## Reflectometry with X-rays and Neutrons


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## A simple reflectivity experiment



Data: G. Warr, A. Blom, Dept. of Chem., Univ. Sydney

Elastic scattering and scattering vector


The scattering of an incident wave is the same as the scattering of the scattered one and called elastic scattering when no energy is transferred in the scattering process. The momentum transfer in the elastic scattering event is given by

$$
\begin{aligned}
q_{z} & =k_{i}+k_{f}=k_{f}-k_{i} \\
& =\left(2 \pi / \lambda \sin \theta_{f}\right)+\left(2 \pi / \lambda \sin \theta_{i}\right) \\
& =2 \pi / \lambda\left(\sin \theta_{f}+\sin \theta_{i}\right)
\end{aligned}
$$

for $\boldsymbol{\theta}_{f}=\boldsymbol{\theta}_{\boldsymbol{i}}$

$$
q_{z}=4 \pi / \lambda \sin \theta_{1}
$$

$\boldsymbol{q}_{z}$ is the wave vector transfer or scattering vector perpendicular to the direction of the scattered wave given by the incoming and outgoing wavevector $\boldsymbol{k}_{\boldsymbol{i}}$ and $\boldsymbol{k}_{\boldsymbol{f}}$, respectively. The wavevector is given by the wavenumber

$$
k=2 \pi / \lambda
$$

describing the wave propagating along x over $2 \pi$ with wavelength $\lambda$. The incident wave is a sine wave given by the real form as $\Psi_{i}=\boldsymbol{a}_{\boldsymbol{i}} \boldsymbol{\operatorname { s i n }}\left(\boldsymbol{k}_{\boldsymbol{i}} \boldsymbol{z}\right)$ or in the complex form as $\Psi_{i}=a_{i} i^{i k_{i} \bar{z}}$.

For equivalence of the incoming and outgoing scattering angle $\boldsymbol{\theta}_{\boldsymbol{f}}=\boldsymbol{\theta}_{\boldsymbol{i}}$ the reflected beam from the surface is called specular, and its intensity specular reflectivity.

Variation of the incoming scattering angle $\boldsymbol{\theta}_{\boldsymbol{i}}$ changes $\boldsymbol{q}_{\boldsymbol{z}}$. Experimentally this happens either changing $\boldsymbol{\theta}_{\boldsymbol{i}}$ of the incoming beam or by tilting the surface towards the incoming beam.
If by these changes $\boldsymbol{\theta}_{f}=\boldsymbol{\theta}_{i}$ is not kept equal, i.e. $\boldsymbol{\theta}_{f} \neq \boldsymbol{\theta}_{\boldsymbol{i}}$ the wave vector transfer is split into a component along z and along x. The wavevector $\boldsymbol{k}_{\boldsymbol{f}}$ then describes the scattered wave off-specular in-plane of the scattering plane, in our case the plane of the paper.

for $\theta_{f}=\theta_{i}$

$$
\boldsymbol{q}_{x}=\mathbf{0}
$$

## Refractive index and Snell's law

If the incoming wave is not totally scattered part of the beam is refracted into the medium below the interface.


If the material at which the wave is refracted is of the same optical density as the material of the incoming wave the incoming and the refracted wavevector are equal, i.e. there is no change of the wave velocity parallel to the surface and the ratio between $\boldsymbol{k}_{\boldsymbol{i}}$ and $\boldsymbol{k}_{\boldsymbol{f}}$ is unity

$$
\begin{aligned}
& k_{i} / k_{r}=n=1 \\
& a_{i} k \cos \theta_{i}=a_{r} k \cos \theta_{r}=a_{i} k n \cos \theta_{r}
\end{aligned}
$$

Usually this is not the case and the refracted wavevector is different from the incoming wavevector by $\boldsymbol{n}$, which is called refractive index.

with the amplitude of the wavevector $\boldsymbol{a}_{i}+\boldsymbol{a}_{f}=\boldsymbol{a}_{r}$

$$
n=\frac{\cos \theta_{1}}{\cos \theta_{1}}
$$

which is Snell's law. It follows
for $\boldsymbol{\theta}=\boldsymbol{\theta}$. follows $\boldsymbol{n}=\mathbf{1}$
for $\boldsymbol{\theta}>\boldsymbol{\theta}$. follows $\boldsymbol{n}<\mathbf{1}$
for $\boldsymbol{\theta}<\boldsymbol{\theta}$ r follows $\boldsymbol{n}>\mathbf{1}$
Usually the refractive index is smaller than 1 as the phase velocity of a refracted beam in a medium is higher as in vacuum. Thus $\boldsymbol{n}$ can be expressed as deviation from 1

$$
n=\frac{\cos \theta_{t}}{\cos \theta_{t}}=1-\delta
$$

Describing the relationship between the refractive index of a material and its scattering properties as either a phase shift in the refracted wave or as a superposition of spherical waves the total wave is given either as

$$
\Psi_{t o t}=\Psi_{0}[1+i(n-1) k \Delta k]
$$

or as

$$
\Psi_{t o t}=\Psi_{0}\left[1-\frac{i(2 \pi \rho b \Delta)}{k}\right]
$$

This leads to the following relationship with $\boldsymbol{n}$ to express $\boldsymbol{\delta}$

$$
\begin{aligned}
\Psi_{0}[1+i(n-1) k \Delta] & =\Psi_{0}\left[1-\frac{i(2 \pi \rho b \Delta)}{k}\right] \\
i(n-1) k \Delta & =\frac{-i(2 \pi \rho b \Delta)}{k} \\
-n+1 & =\frac{2 \pi \rho b \Delta}{k^{2}} \\
n & =1-\frac{2 \pi}{k_{i}^{2}} \rho b=1-\delta
\end{aligned}
$$

