
- $P_T \approx 60\% - 80\%$



$$P_T = \frac{T^{|->} - T^{|+>}}{T^{|->} + T^{|+>}}$$

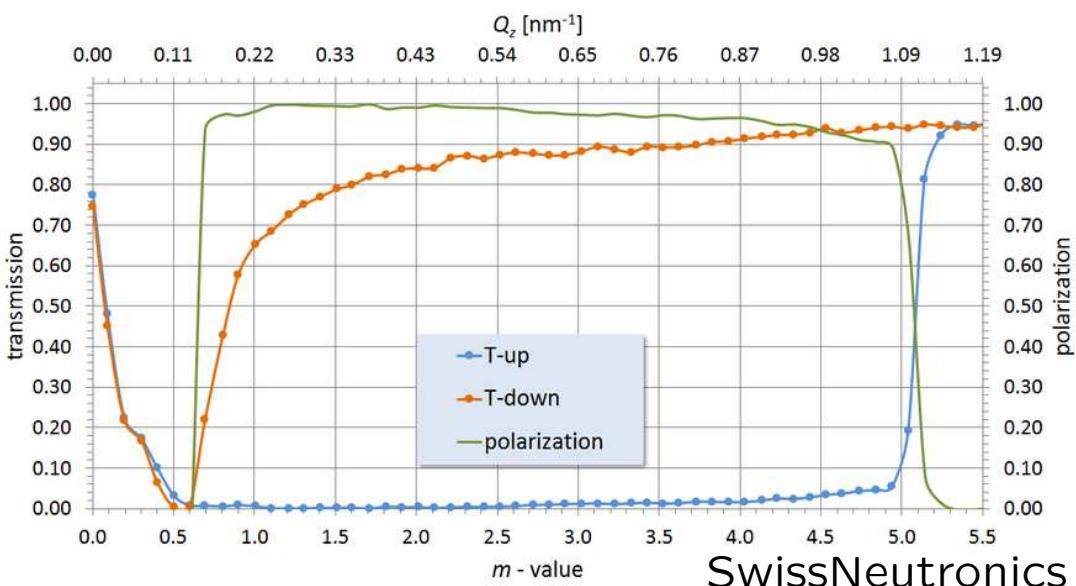
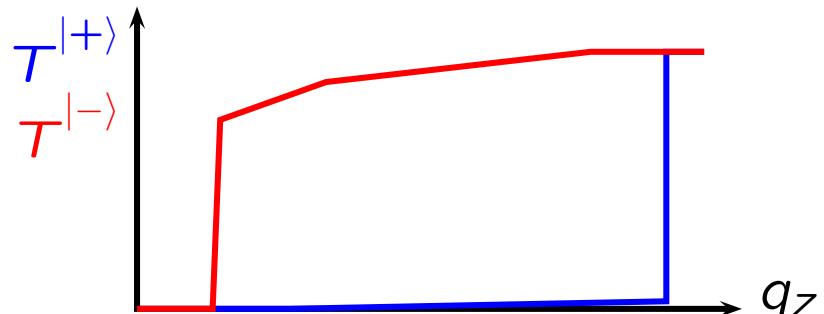


## using Transmitted beam



- straight trajectory
  - high polarisation
- $P_T \approx 96\% - 99\%$

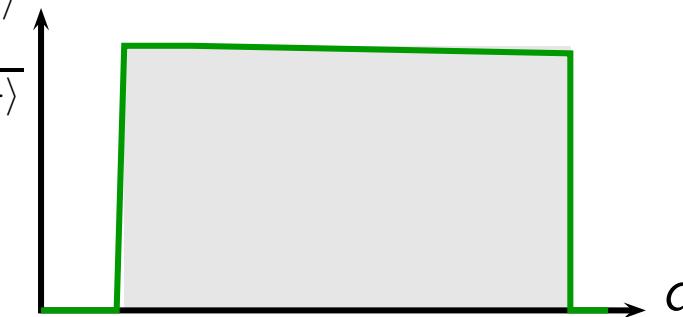
$$P_T = \frac{T^{|->} - T^{|+>}}{T^{|->} + T^{|+>}}$$



increase of efficiency by multiple transmission:

- both sides of substrates coated
- several substrates in sequence

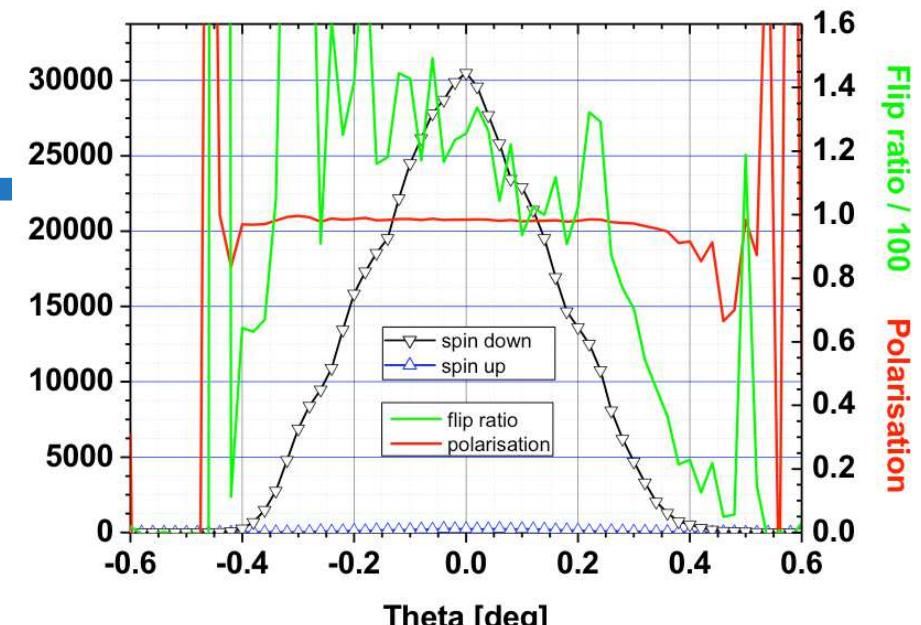
⇒ reduced intensity



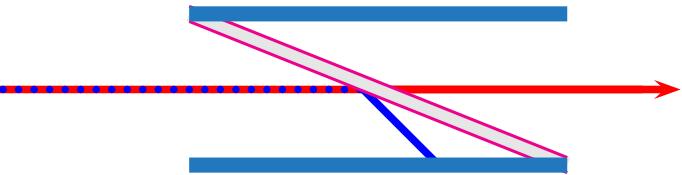
## transmission bender + collimator



- straight trajectory
- dark areas due to substrates



## cavity

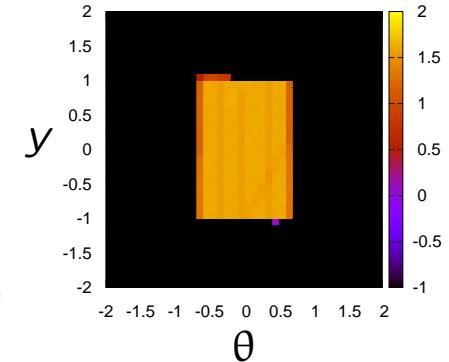
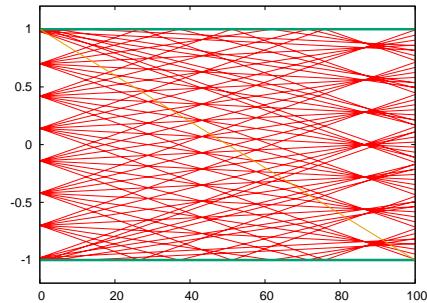
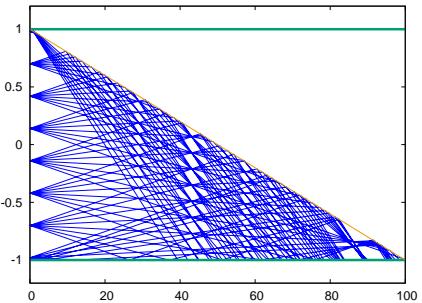


$\frac{\text{length}}{\text{width}} \approx 50$

$|+\rangle$

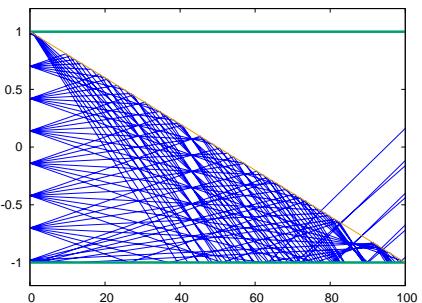
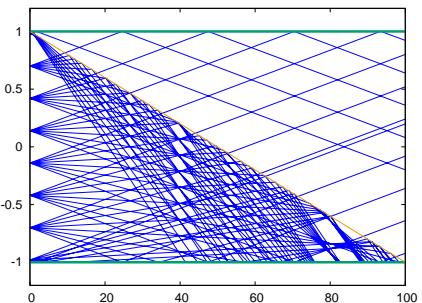
$|-\rangle$

$|-\rangle$



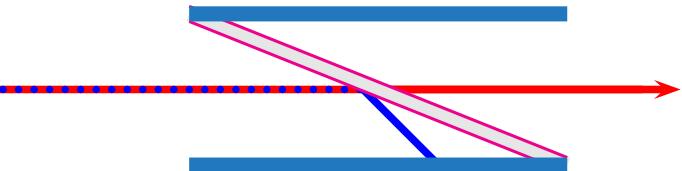
optimum parameters

too large  $\delta\theta$



too high  $m_{\text{channel}}$

## cavity



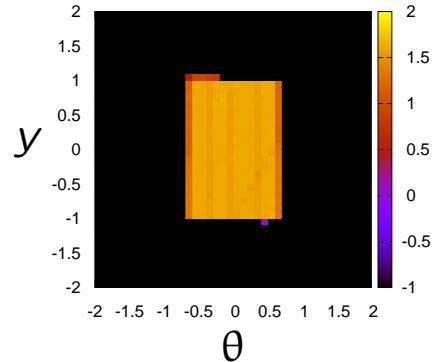
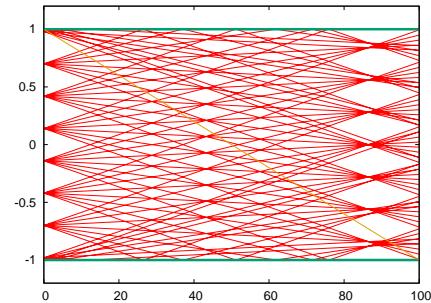
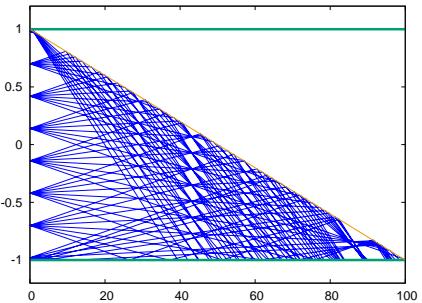
$$\frac{\text{length}}{\text{width}} \approx 50$$

$|+\rangle$

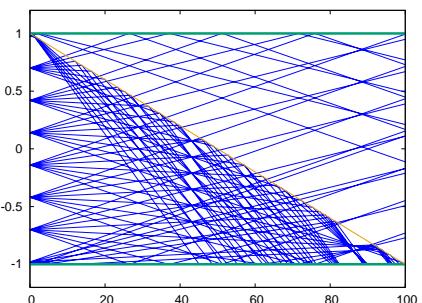
$|-\rangle$

$|-\rangle$

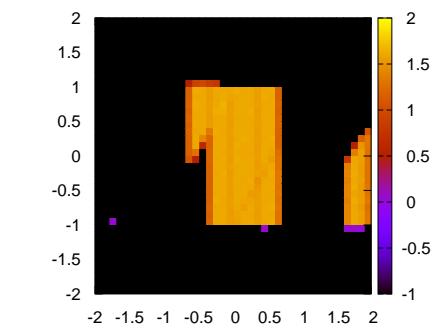
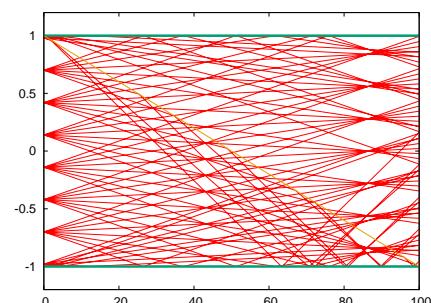
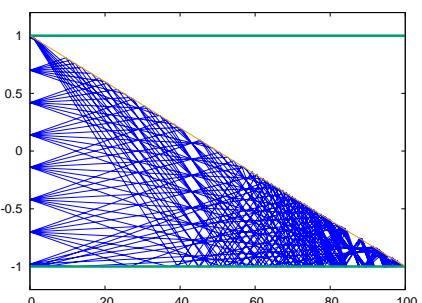
optimum parameters



too low  $\lambda$

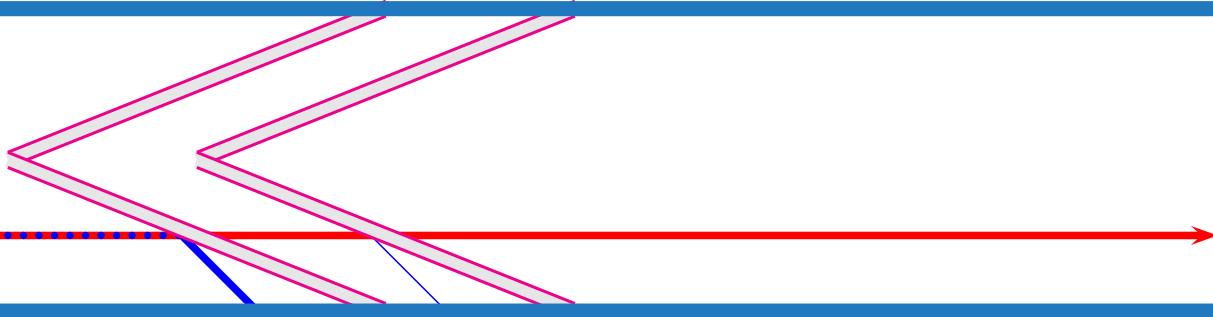


too high  $\lambda$



## V-cavity

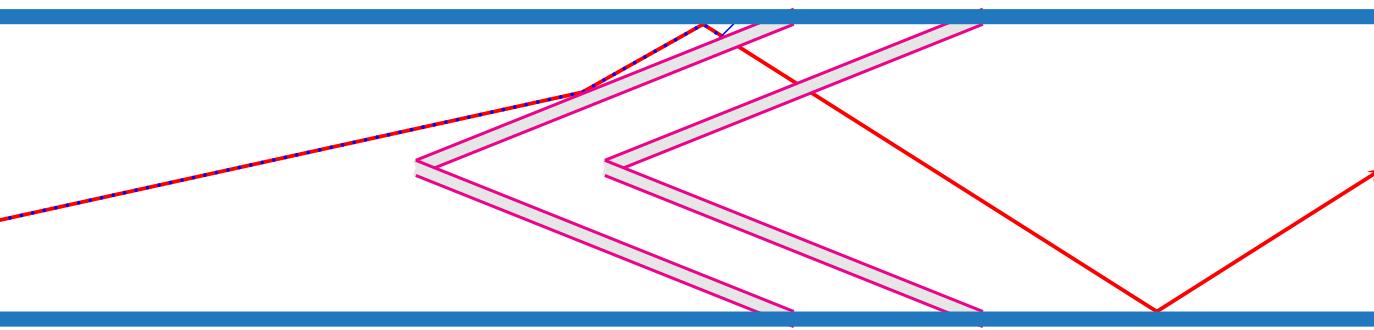
$\frac{\text{length}}{\text{width}} \approx 30$



- straight beam geometry

## V-cavity

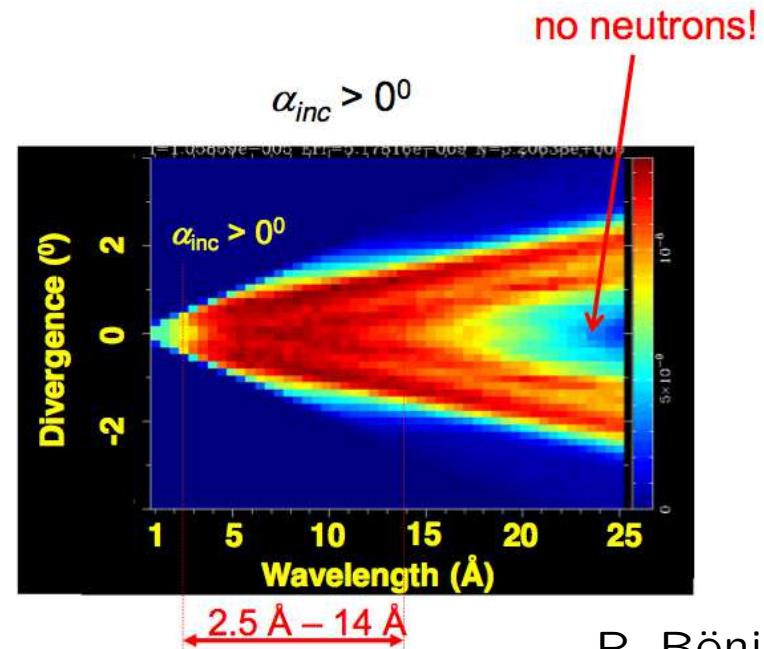
$\frac{\text{length}}{\text{width}} \approx 30$



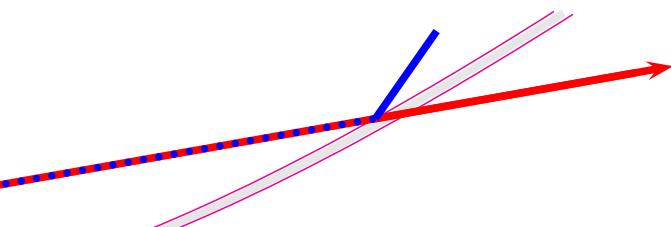
- straight beam geometry phase space affected

- $\Delta\lambda/\lambda_{\min} \approx 5$

- $P \approx 99\%$

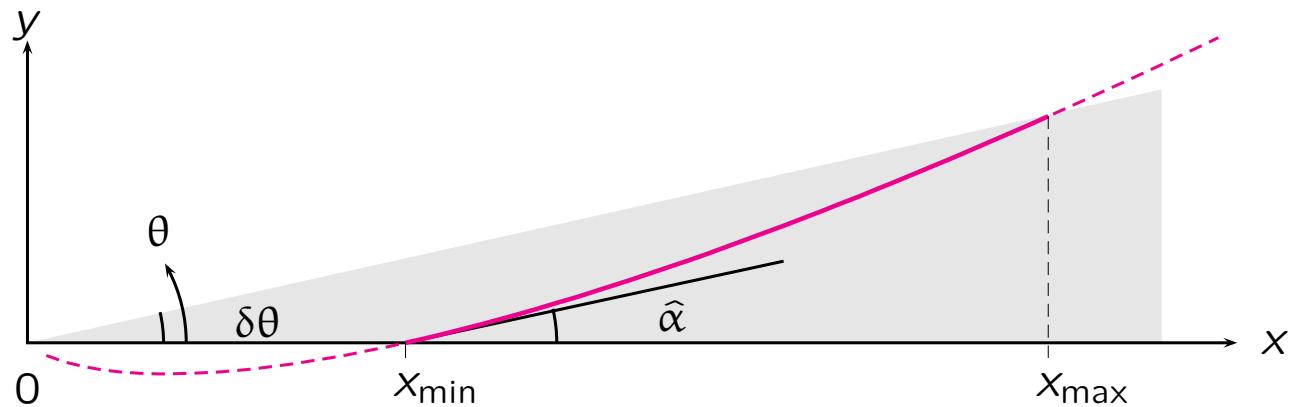


## equiangular spiral

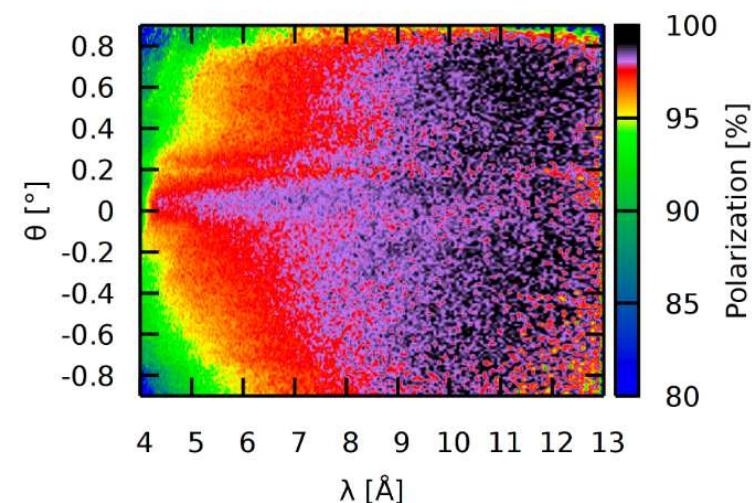
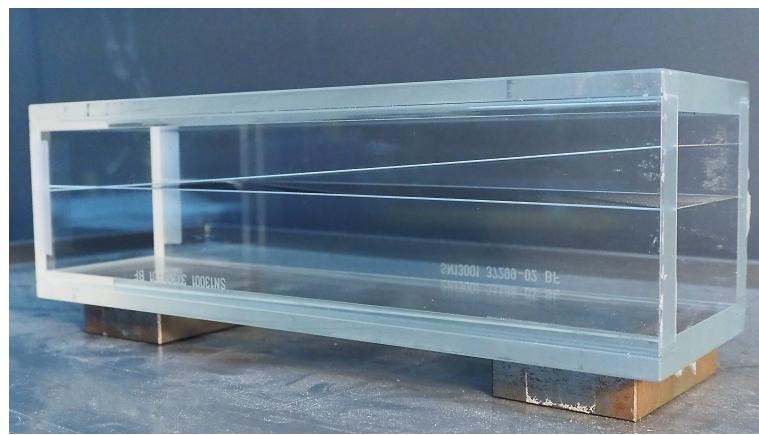
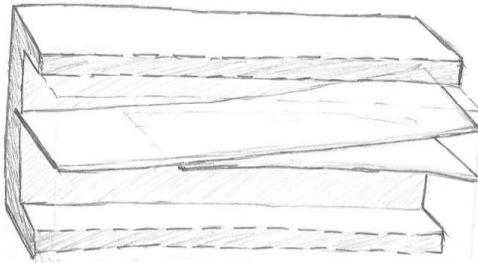


for beams { emerging from focused to } a narrow area

- same  $\omega$  for all trajectories
- flexibility for  $\omega, m, \lambda$
- phase space hardly affected



## prototype at PSI

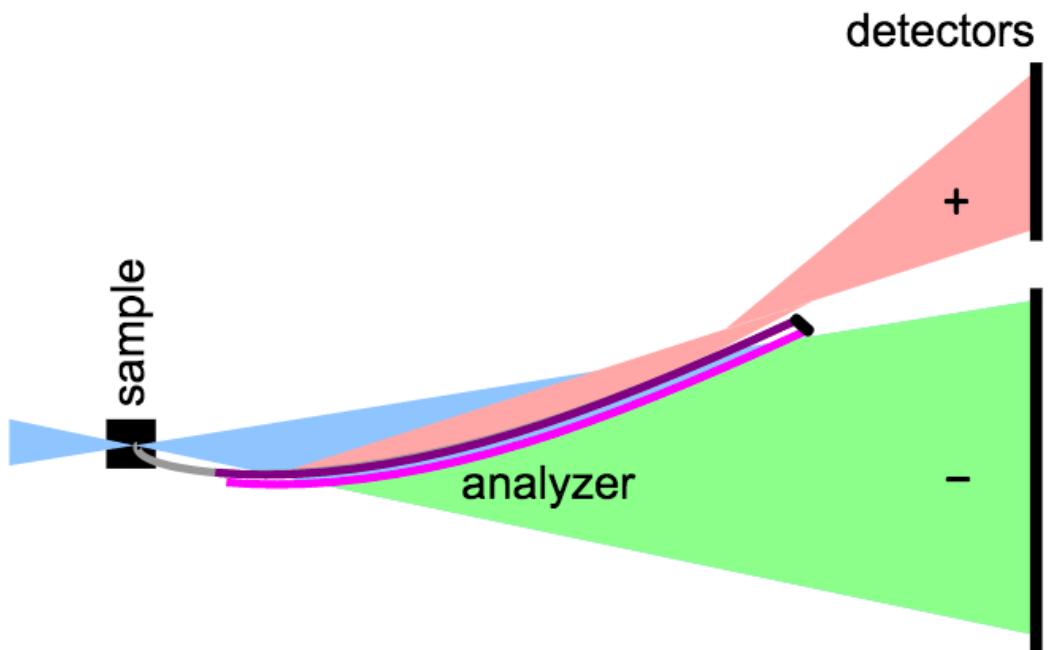


using **R**eflected and **T**ransmitted beam

- split neutron guide for 2 polarised instruments (at HMI / HZB)