Here $\Delta$ is the thickness of the material, $\boldsymbol{\rho} \boldsymbol{b}$ is the scattering length density with the coherent scattering length $\boldsymbol{\rho}$ and the number density $\boldsymbol{b}$.

For $\boldsymbol{\theta}_{r}=\mathbf{0}$, the remaining angle $\boldsymbol{\theta}$ is the critical angle of total reflection $\theta_{c}$

$$
\begin{aligned}
\cos \theta_{i}=\cos \theta_{c} & =1-\delta \\
1-\frac{\theta_{c}^{2}}{2!}+\ldots & =1-\delta \\
\theta_{c} & =\sqrt{2 \delta} \\
& =\sqrt{2 \frac{2 \pi}{k_{i}^{2}} \rho b} \\
& =\frac{2}{k_{i}} \sqrt{\pi \rho b}
\end{aligned}
$$

The corresponding critical wave vector $\boldsymbol{q}_{c}$ is

$$
q_{c}=2 k \theta_{c}=2 k \sqrt{2 \delta}=4 \sqrt{\pi \rho b}
$$

## Reflectivity of a bare interface

The reflectivity intensity $\boldsymbol{R}$ is defined as the product of the amplitude reflectivity $\boldsymbol{r}$ with its conjugate complex * $\boldsymbol{r}$ expressed by the ratio of incident and outgoing amplitude $\boldsymbol{a}_{\boldsymbol{i}} / \boldsymbol{a}_{\boldsymbol{f}}$

$$
R=\left|a_{i} / a_{f}\right|^{2}=r^{*} r
$$

The incident, outgoing and refracted waves
$\Psi_{i}=\mathbf{a}_{\mathbf{i}} e^{i k_{i} r}, \Psi_{f}=\mathbf{a}_{\mathrm{f}} e^{i k_{f} r}, \Psi_{r}=\mathbf{a}_{\mathbf{r}} e^{i k_{r} r}$ show for the amplitudes at $\boldsymbol{z}=\mathbf{0}$

$$
\begin{aligned}
a_{i}+a_{f} & =a_{r} \\
a_{i} k_{i}+a_{f} \boldsymbol{k}_{f} & =a_{r} k_{r}
\end{aligned}
$$

with $\boldsymbol{k}=\left|\boldsymbol{k}_{\boldsymbol{i}}\right|=\left|\boldsymbol{k}_{\boldsymbol{f}}\right|$ and $\boldsymbol{n} \boldsymbol{k}=\boldsymbol{k}_{\boldsymbol{r}}$ follows

$$
\begin{aligned}
a_{r} n k \cos \theta_{r} & =a_{i} k \cos \theta_{i}+a_{f} k \cos \theta_{f} \\
n a_{r} k \sin \theta_{r} & =\left(a_{i}-a_{f}\right) k \sin \theta_{i} \\
n & =\frac{\left(a_{i}-a_{f}\right) \sin \theta_{i}}{\left(a_{i}+a_{f}\right) \sin \theta_{r}} \\
n \frac{\sin \theta_{r}}{\sin \theta_{i}} & =\frac{\left(a_{i}-a_{f}\right)}{\left(a_{i}+a_{f}\right)} \approx \frac{\theta_{f}}{\theta_{i}} \\
r & \equiv \frac{a_{r}}{a_{i}}=\frac{\theta_{i}-\theta_{f}}{\theta_{i}+\theta_{f}}
\end{aligned}
$$

which is the Fresnel equation of reflectivity, and

$$
t \equiv \frac{a_{r}}{a_{i}}=\frac{2 \theta_{i}}{\theta_{i}+\theta_{f}}
$$

which is the Fresnel equation of transmittivity. The resulting reflectivity intensity $\boldsymbol{R}$ then is

$$
\boldsymbol{R}=r^{*} \boldsymbol{r}=\left(\frac{\theta_{i}-\theta_{f}}{\theta_{i}+\theta_{f}}\right)^{2}
$$

With $\boldsymbol{q}_{z}=4 \pi / \lambda \sin \theta_{f}=2 \boldsymbol{k} \sin \theta_{f} \approx 2 \boldsymbol{k} \theta_{f}$ it can be written

$$
\boldsymbol{R}=r^{*} \boldsymbol{r}=\left(\frac{\boldsymbol{q}_{i}-q_{r}}{q_{i}+q_{r}}\right)^{2}
$$

Using $q_{r}^{2}=q_{i}^{2}-q_{c}^{2}$

$$
\begin{aligned}
\boldsymbol{R}=\boldsymbol{r}^{*} \boldsymbol{r} & =\left(\frac{\boldsymbol{q}_{i}-\sqrt{\boldsymbol{q}_{i}^{2}-\boldsymbol{q}_{c}^{2}}}{\boldsymbol{q}_{i}+\sqrt{\boldsymbol{q}_{i}^{2}-\boldsymbol{q}_{c}^{2}}}\right)^{2} \\
& =\left(\frac{\left(1-\sqrt{\boldsymbol{q}_{i}^{2}-\boldsymbol{q}_{c}^{2}}\right) / \boldsymbol{q}_{i}}{\left(1+\sqrt{\boldsymbol{q}_{i}^{2}-\boldsymbol{q}_{c}^{2}}\right) / \boldsymbol{q}_{i}}\right)^{2} \\
& =\left(\frac{\left(1-\sqrt{1-\left(\boldsymbol{q}_{c} / \boldsymbol{q}_{i}\right)^{2}}\right.}{\left(1+\sqrt{1-\left(\boldsymbol{q}_{c} / \boldsymbol{q}_{i}\right)^{2}}\right.}\right)^{2}
\end{aligned}
$$

For $\boldsymbol{q}_{i}^{2}>\boldsymbol{q}_{c}^{2}$ the reflectivity intensity leads to

$$
R(\boldsymbol{q}) \approx\left(\frac{\boldsymbol{q}_{c}}{\boldsymbol{q}_{i}}\right)^{4}
$$

## Penetration depth

A wave propagating in a medium has its amplitude according to

$$
e^{i n k z}=e^{i(1-\delta) k z} e^{-\beta k z}
$$

where $\boldsymbol{e}^{i(1-\delta) k z}$ is the dispersion of the wave in the material and $\boldsymbol{e}^{-\beta k z}$ the adsorption of the wave in the medium. Then the refractive index is given by

$$
n=1-\delta-i \beta
$$

with the real part giving the dispersion

$$
\delta=\frac{2 \pi}{k^{2}} \rho b=\frac{\lambda^{2}}{2 \pi} \rho b
$$

$\boldsymbol{\rho} \boldsymbol{b}$ is the scattering length density, and the imaginary part giving the adsorption

$$
\begin{aligned}
& \beta=\frac{\lambda \mu}{4 \pi}=\frac{\mu}{2 k} \\
& \mu=2 k \beta=\frac{4 \pi}{\lambda} \beta
\end{aligned}
$$

$\boldsymbol{\mu}$ is the linear absorption coefficient. The penetration depth of the beam in the medium depends on the imaginary part of the refracted wave

$$
a_{r} e^{i\left(k \theta_{r}\right) z}=a_{r} e^{i k \operatorname{Re}\left(\theta_{r}\right) z} e^{-k \operatorname{Im}\left(\theta_{r}\right) z}
$$

i.e. the penetration depth is

$$
\Lambda=\frac{1}{2 k \operatorname{Im}\left(\theta_{r}\right)}=\frac{1}{2 k \beta}=\frac{1}{\mu}=-\frac{\lambda}{4 \pi \beta}
$$



## Reflectivity of a homogenous slab

The reflectivity of a homogenous slab, i.e. the reflectivity from two interfaces separated by a slab of thickness $\boldsymbol{d}$, is derived as follows. Each reflectivity and transmittivity component is added up taking into account the corresponding phase factor $\boldsymbol{p}^{2}=\boldsymbol{e}^{i q \boldsymbol{d}}$, with $\boldsymbol{d}$ thickness of slab.


Data: P. Hartley et al., CSIRO Molecular Science, Clayton

$$
\begin{aligned}
r & =r_{01}+t_{01} r_{12} t_{10} p^{2}+t_{01} r_{12} t_{10} p^{2} r_{10} r_{12} p^{2}+\ldots \\
& =r_{01}+t_{01} r_{12} t_{10} p^{2} \sum\left(r_{10} r_{12} p^{2}\right)^{m} \\
& =r_{01}+t_{01} r_{12} t_{10} p^{2} \frac{1}{1-r_{10} r_{12} p^{2}}
\end{aligned}
$$

with $r_{01}^{2}+t_{01} t_{10}=1$ it follows $t_{01} t_{10}=1-r_{01}^{2}$ which leads to

$$
r\left(1-r_{10} r_{12} p^{2}\right)=r_{01}\left(1-r_{10} r_{12} p^{2}\right)+\left(1-r_{01}^{2}\right) r_{12} p^{2}
$$


with $\boldsymbol{r}_{\mathbf{0 1}}=-\boldsymbol{r}_{01}$

$$
\begin{aligned}
r & =r_{01}-r_{01} r_{10} r_{12} p^{2}+r_{12} p^{2}-r_{01}^{2} r_{12} p^{2} \\
& =r_{01}+r_{01}^{2} r_{12} p^{2}+r_{12} p^{2}-r_{01}^{2} r_{12} p^{2} \\
& =\frac{r_{01}+r_{12} p^{2}}{1+r_{10} r_{12} p^{2}}
\end{aligned}
$$

The reflectivity intensity follows to

$$
\begin{aligned}
R=r^{*} r & =\left(\frac{r_{01}+r_{12} p^{2}}{1+r_{10} r_{12} p^{2}}\right)^{2} \\
& =\frac{r_{01}^{2}+r_{12}^{2}+2 r_{01} r_{12} e^{i q d}}{1+r_{01}^{2} r_{12}^{2}+2 r_{01} r_{12} e^{i q d}}
\end{aligned}
$$

with $e^{i q d}=\cos 2 q d$

$$
R=\frac{r_{01}^{2}+r_{12}^{2}+2 r_{01} r_{12} \cos q d}{1+r_{01}^{2} r_{12}^{2}+2 r_{01} r_{12} \cos q d}
$$

The oscillations in the reflectivity profile result from the interference term in the above equation and depend on the thickness of the slab regarding the position and the difference in scattering contrasts between the respective interfaces regarding the amplitude. The oscillations are often called Kiessig fringes.