F. Mezei et al.: Physica B 213-214, 393 (1995)

- suggested analyser for Estia@ESS



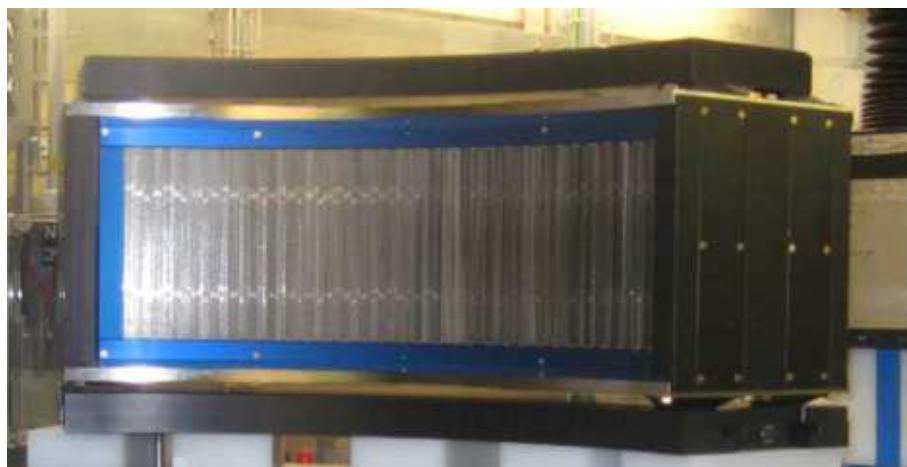
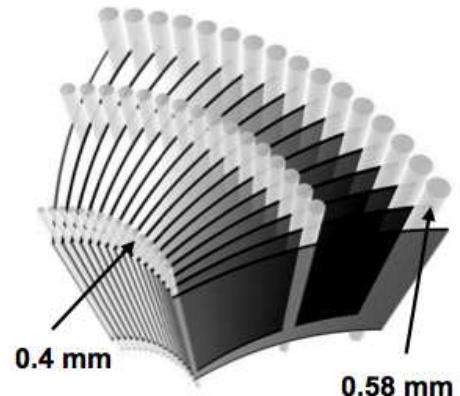
A. Glavic

## wide-angle analysers

stack of cavities / benders / spirals pointing towards the sample

challenges:

- avoid / minimise black angles
- provide a high magnetisation field
- reduce losses



example: Hyspec analyser by PSI  
60° coverage with 1000 benders

$$P \approx 95\%$$

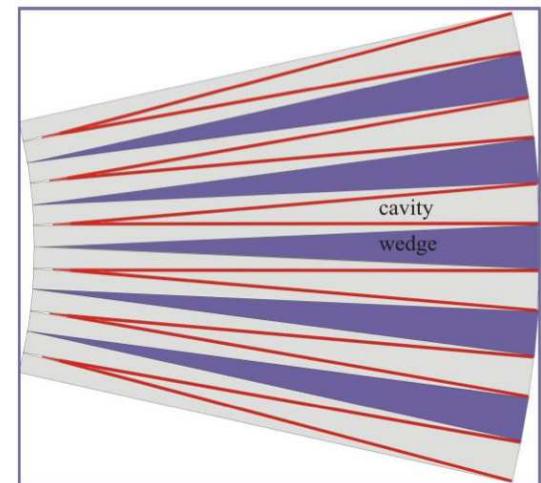
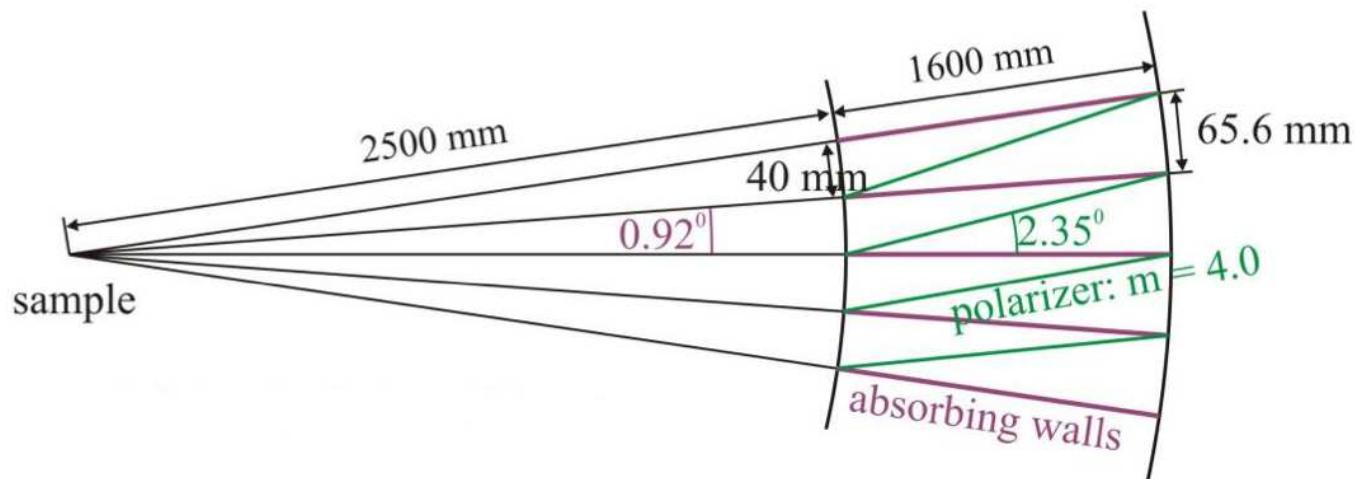
transmission = 10% to 45% for  $\lambda \in [2, 5] \text{ \AA}$

## wide-angle analysers

study for MIEZE@ESS

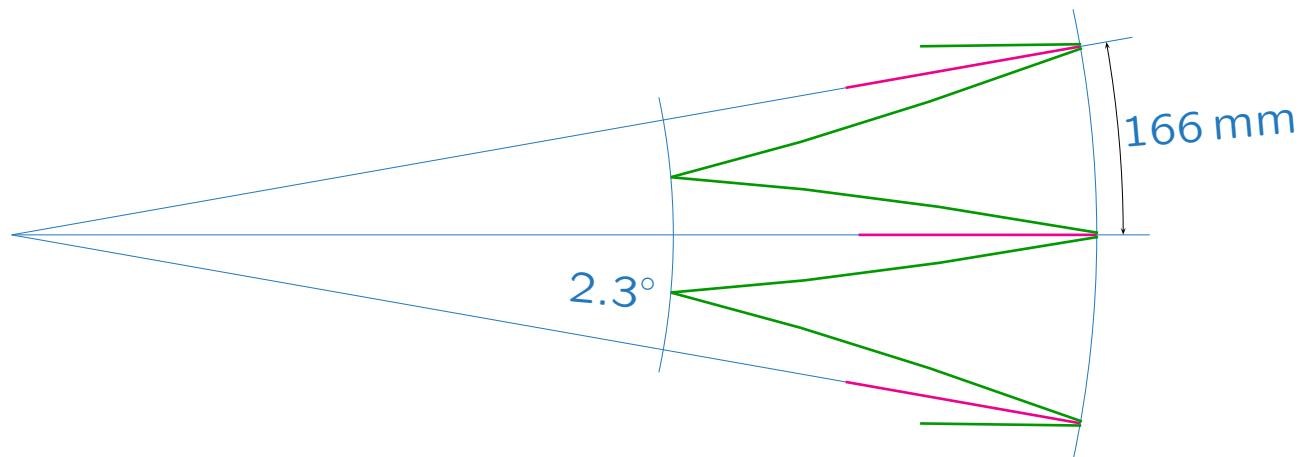
$\lambda > 6 \text{ \AA}$

by P. Böni

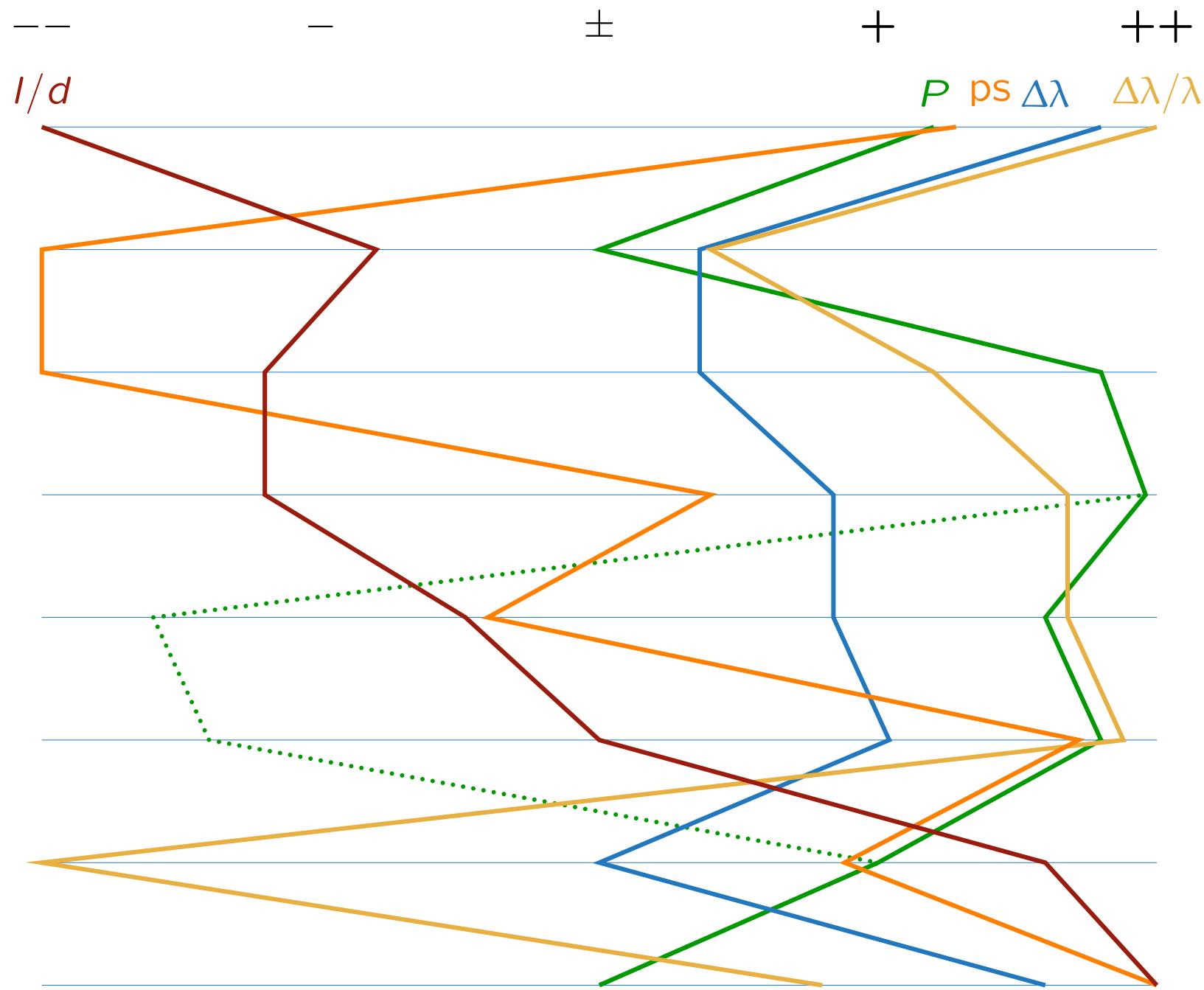
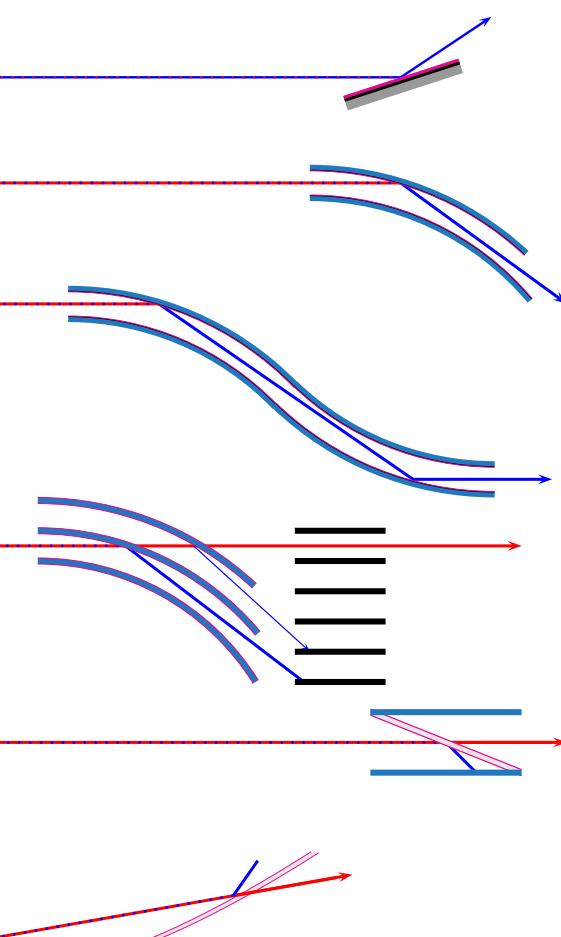


optimisation of shape using an equiangular spiral:

$\lambda \in [6, 48] \text{ \AA}$



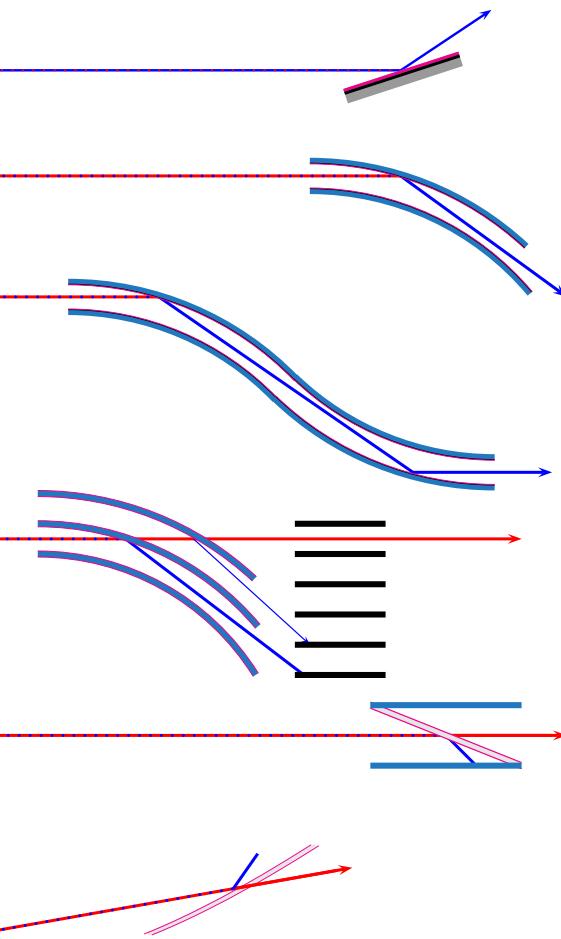
## comparison



Heusler

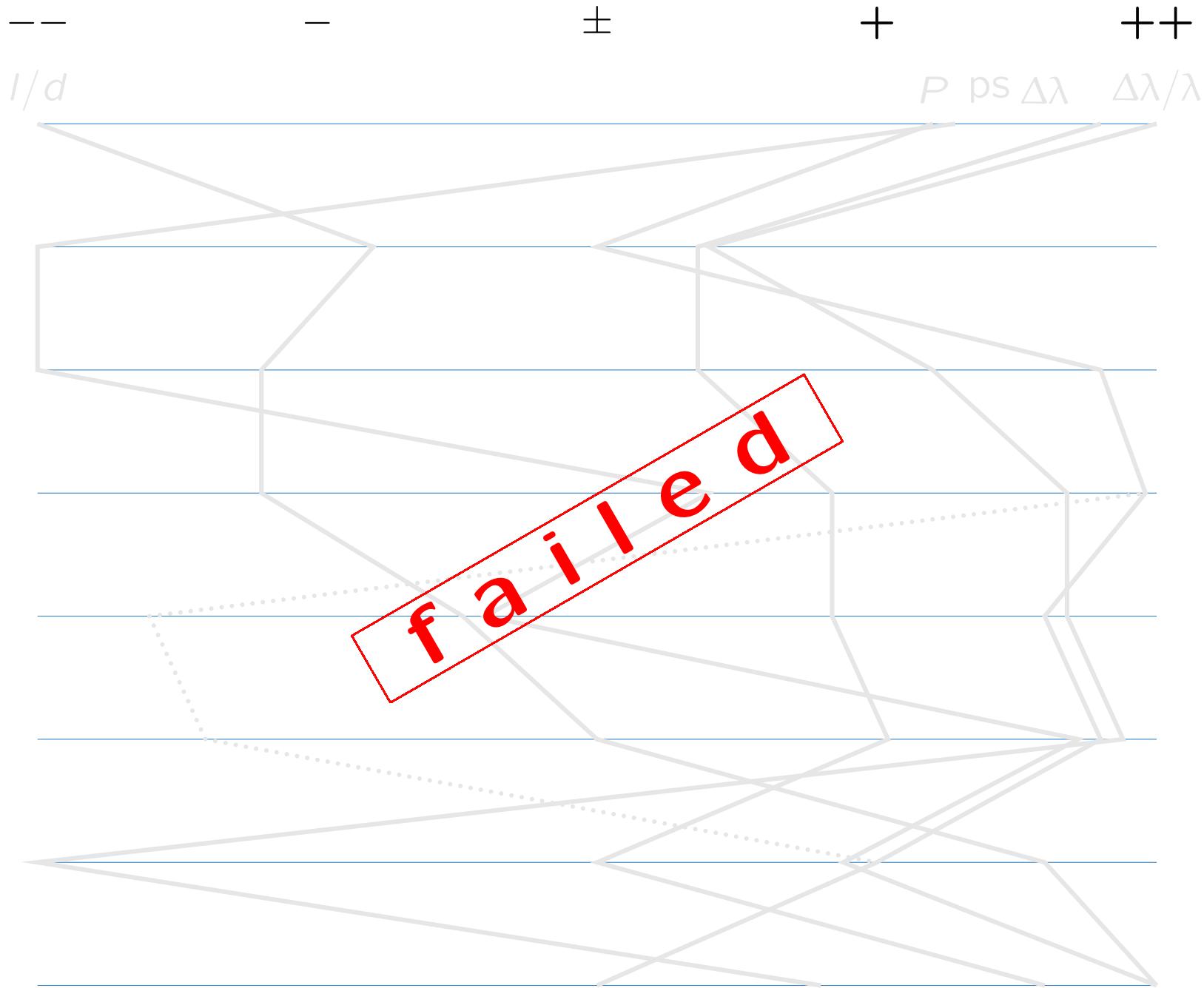
$^3\text{He}$

## comparison



Heusler

$^3\text{He}$





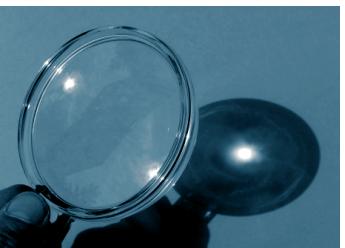
## basics

- reflectometry
- supermirrors
- polarising coatings



## polarisers

- overview
- reflective coatings
- comparison



## focusing optics

- refractive
- reflective

## motivation



higher flux on small samples



no illumination of sample environment



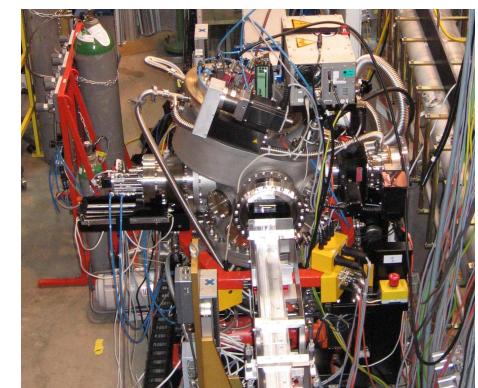
selection of area on / within sample



control over phase space / trajectories



deal with small sources

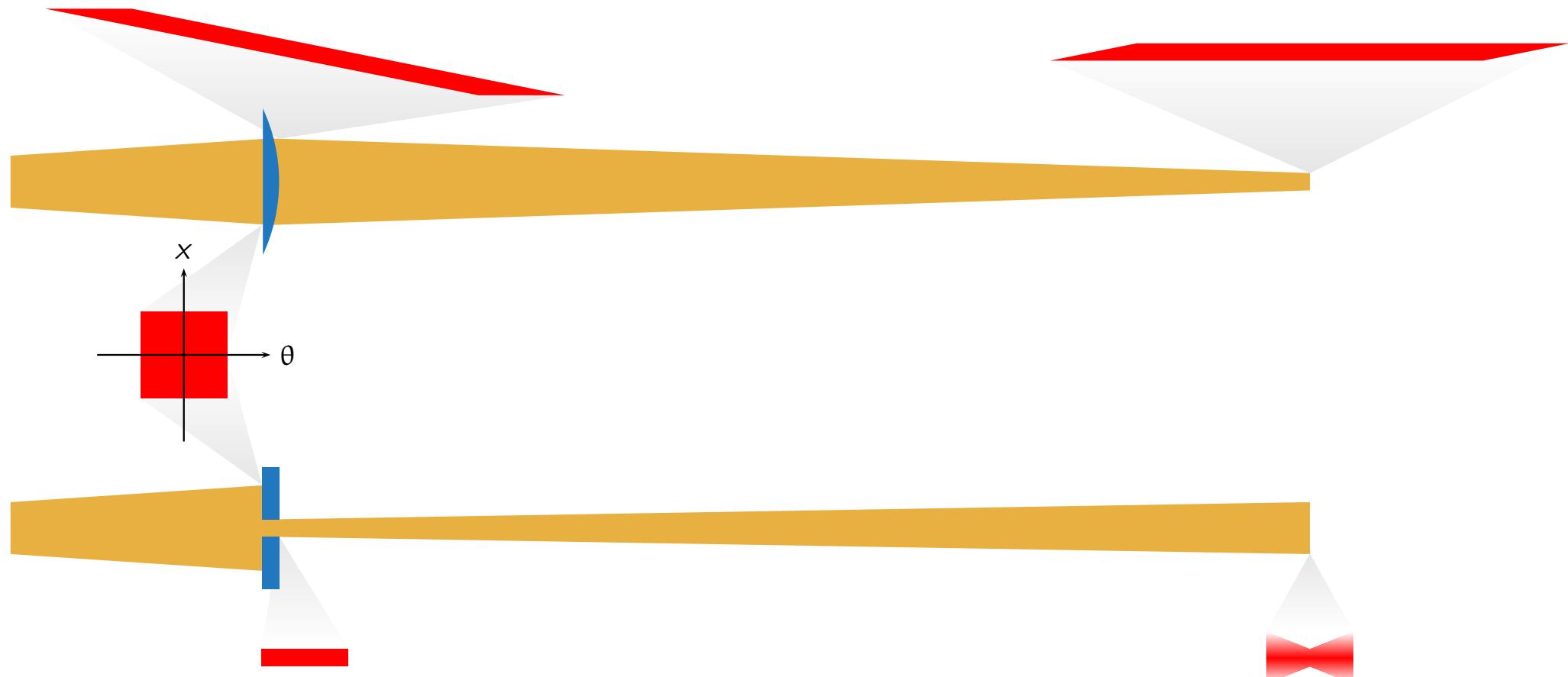


remote footprint control

## focusing optics

reshapes the phase space of a n-beam (an ensemble of neutrons)

to a **small spatial extent** at a given position



## shading optics

reshapes the phase space by restricting it in space (slit)

## focusing optics vs. shading optics



high costs (needs high precision)  
lower transmission  
convenient beam manipulation  
*real* focusing  
aberration



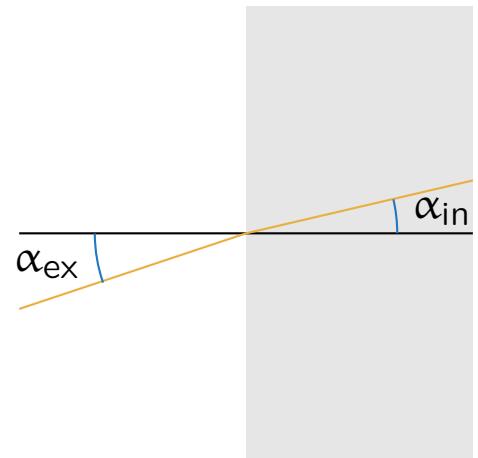
robust  
flexible  
high transmission  
high background