The expression for the reflectivity can be further reduced with $\boldsymbol{r}_{\mathbf{0 1}}=-\boldsymbol{r}_{12}$ to

$$
r=\frac{r_{01}\left(1-p^{2}\right)}{\left(1-r_{01}^{2} p^{2}\right)}
$$

For a thin slab $\left|r_{01}\right| \ll 1$ and

$$
\begin{aligned}
r_{\text {slab }} & =r_{01}\left(1-p^{2}\right) \\
& =r_{01}\left(1-e^{i q_{1} d}\right)
\end{aligned}
$$

Using the Fresnel equation for $\boldsymbol{r}_{01}$ follows

$$
\begin{aligned}
r_{\text {slab }} & =\frac{\left(q_{0}-q_{1}\right)}{\left(q_{0}+q_{1}\right)}\left(1-e^{i q_{1} d}\right) \\
& =\frac{q_{c}^{2}}{4 q_{1}^{2}}\left(1-e^{i q_{1} d}\right)
\end{aligned}
$$

and with $\boldsymbol{q}_{c}=2 \boldsymbol{k} \boldsymbol{\theta}_{c}$ and $\boldsymbol{\theta}_{c}=\frac{\mathbf{2}}{\boldsymbol{k}_{\boldsymbol{i}}} \sqrt{\boldsymbol{\pi} \rho \boldsymbol{b}}$

$$
\begin{aligned}
r_{\text {slab }} & =-\frac{16 \pi \rho b}{4 q_{1}^{2}} e^{i q_{1} d / 2}\left(e^{i q_{1} d / 2}-e^{-i q_{1} d / 2}\right) \\
& =-i \frac{4 \pi \rho b d}{q_{1}} e^{i q_{1} d / 2} \frac{\sin \left(q_{1} d / 2\right)}{q_{1} d / 2}
\end{aligned}
$$

Assuming $\boldsymbol{d} \ll \mathbf{1}$ the equation can be reduced further to

$$
r_{s l a b}=-i \frac{4 \pi \rho b d}{q_{1}}=-i \frac{q_{c}^{2}}{4 q_{1}} d
$$

With $\boldsymbol{r}(\boldsymbol{q})=\left(\frac{\mathbf{4} \pi \rho \boldsymbol{b}}{\boldsymbol{q}_{1}^{2}}\right)^{2}$ the reflectivity intensity can be written as

$$
\begin{aligned}
R(q) & =\left(\frac{q_{c}}{q_{1}}\right)^{4}\left|\int \rho(z) e^{2 i q_{1} z} d z\right|^{2} \\
& =\left.R_{F}(q) \int \rho(z) e^{2 i q_{1} z} d z\right|^{2}
\end{aligned}
$$

Where $\boldsymbol{\rho} \mathbf{( z )}$ is the scattering length density profile along z. In case of sharp interfaces a step function results. $\boldsymbol{R}_{\mathbf{F}}(\boldsymbol{q})$ is the intensity of the Fresnel reflectivity and the above equation is termed Master formula in the literature.

## Reflectivity from a multilayer system



Specular reflection from multilayers is obtained by the reflectivity of each interface according to the Fresnel relation

$$
r_{j, j+1}=\frac{\theta_{1}-\theta_{j, j+1}}{\theta_{1}+\theta_{j, j+1}}
$$

which leads recursively for all interfaces to

$$
r_{j, j+1}=\frac{r_{j, j+1}+r_{j+1} e^{2 i q_{j+1} d_{j}}}{1+r_{j, j+1} r_{j+1} e^{2 i q_{j+1} d_{j}}}
$$

This method is called Parrat's recursive method, who derived this method in 1954, or optical matrix formalism of stratified media.


A similar expression is obtained within the kinematical approximation of the reflectivity from $\boldsymbol{v}$ layers comprising a multilayer.

$$
\begin{aligned}
\boldsymbol{r}_{\nu}(\zeta) & =\sum(\zeta) \boldsymbol{e}^{i 2 \pi \zeta \nu} \boldsymbol{e}^{-\beta v} \\
& =\boldsymbol{r}_{1}(\zeta) \frac{1-\boldsymbol{e}^{i 2 \pi \zeta \nu} \boldsymbol{e}^{-\beta \nu}}{1-\boldsymbol{e}^{i 2 \pi \zeta} \boldsymbol{e}^{-\beta}}
\end{aligned}
$$

In the kinematical approximation multiple reflections and refraction are assumed to be small and can be neglected. This is called Born approximation. It is valid at angles well away from the critical angle.

If the system has repeating subunits with equal distance $\boldsymbol{d}$ the reflected intensity superimposes giving rise to Bragg peaks in the reflectivity pattern which obey Bragg's law $n \boldsymbol{n}=2 d \sin \theta$.

## Rough surfaces and interfaces

Usually an interface is not ideally sharp and the reflectivity is damped by diffuse scattering due to surface roughness expressed as

$$
R(q)=R_{F}(q) e^{-q^{2} \sigma^{2}}
$$

The damping of the diffuse scattering is the Fourier transform of the derivative of the error function $f(z)=\operatorname{erf}\left(\frac{z}{\sqrt{2 \sigma}}\right)$, which is a Gaussian.
The error function describes the density distribution at the rough interface. Basically the ideally sharp density profile is convoluted with a Gaussian smoothing function or Debye-Waller factor. $\sigma$ is a measure of the width of the interface roughness given as rms roughness $\sigma=\sqrt{\left\langle\boldsymbol{h}^{2}\right\rangle}$. Such a rough interface exhibits no correlation between the heights at different points of the surface. The scattering of the uncorrelated surface is confined to the specular direction.



## Correlated surface roughness, diffuse scattering

The roughness of a surface can also be expressed as height fluctuations which can be described with a height-height correlation function.

$$
C(r)=\left\langle z(0) z(r)>=\sigma^{2} e^{(-r / \xi)^{2} h}\right.
$$

here $\boldsymbol{\xi}$ is the cut-off length in the xy-plane of the surface. For $\boldsymbol{R}>\boldsymbol{\xi}$ the interface is smooth, for the $\boldsymbol{R}<\boldsymbol{\xi}$ interface is rough. The exponent $\boldsymbol{h}$ is known as Hurst parameter and is related to the fractal dimension of the surface with $\boldsymbol{h}=\mathbf{3}-\boldsymbol{D}$ with $\boldsymbol{D}=\mathbf{2}$ for smooth and $\boldsymbol{D}=\mathbf{3}$ for rough surfaces, thus $\mathbf{0}<\boldsymbol{h}<\mathbf{1}$. The scattering of the correlated surface roughness is off-specular, i.e. information about lateral correlation length can be obtained.

The scattering function is given by

$$
S_{\text {tot }}(q)=S_{\text {spec }}(q)+S_{\text {diff }}(q)
$$

with

$$
S(q)=\int<\rho(0) \rho(r)>e^{i q r} d^{3} r
$$

For the diffuse scattering one can develop the following expression

$$
S_{\text {diff }}(q)=\frac{1}{q_{z}^{2} e^{-q_{z}^{2} \sigma^{2}}} \int\left[e^{-q_{z}^{2} C(r)}-1\right] e^{i q_{x} r} d^{2} r
$$

here the wave vector transfer $\boldsymbol{q}_{\boldsymbol{z}}$ as well as $\boldsymbol{q}_{\boldsymbol{x}}$ and the height-height correlation function $\boldsymbol{C}(\boldsymbol{r})$ are included.

For small distortions with $\boldsymbol{q}_{z} \boldsymbol{\sigma}<\mathbf{1}$

$$
\begin{aligned}
S_{\text {diff }}(q) & =e^{-q_{z}^{2} \sigma^{2}} \int C(r) e^{i q_{x} r} d^{2} r \\
& =e^{-q_{z}^{2} \sigma^{2}} \times C\left(q_{x}\right)
\end{aligned}
$$

Scattering of uncorrelated and correlated multilayers



The scattering function is expressed as Fourier transform of the heightheight correlation function damped by the roughness.

## Coherence length

A beam impinging on a surface is in reality not perfect monochromatic nor does it propagate in a perfect defined direction. This means that a wave propagating in a direction with wavelength $\lambda$ is accompanied by another wave in the same direction with $\lambda-\Delta \lambda$. At a certain point both waves are in phase and at a certain point away the two waves are completely out of phase. This difference in space between the two waves is called longitudinal coherence length $\boldsymbol{L}_{L}$. The transverse coherence length $\boldsymbol{L}_{\boldsymbol{T}}$ is defined for two waves of equal wavelength but slightly different propagation directions and the difference in space to be completely out of phase defines $\boldsymbol{L}_{\boldsymbol{T}}$.

The two coherence lengths together define the upper limit on the separation of two objects to distinguish them in a scattering experiment. E.g. for x-rays the coherence values are in the range of $\mu \mathrm{m}$.

small-scale heterogeneity laterally averages SLD profile

large-scale heterogeneity laterally averages reflectivity

## X-ray and neutron reflectometry

X -rays are an electromagnetic radiation. The photon energy is given by $\boldsymbol{E}=\boldsymbol{h} \boldsymbol{v}=\boldsymbol{h} \boldsymbol{c} / \boldsymbol{\lambda}=\boldsymbol{h} \boldsymbol{c} \boldsymbol{k}$, the charge is zero, the magnetic moment is zero and the spin is 1 . X-rays show particle as well as wave properties.