## refractive optics

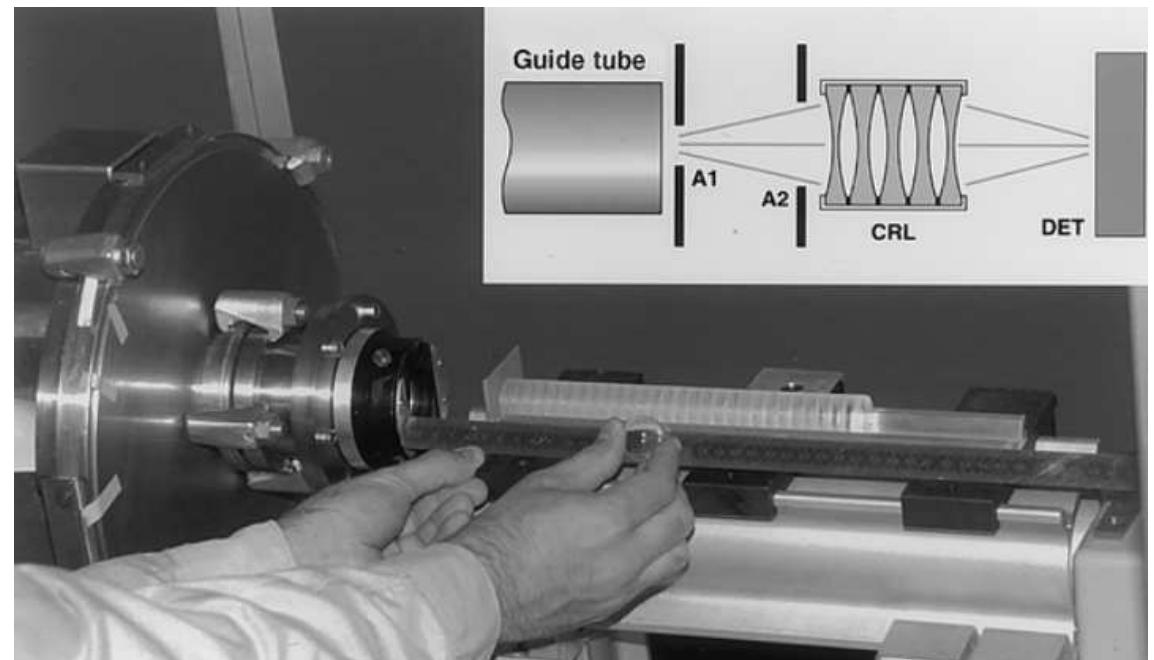
$n \approx 0.99999\dots 1$  for all bulk materials

$$\text{Snell's law: } n = \frac{\sin \alpha_{\text{ex}}}{\sin \alpha_{\text{in}}}$$

$\Rightarrow \alpha_{\text{in}} \approx n \alpha_{\text{ex}}$  close to normal incidence



- used for SANS

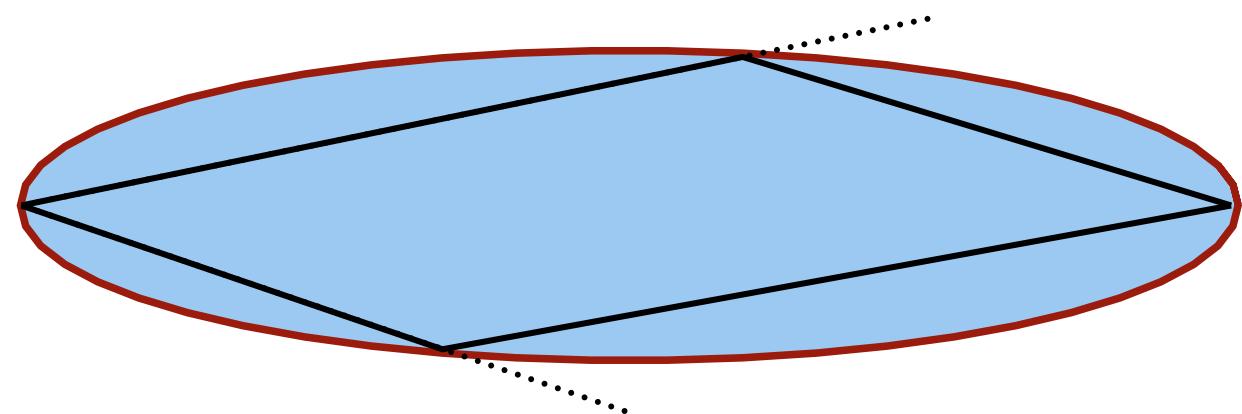


M. R. Eskildsen et al. nature 391, 563 (1998)

## reflective optics

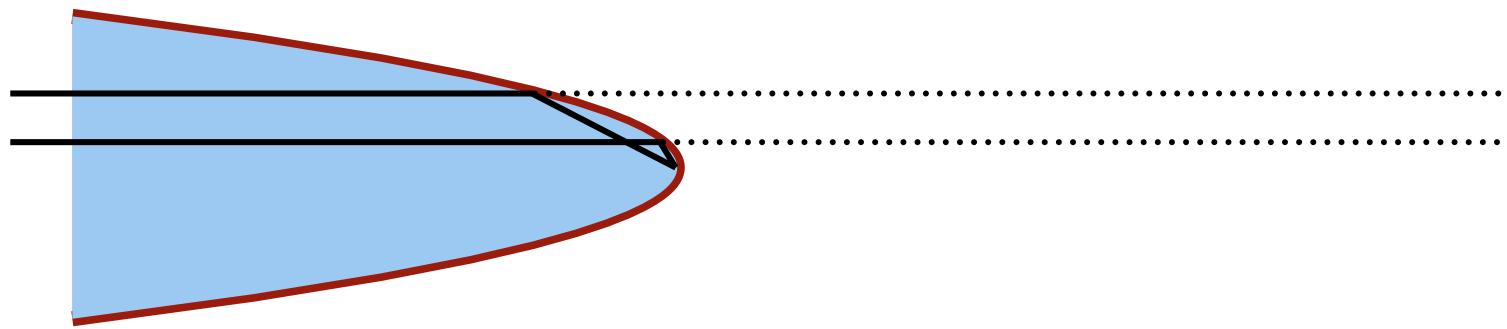
### elliptic

divergent to convergent



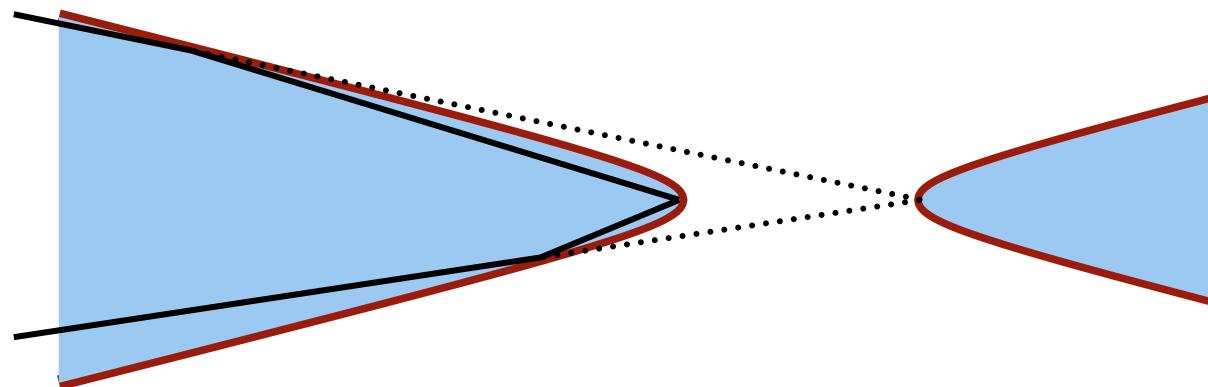
### parabolic

parallel to convergent



### hyperbolic

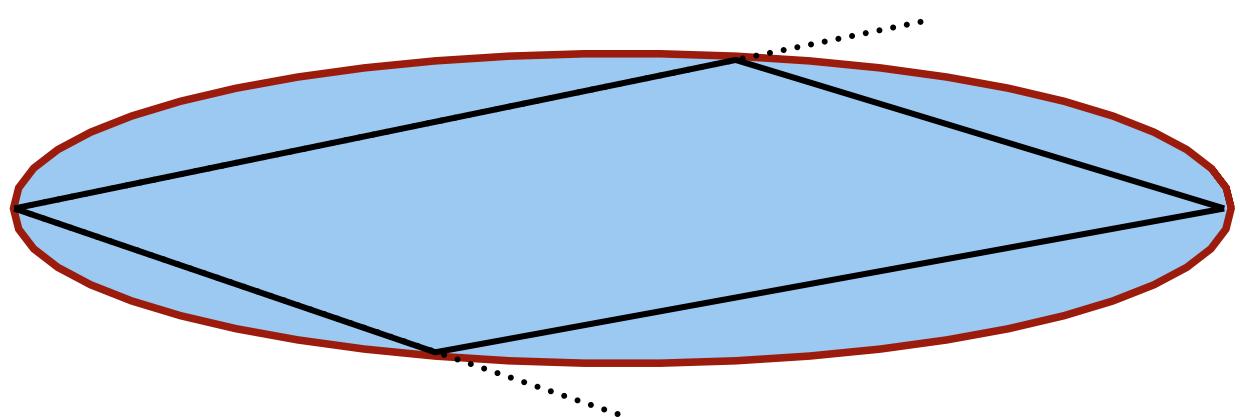
convergent to convergent



## reflective focusing optics

**elliptic**

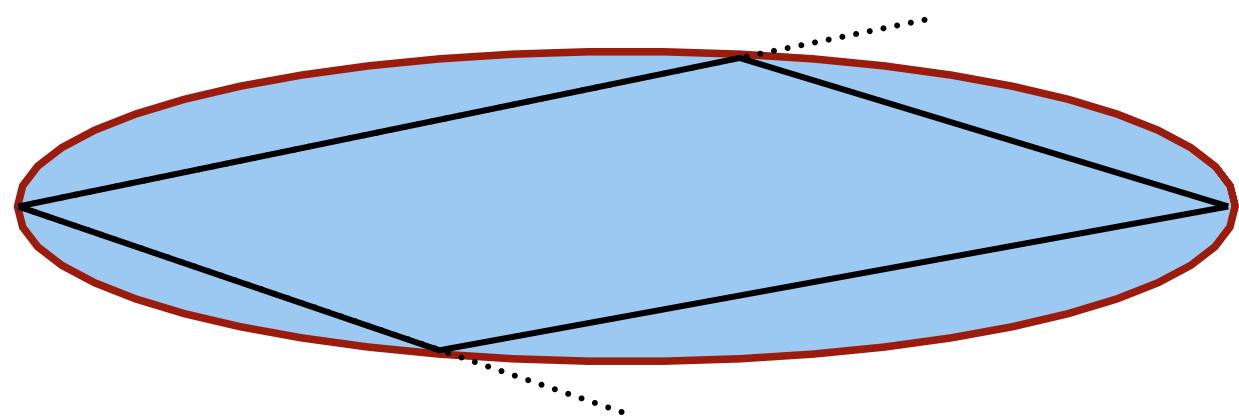
divergent to convergent



## reflective focusing optics

elliptic

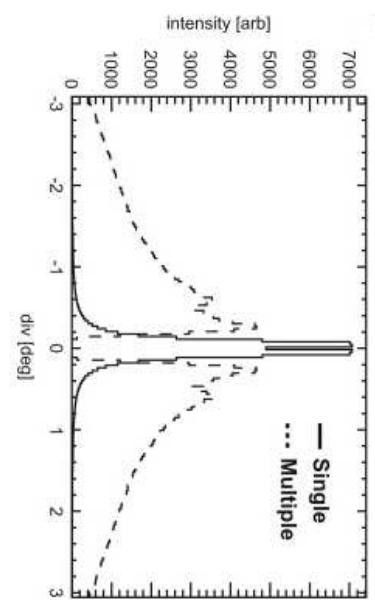
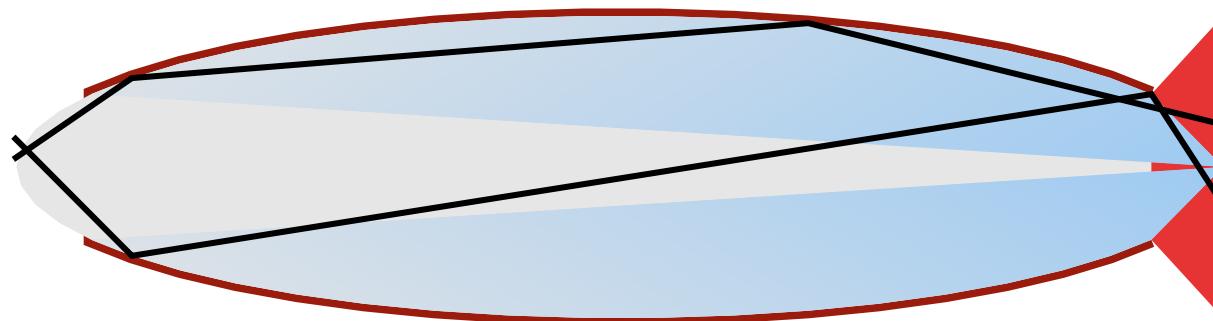
divergent to convergent ?



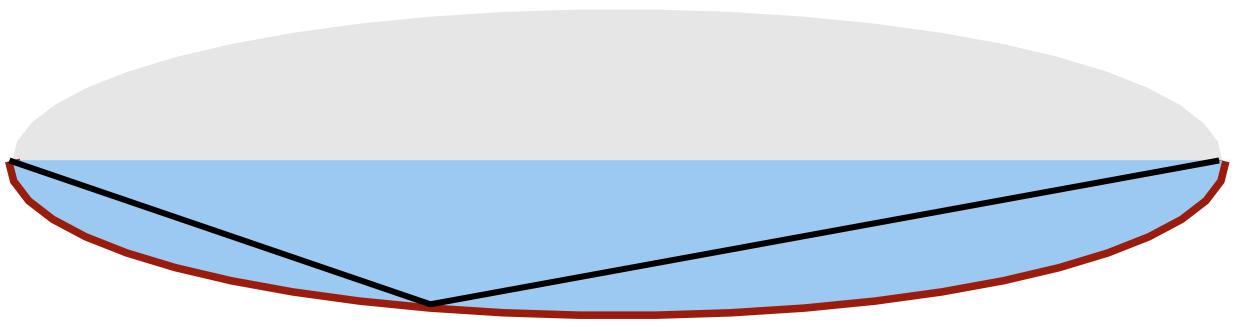
early reflections suffer the most from coma aberration