Neutrons are an elementary particle with a mass of $\boldsymbol{m}_{\boldsymbol{n}}=1.67510^{-27} \mathrm{~kg}$, charge is zero, spin is $1 / 2$, the magnetic moment is $\mu_{m}=-1.913 \mu_{n}$, the nuclear magneton is $\mu_{n}=\boldsymbol{e} \boldsymbol{h} / \mathbf{4 \pi \boldsymbol { m } _ { p }}=5.05110^{-27} \mathrm{JT}^{-1}$, the kinetic energy is $E=m_{n} v^{2} / 2=\boldsymbol{k}_{B} \boldsymbol{T}=(\boldsymbol{h k} / 2 \pi)^{2} / 2 m_{n}$, with $\boldsymbol{k}=2 \pi / \lambda$. It has particle as well as wave properties.

Due to the pronounced differences in the neutron scattering length density of different isotopes neutrons often provide better contrast and don't damage samples. On the other hand x-rays provide better Q resolution and higher Q values. In contrast to $x$-rays magnetic systems are easily probed by polarized neutron reflectometry due to magnetic dipole interaction with unpaired electrons.

## Example of a $\mathrm{Si} / \mathrm{Pt}$ monolayer

A nominal 15 nm thick layer of Pt has been magnetron sputtered on a $\operatorname{Si}(111)$ wafer and examined with x-ray and neutron reflectometry. The x-ray data are fitted with a single slab of Pt-layer of 16.05 nm thickness (grey curve) against air.


The neutron data show a two layer slab model with a Pt-layer of 14.80 nm and a $\mathrm{SiO}_{2}$-layer underneath the Pt-layer of 3.18 nm thickness (red curve). The $\mathrm{SiO}_{2}$ layer is not visible in the x-ray measure-ments due to the nondistinguishable x-ray scattering length density of Si of $2.01210^{-5} \AA^{-2}$ and of $\mathrm{SiO}_{2}$ of $2.01510^{-5} \AA^{-2}$.


Meaasurement of the Pt-layer against liquid $\mathrm{D}_{2} \mathrm{O}$ reveals the presence of an layer of organic material of 2.48 nm thickness which is not visible against air due to the neutron scattering length density of close to zero.


## Polarised neutron reflectometry


G. Felcher et al., Physica B, 297 (2001) 87-93

The energy of a wave propagating in vacuum is

$$
\boldsymbol{E}_{0}=\frac{\hbar^{2}}{2 \boldsymbol{m}} \boldsymbol{k}_{0}^{2}
$$

with $\boldsymbol{k}_{0}=2 \boldsymbol{\pi} / \boldsymbol{\lambda}$. On interaction with matter an interaction potential is added, which is described as a Fermi pseudo potential

$$
V_{F}=\frac{\hbar^{2}}{2} 2 \pi \rho b
$$

Solving the Schroedinger equation for this interaction gives

$$
\frac{\hbar^{2}}{2 m} \frac{d^{2} \varphi}{d r^{2}}+\left(E_{0}-V_{F}\right) \varphi=0
$$

with $\varphi(\boldsymbol{r})=\varphi_{+}(\boldsymbol{r})|+\rangle+\varphi_{-}(\boldsymbol{r})|-\rangle$ for the two spin states of the neutron.

It follows with

$$
\begin{aligned}
& k^{2}=\frac{2 m}{\hbar^{2}}\left(E_{0}-V_{F}\right) \\
& \frac{d^{2} \varphi}{d r^{2}}+k^{2} \varphi=0
\end{aligned}
$$

With $\boldsymbol{k}^{2}=\boldsymbol{n}^{2} \boldsymbol{k}_{0}^{2}$ is

$$
\begin{aligned}
& \frac{2 m}{\hbar^{2}}\left(E_{0}-V_{F}\right)=n^{2} \frac{2 m}{\hbar^{2}} E_{0} \\
& n^{2}=1-\frac{V_{F}}{E_{0}}=1-\frac{4 \pi}{k_{0}^{2}} \rho b=1-\frac{\lambda^{2}}{\pi} \rho b
\end{aligned}
$$

and

$$
n \approx 1-\frac{\lambda^{2}}{\pi} \rho b=1-\delta
$$

If the interaction of the neutron wave is with a magnetic material the interaction potential is modified with a magnetic interaction by

$$
V_{M}=V_{F}-g_{n} \mu_{n} \sigma B_{e f f}
$$

here, $\boldsymbol{B}_{\text {eff }}=\boldsymbol{B}_{\mathbf{0}}+\boldsymbol{\mu}_{\mathbf{0}} \boldsymbol{M}_{\|}$with $\boldsymbol{M}_{\|}$the magnetization parallel to the layer surface, $\boldsymbol{B}_{\mathbf{0}}$ the external magnetic field and $\boldsymbol{g}_{\boldsymbol{n}} \boldsymbol{\mu}_{\boldsymbol{n}} \boldsymbol{\sigma}=\boldsymbol{\mu}_{\boldsymbol{m}}$ the neutron magnetic moment. This leads with $\boldsymbol{k}^{2}=\boldsymbol{n}^{2} \boldsymbol{k}_{0}^{2}$ to

$$
k_{j}^{ \pm 2}=\frac{2 m}{\hbar^{2}} E_{0}-4 \pi \rho b_{j} \pm \frac{2 m}{\hbar^{2}} g_{n} \mu_{n}\left|B_{j}\right|
$$