⇒ multiple reflections

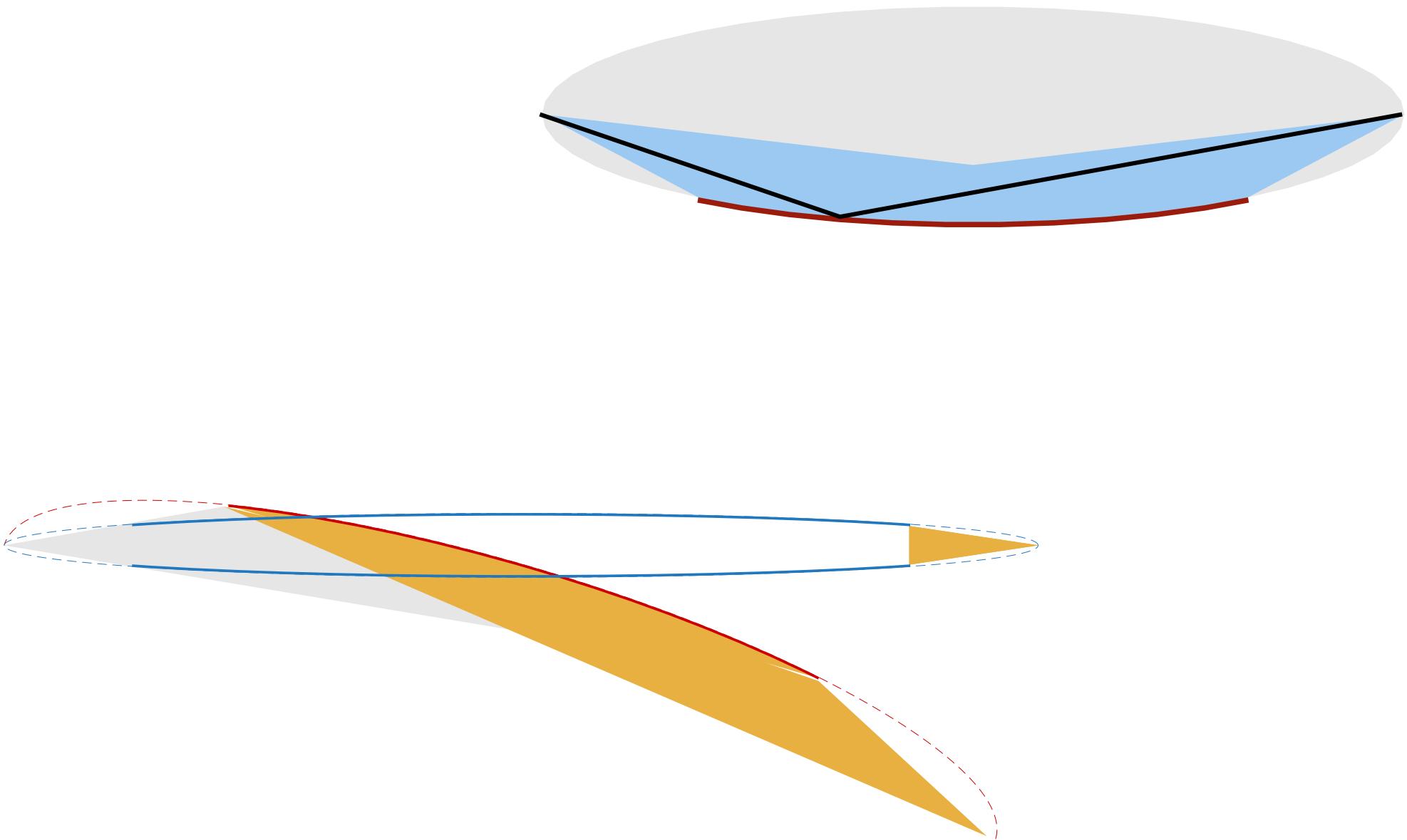
⇒ non-convergent beam behind guide exit



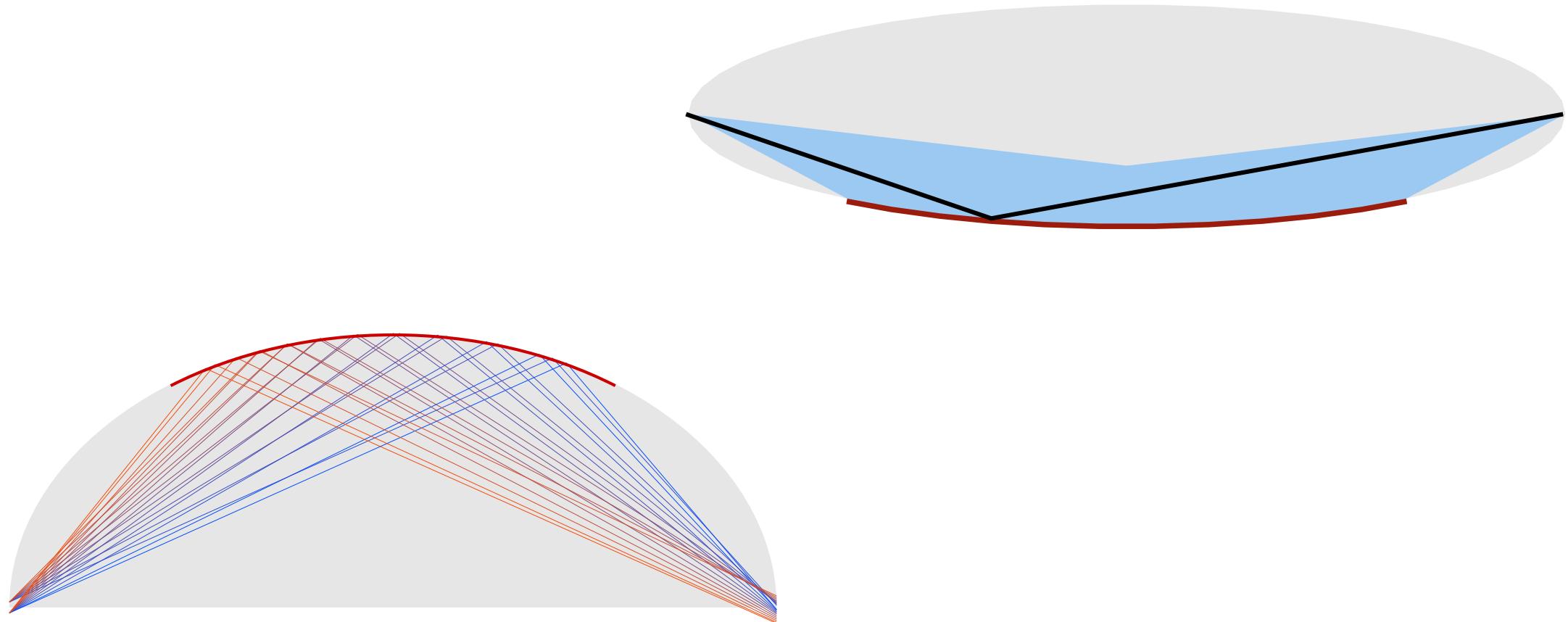
## reflective focusing optics



## reflective focusing optics

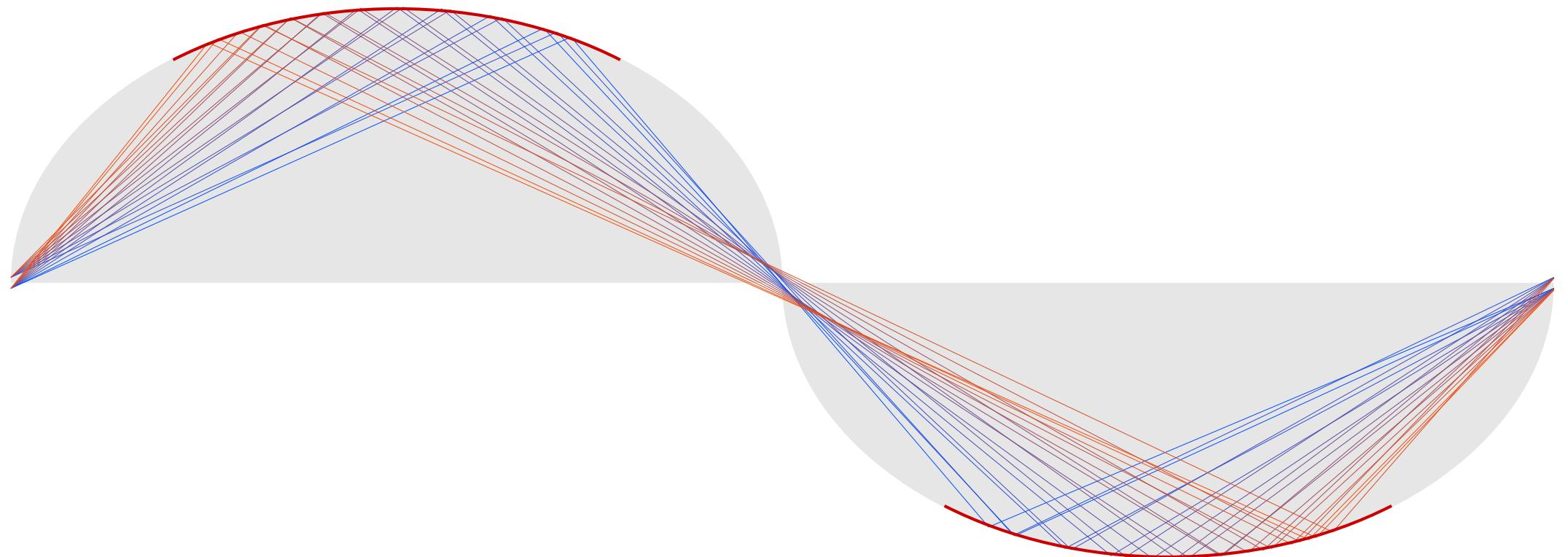


## coma aberration



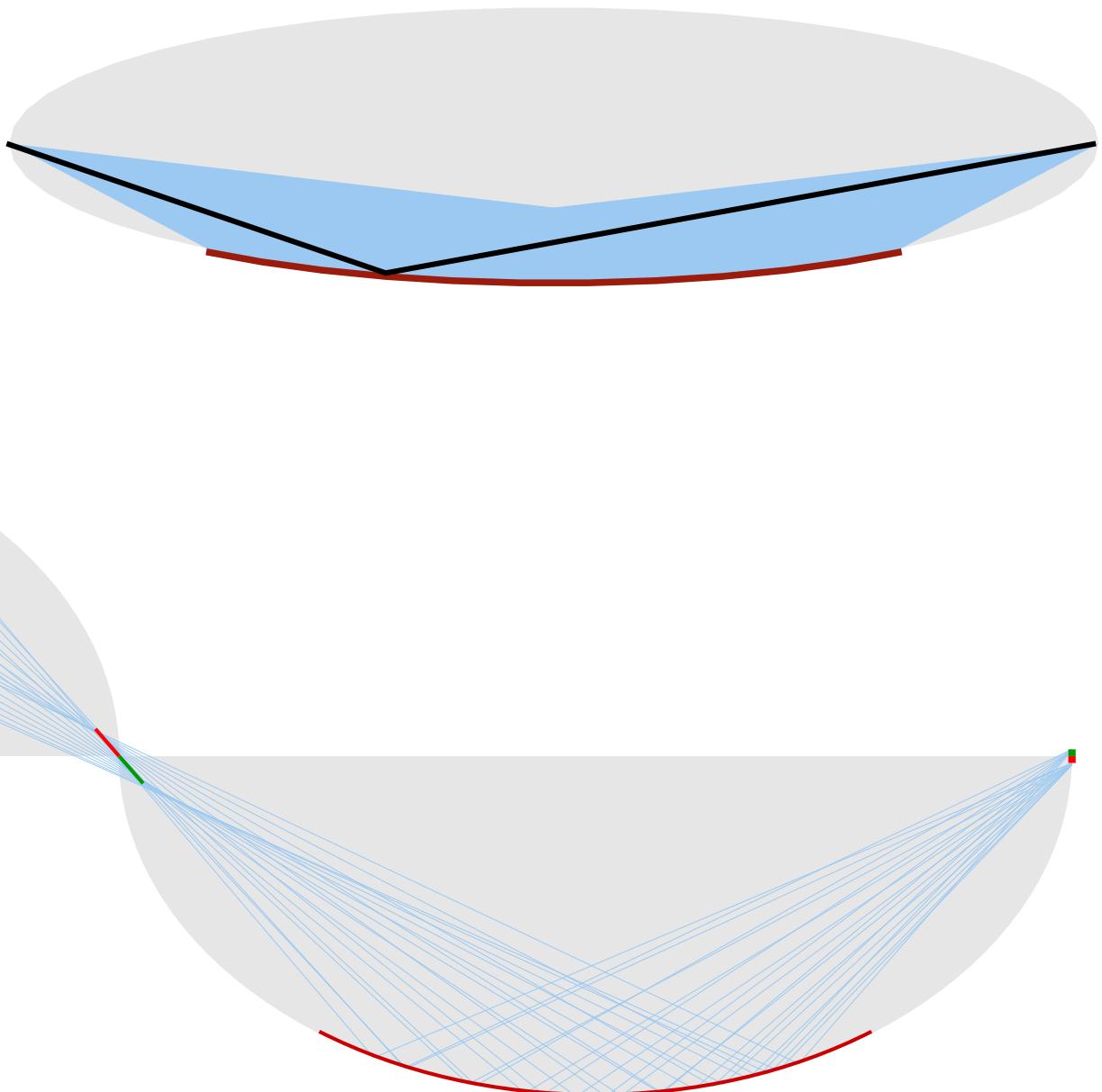
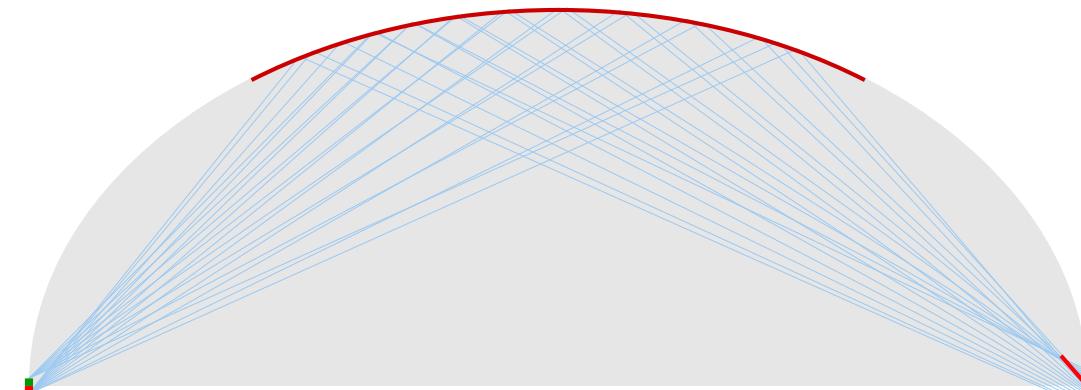
## coma aberration

... and its correction



## coma aberration

... and its correction



- $I(xy)$  is restored
- $I(\theta)$  is not!

## Selene guide

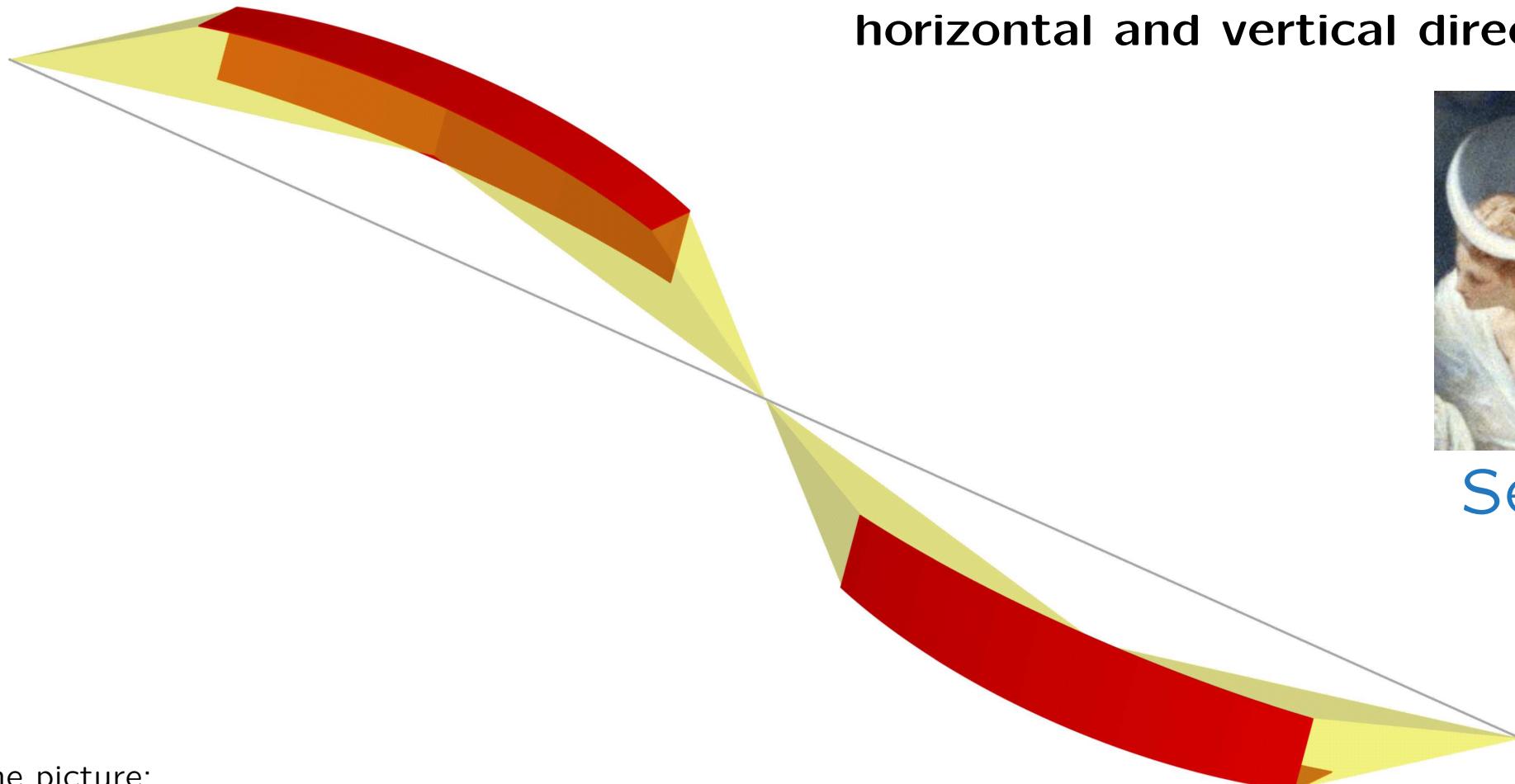
point-to-point focusing

with

**2 subsequent elliptical reflectors**

for

**horizontal and vertical direction**



**Selene**

Selene picture:  
ceiling painting in the Ny Carlsberg Glyptotek, København

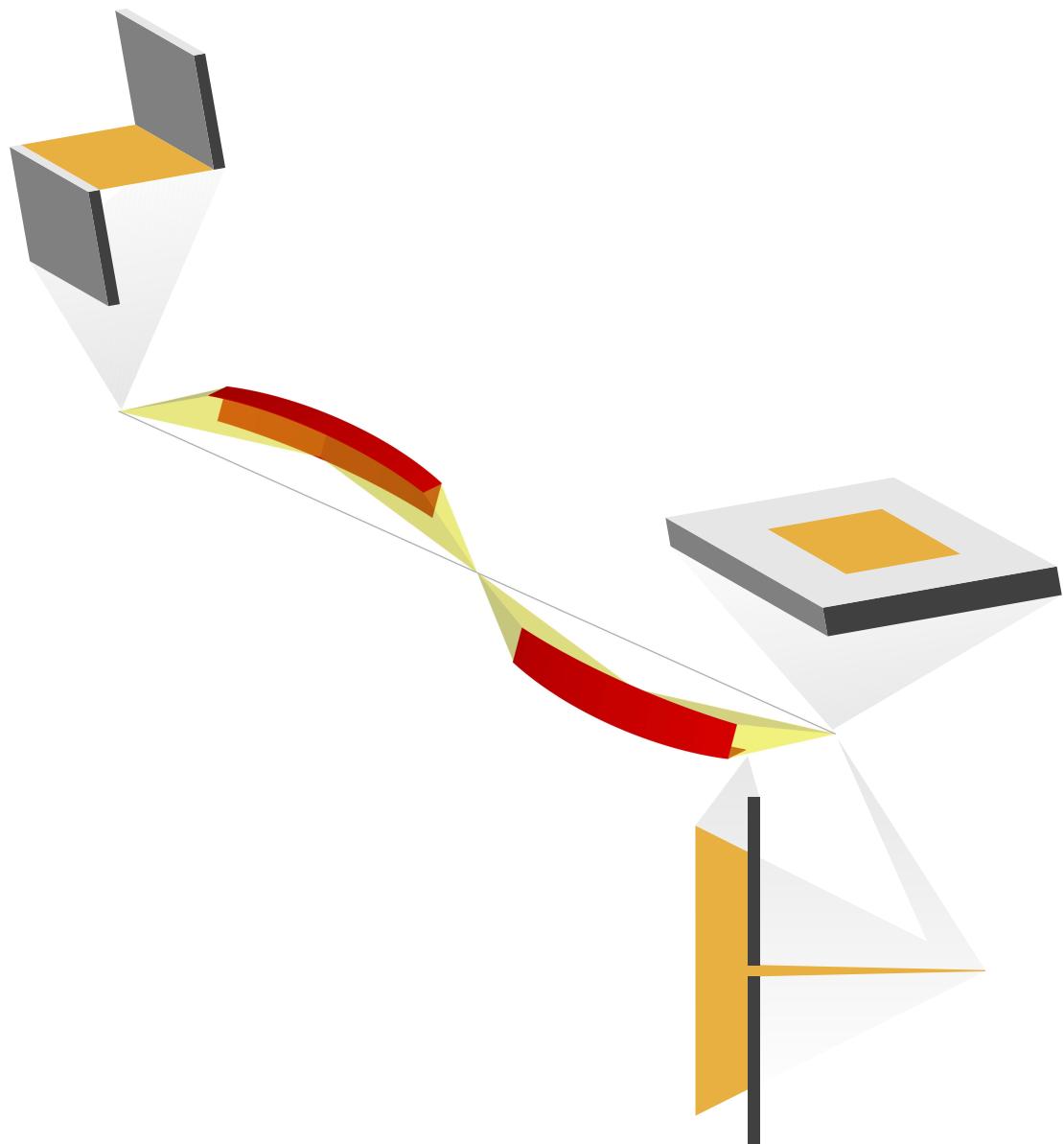
## Selene guide

decoupling of

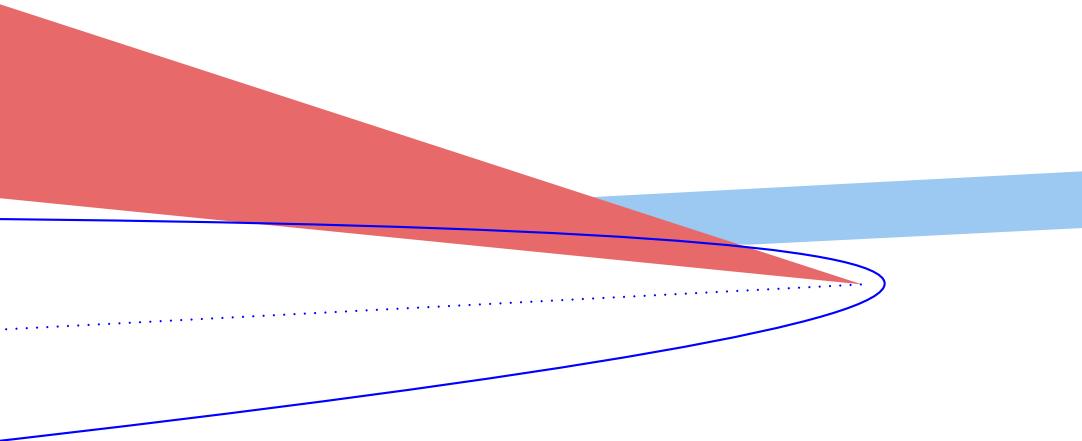
- spot-size

and

- divergence



**condenser:** parabolic deflector to generate a parallel beam



parabola axis  $\Rightarrow$  beam direction

focal length  $\Rightarrow$  beam width

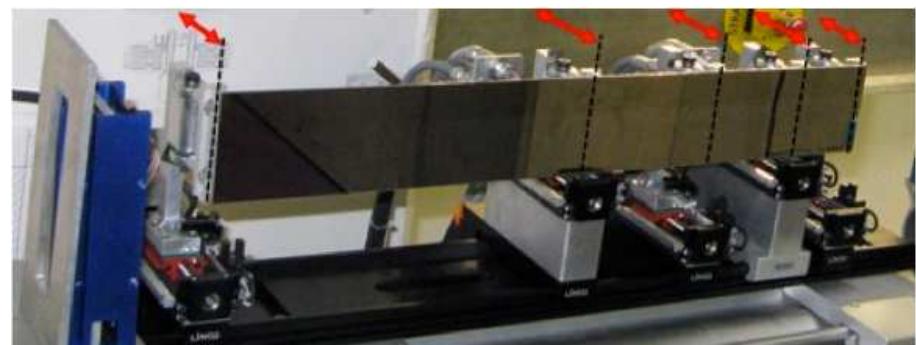
beam width  
& spot size  $\Rightarrow$  divergence