which gives four wavevectors for the four spin states

$$
k_{j}^{++2}, k_{j}^{+-2}, k_{j}^{-+2}, k_{j}^{--2}
$$

and four reflectivities as

$$
R^{++}, R^{+-}, R^{-+}, R^{--}
$$



The reflectivity intensity for the ++ and +- spin state with external field $\boldsymbol{B}_{\mathbf{0}}$ and magnetization $\boldsymbol{M}_{\|}$parallel to the plane now looks like

$$
\begin{aligned}
\boldsymbol{R}^{++} & =\left|\boldsymbol{r}^{++}\right|^{2} \\
& =\frac{\cos ^{2} \boldsymbol{\theta} / 2\left(\boldsymbol{q}_{0, z}-\boldsymbol{q}_{s, z}^{+}\right)\left(\boldsymbol{q}_{0, z}+\boldsymbol{q}_{s, z}^{-}\right)+\sin ^{2} \boldsymbol{\theta} / 2\left(\boldsymbol{q}_{0, z}-\boldsymbol{q}_{s, z}^{-}\right)\left(\boldsymbol{q}_{0, z}+\boldsymbol{q}_{s, z}^{+}\right)}{\cos ^{2} \theta / 2\left(\boldsymbol{q}_{0, z}+\boldsymbol{q}_{s, z}^{+}\right)\left(\boldsymbol{q}_{0, z}+\boldsymbol{q}_{s, z}^{-}\right)+\sin ^{2} \boldsymbol{\theta} / 2\left(\boldsymbol{q}_{0, z}+\boldsymbol{q}_{s, z}^{-}\right)\left(\boldsymbol{q}_{0, z}+\boldsymbol{q}_{s, z}^{+}\right)} \\
\boldsymbol{R}^{+-} & =\left|\boldsymbol{r}^{+-}\right|^{2} \\
& =\frac{2 \boldsymbol{q}_{0, z} \cos ^{2} \theta / 2 \sin ^{2} \boldsymbol{\theta} / 2\left(\boldsymbol{q}_{s, z}^{+}-\boldsymbol{q}_{s, z}^{-}\right)}{\cos ^{2} \boldsymbol{\theta} / 2\left(\boldsymbol{q}_{0, z}+\boldsymbol{q}_{s, z}^{+}\right)\left(\boldsymbol{q}_{0, z}+\boldsymbol{q}_{s, z}^{-}\right)+\sin ^{2} \boldsymbol{\theta} / 2\left(\boldsymbol{q}_{0, z}+\boldsymbol{q}_{s, z}^{-}\right)\left(\boldsymbol{q}_{0, z}+\boldsymbol{q}_{s, z}^{+}\right)}
\end{aligned}
$$

The +- state is also called spin-flip state or orientation as the orientation of the outgoing neutron spin is flipped in comparison with the orientation of the incoming neutron spin. Similar expressions can be derived for other orientations of the magnetization.


## Reflectometry at solid/solid interfaces

## - Transformation of Cross-Linked Poly(dimethylsiloxane) after Irradiation


[V. Graubner et al. Langmuir 21, 8940 (2005)]

## - Iron self-diffusion in FeZr/57FeZr multilayers



Decay of Bragg peak intensity in the neutron reflectivity pattern of $\left[\mathrm{FeZr}{ }^{57} \mathrm{FeZr}\right]_{20}$ isotopic multilayer after annealing and the diffusion length and Arrhenius behavior of the diffusivity.
[M. Gupta et al. PRB 70, 184206 (2004)]

## - Nitrogen Diffusion in Amorphous Silicon Nitride Isotope Multilayers



FIG. 1. Neutron reflectivity pattern of a $\left[\mathrm{Si}_{3}{ }^{14} \mathrm{~N}_{4}(19 \mathrm{~nm})\right.$ / $\left.\mathrm{Si}_{3}{ }^{15} \mathrm{~N}_{4}(6 \mathrm{~nm})\right]_{20}$ isotopic multilayer (bottom) compared to the pattern of a not enriched $\mathrm{Si}_{3} \mathrm{~N}_{4}$ film (top). The reflectivity pattern of the multilayer is divided by a factor of 1000 for clarity.


FIG. 2. Neutron reflectivity pattern of a $\left[\mathrm{Si}_{3}{ }^{14} \mathrm{~N}_{4}(19 \mathrm{~nm}) /\right.$ $\left.\mathrm{Si}_{3}{ }^{15} \mathrm{~N}_{4}(6 \mathrm{~nm})\right]_{20}$ isotopic multilayer in the as-deposited state (shifted for clarity) and after annealing for $7 d$ at $1000{ }^{\circ} \mathrm{C}$. The patterns are multiplied by $q_{z}^{4}$ in order to correct for the background.


FIG. 3. Nitrogen diffusivities in amorphous $\mathrm{Si}_{3} \mathrm{~N}_{4}$ films as a function of reciprocal temperature. The dashed line is an extrapolation of the experimental data on polycrystalline $\mathrm{Si}_{3} \mathrm{~N}_{4}$ films represented by the solid line (after Ref. [12]).
[H. Schmidt et al., PRL 96, 055901 (2006)]

## - Iron self-diffusion in FeZr/57FeZr multilayers



Variation of activation energy with the applied stress.

[M. Gupta et al., PSI Scientific Rep.2004, Vol., 68, M. Gupta et al. Defect Diff. Forum, 237-240 (2005), 548-553]

## - Magnetic depth profiling of FM/AF/FM trilayers



PNR of $\mathrm{FeCoV} / \mathrm{NiO}(\mathrm{tNiO}) / \mathrm{FeCoV}$ trilayers measured at selected positions during the magnetization reversal. Experimental data are represented by Symbols, computed reflectivities are represented by lines. The insets show the average magnetization of individual FeCoV layers as obtained from modeling.
[C. Schanzer et al., Physica B 356, 2005, 46-50]

- Antiphase magnetic proximity effect in perovskite superconductor / ferromagnet multilayers


[J. Stahn et al., PRB 71, 2005, 140509R]


No off-specular sheets at RT or 200 K $\Rightarrow$ no structural roughness detectable

Increase of the Bragg sheet at $1^{\text {st }}$ Bragg peak (d) below 160 K
$\Rightarrow$ magnetic roughness, correlated vertically

Appearance of sheets in the spin-flip channel (e)
$\Rightarrow$ magnetic moments not parallel to the neutron spins

Interpretation (of all measurements): Magnetic domains of similar size $(\approx 5$ to $10 \mu \mathrm{~m}$ ) are formed in the LCMO layers. These are correlated through YBCO over the whole stack.

## [J. Hoppler, Diploma Thesis]

- Polarised Neutron Reflectometry of Fe-Co-V Multilayers



Fe-Co-V/Ti bilayer, 15 bilayers with a repeat distance of $136 \AA$ [J. Padiyath, PhD Thesis, ETHZ, No. 16389, 2006].

## - Resonant X-ray Reflectometry



FIG. 2 (color). Spin density depth profiles for Co (blue) and Fe (red) spins obtained from the specular x-ray reflectivities (inset) at $H_{+}= \pm 796 \mathrm{kA} / \mathrm{m}$.


FIG. 1 (color). Hysteresis loops at $Q=0.49$ and $0.38 \mathrm{~nm}^{-1}$ for $\mathrm{Co}(\boldsymbol{\square})$ and Fe (red $\bullet$ ), respectively. Inset: representations of the x-ray experiment and sample.
[Phys. Rev. Lett, 95, 2005, 047201]

## Reflectometry at solid/liquid interfaces

## - Neutron Reflectometry of Adsorbed Single Lipid Bilayer



[T. Gutberlet et al., J. Phys.: Condens. Matter 16, 2004, S2469-S2476]


[P. Callow et.al., Langmuir, 2005, 21, 7912-7920]

## - Polymer Adsorbed Phospholipid Layers


[J.Y. Wong et al, Biophys. J. 77, 1999, 1445]

[M.B. Hochrein et al., Langmuir 2006, 22, 538-545]

## - Polyelectrolyte cushions



[M. Loesche et al., Macromolecules 1998, 31, 8893-8906]

## - Swelling of a Polyelectrolyte Film


solid-liquid (neutron)



[R. Steitz et al., Colloids Surfaces A, 163, 2000, 63]

## - Phospholipid Adsorption to Polyelectrolyte (PSS/PAH) Cushion


[C. Delajon et al., Langmuir, 21, 2005, 8509]

## - Protein Binding Capacity of PAA Brushes




[C. Czeslik et al., Langmuir, submitted]

## Reflectometry at liquid/liquid interfaces




X-ray reflectivity measurements of the isobutane-glycerol interface at 288K show an adsorption of liquid isobutane on the glycerol surface. The layer thickness and roughness increases with rising pressure.
[courtesy M.Paulus, Uni Dortmund]


[Z. Zhang et al, J. Phys Chem., 110, 1999, 7421]


Figure 3. Reflectivity spectra for two different contrasts and spread amounts ( $\Gamma$ ) and volume fraction profiles for PB- PEO copolymers spread at a hexadecane-water interface. (a) $\Gamma=4 \mathrm{mg} \mathrm{m}^{-2}$, solid line on volume fraction profile; (b) $\Gamma=10 \mathrm{mg} \mathrm{m}^{-2}$, dashed line.
[A. Zharbaksh et al., EPSRC Report, 2001]

## Reflectometry at air/liquid interfaces




- Layering of Spherical Particles at Air/Water Interface



X-ray reflectivity profiles of the concentrated (blue) and dilute sample (red), and of the water solvent (green).
[A. Madsen et al., Phys. Rev. E., 64, 061406 (2001)]

- X-ray reflectivity of phospholipid monolayers on the surface of aqueous clay gels

[B. Struth et al., Phys. Rev. Lett. 88, 025502 (2002)]


## - S-layer protein coupling to a phospholipid monolayer



[M. Weygand et al., Biophys. J., 76, 1999, 458]

## - Neutron Reflectivity of Cholera Toxin Assault on Lipid Monolayers




[C.E. Miller et al., Biophys. J., 86, 2004, 3700-3708]

## - Formation of foam films



[R. Krastev et al., ILL Exp. Report, 9-10-744]

- Protein/Lipid Newton Black Film


[V. Petkova et al., Biophys. J., 82, 2002, 541-548]


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