no collimator needed

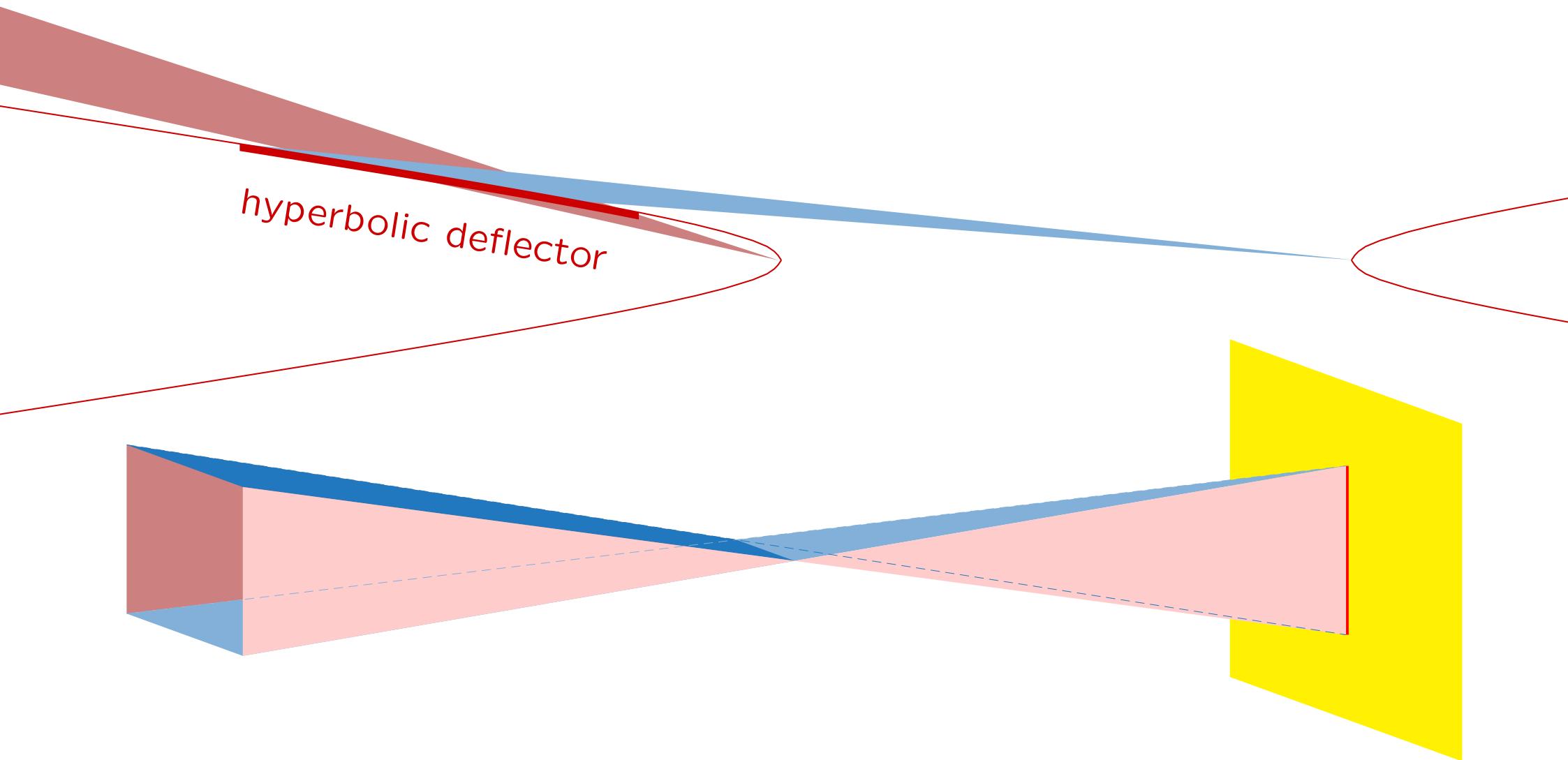
tunable

**adaptive parabola** (convex)  
focal spot with 170  $\mu\text{m}$  reached

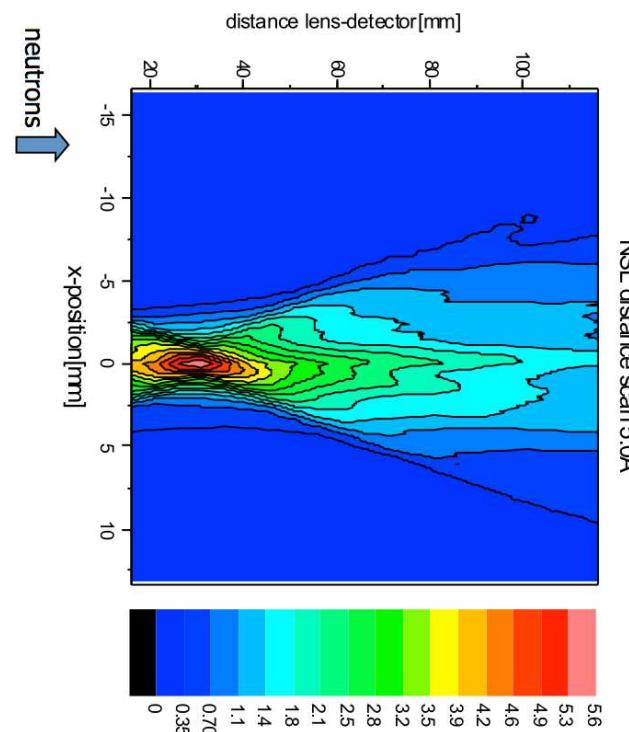
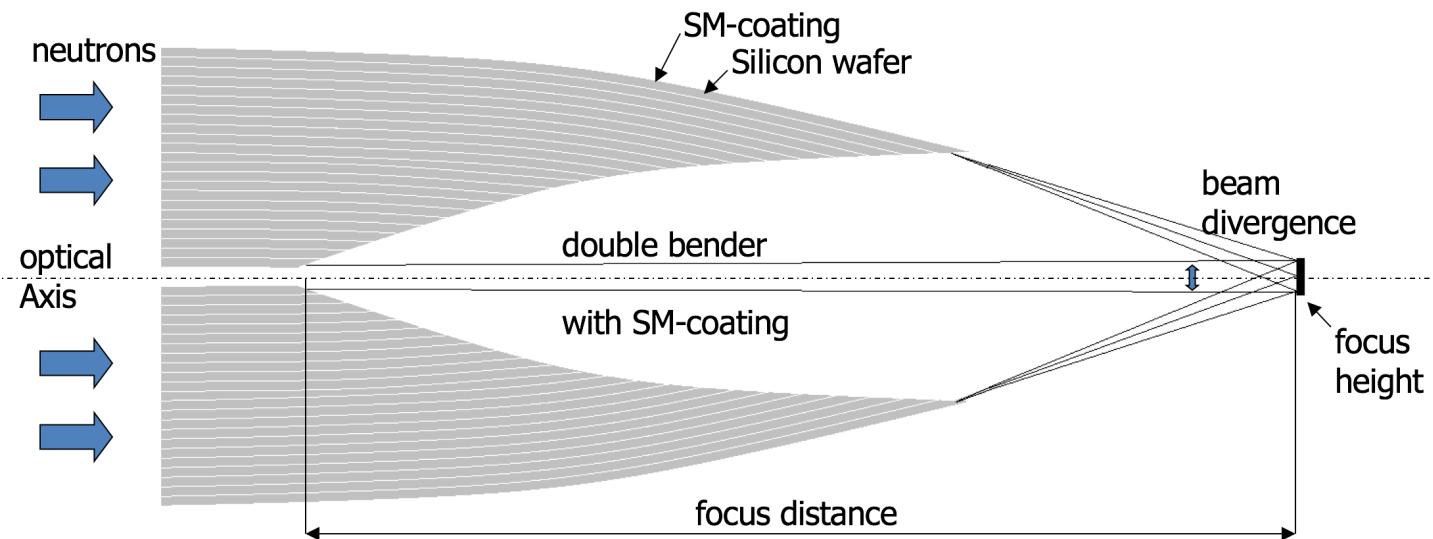
(PSI, early version)



**astigmatic focusing:** focusing to the detector by shifting the focal point



## solid-state neutron lens



*focusing results in . . .*



. . . no gain in brilliance

. . . a defined footprint

. . . a clean beam

homogeneous

uni-modal angular or spatial distribution



non-perfect optics

⇒ reduction of resolution / transmission



works best for small samples

weak aberration



Thomas Krist

HZB

Peter Böni

TUM



Uwe Filges

PSI

Artur Glavic

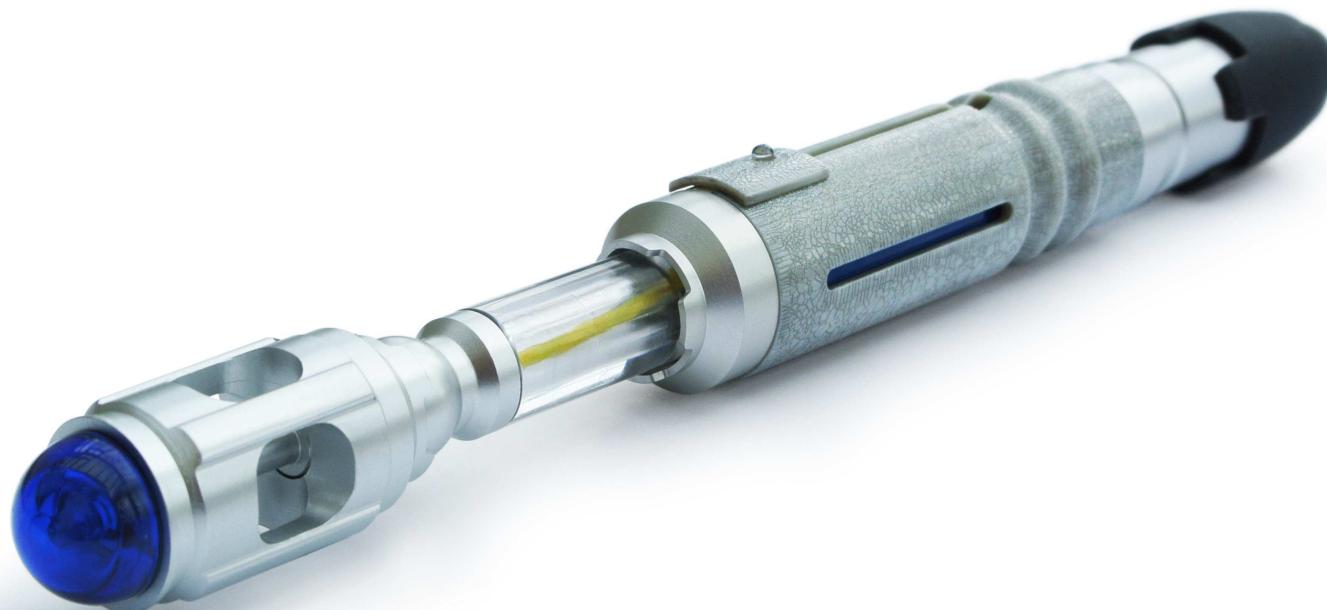
PSI



for discussions and for  
contributing to these slides

**sonic screwdriver** used by the Doctor to

*reverse the polarity of the neutron flow*



There must be a similar device to [polarise](#) it!